



# **UNIVERSITY OF NEVADA, RENO**

## **DEPARTMENT OF INTERCOLLEGIATE ATHLETICS**

(BOARD OF REGENTS' AGENDA 12/05/13 & 12/06/13) Ref. BOR-18b, Page 1 of 607

Exhibit 1

**BOARD OF REGENTS 2013 ANNUAL REPORT**

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## Five-Year Plan for University of Nevada, Reno Intercollegiate Athletics

### Introductory Statement:

#### **The Need for Strategic Planning in Intercollegiate Athletics**

There are a number of significant challenges affecting the well-being of Division 1 athletic programs across our country, and specifically, here at Nevada. These include but are not limited to the increasing financial pressures and economic conditions (within our community, region and country), the academic performance of our Student-Athletes, the array of ever-present compliance issues, fielding winning programs that are in a position to compete for championships, addressing the needs and wants of the spectrum of our constituents, newly-leased NCAA rules and regulations and most important – keeping our Department of Athletics aligned with the education mission of the University and your plans for the future. All the while, we must maintain a collaborative relationship with University leadership and community constituents regarding the direction of our program.

In addition, we also face the challenges of communicating as a Department with a unified voice on key issues and keeping the Wolf Pack student-athlete as the focal point of all we do. With this in mind, it's evident that a more formalized planning process is required for our Department.

The Strategic Planning process has become serious business – vital to the health and well-being of our student-athletes, our coaches, our Department of Athletics, and to our complementary and collaborative role in supporting the mission of the University.

As we face these and other significant issues, it's all-too-easy to be lulled into the mindset that our future looks bright based upon our past accomplishments. In fact, nothing could be further from the truth. While our future will be built upon the solid foundation of the accomplishments of our University, as we move forward, I know for certain that one of my primary responsibilities is to develop, install, manage and measure the plan for “comprehensive excellence” in all our affairs. In the process, it is my intent to develop Nevada Athletics into a model program within the Mountain West Conference and amongst Division 1 universities within our region.

#### **Purpose of the Strategic Plan**

The focal points for this document are our Mission and Values and Strategic Priorities. The key benefits to this plan and the clarity surrounding these focal points will provide the following:

- **Collaboration with President Johnson and University Leaders**  
The Strategic Planning process provides President Johnson and University leaders with our plan for comprehensive excellence. In doing so, it ensures that our work is in alignment with and supportive of the educational mission of the University.
- **Sets a Clear Direction for the Department of Athletics**  
The Strategic Planning process provides a clear direction for all facets of Nevada Athletics. We provide clarity in our department structure, Mission, Values, Strategic Priorities and all other facets of the Department's operations.
- **Establishes Performance Standards**  
The planning process defines the roles, responsibilities and performance standards for each and every unit and position within the Department of

Athletics. In doing so, the planning process also demonstrates the need for ongoing professional development for all Department staff.

- **Professional Management and Accountability Measures**

In conjunction with our plan, we are installing a standardized management system across all units of the Department. In the process, we are implementing accountability measures and standardized reporting for every unit within the Department.

- **Celebrates Diversity and Inclusion**

In the planning process, we have emphasized transparency, diversity of thought and inclusion amongst the wide range of constituent groups related to the University and the Department of Athletics. We support and celebrate diversity and inclusion in all our affairs.

- **Creates the “Most-Effective” Fundraising Tool**

As we articulate the Strategic Plan for the Department of Athletics, this document assists us in defining the required resources to fuel our operations and success. As such, the Strategic Plan becomes our most-effective fundraising tool – formally defining our plan for the future and the opportunities to invest in the betterment of our Student-Athletes.

### **Professional Management Systems**

Shortly following the installation of our Strategic Plan, it's my intent to more closely hold each of our Unit Managers and Coaches accountable for their performance with the installation of Manager's Action Planners (MAP Books) for all units, and closer Athletic Program oversight. In doing so, we will have our first formal and comprehensive management system in place. This will allow me to report to President Johnson on a timely basis with a comprehensive monthly report in support of Presidential Control of our Department of Athletics.

Our work is bringing a sense of order, efficiency and accountability, and we are enthusiastic with the challenges that lie ahead. I look forward to sharing this document amongst key constituents, and in particular, our current and prospective donors. I cannot imagine a more effective way to share our Mission, Values and Strategic Priorities. In doing so, I'm confident that we'll find this document becomes our most effective tool in Athletics Development.

### **Creating a Framework for our Plan for Success**

The Strategic Planning process is an evolving and growing activity. Our Strategic Plan will not be a document that sits on a shelf or in a drawer. Rather, we expect this document and our Plan to be ever-present and top-of-mind in our daily decisions toward personal and department excellence. This is a framework for advancing Wolf Pack athletics. We expect to add details and measurable data to the framework as the plan evolves.

Our plan is built with conservative and predictable budgeting and forecast methods – we are not building a dream forecast and hoping for the best in the future. We are defining our reality and building a structure for the best Wolf Pack athletics department possible.

Our plan is focused on supporting the University Town and Knowledge Based Region concepts – Wolf Pack athletics must take a leadership position in this effort. We have the ability to invite and engage our communities to support the University in highly visible and proactive ways. We expect to be called upon to lead this effort.

Our plan is dedicated to the welfare and betterment of our students.



## Mission and Values for Nevada Athletics

### Mission for the Department of Intercollegiate Athletics:

In support of the educational mission of the University of Nevada, Reno –

- We provide opportunities for achievement – academically, athletically and socially.
- We teach and learn leadership to prepare our Students for life.
- We engage our community in a meaningful way.

### Values of Nevada Athletics

Core Values guide and govern our actions at all times. They define “what we stand for” and “what we won’t stand for.” They include:

- **Respect**  
We treat ourselves and others as we would like to be treated.
- **Positive Attitude and Outlook**  
We have high energy, enthusiasm and optimism every day.
- **Service Mindset**  
We go the extra mile to serve others, especially our students and community.
- **Teamwork**  
We stick together, we carry the load with our teammates, we look out for each other.
- **Discipline**  
We are focused on creating order, planning and advancing the enterprise.
- **Diversity**  
We look to include people with different experiences, opinions and voices.
- **Honor**  
We know that the right thing to do is the only thing to do.



## Unit Missions for Wolf Pack Athletics

### Compliance Office

- Our mission is to provide guidance to the entire community with regard to the rules, regulations and standards which mandate integrity in everything we do.
- Above all else, we exist to promote, protect and demonstrate the safety, health and well-being of our Student-Athletes, our Coaching staff, Department of Athletics and the Institution.
- We serve as guardians and advocates.

### Student-Athlete Support Services

- Our most important pursuit is the academic success of our Student-Athletes.
- Our professional staff partners with and supports our Student-Athletes with the transition from high school to the college setting. We provide an environment which fosters learning.
- This environment challenges them to grow intellectually and guides them to their interests and areas where they can excel and enjoy productive and meaningful lives.

### Athletic Program Administration

- In partnership with our coaches, we guide, encourage and support our Student-Athletes in their pursuit for comprehensive excellence.
- We bear responsibility to efficiently and effectively manage all elements of the athletic program in order to field a team that is in a position to compete for championships.
- We serve as advocates and guardians to Student-Athletes, the Coaching staff and Department.
- Through the use of our Athletics Program Profiles, we position our Athletic Program Administrators to make data-driven decisions.

### Coaching Staff

- We serve as leaders and role models to our Student-Athletes and the Department of Athletics.
- With our Athletic Program Administrators, we bear responsibility to efficiently and effectively manage all elements of the program, and work for the betterment of our Student-Athletes.
- We are responsible for the development and well-being of our Student-Athletes and their competitive success.

### Sports Medicine

- We protect and promote the safety, health and well-being of each and every one of our Student-Athletes. We provide and coordinate a comprehensive array of professional healthcare services.
- We work closely with our coaching staffs as they develop their plans for athletic competition.
- Above all else, we serve as the guardians and advocates for our Student-Athletes and the athletic programs we serve.

### Strength & Conditioning

- In partnership with our coaches, we develop and conduct programs which provide proper conditioning and injury prevention to best meet the demands of each individual sport.
- We model and promote healthy lifestyles to our Student-Athletes. Above all else, we utilize proven methods to inspire our Student-Athletes to develop well-conditioned minds and bodies.
- We protect and promote the safety, health and well-being of each of our Student-Athletes.



## Unit Missions for Wolf Pack Athletics (cont.)

### **Athletic Equipment**

- We provide the finest equipment available to allow our Student-Athletes to compete at the highest levels of competition.
- We are responsible for the research, purchase, allocation and collection of equipment for our Student-Athletes. We provide orientation, education and preventive measures to ensure that all equipment operates to the highest standards.
- We promote the UNR Athletics brand through partnerships with equipment and apparel leaders and the appropriate use of institutional insignia for UNR.

### **Facilities and Event Management**

- We maintain a Facilities Master Plan in conjunction with the University's Strategic Plan.
- Our plans identify and address existing and future facility needs and usage.
- We provide a safe and welcoming environment for participants, spectators, and visitors.
- We build and maintain attractive athletic facilities. The look is clean and the operation of our facilities is first-class.
- We continue to improve upon our facilities.

### **Athletic Communications**

- We promote and celebrate the success of our Student-Athletes, UNR Athletics and the University – to the UNR family.
- We are proactive communicators who are dedicated and passionate advocates for our Student-Athletes, Department of Intercollegiate Athletics and the University.
- We are a window to, and a reflection of, the University. We utilize tools and technology to communicate our messages in a credible and reliable manner.

### **Multimedia**

- We plan, develop, and coordinate all facets of UNR Athletics marketing and promotions. We provide a consistent “look and feel” which conveys a single and unified message or ideal.
- We promote and celebrate the people and events associated with UNR Athletics.

### **Business & Finance**

- Our first priority is the financial viability of our entire program. We strive to use our money wisely.
- Our business operations are professionally managed. We have clearly defined policies and procedures that are the UNR Athletics “way” of doing business.
- We are open, inclusive and collaborative with regards to the budget process.

### **Athletics Development**

- We invite the friends of the University to develop a meaningful relationship with UNR Athletics.
- We are obligated to clearly demonstrate our plan for the Department and the opportunities to participate in the betterment of our Student-Athletes.
- We exist to support the success of our Student-Athletes and UNR Athletics.



## The Role of Intercollegiate Athletics

- Within the Department of Athletics, all our actions are designed to be in alignment with, and supportive of, the educational mission and strategic objectives for the University. As such, we serve in two important roles. They include –

### **Our Role as Teachers –**

- We have a complementary (yet unique) role as teachers within the educational setting at the University of Nevada, Reno.
- We teach an array of lessons and skill sets that are not easily duplicated elsewhere on campus. Areas in which we teach include, but are not limited to:
  - Accountability
  - Community Outreach
  - Competitive Excellence
  - Discipline
  - Diversity and Inclusion
  - Goal Setting
  - Honor
  - Individual Responsibility
  - Integrity
  - Leadership
  - Learning from Mistakes
  - Persistence
  - Problem Solving
  - Professional Conduct
  - Teamwork
  - Time Management
  - Winning
  - Work Ethic
- In our role as teachers, we're honored to be a part of the process of guiding, inspiring and supporting our Student-Athletes to use their talents and gifts in their quest for excellence – academically, athletically and socially. We are teaching in some very special ways.

### **We Invite, Gather and Engage a Community**

- We invite, gather and engage the University and surrounding communities to participate in our programs, competitions and success in a meaningful way.
- We provide positive visibility and instill pride for the University of Nevada, Reno.
- Above all else, we celebrate our graduates, leaders and friends of the University.





## Listing of Athletic Programs and Head Coaches

- Baseball Jay Johnson
- Basketball (Men's) David Carter
- Basketball (Women's) Jane Albright
- Cross Country (Women's) Shantel Twiggs
- Football Brian Polian
- Golf (Men's) Jacob Wilner
- Golf (Women's) Kathleen Takaishi
- Rifle (Coed) Fred Harvey
- Soccer (Women's) Melissa Price
- Softball Matt Meuchel
- Swimming & Diving (Women's) Abby Steketee
- Tennis (Men's) Erik Burton
- Tennis (Women's) Guillaume Tonelli
- Track & Field (Women's) Shantel Twiggs
- Volleyball Ruth Lawanson



## **Coaching Staff Responsibilities**

- We serve as advocates and guardians to Student-Athletes and the Department of Intercollegiate Athletics.
- With our Athletic Program Administrators, we bear responsibility to efficiently and effectively manage all elements of the program, and work for the betterment of our Student-Athletes.
- We are responsible for the well-being of our Student-Athletes and their competitive success.

## **The Role of the Athletic Program Administrator**

- In partnership with our coaches, we guide, encourage and support our Student-Athletes in their pursuit for comprehensive excellence.
- We bear responsibility to efficiently and effectively manage all elements of the athletic program in order to field a team that is in a position to compete for championships.
- We serve as advocates and guardians to Student-Athletes and the Department.
- Through the use of our Athletics Program Profiles, we position our Athletic Program Administrators to make data-driven decisions.



# Expectations of Wolf Pack Student-Athletes

We have seven fundamental expectations for all Wolf Pack Student-Athletes:

## **Academics**

- We expect our Student-Athletes to pledge their best effort in their academic affairs – acquiring knowledge and advancing towards a degree.

## **Athletics**

- We expect our Student-Athletes to prepare diligently and compete aggressively – in order to position themselves and their teammates to compete for championships.

## **Community Outreach**

- We expect our Student-Athletes to serve the surrounding communities.

## **Meaningful Relationships**

- We expect our Student-Athletes to become an integral part of the University community. In the process, we want them to enjoy their experience and develop meaningful relationships.

## **Leadership Experiences**

- We expect our Student-Athletes to engage in regular contact with the array of leaders, advisors and mentors within the Department of Athletics and the community at large.

## **Compliance**

- We expect our Student-Athletes to be in full compliance with all University, Departmental, Conference and NCAA compliance regulations.

## **Career Placement**

- We expect our Student-Athletes to use all available resources in the pursuit of a meaningful career. We stress the importance of a life of service and honorable citizenship.



## Summary of Strategic Priorities

<b>Priority 1</b>	<b>Alignment with University Leadership</b>
<b>Priority 2</b>	<b>Academic Achievement</b>
<b>Priority 3</b>	<b>Athletic Excellence</b>
<b>Priority 4</b>	<b>Student-Athlete Wellbeing</b>
<b>Priority 5</b>	<b>Culture of Compliance</b>
<b>Priority 6</b>	<b>Enhanced External Operations</b>
<b>Priority 7</b>	<b>Transparent Business Operations</b>
<b>Priority 8</b>	<b>Emergence of Athletics Development</b>
<b>Priority 9</b>	<b>Facilities Planning</b>
<b>Priority 10</b>	<b>Professional Management</b>



## **Priority One** **Alignment with University Leadership**

### **Goal # 1 – Presentation and Approval of Strategic Plan**

- Develop the framework for the Strategic Plan for Nevada Athletics
- Refine the Mission, Values and Strategic Priorities for the Department
- Input from Department Staff, Coaches and key constituents
- Critical comment and approval and ongoing oversight from President Johnson

### **Goal # 2 – Executive Operations Book**

- Development of Executive Operations Book
- Longitudinal reporting and recordkeeping for all Operating Units within the Department
- Listing of Key Projects and Tasks for all Operating Units

### **Goal # 3 – Relationship with President Johnson**

- Develop and refine a Monthly Operations Report for the Department – submitted to President Johnson in support of Presidential Control for the Department of Athletics
- Monthly Operations Report will include a summary of significant activity within each Operating Unit and within each Athletic Program

### **Goal # 4 – Participation in Campus Planning & Activities**

- Collaborative relationship for all related planning and activities across campus
- Proactive engagement from Department's Senior Staff with Academic community



## **Priority Two** **Academic Achievement**

### **Goal # 1 – Enhanced GPA and Graduation Success Rate**

- Hire Associate AD/Director of Academics and enhance support services
- Evaluate and refine Academic Support Services programming
- Develop formal Academic Plan for each athletic program

### **Goal # 2 – Be Amongst League-Leaders in Academic Achievement**

- Achieve Student-Athlete GSR amongst the top 4 schools in the Conference
- Achieve Student-Athlete APR amongst the top 4 schools in the Conference

### **Goal # 3 – Increase the Number of Scholar-Athletes**

- Increase number of Silver & Blue Scholars (3.5GPA or higher)
- Increase number of Wolf Pack Scholars (3.0-3.49 GPA)
- Tailor and support individualized academic plans for all incoming Student-Athletes

### **Goal # 4 – Celebrate Academic Achievement**

- University leadership, Department leadership and Coaching staff to comment on academic performance at public events
- Highlight academic achievement in all publications (Annual Reports, Media Guides, etc.)
- Continue Scholar-Athlete recognition luncheon and events
- Communicate within the Department the academic achievement of all athletic programs



## **Priority Three** **Athletic Excellence**

### **Goal # 1 – Professional Athletic Program Administration**

- Clearly defined roles and responsibilities for Athletic Program Administrators, Coaches and related support services
- Communications at regularly-scheduled Coaches Meetings

### **Goal # 2 – Develop Framework for Athletic Program Profiles for Select Sports**

- Develop and refine Athletic Program Profiles for athletic programs to facilitate data-driven decision making. Metrics include, but are not limited to:
  - Program History
  - Program Overview
  - Coaching Staff
  - Competitive Performance
  - Schedule
  - Academics
  - Budget
  - Compliance Planning
  - Community Outreach and Volunteerism
  - Development
  - Equipment
  - Event Management
  - Facilities
  - Life Skills
  - Marketing and Promotion
  - Recruiting
  - Sports Medicine
  - Strength & Conditioning
  - Team Travel, etc.

### **Goal # 3 – Needs Reports for All Athletic Programs**

- Develop and refine formal Needs Reports for all Athletic Programs
- Incorporate into Department Needs Report

### **Goal # 4 – Monthly Coaches Meetings**

- Monthly Coaches Meetings with Program Administrators, support staff and invited guests



## **Priority Four** **Student-Athlete Wellbeing**

### **Goal # 1 – Active Participation in Community Service and Events**

- Establish community service expectations for all Athletic Programs
- Celebration of Student-Athlete accomplishments and activities in Department publications

### **Goal # 2 – Participation in University Events**

- Standards established for participation in University events

### **Goal # 3 – Enhanced Life Skills Training**

- Emphasis on preparing Student-Athletes for productive and meaningful lives beyond athletics
- Installation of Professional Conduct Training programs for Student-Athletes

### **Goal # 4 – Career Placement Services**

- Enhanced career placement offerings
- Produce Resume Book for Student-Athletes
- Mentoring Program for Student-Athletes with prominent alumni and community leaders
- Establish relationships with organizations/corporations within the extended community for placement of Student-Athletes





## **Priority Five** **Culture of Compliance**

### **Goal # 1 – Establish Theme of Universal Presence and Universal Access**

- Statement of support and importance of Institutional Integrity by President Johnson, Doug Knuth (AD) and Dave Croasdell (FAR)
- Increased face-time with Student-Athletes & Coaching Staff; including personal introductions and meetings between Compliance staff and all Student-Athletes
- Regular ongoing access and visits to all facilities and venues – Associate AD for Compliance will travel with football team
- Add additional full-time compliance staff member

### **Goal # 2 – Professional Services Array for Compliance**

- Approved by Doug Knuth and presented to all Department staff and Coaches
- Develop comprehensive portfolio of educational materials for Student-Athletes, Coaches, Department staff and other key constituents

### **Goal # 3 – Refine Policies and Procedures Manual**

- Refined Policies and Procedures Manual
- Communications with peer Athletic Departments for best practices

### **Goal # 4 – Compliance Task Planners for All Athletic Programs**

- Develop schedule of Compliance communications for all Athletic Programs and their key constituent groups; with signoff from Athletic Program Administrator, Head Coach, Captains, FAR, etc.
- Communications with Coaches regarding performance against their scheduled communications
- Fund and install Jump Forward compliance and recruiting software



## **Priority Six** **Enhanced External Relations**

### **Goal # 1 – Standardized and Unified Messaging**

- Standardized messaging for all Department Staff and Coaches
- Distribution and installation of AD's Folio

### **Goal #2 – Increased Ticket Sales**

- Create sales plan for each ticketed athletic program
- Monitor progress and adjust resources
- Enhance customer service and relations
- Build Business Backs the Pack campaign
- Install Buy One, Give One campaign to support local youth charities

### **Goal # 3 – Increased Sponsorship Sales**

- Work with Wolf Pack Sports Properties (Learfield) to develop new opportunities

### **Goal # 4 – Marketing and Promotion Plan for Each Athletic Program**

- Marketing and promotional program for each athletic program

### **Goal # 5 – Enhanced Media Relations Updated Policies and Procedure Manual**

- Develop alternative forms of promotion beyond Media Guides
- Add full-time staff member and GA position
- Rebrand Media Relations to reflect current trends in Communications

### **Goal # 6 – Positive Presence in all Media Exposures**

- Celebrating the Student-Athlete; positive press releases
- Measure and enhance exposure across all forms of media
- Increased coverage of games on Mountain West Network



## **Priority Seven** **Transparent Business Operations**

### **Goal # 1 – Orientation Program for All Staff**

- Onboarding and training program for all new hires
- Review of Mission, Values and Strategic Plan with all new hires

### **Goal # 2 – Enhanced Budget Development Process**

- Longer-term perspective with regard to the Strategic Planning process
  - See attached 5-year Financial Forecast
- Development of formal Needs Reports for all Units and Athletic Programs
- Involvement from Unit Managers, Coaching staff and key constituents

### **Goal # 3 – Refined Financial Policies and Procedures Manual**

- Regularly-scheduled meetings on financial affairs with Coaching and Administrative staff
- Enhanced access and reporting to Athletic Program Administrators and Coaching staff



## **Priority Eight** **Emergence of Athletics Development**

### **Annual Fund and Major Gifts**

#### **Goal # 1 – Preliminary Needs Report for the Department**

- Formal review of all Units and Athletic Programs
- Meetings with AAUN Board and other key constituents to refine Needs Assessment

#### **Goal # 2 – Refinement of Database and Constituent Portfolios**

- Refinement and ongoing segmentation of database for all Unit and Senior Staff
- Define prospective Corporate Donors

#### **Goal # 4 – Increased Membership/Participation for Annual Fund**

- Establish retention and growth goals for membership and dollars
- Build giving platform for former student athletes, parents and friends of sport teams

### **Capital Projects**

#### **Goal # 1 – Cultivation and Increase in Leadership/Capital Project Gifts**

- Set minimum number of calls per week
- Cultivation of key prospects for capital projects
- Preliminary Needs Report reviewed and approved by President Johnson
- Presentation of Strategic Plan and Needs Reports to all current and prospective donors

#### **Goal # 2 – Consideration for Athletics Advisory Board**

- Examination of roles and responsibilities of the AAUN Board
- Establish formalized planning process with AAUN Board
- Active involvement of Board in Development activities



## **Priority Nine** **Facilities Master Planning**

### **Goal # 1 – Facilities Master Plan**

- Coordinate and develop Department Facilities Master Plan with University leadership

### **Goal # 2 – Formal Needs Reporting for Facility Projects**

- Develop and refine formal Needs Report for all facility projects
- Communicate progress with AAUN Board
- Proposed Capital Projects, Funding and Timeline
  - Mackay Stadium Renovation - \$10 million
    - Install lower bowl chair-back seats
    - Build club level in press box with full kitchen
    - Add loge and/or suite seats
    - Timeline dependent on fundraising
    - Seeking non-donor private financing
  - Indoor Fieldhouse - \$24 million
    - Full football field
    - 307 meter track
    - Shared-use with student recreation, intramurals and club sports
    - Timeline dependent on fundraising
    - Shared-funding – potentially \$9 million from student fee
  - Renovation of Lombardi Gyms - \$2 million
    - Conversion of two basketball courts to M&W Basketball practice center
    - Conversion of separate court area to Volleyball practice and competition court
    - Timeline dependent on fundraising
    - Seeking private funding
  - Tennis Courts - \$1.9 million
    - Six outdoor courts
    - Investigating indoor options
    - Requires movement of track jump and throws area
    - Timeline dependent on fundraising
    - Seeking private funding
  - Rifle Center - \$5-8 million
    - Indoor range for NCAA competition
    - Community and agency programming
    - Host NCAAs. Win NCAAs!
    - Timeline dependent on fundraising
    - Seeking private funding



## **Priority Ten** **Professional Management**

### **Goal # 1 – Installation of Manager’s Action Planners (MAP Books)**

- All Unit Managers will carry a MAP Book which is organized with a standard set of 8 metrics which include the following:
  - Unit Mission
  - Unit Staff
  - Learning Plans
  - Professional Service Array
  - Key Relationships
  - Unit Calendar
  - Projects and Tasks
  - Unit Reporting
- A weekly report known as a Quicksheet will be submitted to Doug Knuth from the all Unit Managers. Reporting metrics include the following:
  - Completed Projects and Tasks
  - Current Projects & Tasks
  - Significant Dates
  - Learning Plans
  - Other Information

### **Goal # 2 – Executive Operations Book (EOB)**

- As the Unit Managers submit their weekly Quicksheets to Doug Knuth, these documents are placed into a tabbed Executive Operations Book. This information provides for a longitudinal record of activity within each Unit and facilitates the development of a Monthly Operations Report which is submitted to President Johnson.

### **Goal #3 – Monthly Operations Report**

- Monthly Operations Report will include a summary of significant activity within each Operating Unit and within each Athletic Program



## **Five-Year Financial Plan to Reduce/Eliminate Deficit**

As illustrated in the attached Five-year Financial Analysis – Projections and Expenditures, Nevada Athletics' plan to reduce and eliminate the accumulated financial deficit over a five year period beginning with FY14 and ending in FY18.

The deficit reduction/elimination plan utilizes guaranteed revenue sources from future increases in Mountain West Conference distributions to pay down the deficit. We understand this is a high priority. The allocation of these future increases further illustrates our commitment to deficit reduction versus investing in the future of the athletics program.

University of Nevada, Reno  
Schedule of Revenues and Expenses

	Fiscal Year 2013		Fiscal Year 2014		Fiscal Year 2015		Fiscal Year 2016		Fiscal Year 2017	
	Actual	FTE	Projected	FTE	Projected	FTE	Projected	FTE	Projected	FTE
<b>Revenues:</b>										
State Funds	4,908,405		4,995,845		4,995,845		5,019,170		5,044,130	
Student Fees	2,492,480		2,485,000		2,534,700		2,585,395		2,637,100	
Donations	4,506,725		3,985,000		3,965,525		3,792,565		3,785,110	
Men's Basketball	1,484,600		1,387,500		1,460,750		1,493,100		1,526,425	
Football	3,681,010		4,017,000		3,777,565		3,986,565		4,342,950	
All Other Men's & Women's Sports	128,640		141,000		148,050		155,450		163,220	
Conference/NCAA	3,413,815		3,250,000		4,375,000		4,375,000		4,375,000	
Institutional Support	1,552,630		1,202,630		1,202,630		1,202,630		1,202,630	
Miscellaneous	1,654,510		1,637,350		1,647,100		1,791,850		1,801,600	
<b>Subtotal Revenues</b>	<b>23,822,815</b>		<b>23,101,325</b>		<b>24,107,165</b>		<b>24,401,725</b>		<b>24,878,165</b>	
<b>Expenses:</b>										
Direct Facilities	1,246,510		1,281,930		1,281,930		1,281,930		1,281,930	
Indirect Facilities	-		-		-		-		-	
Administration	3,891,705	20.25	3,035,165	20.25	3,056,505	19.25	3,099,535	19.25	3,084,275	19.25
Sport Support	2,832,820	23.50	2,918,845	23.50	2,921,050	23.50	3,000,910	23.50	3,050,430	23.50
Men's Basketball	1,666,785	5.00	1,460,630	5.00	1,334,000	5.00	1,357,085	5.00	1,374,890	5.00
Football	4,737,680	12.00	4,204,630	12.00	4,382,955	12.00	4,334,135	12.00	4,546,815	12.00
All Other Men's & Women's Sports	3,904,940	28.00	3,836,380	28.00	3,869,210	28.00	3,948,045	28.00	4,013,205	28.00
Student Aid	5,766,095		5,860,980		5,929,795		6,000,675		6,073,675	
Debt and Loan Payments	487,350		487,350		487,350		487,350		487,350	
<b>Subtotal Expenses</b>	<b>24,533,885</b>		<b>23,085,910</b>		<b>23,262,795</b>		<b>23,509,665</b>		<b>23,912,570</b>	
<b>Net Contribution (Decrease) to Reserves</b>	<b>-711,070</b>		<b>15,415</b>		<b>844,370</b>		<b>892,060</b>		<b>965,595</b>	
<b>Beginning Reserves</b>	<b>-2,581,230</b>		<b>-3,292,300</b>		<b>-3,276,885</b>		<b>-2,432,515</b>		<b>-1,540,455</b>	
<b>ICA Ending Reserves</b>	<b>-3,292,300</b>	88.75	<b>-3,276,885</b>	88.75	<b>-2,432,515</b>	87.75	<b>-1,540,455</b>	87.75	<b>-574,860</b>	87.75

**Revenues:**

- (1) \$15 increase in Football season tickets beginning in FY 15 (\$276,000).
- (2) 3% annual increase in Football single game sales.
- (3) 3% annual increase in M/Basketball season ticket sales.
- (4) Conference distribution reflects \$1.1M increase beginning in FY 15 (College Football Playoff System begins).
- (5) 2% annual increase in Student Fee revenue (based on increased enrollment).
- (6) Football and M/Basketball also reflect the year to year change in game guarantee contracts.

**Expenses:**

- (1) Operating expenses increased by 2%/year.
- (2) Fringe rates are increased by 4% beginning in FY 16.
- (3) Room/Board/Book costs are increased by 3%/year.
- (4) Football and M/Basketball expenses also reflect the year to year change in game guarantee contracts.
- (5) Salaries reflect a 2.5% merit increase on 7/1/15 and 7/1/16.



UNIVERSITY OF NEVADA, RENO  
 INTERCOLLEGIATE ATHLETICS  
 FISCAL 2013 ACTUAL

	Ending June 30, 2013		
	State Funds	Self-Supporting	Total
<b>University of Nevada, Reno Intercollegiate Athletics</b>			
<b>REVENUES</b>			
State Funds - Facilities	1,246,510		1,246,510
State Funds - Administration/Sports	1,331,110		1,331,110
State Funds - Student Aid	2,330,785		2,330,785
Student Fees		2,492,480	2,492,480
Donations		4,506,725	4,506,725
Men's Basketball		1,484,600	1,484,600
Football		3,681,010	3,681,010
All other Men's/Women's Sports		128,640	128,640
Conference/NCAA		3,413,815	3,413,815
Institutional		1,552,630	1,552,630
Miscellaneous		1,654,510	1,654,510
<b>Subtotal Revenues</b>	<b>4,908,405</b>	<b>18,914,410</b>	<b>23,822,815</b>
<b>EXPENSES</b>			
Facilities	1,246,510		1,246,510
Administration	512,490	3,379,215	3,891,705
Sport Support	87,360	2,745,460	2,832,820
Men's Basketball		1,666,785	1,666,785
Football	197,485	4,540,195	4,737,680
All other Men's/Women's Sports	533,775	3,371,165	3,904,940
Student Assistance	2,330,785	3,435,310	5,766,095
Debt and Loan Payments		487,350	487,350
<b>Total Expenses</b>	<b>4,908,405</b>	<b>19,625,480</b>	<b>24,533,885</b>
Opening Balance	-	(2,581,230)	
Net Operating Surplus (Deficit)	-	(711,070)	
Ending Balance	-	(3,292,300)	

UNIVERSITY OF NEVADA, RENO  
 INTERCOLLEGIATE ATHLETICS  
 FISCAL 2014 BUDGETED

	Ending June 30, 2014		
	State Funds	Self-Supporting	Total
<b>University of Nevada, Reno Intercollegiate Athletics</b>			
<b>REVENUES</b>			
State Funds - Facilities	1,281,930		1,281,930
State Funds - Administration/Sports	1,349,460		1,349,460
State Funds - Student Aid	2,364,455		2,364,455
Student Fees		2,485,000	2,485,000
Donations		3,985,000	3,985,000
Men's Basketball		1,387,500	1,387,500
Football		4,017,000	4,017,000
All other Men's/Women's Sports		141,000	141,000
Conference/NCAA		3,250,000	3,250,000
Institutional		1,202,630	1,202,630
Miscellaneous		1,637,350	1,637,350
<b>Subtotal Revenues</b>	<b>4,995,845</b>	<b>18,105,480</b>	<b>23,101,325</b>
<b>EXPENSES</b>			
Facilities	1,281,930		1,281,930
Administration	599,525	2,435,640	3,035,165
Sport Support	97,765	2,821,080	2,918,845
Men's Basketball	-	1,460,630	1,460,630
Football	153,015	4,051,615	4,204,630
All other Men's/Women's Sports	499,155	3,337,225	3,836,380
Student Assistance	2,364,455	3,496,525	5,860,980
Debt and Loan Payments		487,350	487,350
<b>Total Expenses</b>	<b>4,995,845</b>	<b>18,090,065</b>	<b>23,085,910</b>
Opening Balance	-	(3,292,300)	
Net Operating Surplus (Deficit)	-	15,415	
Ending Balance	-	(3,276,885)	

UNIVERSITY OF NEVADA, RENO  
 INTERCOLLEGIATE ATHLETICS  
 FISCAL 2015 PROJECTED

	Ending June 30, 2015		
	State Funds	Self-Supporting	Total
<b>University of Nevada, Reno Intercollegiate Athletics</b>			
<b>REVENUES</b>			
State Funds-Facilities	1,281,930		1,281,930
State Funds-Administrative/Sports	1,349,460		1,349,460
State Funds-Student Aid	2,364,455		2,364,455
Student Fees		2,534,700	2,534,700
Donations		3,965,525	3,965,525
Men's Basketball		1,460,750	1,460,750
Football		3,777,565	3,777,565
All other Men's/Women's Sports		148,050	148,050
Conference/NCAA		4,375,000	4,375,000
Institutional		1,202,630	1,202,630
Miscellaneous		1,647,100	1,647,100
Subtotal Revenues	4,995,845	19,111,320	24,107,165
<b>EXPENSES</b>			
Facilities	1,281,930		1,281,930
Administration	599,525	2,456,980	3,056,505
Sport Support	97,765	2,823,285	2,921,050
Men's Basketball	-	1,334,000	1,334,000
Football	153,015	4,229,940	4,382,955
All other Men's/Women's Sports	499,155	3,370,055	3,869,210
Student Assistance	2,364,455	3,565,340	5,929,795
Debt and Loan Payments		487,350	487,350
Total Expenses	4,995,845	18,266,950	23,262,795
Opening Balance		-3,276,885	
Net Operating Surplus (Deficit)		844,370	
Ending Balance		-2,432,515	

UNIVERSITY OF NEVADA, RENO  
 INTERCOLLEGIATE ATHLETICS  
 FISCAL 2016 PROJECTED

	Ending June 30, 2016		
	State Funds	Self-Supporting	Total
<b>University of Nevada, Reno Intercollegiate Athletics</b>			
<b>REVENUES</b>			
State Funds-Facilities	1,281,930		1,281,930
State Funds-Administrative/Sports	1,372,785		1,372,785
State Funds-Student Aid	2,364,455		2,364,455
Student Fees		2,585,395	2,585,395
Donations		3,792,565	3,792,565
Men's Basketball		1,493,100	1,493,100
Football		3,986,565	3,986,565
All other Men's/Women's Sports		155,450	155,450
Conference/NCAA		4,375,000	4,375,000
Institutional		1,202,630	1,202,630
Miscellaneous		1,791,850	1,791,850
Subtotal Revenues	5,019,170	19,382,555	24,401,725
<b>EXPENSES</b>			
Facilities	1,281,930		1,281,930
Administration	609,160	2,490,375	3,099,535
Sport Support	99,475	2,901,435	3,000,910
Men's Basketball	-	1,357,085	1,357,085
Football	156,440	4,177,695	4,334,135
All other Men's/Women's Sports	507,710	3,440,335	3,948,045
Student Assistance	2,364,455	3,636,220	6,000,675
Debt and Loan Payments		487,350	487,350
Total Expenses	5,019,170	18,490,495	23,509,665
Opening Balance		-2,432,515	
Net Operating Surplus (Deficit)		892,060	
Ending Balance		-1,540,455	

UNIVERSITY OF NEVADA, RENO  
 INTERCOLLEGIATE ATHLETICS  
 FISCAL 2017 PROJECTED

	Ending June 30, 2017		
	State Funds	Self-Supporting	Total
<b>University of Nevada, Reno Intercollegiate Athletics</b>			
<b>REVENUES</b>			
State Funds-Facilities	1,281,930		1,281,930
State Funds-Administrative/Sports	1,397,745		1,397,745
State Funds-Student Aid	2,364,455		2,364,455
Student Fees		2,637,100	2,637,100
Donations		3,785,110	3,785,110
Men's Basketball		1,526,425	1,526,425
Football		4,342,950	4,342,950
All other Men's/Women's Sports		163,220	163,220
Conference/NCAA		4,375,000	4,375,000
Institutional		1,202,630	1,202,630
Miscellaneous		1,801,600	1,801,600
Subtotal Revenues	5,044,130	19,834,035	24,878,165
<b>EXPENSES</b>			
Facilities	1,281,930		1,281,930
Administration	620,085	2,464,190	3,084,275
Sport Support	101,225	2,949,205	3,050,430
Men's Basketball	-	1,374,890	1,374,890
Football	159,955	4,386,860	4,546,815
All other Men's/Women's Sports	516,480	3,496,725	4,013,205
Student Assistance	2,364,455	3,709,220	6,073,675
Debt and Loan Payments		487,350	487,350
Total Expenses	5,044,130	18,868,440	23,912,570
Opening Balance		-1,540,455	
Net Operating Surplus (Deficit)		965,595	
Ending Balance		-574,860	



## **Annual Report of Assistant Coaches Hired Pursuant to the Section**

In January 2013, Chris Ault stepped down from his tenure as Head Football Coach at the University of Nevada. President Marc Johnson subsequently hired Brian Polian as Ault's successor.

In accordance with Title 4, Chapter 24, Coach Polian selected the following assistant coaches to join his staff:

Lester Erb - Special Teams Coordinator/Running Backs

Scottie Hazelton - Defensive Coordinator/Linebackers

Jim Hofher - Assistant Head Coach/Wide Receivers

Ron Hudson - Offensive Line

Bill Teerlinck - Defensive Line

Ricky Thomas - Cornerbacks



## Special Admissions, Declared Major and Graduation Rate Report

### 9.b i Information on the number of special admissions for student-athletes compared with the rest of the student body, declared majors of student-athletes and graduation rates of athletes:

- During the 2012-2013 academic year, the total number of students admitted through the special admissions process was 93. Of that, only five were student-athletes.
- The top majors among student-athletes are Community Health Science (50), General Studies (46), Undeclared/Exploring (46) and Pre-Business (42). Majors of all University of Nevada, Reno Student-Athletes are attached.
- Based on the NCAA Graduation Rate Report, The University of Nevada, Reno has a graduation rate of 77% compared to the rest of the student population who graduated at a 55% rate during the same time period. Graduation Rate Report is attached.
- The University of Nevada, Reno Academic Progress Rates (APR) for each team exceed the 930 NCAA minimum requirement. The 2011-2012 APR multi-year rates for each sport follows:

#### APR by Sport:

Baseball	934
Cross Country (w)	959
Basketball (m)	944
Basketball (w)	974
Football	942
Golf (m)	1000
Golf (w)	966
Soccer (w)	985
Softball	989
Swimming (w)	949
Tennis (m)	975
Tennis (w)	991
Track-Indoor (w)	961
Track-Outdoor (w)	962
Rifle (mixed)	1000
Volleyball (w)	975

<b><u>Major Program</u></b>	<b><u>Number of Student-Athletes</u></b>
Accounting BSBUS	1
Anthropology BA	3
Art BA	3
Biochemistry and Molecular Biology BS	3
Biology BS	18
Civil Engineering BSCE	7
Communication Studies BA	17
Community Health Sciences	50
Criminal Justice	15
Economics	8
Educational Leadership - Masters Program	2
Electrical Engineering BSEE	3
English – Literature BA	1
English – Writing BA	2
English as a Second Language	2
Environmental Engineering BSENV	2
Environmental Science BS	4
Finance BSBUS	1
Finance – Masters Program	1
General Business	2
General Computer Science and Engineering	3
General Studies BGS	46
Geography BA	1
Geological Engineering BSGE	2
History BA	3
Human Development Family Studies BS	21
Information Systems BSBUS	1
International Affairs BA	2
International Business BSBUS	3
Journalism BA	7
Management BSBUS	8
Marketing BSBUS	7
Mathematics – General BS	2
Mechanical Engineering BSME	5
Metallurgical Engineering BS	1
Music Education BM	1
Neuroscience BS	7
Nutrition – Dietetics BS	2
Nutrition Nutritional Sci BS	3
Physics BS	1
Political Science BA	3
Pre-Business	42
Pre-Communication Studies	2
Pre-Education	3
Pre-Journalism	11
Pre-Nursing	9

Psychology – Research Specialization	3
Psychology –General Track	8
Public Health –Master’s Program	1
Secondary Ed	3
Social Work BSW	1
Sociology BA	1
Speech Pathology –BS	1
Undeclared	46
Undeclared Engineering	1
Veterinary Science BSVET	2
Wildlife Ecol Conservatn BS	1

## **BASEBALL**

<b># of Students</b>	<b>Major</b>
1	Communication Studies BA
7	Community Health Sciences
1	Criminal Justice - General BA
2	Economics BS
1	Electrical Engineering BSEE
1	Finance
14	General Business
1	History BA
2	Human Devel Fam Studies BS
1	International Business
1	Journalism BA
2	Mechanical Engineering BSME
1	Pre-Journalism
1	Sec Ed - Health Ed BA
8	Undeclared

## **MEN'S BASKETBALL**

<b># of Students</b>	<b>Major</b>
1	General Business
1	General Computer Sci and Engrg
9	General Studies BGS
1	Marketing
3	Undeclared

## **WOMEN'S BASKETBALL**

<b># of Students</b>	<b>Major</b>
1	Biology BS
1	Civil Engineering BSCE
1	Community Health Sciences
2	General Studies BGS
4	Human Devel Fam Studies BS
1	Marketing BSBUS
1	Physics BS
1	Pre-Education: Special Ed
2	Pre-Journalism
1	Psy - Research Specialization

## **MEN'S FOOTBALL**

<b># of Students</b>	<b>Major</b>
1	Accounting
1	Art BA
1	Biochem and Molecular Biol BS
6	Biology BS
1	Civil Engineering BSCE
8	Communication Studies BA



12	Community Health Sciences
7	Criminal Justice - General BA
3	Economics BS
2	Educational Leadership MA
11	General Business
13	General Studies BGS
2	Geological Engineering BSGE
1	Grad Spcl Lib Arts Undeclared
1	History BA
7	Human Devel Fam Studies BS
1	Information Systems BSBUS
1	International Business
1	Journalism BA
5	Management
1	Marketing BSBUS
1	Neuroscience BS
1	Nutrition - Dietetics BS
1	Nutrition - Nutritional Sci BS
2	Political Science BA
1	Pre-Communication Studies
1	Pre-Education: General
1	Pre-Journalism
2	Psychology - General Track
15	Undeclared

### **MEN'S GOLF**

<b># of Students</b>	<b>Major</b>
1	Biology BS
2	Communication Studies BA
2	Finance
1	Finance MS
1	General Studies BGS
1	Management
1	Mechanical Engineering BSME
1	Undeclared

### **WOMEN'S GOLF**

<b># of Students</b>	<b>Major</b>
1	Anthropology BA
1	Art BA
1	Communication Studies BA
1	Community Health Sciences
1	General Business
1	General Studies BGS
1	Human Devel Fam Studies BS
1	Management - General BSBUS

1 Undeclared

## **WOMEN'S TRACK AND FIELD**

<b># of Students</b>	<b>Major</b>
1	Accounting
1	Art BA
5	Biology BS
5	Community Health Sciences
1	Criminal Justice - Pre-Law BA
1	English as a Second Language
1	Environmental Engrg BSENV
2	Environmental Science BS
1	General Business
2	General Studies BGS
4	Human Devel Fam Studies BS
2	International Affairs BA
1	International Business
1	Journalism BA
1	Mathematics - General BS
1	Metallurgical Engineering BS
1	Pre-Communication Studies
3	Pre-Journalism
4	Pre-Nursing
1	Psy - Research Specialization
2	Psychology - General Track
1	Public Health MPH
1	Sec Ed - English BA
1	Special Education
2	Undeclared
1	Undeclared Engineering
1	Veterinary Science BSVET
1	Wildlife Ecol Conservatn BS

## **MIXED RIFLE**

<b># of Students</b>	<b>Major</b>
1	Biochem and Molecular Biol BS
2	Civil Engineering BSCE
1	Community Health Sciences
2	Electrical Engineering BSEE
1	English - Literature BA
1	General Computer Sci and Engrg
1	History BA
1	Marketing BSBUS
1	Music Education BM
1	Neuroscience BS
1	Nutrition - Dietetics BS

1	Pre-Journalism
1	Sec Ed - Mathematics BA
1	Veterinary Science BSVET

### **SOCCER**

<b># of Students</b>	<b>Major</b>
1	Civil Engineering BSCE
1	General Business
1	Mechanical Engineering BSME
1	Pre-Nursing
1	Psychology - General Track
1	Speech Pathology BS
2	Biology BS
2	Communication Studies BA
2	General Studies BGS
2	Pre-Journalism
3	Marketing BSBUS
5	Community Health Sciences
5	Undeclared

### **SOFTBALL**

<b># of Students</b>	<b>Major</b>
1	Communication Studies BA
1	English as a Second Language
1	General Business
1	Neuroscience BS
1	Political Science BA
1	Pre-Nursing
1	Social Work BSW
2	Criminal Justice - General BA
2	Human Devel Fam Studies BS
3	General Studies BGS
4	Undeclared
5	Community Health Sciences

### **WOMEN'S SWIMMING**

<b># of Students</b>	<b>Major</b>
1	Anthropology BA
1	Biochem and Molecular Biol BS
1	English - Writing BA
1	Environmental Engrg BSENV
1	Environmental Science BS
1	General Business
1	General Studies BGS
1	International Business BSBUS
1	Marketing
1	Pre-Education: Secondary Ed

1	Pre-Journalism
1	Psychology - General Track
1	Sec Ed - Mathematics BA
1	Undeclared
2	Biology BS
2	Nutrition - Nutritional Sci BS
3	Community Health Sciences
3	Journalism BA

### **MEN'S TENNIS**

<b># of Students</b>	<b>Major</b>
2	Civil Engineering BSCE
1	Criminal Justice - General BA
1	Economics BS
1	General Business
1	General Computer Sci and Engrg
1	General Studies BGS
1	Management
1	Marketing BSBUS

### **WOMEN'S TENNIS**

<b># of Students</b>	<b>Major</b>
1	Criminal Justice - General BA
1	Environmental Science BS
1	Finance
1	Mathematics - General BS
2	General Business
2	International Business

### **VOLLEYBALL**

<b># of Students</b>	<b>Major</b>
2	Communication Studies BA
1	Criminal Justice - General BA
1	General Business
1	General Studies BGS
1	Management
1	Pre-Nursing
1	Psychology - General Track
1	Sociology BA
6	Undeclared

## INFORMATION ABOUT THE GRADUATION RATES REPORT

### Introduction.

This information sheet and the NCAA Graduation Rates Report have been prepared by the NCAA, based on data provided by the institution in compliance with NCAA Bylaw 18.4.2.2.1 (admissions and graduation-rate disclosure) and the federal Student Right-to-Know and Campus Security Act. The NCAA will distribute this sheet and the report to prospective student-athletes and parents.

The Graduation Rates Report provides information about two groups of students at the college or university identified at the top of the form: (1) all undergraduate students who were enrolled in a full-time program of studies for a degree and (2) student-athletes who received athletics aid from the college or university for any period of time during their entering year. [Note: Athletics aid is a grant, scholarship, tuition waiver or other assistance from a college or university that is awarded on the basis of a student's athletics ability.]

The report gives graduation information about students and student-athletes entering in 2006. This is the most recent graduating class for which the required six years of information is available. The report provides information about student-athletes who received athletics aid in one or more of eight sports categories: football, men's basketball, baseball, men's track/cross country, men's other sports and mixed sports, women's basketball, women's track/cross country and other women's sports. For each of those sports categories, it includes information in six self-reported racial or ethnic groups: American Indian or Alaska Native, Asian, Black or African-American, Hispanic or Latino, Native Hawaiian or Pacific Islander, nonresident alien, two or more races, White or non-Hispanic and unknown (not included in one of the other eight groups or not available) and the total (all nine groups combined).

A graduation rate (percent) is based on a comparison of the number (N) of students who entered a college or university and the number of those who graduated within six years. For example, if 100 students entered and 60 graduated within six years, the graduation rate is 60 percent. It is important to note that graduation rates are affected by a number of factors: some students may work part-time and need more than six years to graduate, some may leave school for a year or two to work or travel, some may transfer to another college or university or some may be dismissed for academic deficiencies.

Two different measures of graduation rates are presented in this report: (1) freshman-cohort rate and (2) Graduation Success Rate (GSR). The freshman-cohort rate indicates the percentage of freshmen who entered during a given academic year and graduated within six years. The GSR adds to the first-time freshmen, those students who entered midyear, as well as student-athletes who transferred into an institution and received athletics aid. In addition, the GSR will subtract students from the entering cohort who are considered allowable exclusions (i.e., those who either die or become permanently disabled, those who leave the school to join the armed forces, foreign services or attend a church mission), as well as those who left the institution prior to graduation, had athletics eligibility remaining and would have been academically eligible to compete had they returned to the institution.

### Graduation Rates Report.

1. Graduation Rates Data. The box at the top of the Graduation Rates Report provides freshman-cohort graduation rates for all students and for student-athletes who received athletics aid at this college or university. Additionally, this box provides GSR data for the population of student-athletes. [Note: Pursuant to the Student-Right-to-Know Act, anytime a cell containing cohort numbers includes only one or two students, the data in that cell and one other will be suppressed so that no individual can be identified.]

a. All Students. This section provides the freshman-cohort graduation rates for all full-time, degree-seeking students by race or ethnic group. It shows the rate for men who entered as freshmen in 2006-07, and the four-class average, which includes those who entered as freshmen 2003-04, 2004-05, 2005-06 and 2006-07. The same rates are provided for women. The total for 2006-07 is the rate for men and women combined and the four-class average is for all students who entered in 2003-04, 2004-05, 2005-06 and 2006-07.

b. Student-Athletes. This section provides the freshman-cohort graduation rates and also the GSR for student-athletes in each race and ethnic group who received athletics aid. Information is provided for men and women separately and for all student-athletes.

c. Student-Athletes by Sports Categories. This section provides the identified graduation rates as in 1-b for each of the eight sports categories. (The small letters indicate the value of N.)

## 2. Undergraduate Enrollment Data.

a. All Students. This section indicates the number of full-time, undergraduate, degree-seeking students enrolled for the 2012 fall term and the number of men and women in each racial or ethnic group.

b. Student-Athletes. This section identifies how many student-athletes were enrolled and received athletics aid for the 2012 fall term and the number of men and women in each racial or ethnic group.

c. Student-Athletes by Sports Categories. This section provides the enrollment data as identified in 3-b for each of the eight sports categories.

# University of Nevada

FRESHMAN-COHORT GRADUATION RATES	All Students	Student-Athletes #
2006-07 Graduation Rate	55%	58%
Four-Class Average	50%	64%
Student-Athlete Graduation Success Rate		77%

## 1. Graduation-Rates Data

### a. All Students

	Freshman Rate Men				Freshman Rate Women				Freshman Rate Total			
	2006-07		4-Class		2006-07		4-Class		2006-07		4-Class	
	N	%	N	%	N	%	N	%	N	%	N	%
Am. Ind./AN	13	15	53	28	13	31	42	43	26	23	95	35
Asian	87	63	284	56	88	60	332	55	175	62	616	55
Black	34	35	105	41	54	41	155	43	88	39	260	42
Hispanic	81	38	274	40	105	50	415	48	186	45	689	45
Nat. Haw./PI	0	-	0	-	0	-	0	-	0	-	0	-
N-R Alien	8	63	37	54	17	59	77	62	25	60	114	60
Two or More	0	-	0	-	0	-	0	-	0	-	0	-
Unknown	119	43	484	40	141	54	541	53	260	49	1025	47
White	682	55	2741	48	853	59	3355	55	1535	57	6096	52
Total	1024	52	3978	46	1271	57	4917	54	2295	55	8895	50

### b. Student-Athletes

	Freshman Rate Men						Freshman Rate Women						Freshman Rate Total						
	2006-07		4-Class		GSR		2006-07		4-Class		GSR		2006-07		4-Class		GSR		
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	
Am. Ind./AN	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***
Asian	***	***	8	75	8	75	***	***	3	67	4	75	***	***	11	73	12	75	
Black	11	27	26	58	34	68	4	75	20	80	20	90	15	40	46	67	54	76	
Hispanic	***	***	4	50	9	78	***	***	5	100	8	88	***	***	9	78	17	82	
Nat. Haw./PI	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	0	-	
N-R Alien	***	***	9	67	9	78	***	***	8	63	16	75	***	***	17	65	25	76	
Two or More	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	***	
Unknown	***	***	10	30	10	60	***	***	14	64	9	100	***	***	24	50	19	79	
White	8	63	50	50	71	70	23	70	105	70	83	84	31	68	155	63	154	78	
Total	29	52	111	53	146	70	33	64	156	71	142	85	62	58	267	64	288	77	

# Graduation Success Rate Report

**2003 - 2006 Cohorts: University of Nevada**

<b>Men's Sports</b>			<b>Women's Sports</b>		
<b>Sport</b>	<b>GSR</b>	<b>Fed Rate</b>	<b>Sport</b>	<b>GSR</b>	<b>Fed Rate</b>
Baseball	58	26	Basketball	92	64
Basketball	60	33	Bowling	-	-
CC/Track	-	-	CC/Track	86	69
Fencing	-	-	Crew/Rowing	-	-
Football	71	62	Fencing	-	-
Golf	64	64	Field Hockey	-	-
Gymnastics	-	-	Golf	100	75
Ice Hockey	-	-	Gymnastics	-	-
Lacrosse	-	-	W. Ice Hockey	-	-
Mixed Rifle	100	100	Lacrosse	-	-
Skiing	-	60	Skiing	-	86
Soccer	-	-	Soccer	86	68
Swimming	-	-	Softball	87	87
Tennis	86	60	Swimming	76	68
Volleyball	-	-	Tennis	100	33
Water Polo	-	-	Volleyball	82	62
Wrestling	-	-	Water Polo	-	-
Men's Non-NCAA Sponsor. Sports	-	-	Women's Non-NCAA Sponsor. Sports	-	-



c. Student-Athletes by Sport Category

<b>Baseball</b>				<b>Men's Basketball</b>			<b>Men's CC/Track</b>				
Freshman Rate				Freshman Rate			Freshman Rate				
	2006-07	4-Class	GSR		2006-07	4-Class	GSR		2006-07	4-Class	GSR
Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-				
Asian	-	-	-	Asian	-	-	-				
Black	-	-	-	Black	0-a	29-b	67-b				
Hispanic	-	0-a	80-a	Hispanic	-	-	-				
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-				
N-R Alien	-	-	-	N-R Alien	-	-	0-a				
Two or More	-	-	0-a	Two or More	-	-	-				
Unknown	50-a	25-b	75-a	Unknown	-	-	-				
White	50-a	31-c	55-d	White	-	50-a	67-a				
Total	50-a	26-e	58-e	Total	0-a	33-b	60-b				
<b>Football</b>				<b>Men's Other</b>							
Freshman Rate				Freshman Rate							
	2006-07	4-Class	GSR		2006-07	4-Class	GSR				
Am. Ind./AN	0-a	0-a	-	Am. Ind./AN	-	-	-				
Asian	-	75-a	75-a	Asian	100-a	75-a	75-a				
Black	43-b	68-d	68-e	Black	-	-	-				
Hispanic	100-a	100-a	75-a	Hispanic	-	-	-				
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-				
N-R Alien	-	100-a	100-a	N-R Alien	100-a	63-b	83-b				
Two or More	67-a	67-a	100-a	Two or More	-	-	-				
Unknown	-	50-a	50-b	Unknown	-	-	-				
White	0-a	52-e	73-e	White	100-a	67-c	83-d				
Total	43-c	62-e	71-e	Total	100-b	67-e	82-e				
<b>Women's Basketball</b>				<b>Women's CC/Track</b>			<b>Women's Other</b>				
Freshman Rate				Freshman Rate			Freshman Rate				
	2006-07	4-Class	GSR		2006-07	4-Class	GSR		2006-07	4-Class	GSR
Am. Ind./AN	-	-	100-a	Am. Ind./AN	-	-	-	Am. Ind./AN	-	-	-
Asian	-	-	-	Asian	-	-	-	Asian	0-a	67-a	75-a
Black	-	60-a	80-a	Black	75-a	92-c	100-c	Black	-	67-a	67-a
Hispanic	-	-	100-a	Hispanic	-	100-a	100-a	Hispanic	100-a	100-a	83-b
Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-	Nat. Haw./PI	-	-	-
N-R Alien	-	-	-	N-R Alien	-	100-a	100-a	N-R Alien	-	57-b	69-c
Two or More	-	-	-	Two or More	100-a	100-a	100-a	Two or More	-	-	-
Unknown	-	100-a	100-a	Unknown	0-a	33-a	100-a	Unknown	0-a	70-b	100-b
White	100-a	63-b	100-a	White	17-b	57-e	71-d	White	87-c	74-e	87-e
Total	100-a	64-c	92-c	Total	42-c	69-e	86-e	Total	74-d	73-e	84-e

Values for N (a. 1-5, b. 6-10, c. 11-15, d. 16-20, e. greater than 20)

## 2. Undergraduate-Enrollment Data (All full-time students enrolled Fall 2012-13)

a. All Students	Men	Women	Total	b. Student-athletes	Men	Women	Total
	N	N	N		N	N	N
Am. Ind./AN	44	66	110	Am. Ind./AN	1	1	2
Asian	384	436	820	Asian	1	6	7
Black	224	267	491	Black	46	17	63
Hispanic	782	1025	1807	Hispanic	8	9	17
Nat. Haw./PI	25	29	54	Nat. Haw./PI	4	1	5
N-R Alien	81	78	159	N-R Alien	12	21	33
Two or More	302	421	723	Two or More	10	8	18
Unknown	38	26	64	Unknown	2	10	12
White	3980	4207	8187	White	59	79	138
Total	5860	6555	12415	Total	143	152	295

### c. Student-Athletes # By Sports Category

Men	Basketball	Baseball	CC/Track	Football	Other
Am. Ind./AN	0	1	0	0	0
Asian	0	0	0	0	1
Black	8	1	0	37	0
Hispanic	1	2	0	5	0
Nat. Haw./PI	0	0	0	4	0
N-R Alien	3	0	0	0	9
Two or More	0	4	0	6	0
Unknown	0	0	0	2	0
White	1	19	0	31	8
Total	13	27	0	85	18

Women	Basketball	CC/Track	Other
Am. Ind./AN	0	0	1
Asian	0	0	6
Black	2	10	5
Hispanic	0	1	8
Nat. Haw./PI	0	0	1
N-R Alien	0	2	19
Two or More	1	2	5
Unknown	8	1	1
White	1	14	64
Total	12	30	110

#Only student-athletes receiving athletics aid are included in this report.



**Financial Subsidies Report**

**9. b. ii Information regarding the extent to which, financial or otherwise, each institution subsidizes intercollegiate athletics with gifts, sponsorships, allocated revenues, or dedicated student fees and how the growth of these sources compares with the overall growth of institutional expenditures.**

The university dedicates \$4.15 per student credit hour to Intercollegiate Athletics from the student registration fee. The annual revenue from the per-credit hour allocation is approximately \$1.5M. The university also allocates \$843,000 from student fees (Activities and Programs) to fund Intercollegiate Athletics.

The table below compares institutional subsidy (dedicated student fees and allocated revenues) for Intercollegiate Athletics to the change in total institutional expenditures. As is apparent from the table, the student fee and allocated revenue institutional subsidy for ICA slightly exceeded the overall increase in total institutional expenditures. Much of the increase in the subsidy relates to increases in dedicated per credit hour student fees which are a function of strong enrollment growth experienced at the university over the past four years. In addition, the university provided an additional \$100,000 in Fiscal 2012 and 2013 as match to an annual \$200,000 private gift. Neither the gift nor the institutional match continue into Fiscal 2014.

**UNIVERSITY OF NEVADA, RENO  
COMPARISON OF ICA STUDENT FEE AND ALLOCATED REVENUES SUBSIDY  
TO INSTITUTIONAL EXPENDITURES  
FISCAL 2010 – 2013**

<b>Fiscal Year</b>	<b>ICA Institutional Subsidy (Student Fees/ Allocated Rev)</b>	<b>Percent Change</b>	<b>Total Institutional Expenditures</b>	<b>Percent Change</b>
<b>2010</b>	<b>\$2,333,115</b>	<b>n/a</b>	<b>\$517,013,048</b>	<b>n/a</b>
<b>2011</b>	<b>2,192,165</b>	<b>-6.0</b>	<b>534,024,709</b>	<b>3.3%</b>
<b>2012</b>	<b>2,424,565</b>	<b>10.6</b>	<b>519,577,135</b>	<b>-2.7%</b>
<b>2013</b>	<b>2,492,480</b>	<b>2.8</b>	<b>549,000,194</b>	<b>5.7%</b>
<b>TOTAL</b>		<b>6.8</b>		<b>6.2%</b>

In addition to the dedicated student fee revenue, the university waives ICA scholarship expenses in excess of the state-funded appropriation. For Fiscal 2013 the amount of waived scholarship cost totaled \$1,202,630. The state's direct appropriation for athletic scholarships was funded in Fiscal 2006 but has not been incremented for fee increases since Fiscal 2007. The waived amount results in less revenue to university accounts, however, had these costs not been waived, the impact would have significantly increased the Intercollegiate Athletics operating deficit. In recent years, the university has also absorbed costs formerly paid by athletics for rental of Lawlor Events Center and services provided by the Parking department for Intercollegiate Athletic events. In total, these service-related costs amount to approximately \$375,000 annually.



**NSHE Internal Audit Report  
(replaced with Grant Thornton audit)**

9. b. iii If conducted during the previous year, a periodic risk assessment, as determined by the Board, conducted by the NSHE internal audit staff to evaluate the internal controls of the respective athletics departments;

**-Attachment-**

Report of Independent Accountants on Applying  
Agreed-Upon Procedures

**University of Nevada, Reno**  
**Department of Intercollegiate Athletics**

Year ended June 30, 2012



## Report of Independent Accountants on Applying Agreed-Upon Procedures

Dr. Marc Johnson, President  
University of Nevada, Reno

We have performed the procedures enumerated below, which were agreed to by the Nevada System of Higher Education, solely to assist the University of Nevada, Reno (the “University”) Intercollegiate Athletics Department (the “Department”) in complying with NCAA Bylaw 3.2.4.16 for the year ended June 30, 2012. The University is responsible for the Statement of Revenues and Expenditures and associated notes (the “Statement”) and the Statement’s compliance with NCAA Bylaw 3.2.4.16. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of the management of the University. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose.

The procedures we performed and our findings are as follows.

### General Revenue Procedures

1. We compared and agreed revenue by category reported on the Statement to supporting schedules provided by the Department. We noted the exceptions listed below.
  - We noted approximately \$240,000 in endowment and investment income was miscategorized as other revenues. Both revenue categories were subsequently corrected by the Department and are properly reflected in the Statement.
  - We noted approximately \$746,000 in revenues were miscategorized as broadcast revenues. Broadcast and royalty revenues were subsequently corrected by the Department and are properly reflected in the Statement.
2. We compared and agreed a sample of three (3) operating revenue receipts for each of the revenue categories from the Statement to relevant supporting documentation, as applicable, including check copies and deposit slips. We noted the exceptions listed below.
  - We noted approximately \$26,000 in ticket sales revenues for events that occurred in 2011 were incorrectly included in 2012 revenues. Ticket sales were subsequently corrected by the Department and are properly reflected in the Statement.

- We noted a contribution of approximately \$299,102 that was made in 2011 was improperly included in 2012 contributions. The Department did not correct 2012 contributions in the Statement.
3. We performed an analysis comparing revenue by category, as reported on the Statement, to the prior period amounts and to budget estimates for the same period and obtained explanations for all variances in excess of \$250,000 and 10%.

#### **Ticket Sales**

4. We agreed total tickets sold and complimentary tickets provided and the related attendance figures for a sample of three (3) games held during the year ended June 30, 2012 to the related revenue reported by the Department on the Statement and to the financial report for the game without exception.
5. We recalculated ticket sales totals for the three (3) selected events without exception.

#### **Student Fees**

6. We compared and agreed student fees reported by the Department in the Statement for the reporting period to student enrollments during the same reporting period. Student fees as reported in the Statement differed from our estimated student fees by approximately \$54,000. The fees as reported in the Statement are higher than our estimate.
7. We obtained and documented an understanding of the University's methodology for allocating student fees to intercollegiate athletics programs.
8. We recalculated student fees. As noted above, student fees as reported in the Statement differed from our estimated student fees by approximately \$54,000. The fees as reported in the Statement are higher than our estimate.

#### **Game Guarantees**

9. We selected three (3) settlement reports for away games during the year ended June 30, 2012 and agreed the associated receipts to the general ledger without exception.
10. We selected three (3) contractual agreements pertaining to revenues derived from guaranteed contests during the year ended June 30, 2012 and agreed each selection to the University's general ledger without exception.
11. We obtained a listing of all away games for which game guarantees were received and recalculated game guarantee revenues and agreed it to the University's general ledger without exception.

#### **Contributions**

12. We obtained relevant supporting documentation for each contribution, including donor correspondence and cash receipts and compared the contributions amount in this documentation to the Statement for contributions of moneys, goods or services received directly by an intercollegiate athletics program from any affiliated or outside organization, agency or group of individuals (two or more) that constitutes 10 percent or more of all contributions received for intercollegiate athletics during the year ended June 30, 2012. We noted only one contributor that exceeded 10%; the University of Nevada, Reno Foundation. We confirmed the contributions with the donor without exception.

#### **Compensation and Benefits Provided by a Third-Party**

13. We did not obtain a summary of revenues from affiliated and outside organizations (the "Summary") during the year ended June 30, 2012 because there was no compensation or benefits provided by a third-party during the year according to the Department.

14. We did not select a sample of three (3) transactions from the Summary and compare and agree each transaction to supporting documentation and the University's general ledger because there was no compensation or benefits provided by a third-party during the year according to the Department.

#### **Direct State or Other Governmental Support**

15. We compared direct state or other governmental support recorded by the Department during the year ended June 30, 2012 with state appropriations, institutional authorizations and/or other corroborative supporting documentation noting the amount per the 2012 state appropriated budget was \$3,662,852 and the amount recorded by the Department was \$3,574,730.
16. We recalculated direct state or other governmental support totals without exception.

#### **Direct Institutional Support**

17. We agreed the direct institutional support recorded by the Department on the Statement for the year ended June 30, 2012 with corresponding analysis prepared by the Department for the same periods, sourced from the University's general ledger without exception.
18. We recalculated direct institutional, state or other governmental support totals presented in the Statement without exception.

#### **Indirect Facilities and Administrative Support**

19. We agreed the indirect institutional support recorded by the Department on the Statement for the year ended June 30, 2012 with a corresponding analysis for the same periods, sourced from the University's general ledger without exception.
20. We recalculated indirect facilities and administrative support totals without exception.

#### **NCAA/Conference Distributions Including All Tournament Revenues**

21. We obtained detail of all agreements related to the Department's participation in revenues from tournaments during the year ended June 30, 2012.
22. We compared and agreed the NCAA tournament and conference revenues to the University's general ledger and the Statement without exception.
23. We recalculated NCAA tournament and conference distributions totals without exception.

#### **Broadcast, Television, Radio and Internet Rights**

24. We obtained and inspected only two agreements related to the Department's participation in revenues from broadcast, television, radio and internet rights to gain an understanding of the relevant terms and conditions as the Department indicated it only had two agreements during the year. We noted a signing bonus in the radio broadcasting agreement that was not properly allocated over the term of the contract, resulting in broadcast revenues being understated by \$15,625 in the Statement.
25. We compared and agreed broadcast, television, radio and internet rights revenues to the University's general ledger and the Statement without exception.
26. We recalculated broadcast, television, radio and internet rights totals.

#### **Programs Sales, Concessions, Novelty Sales and Parking**

27. We compared and agreed only two revenue receipts for program sales, concessions, novelty sales and parking to relevant supporting documentation, as applicable, including check copies and deposit slips without exception. We only tested two revenue receipts, as the Department only had two receipts during the year ended June 30, 2012.



**Royalties, Licensing, Advertisements, and Sponsorships**

28. We obtained and inspected a sample of three (3) agreements related to the Department's participation in revenues from royalties, licensing, advertisements, and sponsorships for the year ended June 30, 2012.
29. We compared and agreed the royalty, sponsorship, and advertisement revenues to the University's general ledger without exception.
30. We recalculated royalty, sponsorship and advertisement revenue totals without exception.

**Sports Camp Revenues**

31. We did not inspect a sample of three (3) sports-camp contracts between the Department and persons conducting institutional sports camps or clinics during the year ended June 30, 2012 to obtain an understanding of the University's methodology for recording revenues from sports-camps because the Athletics Department has indicated that it does not conduct sports-camps. The University conducts sports-camps through the continuing education department which records the revenues and expenses on its own records. Since the Department is not involved with the sports-camps, no revenues are reported on the Statement.
32. We did not obtain schedules of camp participants or perform procedures because the Department does not conduct sports-camps for the reasons noted in procedure #31 above.
33. We did not select a sample of three (3) individual camp participant cash receipts from the schedule of sports-camp participants and agree each selection to the University's general ledger and/or the Statement because the Department does not conduct sports-camps for the reasons noted in procedure #31 above.
34. We did not recalculate sports-camp revenues because the Department does not conduct sports-camps for the reasons noted in procedure #31 above.

**Endowment and Investment Income**

35. We obtained and inspected a sample of three (3) endowment agreements to gain an understanding of the relevant terms and conditions. We compared and agreed the classification and use of the endowment and investment income reported in the Statement during the reporting period to the uses of income defined within the related endowment agreements without exception.
36. We recalculated endowment and investment income without exception.

**Other Revenues**

37. We compared and agreed three (3) revenue receipts for other revenues to relevant supporting documentation, as applicable, including check copies and deposit slips without exception.
38. We recalculated other revenues totals without exception.

**General Expense Procedures**

1. We compared and agreed expenses by category reported on the Statement to supporting schedules provided by the Department and found them to be in agreement, except as noted below.
  - We noted a \$45,000 expense that was double counted in the Equipment/Team Travel categories and Spirit Groups. The error was subsequently corrected by the Department in the Statement.
  - We noted approximately \$770,000 of payroll expenses relating to 2012 were not included in coaching or support staff expenses. Coaching and support staff expenses were subsequently corrected by the Department in the Statement.
2. We compared and agreed a sample of three (3) operating expenses for each of the expense categories from the Statement and obtained relevant supporting documentation, including invoices and check copies and found them to be in agreement.

3. We performed an analysis comparing expenses by category, as reported on the Statement, to the prior period amounts and to budget estimates for the same period and obtained explanations for all variances in excess of \$250,000 and 10%.

#### **Athletic Student Aid**

4. We selected a sample of three (3) students from the listing of institutional student aid recipients for the year ended June 30, 2012.
5. We obtained individual student-account detail for each selection and compared total aid allocated from the related aid award letter to the respective student's account without exception.
6. We recalculated totals for athletic student aid presented in the Statement without exception.

#### **Guarantees**

7. We did not obtain and inspect a sample of three (3) away-game settlement reports received by the University during the year ended June 30, 2012 and agree the related expenses to the University's general ledger, because according to the Department, they did not enter into any contractual agreements during the year with another team stipulating that away-game settlement reports would be paid by the University.
8. We obtained and inspected a sample of three (3) contractual agreements pertaining to expenses recorded by the Department from guaranteed contests during the year ended June 30, 2012.
9. We compared and agreed related amounts expensed by the Department for contest guarantees to the University's general ledger without exception.
10. We recalculated totals for game guarantees expense without exception.

#### **Coaching Salaries, Benefits, and Bonuses Paid by the University and Related Entities**

11. We obtained a schedule of all compensation paid to coaches during the year ended June 30, 2012.
12. We agreed the schedule provided to the general ledger and the Statement without exception.
13. We selected three (3) personnel from the listing provided and agreed total compensation to the related payroll records and registers without exception.
14. We obtained and inspected the related W-2's and reconciled them to the payroll register for the related coaching salaries, benefits and bonuses paid by the Department and related entities expense recorded by the Department in the Statement during the reporting period.
15. We recalculated total coaching salaries, benefits and bonuses paid without exception.

#### **Coaching Other Compensation and Benefits Paid by a Third-Party**

16. We did not obtain and inspect a listing of coaches employed by third parties during the year ended June 30, 2012 because there were no coaches employed by third parties during the year according to the Department.
17. We did not compare and agree the financial terms and conditions of each selection to the related coaching other compensation and benefits paid by a third-party and recorded by the University in the Statement during the year ended June 30, 2012 because there were no coaches employed by third parties during the year according to the Department.
18. We did not obtain and inspect W2s, 1099s, etc. for each selection because there were no coaches employed by third parties during the year according to the Department.
19. We did not compare and agree related W2s, 1099s, etc. to the coaching other compensation and benefits paid by a third-party expenses recorded by the Department in the Statement during the year ended June 30, 2012 because there were no coaches employed by third parties during the year according to the Department.
20. We did not recalculate Coaching Other Compensation and Benefits Paid by a Third-Party totals because there were no coaches employed by third parties during the year according to the Department.

**Support Staff/Administrative Salaries, Benefits, and Bonuses Paid by the University and Related Entities**

21. We obtained a schedule of all compensation paid to athletics-related administrative personnel during the year ended June 30, 2012.
22. We agreed the schedule provided to the University's general ledger and the Statement without exception.
23. We selected three (3) personnel from the listing provided and agreed total compensation to the related payroll records and registers without exception.
24. We obtained and inspected the related W-2's and reconciled them to the payroll register for the related support staff/administrative salaries, benefits and bonuses paid by the Department and related entities expense recorded by the Department in the Statement during the reporting period.
25. We recalculated support staff/administrative salaries, benefits and bonuses paid by the University and related entities reported in the Statement without exception.

**Support Staff/Administrative Other Compensation and Benefits Paid by a Third-Party**

26. We did not select a sample of three (3) support staff/administrative personnel employed by the third parties during the year ended June 30, 2012 because there were no support staffs/administrative personnel employed by third parties during the year according to the Department.
27. We did not obtain and inspect W2s, 1099s, etc. for each selection because there were no support staff/administrative personnel employed by third parties during the year according to the Department.
28. We did not compare and agree related W2s, 1099s, etc. to the related support staff/administrative other compensation and benefits expense recorded by the University in the Statement during the year ended June 30, 2012 because there were no support staffs/administrative personnel employed by third parties during the year according to the Department.
29. We did not recalculate Support Staff/Administrative Other Compensation and Benefits Paid by a Third-Party totals because there were no support staff/administrative personnel employed by third parties during the year according to the Department.

**Severance Payments**

30. We did not select a sample of three (3) employees receiving severance payments by the Department during the year ended June 30, 2012 and agree each severance payment to the related termination letter or employment contract because there were no employees receiving severance payments during the year according to the Department.
31. We did not recalculate severance payment totals because there were no employees receiving severance payments during the year according to the Department.

**Recruiting**

32. We obtained and inspected the Department's recruiting expense policies.
33. We selected a sample of three (3) recruiting expense reimbursement forms and determined that such expenses were in compliance with established allowable expense guidelines without exception.

**Team Travel**

34. We obtained and inspected the Department's team travel expense policies.
35. We selected a sample of three (3) travel expense reimbursement forms and determined that such expenses were in compliance with established allowable expense guidelines without exception.

**Equipment, Uniforms and Supplies**

36. We compared and agreed a sample of three (3) equipment, uniforms and supplies expenses, comprising the totals reported on the Statement and obtained relevant supporting documentation, including invoices and check copies without exception.

**Game Expenses**

37. We compared and agreed a sample of three (3) game expenses, comprising the totals reported on the Statement and obtained relevant supporting documentation, including invoices and check copies without exception.

**Fundraising, Marketing and Promotion**

38. We compared and agreed a sample of three (3) fundraising, marketing and promotion expenses, comprising the totals reported on the Statement and obtained relevant supporting documentation, including invoices and check copies without exception.

**Sports Camp Expenses**

39. We did not compare and agree a sample of three (3) sports-camp expenses, comprising the totals reported on the Statement and obtain relevant supporting documentation, including invoices and check copies because the Department has indicated that it does not conduct sports-camps. The University conducts sports-camps through the continuing education department which records the revenues and expenses on its own records. Since the Department is not involved with the sports-camps, no expenses are reported on the Statement.

**Direct Facilities, Maintenance and Rental**

40. We did not compare and agree a sample of three (3) direct facilities, maintenance and rental expenses, comprising the totals reported on the Statement or obtain relevant supporting documentation, as the department did not incur any direct facilities, maintenance and rental expenses for the year ended June 30, 2012.

**Spirit Groups**

41. We compared and agreed a sample of three (3) spirit group expenses, comprising the totals reported on the Statement and obtained relevant supporting documentation, including invoices and check copies. We did not note any exceptions.

**Indirect Facilities and Administrative Support**

42. We obtained and documented an understanding of the University's methodology for allocating indirect facilities support.
43. We summed the indirect facilities support and the indirect institutional support totals reported by the Department in the Statement.
44. We compared and agreed indirect facilities and administrative support reported by the Department in the Statement to the corresponding revenue category reported by the Department in the Statement noting no differences.
45. We recalculated the indirect facilities support and indirect University support totals reported by the Department in the Statement without exception.

**Medical Expenses and Medical Insurance**

46. We compared and agreed a sample of three (3) medical expenses and medical insurance expenses, comprising the totals reported on the Statement and obtained relevant supporting documentation, including invoices and check copies without exception.

**Membership and Dues**

47. We compared and agreed a sample of three (3) membership and dues expenses, comprising the totals reported on the Statement and obtained relevant supporting documentation, including invoices and check copies without exception.

**Other Operating Expenses**

48. We compared and agreed a sample of three (3) other operating expenses, comprising the totals reported on the Statement and obtained relevant supporting documentation, including invoices and check copies without exception.

**Outside Organizations**

49. A schedule of expenditures paid by an outside organization on behalf of the University was not obtained as the Department reported no expenditures were paid by an outside organization on behalf of the Department.

**Capital Assets**

1. We obtained a schedule of total capitalized assets to athletics facilities during the year ended June 30, 2012 summarized by asset type.
2. We obtained a description of the University's policies and procedures for acquiring, approving, depreciating and disposing of athletics-related assets.
3. We agreed the schedule of total athletics-related asset additions to the University's general ledger for the period without exception.
4. We obtained relevant supporting documentation, including purchase requisitions, orders, invoices, and check copies, as applicable, provided by the University's Controllers Office for a sample of three (3) athletics-related asset additions during the year ended June 30, 2012.

**Outstanding Debt**

1. We obtained repayment schedules for all debt maintained by the University on behalf of the Department during the year ended June 30, 2012.
2. We recalculated annual maturities (consisting of principal and interest) provided in the schedules obtained.
3. We agreed the total annual maturities to supporting documentation and the University's general ledger without exception.

We were not engaged to and did not conduct an audit or a review, the objective of which is the expression of opinion or limited assurance on the compliance of the accompanying Statement of Revenues and Expenditures of the Department of Intercollegiate Athletics of the University of Nevada, Reno. Accordingly, we do not express such an opinion or limited assurance. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the management of the University, management of the Nevada System of Higher Education, and authorized representatives of the NCAA and is not intended to be and should not be used by anyone other than these specified parties.

*Grant Thornton LLP*

Reno, Nevada  
January 9, 2013

**University of Nevada, Reno**  
**Department of Intercollegiate Athletics**

**STATEMENT OF REVENUES AND EXPENDITURES**

**For the year ended June 30, 2012**

<b>Other Revenues</b>	<b>Football</b>	<b>Men's Basketball</b>	<b>Women's Basketball</b>	<b>Other Sports</b>	<b>Non Program Specific</b>	<b>Total</b>
Ticket sales	\$ 1,805,045	\$ 1,859,505	\$ 23,500	\$ 56,785	\$ -	\$ 3,744,835
Student fees	-	-	-	-	2,424,570	2,424,570
Guarantees	1,050,000	30,000	6,000	13,500	-	1,099,500
Contributions	513,079	1,429,895	262,523	315,020	1,160,994	3,681,511
Direct state or other government support	163,340	-	-	445,030	2,966,360	3,574,730
Direct institutional support	-	-	-	-	970,145	970,145
Indirect facilities and administrative support	-	-	-	-	1,880,140	1,880,140
NCAA/conference distributions including all tournament revenues	323,595	278,610	-	-	815,745	1,417,950
Broadcast, television, radio, and internet rights	187,500	187,500	-	-	-	375,000
Program sales, concessions, novelty sales and parking	234,970	-	-	-	8,180	243,150
Royalties, licensing, advertisements and sponsorships	-	-	-	-	1,086,085	1,086,085
Endowment and investment income	-	-	-	-	240,000	240,000
Other operating revenues	396,980	68,315	20,065	59,220	249,730	794,310
<b>Total operating revenues</b>	<b>4,674,509</b>	<b>3,853,825</b>	<b>312,088</b>	<b>889,555</b>	<b>11,801,949</b>	<b>21,531,926</b>
<b>Operating Expenditures</b>						
Athletic student aid	1,898,461	314,330	251,848	2,660,206	139,321	5,264,166
Guarantees	100,000	218,250	36,345	3,439	-	358,034
Coaching salaries, benefits and bonuses paid by the University and related entities	1,809,565	714,485	444,656	1,444,731	-	4,413,437
Support staff/administrative salaries, benefits and bonuses paid by the University and related entities	-	-	-	-	3,353,283	3,353,283
Recruiting	129,119	87,325	51,248	89,374	1,152	358,218
Team travel	767,502	294,443	228,073	916,993	18,732	2,225,743
Equipment, uniforms and supplies	267,170	43,143	50,786	181,281	135,214	677,594
Game expenses	447,638	147,268	95,632	126,073	-	816,611
Fund raising, marketing and promotion	-	-	-	475	444,558	445,033
Direct facilities, maintenance and rental	-	-	-	-	-	-
Indirect facilities and administrative support	-	-	-	-	1,887,760	1,887,760
Spirit groups	-	-	-	-	106,181	106,181
Medical expenses and medical insurance	-	-	-	-	333,671	333,671
Memberships and dues	-	375	1,242	4,280	602,519	608,416
Other operating expenses	154,401	69,322	53,817	131,440	920,382	1,329,362
<b>Total Operating Expenditures</b>	<b>5,573,856</b>	<b>1,888,941</b>	<b>1,213,647</b>	<b>5,558,292</b>	<b>7,942,773</b>	<b>22,177,509</b>
<b>Excess (Deficiencies) of Revenues Over (Under) Expenditures</b>	<b>\$ (899,347)</b>	<b>\$ 1,964,884</b>	<b>\$ (901,559)</b>	<b>\$ (4,668,737)</b>	<b>\$ 3,859,176</b>	<b>\$ (645,583)</b>

See accompanying notes.

University of Nevada, Reno  
Department of Intercollegiate Athletics

NOTES TO STATEMENT OF REVENUES AND EXPENDITURES

Year ended June 30, 2012  
(Unaudited)

**NOTE A - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

The accompanying statement of revenues and expenditures has been prepared on the accrual basis of accounting.

**NOTE B - CONTRIBUTIONS**

Contributions received by the Department that constituted more than 10% of all contributions were made by the University of Nevada, Reno Foundation in the amount of \$3,511,907. There were no in-kind gifts or payments made on behalf of the Department by the Foundation.

**NOTE C - CAPITALIZATION OF ASSETS**

Capital assets are defined as assets with an initial unit cost of \$5,000 or more and an estimated useful life in excess of one year. Such assets are stated at cost at the date of acquisition or fair market value at date of donation in the case of gifts. The costs of normal maintenance and repairs that do not add to the value of the asset or materially extend the assets' lives are not capitalized. Major outlays for capital assets and improvements are capitalized as projects are constructed. Capital Assets are reported at the University level and not by department. Therefore, depreciation is reported as "Indirect Facilities and Administrative Support" in the statement of revenues and expenditures. Depreciation is computed on a straight-line basis over the following estimated useful lives:

<u>Assets</u>	<u>Years of Useful Life</u>
Buildings and improvements	6 to 40
Land improvements	10 to 15
Machinery and equipment	3 to 18

**University of Nevada, Reno  
Department of Intercollegiate Athletics**

**NOTES TO STATEMENT OF REVENUES AND EXPENDITURES - CONTINUED**

**Year ended June 30, 2012  
(Unaudited)**

**NOTE D - DEPARTMENTAL RELATED DEBT SERVICE**

Long-term debt of the Department at June 30, 2012, was comprised of three bonds and totaled \$2.9 million. The interest rates on the notes ranged from 3.00% to 5.00% and principal and interest paid in fiscal year 2012 totaled \$539,564 and \$117,332, respectively. Debt service payments are included in the accompanying Statement of Revenues and Expenditures. The notes will be retired in fiscal years 2015, 2017 and 2020.

The scheduled maturities of long-term debt of the Department for the years ending June 30 are as follows:

	Principal	Interest
2013	\$ 100,000	\$138,558
2014	405,000	107,788
2015	425,000	94,469
2016	420,000	80,650
2017	435,000	61,425
Thereafter	1,080,000	80,775
	\$2,865,000	\$563,665





## Capital Expenditure Report

**9. b. iv Intercollegiate athletic related capital expenditures from all sources, including but not limited to, gifts, state Capital Improvement Projects and bonds, and the level of athletic department reserves.**

In FY 12-13 The University of Nevada, Reno Department of Athletics saw the following Capital Improvements:

- Replacement of select office furniture in Legacy Hall. This would be the first time we have replaced desks and case goods since the building opened in 2000.
- Replacement of select areas of carpeting in Legacy Hall. This would be the first time we have replaced the floor covering since the building opened in 2000.
- Player's Lounge in the Football (Cashell) Fieldhouse. This was part of the transition associated with a change in the football coaching staff.



2013-14 NCAA®

# DIVISION I **MANUAL**

EFFECTIVE  
AUGUST 1, 2013



THE NATIONAL COLLEGIATE ATHLETIC ASSOCIATION  
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*This publication incorporates final legislative actions taken during the 2012-13 legislative cycle. Legislation adopted after August 1, 2012, interpretations incorporated by the Legislative Review/Interpretations Committee, modifications of wording and editorial revisions are set off by a gray background and also include an adoption or revision date. Readers seeking the legislative history of a given provision (earlier dates of adoption or revision) should consult the appropriate paragraphs in the 1988-89 NCAA Manual or the NCAA academic and membership affairs staff.*

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A "bleed tab" on each page helps the user turn to the desired article quickly.

**GENERAL PRINCIPLES**  
General principles that are considered of particular importance in helping the user understand the rationale for the detailed regulations that follow are presented at the beginning of appropriate articles.

**DEFINITIONS AND APPLICATIONS**  
Following the general principles in most articles is a section in which definitions and applications are provided for a number of the more important words or terms used in that article.

**DECIMAL NUMBERING WITH TOPIC HEADINGS**  
The decimal numbering system provides efficiency and flexibility. Section headings, to at least the fourth subsection level, and for further subsections where appropriate, assist the user in identifying the section content, thus facilitating ready access to pertinent regulations. This system also facilitates a "hanging indentation" presentation, which helps the reader relate the subsections to the basic section.

CONSTITUTION, ARTICLE 5

### Legislative Authority and Process

**5.01 General Principles. [\*]**  
**5.01.1 Basis of Legislation. [\*]** All legislation of the Association that governs the conduct of the intercollegiate athletics programs of its member institutions shall be adopted by the membership in Convention assembled, or by the divisional governance structures as set forth in Constitution 4, as determined by the constitution and bylaws governing each division, and shall be consistent with the purposes and fundamental policy set forth in Constitution 1, and shall be designed to advance one or more principles such as those set forth in Constitution 2. *(Revised: 1/9/96 effective 8/1/97)*  
**5.01.2 Approaches to Legislative Process. [\*]** The membership of the Association recognizes that certain fundamental policies, practices and principles have applicability to all members, while others are applicable to division groupings of members, based on a common philosophy shared among the individual members of the division and on special policies and concerns that are common to the nature and purposes of the institutions in the division. *(Revised: 1/9/96 effective 8/1/97)*

**5.02 Definitions and Applications.**  
**5.02.1 Legislative (Constitution and Bylaw) Provisions.**  
**5.02.1.1 Dominant. [\*]** A dominant provision is a regulation that applies to all members of the Association and is of sufficient importance to the entire membership that it requires a two-thirds majority vote of all delegates present and voting in joint session at an annual or special Convention. Dominant provisions are identified by an asterisk (\*).  
**5.02.1.2 Division Dominant. [\*]** A division dominant provision is a regulation that applies to all members of a division and is of sufficient importance to the division that it requires a two-thirds majority vote of all delegates present and voting at a division's annual or special Convention. Division dominant provisions are identified by the diamond symbol (◆). *(Revised: 1/9/96 effective 8/1/97)*  
**5.02.1.3 Common. [\*]** A common provision is a regulation that applies to more than one of the divisions of the Association. A common provision shall be adopted by each of the applicable divisions, acting separately pursuant to the divisional legislative process described in Constitution 5.3, and must be approved by all applicable divisions to be effective. Common provisions are identified by the pound sign (#). *(Adopted: 11/14/97 effective 8/1/97)*  
**5.02.1.4 Federated. [\*]** A federated provision is a regulation adopted by a majority vote of the delegates present and voting of one or more of the divisions or subdivisions of the Association, acting separately pursuant to the divisional legislative process described in Constitution 5.3. Such a provision applies only to the division(s) or subdivision(s) that adopts it. *(Revised: 1/9/96 effective 8/1/97)*  
**5.02.1.5 Football Championship Subdivision Dominant. [FCSD]** A Football Championship Subdivision dominant provision is a regulation that applies only to the Football Championship Subdivision and is of sufficient importance to the subdivision that it requires a two-thirds majority vote for adoption or to be amended pursuant to the legislative process set forth in Constitution 5.3. Football Championship Subdivision dominant provisions are identified by the initialization FCSD. *(Adopted: 1/15/11)*

**5.1 Conventions and Meetings.**  
**5.1.1 Authorization.**  
**5.1.1.1 Annual Convention. [\*]** There shall be an annual Convention of this Association during the second week of January or at such other time as may be prescribed by the Executive Committee.  
**5.1.1.2 Special Convention. [\*]** A special Convention of the Association may be called by the Executive Committee. *(Revised: 1/9/96 effective 8/1/97)*

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5  
LEGISLATIVE PROCESS

**VOTING REQUIREMENTS**  
Symbols for voting requirements appear after the title of the bylaw. See page ix for a complete explanation of all symbols. Each division's Manual contains legislation specific to the applicable division and does not contain legislation pertaining only to one or both of the other divisions.



## II. ORGANIZATION OF THE NCAA MANUAL

Divisions I, II and III each have a separate Manual that contains legislation specific to the applicable division and does not contain legislation pertaining only to one or both of the other divisions. However, legislation that includes references to one or both of the other divisions will appear in its entirety. In addition, since each division's Manual does not contain legislation specific to the other division(s), some bylaws may have gaps in the numbering sequence.

### Constitution

Articles 1 through 6 are the constitution, which consists of information relevant to the purposes of the Association, its structure, its membership and legislative-process information, and the more important principles for the conduct of intercollegiate athletics.

- Article 1** Name, Purposes and Fundamental Policy
- Article 2** Principles for Conduct of Intercollegiate Athletics
- Article 3** NCAA Membership
- Article 4** Organization
- Article 5** Legislative Authority and Process
- Article 6** Institutional Control

### Operating Bylaws

Articles 10 through 22 are the operating bylaws, which consist of legislation adopted by the membership to promote the principles enunciated in the constitution and to achieve the Association's purposes.

- Article 10** Ethical Conduct
- Article 11** Conduct and Employment of Athletics Personnel
- Article 12** Amateurism
- Article 13** Recruiting
- Article 14** Eligibility: Academic and General Requirements
- Article 15** Financial Aid
- Article 16** Awards, Benefits and Expenses for Enrolled Student-Athletes
- Article 17** Playing and Practice Seasons
- Article 18** Championships and Postseason Football
- Article 19** Enforcement
- Article 20** Division Membership
- Article 21** Committees
- Article 22** Institutional Performance Program

### Administrative Bylaws

Articles 31 and 33 are administrative bylaws, which set forth policies and procedures for the implementation of the NCAA championships and the business of the Association and the Division I institutional performance program. These administrative bylaws may be adopted or modified by the Division I Board of Directors or Legislative Council for the efficient administration of the activities that they govern. These same bylaws also may be amended by the membership through the regular legislative process.

- Article 31** Executive Regulations
- Article 33** Institutional Performance Program Policies and Procedures

Note: The authorization for adoption and amendment of each of the administrative bylaws (31 and 33) is set forth in Constitution 5.2.3.1, 5.2.3.2 and 5.2.3.4.

### III. VOTING REQUIREMENTS FOR MANUAL

The Manual attempts to present all regulations on a given subject in logical order. As a result, different paragraphs in the same sections may carry different voting requirements. The following terms define voting requirements currently in effect for sections in the Manual:

- **Dominant provision**—Legislation that is derived from the constitution in the 1988-89 Manual (the Manual format that was employed until the membership approved the revised format at the 1989 Convention). All such legislation is identified by an asterisk (\*) and requires a two-thirds majority vote of the total membership (present and voting) for adoption or amendment.
- **Common provision**—Legislation that is derived from the common bylaws (9, 10, 12 and 13) in the 1988-89 Manual. All such legislation is identified by a pound sign (#) and requires a majority vote of each of the three divisions, voting separately, for adoption or amendment.
- **Federated provision**—Legislation that is derived from divided bylaws in the 1988-89 Manual. Such legislation can be adopted or amended by a majority vote of one or more of the subdivisions voting separately.
- **Division dominant**—A division dominant provision is one that applies to all members of a division and is of sufficient importance to the division that it requires a two-thirds majority vote of all delegates present and voting at a division’s annual or special Convention. Division dominant provisions are identified by a diamond symbol (◆).
- **Football Championship Subdivision dominant**—A Football Championship Subdivision dominant provision is a regulation that applies only to the Football Championship Subdivision and is of sufficient importance to the subdivision that it requires a two-thirds majority vote for adoption or to be amended pursuant to the legislative process set forth in Constitution 5.3. Football Championship Subdivision dominant provisions are identified by the initialization FCSD.

The Executive Committee is authorized to establish the voting requirement for any new section when the content or context does not clearly determine it. The authorization for this is set forth in Constitution 5.3.7.1.

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#### Symbols Designating Voting Requirements and Subdivisions for Which Federated Legislation Is Applicable

Provision	Symbol
<b>Dominant</b>	*
<b>Common</b>	#
<b>Federated</b>	
<b>NCAA Football Bowl Subdivision</b>	<b>FBS</b>
<b>NCAA Football Championship Subdivision</b>	<b>FCS</b>
<b>Bowl Subdivision and Championship Subdivision</b>	<b>FBS/FCS</b>
<b>Division dominant</b>	◆
<b>Football Championship Subdivision dominant</b>	<b>FCSD</b>

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#### **IV. DE MINIMIS AND RESTITUTION VIOLATIONS**

**De Minimis** – Violations of articles designated by a capital letter D in brackets and bold font “[D]” at the end of the legislative language shall be considered institutional violations per Constitution 2.8; however, the involved prospective student-athlete’s or student-athlete’s eligibility shall not be affected.

**Restitution** – For violations of articles designated by a capital letter R in brackets and bold font “[R]” at the end of the legislative language, if the value of the benefit provided to the individual (prospective or enrolled student-athlete) is \$100 or less, the eligibility of the individual shall not be affected conditioned upon the individual repaying the value of the benefit to a charity of his or her choice. The individual, however, shall remain ineligible from the time the institution has knowledge of the receipt of the impermissible benefit until the individual repays the benefit. A violation of such a provision remains an institutional violation per Constitution 2.8.1, and documentation of the individual’s repayment shall be forwarded to the enforcement staff with the institution’s self-report of the violation.

#### **V. DIAGRAMS AND TABLES**

Diagrams and tables are included as supplements to the text to help present the content of certain regulations in a clear and concise manner. They are presented as “Figures” and are listed on page vi. With the exception of Bylaw 17, all diagrams and tables related to a given article of the constitution or a particular bylaw have been placed at the back of the article or bylaw.

#### **VI. LEGISLATION THAT IS SHADED/SCREENED**

Legislation, incorporations of interpretations, editorial revisions and modifications of wording approved or adopted after August 1, 2012, are set off by a gray background and include an adoption or revision date.

#### **VII. NOTATION OF LEGISLATION WITH DELAYED EFFECTIVE DATE**

Legislation with a delayed effective date is enclosed in a box and set off by a gray background.

# User Guide to Electronic Device Formats

## QUARTERLY PUBLICATION

The Division I Manual is updated quarterly and available in electronic format. In addition to an interactive PDF, you can opt to download a version suitable for display on a number of mobile devices. This overview will help members who own “tablet” or “phone” readers, as well as those who prefer browser-based or PDF access to NCAA bylaws.

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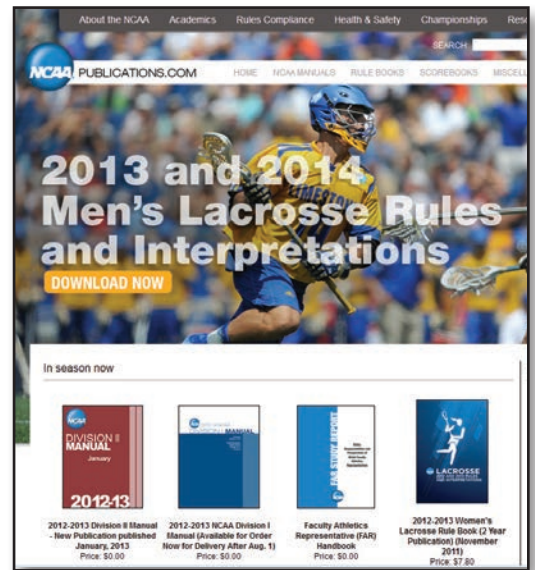
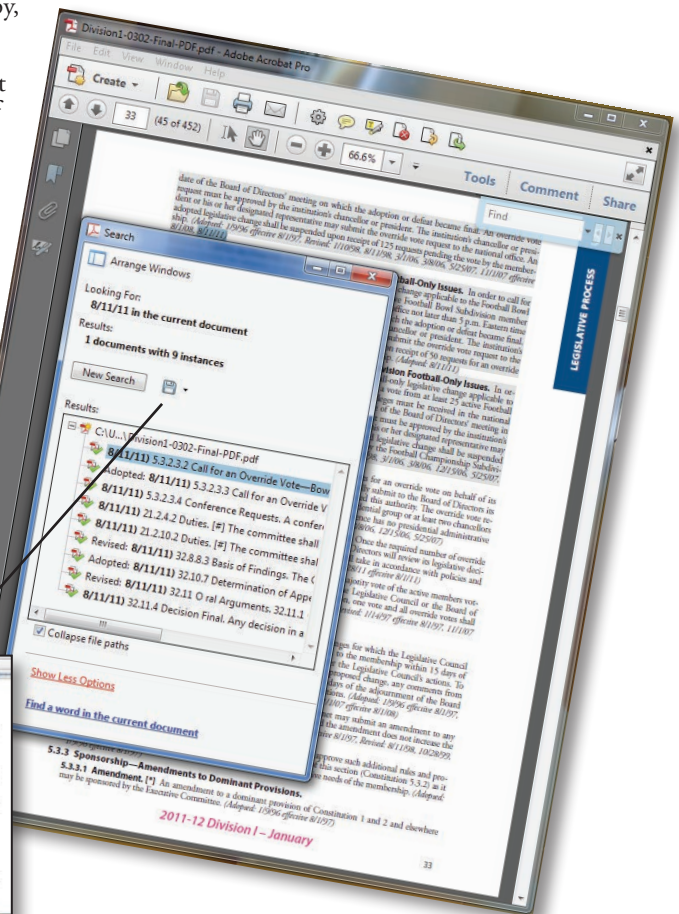
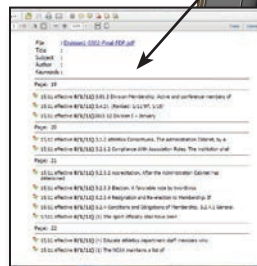
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4. Next to the Search Term, you will see a “turn down” menu arrow with the “Open Full Acrobat Search” option.
5. The resulting panel display lets you hyperlink to the latest legislation.
6. To go a step further, click the “Save” icon near the top of the dialogue to create either a CSV (spreadsheet) or PDF reference file.

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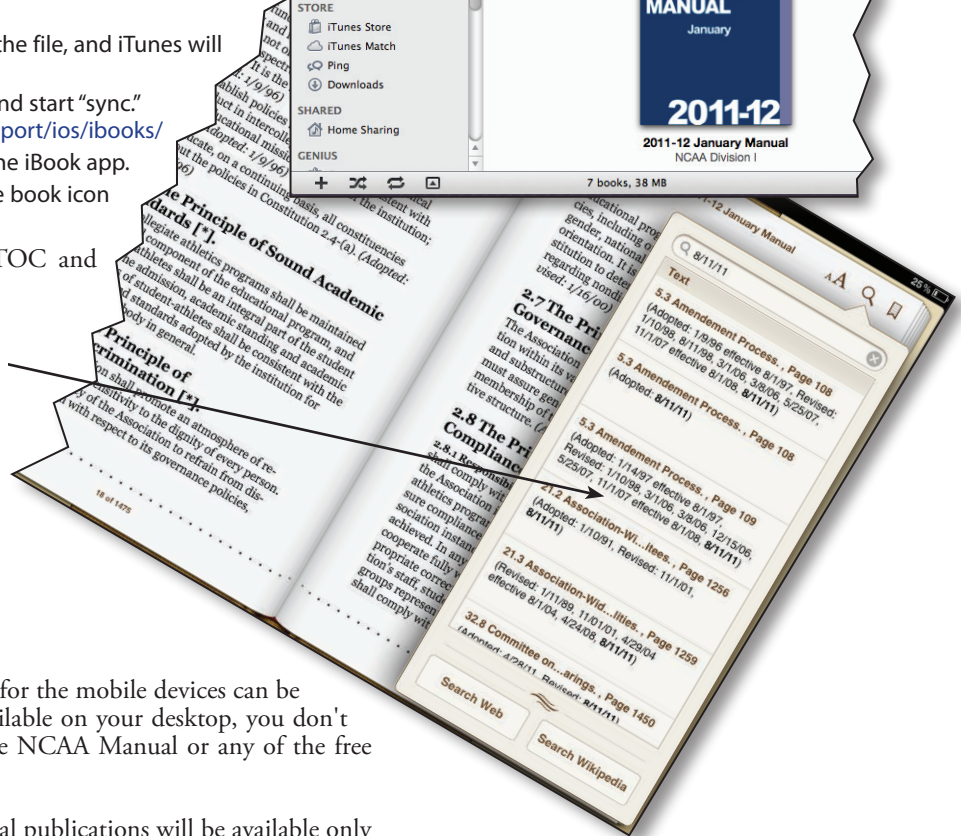
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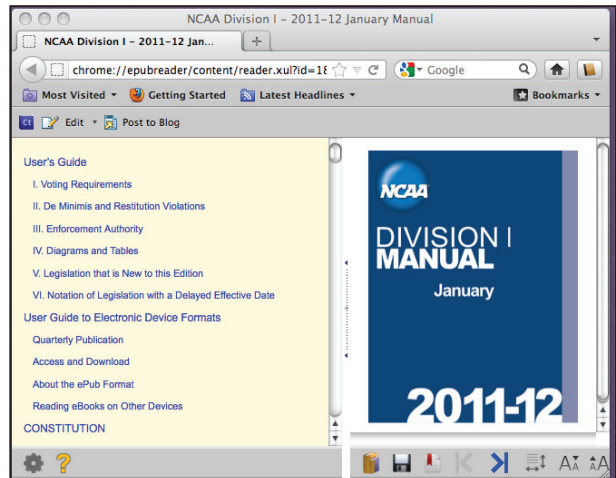
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The external hyperlink is the most common, where one click takes you to a typical destination. The internal hyperlink is an extremely valuable, but underutilized aspect of e-Publication. Cross references and footnotes are prime examples. On an ePub, the reader can have a larger, unobstructed reading area. The footnote reference will bring you to the cited material then return you to your original location on many devices (characteristics will vary).

**eBook**—The most common ePub of this type is, of course, a book. Navigation and search, reader preferences (like font and type size, etc.) are quite similar (but not identical) on the majority of mobile devices. Purchased ePub content requires an account with the eBook distributor, the necessary “bookshelf” app loaded on your mobile device and familiarization with the synchronization procedure. eBooks purchased from another distributor may require “translation” software to make them compatible with your device. There are basically two types of eBooks:

**Fixed Layout**—These are best characterized by limited user control over orientation (either portrait or landscape), font choice or search-ability. These are useful for pictorial books and guides, where fixed layout is critical. Hyperlinking and video embedding are possible in this type of document.

**Flexible Layout**—These can be used to publish an amazing array of affordable documents. Attributes of these eBooks include: reader control of environment (preferences); accessibility (nearly all devices, with translation and Firefox browser); graphics (embedded and hyperlinked); navigation (TOC, cross-reference, footnote); display (portrait or landscape); and distribution (governed by readership/more below).

Publication types that can benefit from eBook layouts: Magazines (graphics will be downsized, but they can be “anchored” with specific text, like captions; sidebars and pullquotes can anchored the same way). Technical documentation and catalogs publishers will also benefit from the same liquid display of content. Alumni and sports departments can create revenue-generating instruments from their existing body of work.

**App Publication**—These are distributed through an app that you download and enable on your display device. The graphics are impressive, if not inspirational, and many let you display the content in either vertical or horizontal (portrait or landscape) fashion. You have no control of the display size or type. This is another example of a “Fixed Layout” ePub.

**Tablet Publication**—This app publication is available to an extremely limited number of mobile devices. These are also the most time- and labor-intensive type of publication to produce, since you essentially need to create a horizontal and vertical version of each page.

## Security (Access)

Another consideration for developing an ePub strategy (particularly for revenue-generating content) is access and security. Some choices for publishers include distributor-controlled (digital-rights enforcement and paid royalties for a pre-determined percentage); Single password for all subscribers (honor system, publisher controlled); and free (the cost is subsidized through membership, subscription or sponsor agreement).

— compiled by *SO&SO Co LLC*

## Commitments to the Division I Collegiate Model

In addition to the purposes and fundamental policy of the National Collegiate Athletic Association, as set forth in Constitution 1, members of Division I support the following commitments in the belief that these commitments assist in defining the nature and purposes of the division. These commitments are not binding on member institutions, but serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.

**The Commitment to Value-Based Legislation.** Bylaws proposed and enacted by member institutions governing the conduct of intercollegiate athletics shall be designed to foster competition in amateur athletics, promote the Association's enduring values and advance the Collegiate Model as set forth in the NCAA Constitution. In some instances, a careful balancing of these values may be necessary to help achieve the purposes of the Association.

**The Commitment to Amateurism.** Member institutions shall conduct their athletics programs for students who choose to participate in intercollegiate athletics as a part of their educational experience and in accordance with NCAA bylaws, thus maintaining a line of demarcation between student-athletes who participate in the Collegiate Model and athletes competing in the professional model.

**The Commitment to Fair Competition.** Bylaws shall be designed to promote the opportunity for institutions and eligible student-athletes to engage in fair competition. This commitment requires that all member institutions compete within the framework of the Collegiate Model of athletics in which athletics competition is an integral part of the student-athlete's effort to acquire a degree in higher education. The commitment to fair competition acknowledges that variability will exist among members, including facilities, geographic locations and resources, and that such variability should not be justification for future legislation. Areas affecting fair competition include, but are not limited to, personnel, eligibility and amateurism, recruiting, financial aid, the length of playing and practice seasons and the number of institutional competitions per sport.

**The Commitment to Integrity and Sportsmanship.** It is the responsibility of each member institution to conduct its athletics programs and manage its staff members, representatives and student-athletes in a manner that promotes the ideals of higher education and the integrity of intercollegiate athletics. Member institutions are committed to encouraging behavior that advances the interests of the Association, its membership and the Collegiate Model of athletics. All individuals associated with intercollegiate athletics programs and events should adhere to such fundamental values as respect, fairness, civility, honesty, responsibility, academic integrity and ethical conduct. These values should be manifest not only in athletics participation, but also in the broad spectrum of activities affecting the athletics programs.

**The Commitment to Institutional Control and Compliance.** It is the responsibility of each member institution to monitor and control its athletics programs, staff members, representatives and student-athletes to ensure compliance with the Constitution and bylaws of the Association. Responsibility for maintaining institutional control ultimately rests with the institution's campus president or chancellor. It is also the responsibility of each member institution to report all breaches of conduct established by these bylaws to the Association in a timely manner and cooperate with the Association's enforcement efforts. Upon a conclusion that one or more violations occurred, an institution shall be subject to such disciplinary and corrective actions as may be prescribed by the Association on behalf of the entire membership.

**The Commitment to Student-Athlete Well-Being.** Intercollegiate athletics programs shall be conducted in a manner designed to enhance the well-being of student-athletes who choose to participate and to prevent undue commercial or other influences that may interfere with their scholastic, athletics or related interests. The time required of student-athletes for participation in intercollegiate athletics shall be regulated to minimize interference with their academic pursuits. It is the responsibility of each member institution to establish and maintain an environment in which student-athletes' activities, in all sports, are conducted to encourage academic success and individual development and as an integral part of the educational experience. Each member institution should also provide an environment that fosters fairness, sportsmanship, safety, honesty and positive relationships between student-athletes and representatives of the institution.

**The Commitment to Sound Academic Standards.** Standards of the Association governing participation in intercollegiate athletics, including postseason competition, shall be designed to ensure proper emphasis on educational objectives and the opportunity for academic success, including graduation, of student-athletes who choose to participate at a member institution. Intercollegiate athletics programs shall be maintained as an important component of the educational program, and student-athletes shall be an integral part of the student body. Each member institution's admission and academic standards for student-athletes shall be designed to promote academic progress and graduation and shall be consistent with the standards adopted by the institution for the student body in general.

**The Commitment to Responsible Recruiting Standards.** Recruiting bylaws shall be designed to promote informed decisions and balance the interests of prospective student-athletes, their educational institutions, the Association's member institutions and intercollegiate athletics as a whole. This commitment includes minimizing the role of external influences on prospective student-athletes and their families and preventing excessive contact or pressure in the recruitment process.

**The Commitment to Diversity and Inclusion.** The Division I membership believes in and is committed to the core values of diversity, inclusion and equity, because realization of those values improves the learning environment for all student-athletes and enhances excellence within the membership and in all aspects of intercollegiate athletics. The membership shall create diverse and inclusive environments, promote an atmosphere of respect for and sensitivity to the dignity of every person, and include diverse perspectives in the pursuit of academic and athletic excellence. Member institutions, with assistance from the national office, are expected to develop inclusive practices that foster positive learning and competitive environments for student-athletes, as well as professional development and opportunities for athletics administrators, coaches and staff from diverse backgrounds.

## CONSTITUTION, ARTICLE 1

# Name, Purposes and Fundamental Policy

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### 1.1 Name. [\*]

The name of this organization shall be “The National Collegiate Athletic Association.”

### 1.2 Purposes. [\*]

The purposes of this Association are:

- (a) To initiate, stimulate and improve intercollegiate athletics programs for student-athletes and to promote and develop educational leadership, physical fitness, athletics excellence and athletics participation as a recreational pursuit;
- (b) To uphold the principle of institutional control of, and responsibility for, all intercollegiate sports in conformity with the constitution and bylaws of this Association;
- (c) To encourage its members to adopt eligibility rules to comply with satisfactory standards of scholarship, sportsmanship and amateurism;
- (d) To formulate, copyright and publish rules of play governing intercollegiate athletics;
- (e) To preserve intercollegiate athletics records;
- (f) To supervise the conduct of, and to establish eligibility standards for, regional and national athletics events under the auspices of this Association;
- (g) To cooperate with other amateur athletics organizations in promoting and conducting national and international athletics events;
- (h) To legislate, through bylaws or by resolutions of a Convention, upon any subject of general concern to the members related to the administration of intercollegiate athletics; and
- (i) To study in general all phases of competitive intercollegiate athletics and establish standards whereby the colleges and universities of the United States can maintain their athletics programs on a high level.

### 1.3 Fundamental Policy. [\*]

**1.3.1 Basic Purpose. [\*]** The competitive athletics programs of member institutions are designed to be a vital part of the educational system. A basic purpose of this Association is to maintain intercollegiate athletics as an integral part of the educational program and the athlete as an integral part of the student body and, by so doing, retain a clear line of demarcation between intercollegiate athletics and professional sports.

**1.3.2 Obligations of Member Institutions. [\*]** Legislation governing the conduct of intercollegiate athletics programs of member institutions shall apply to basic athletics issues such as admissions, financial aid, eligibility and recruiting. Member institutions shall be obligated to apply and enforce this legislation, and the enforcement procedures of the Association shall be applied to an institution when it fails to fulfill this obligation.





# Principles for Conduct of Intercollegiate Athletics

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## 2.01 General Principle. [\*]

Legislation enacted by the Association governing the conduct of intercollegiate athletics shall be designed to advance one or more basic principles, including the following, to which the members are committed. In some instances, a delicate balance of these principles is necessary to help achieve the objectives of the Association.

## 2.1 The Principle of Institutional Control and Responsibility. [\*]

**2.1.1 Responsibility for Control. [\*]** It is the responsibility of each member institution to control its intercollegiate athletics program in compliance with the rules and regulations of the Association. The institution's president or chancellor is responsible for the administration of all aspects of the athletics program, including approval of the budget and audit of all expenditures. *(Revised: 3/8/06)*

**2.1.2 Scope of Responsibility. [\*]** The institution's responsibility for the conduct of its intercollegiate athletics program includes responsibility for the actions of its staff members and for the actions of any other individual or organization engaged in activities promoting the athletics interests of the institution.

## 2.2 The Principle of Student-Athlete Well-Being. [\*]

Intercollegiate athletics programs shall be conducted in a manner designed to protect and enhance the physical and educational well-being of student-athletes. *(Revised: 11/21/05)*

**2.2.1 Overall Educational Experience. [\*]** It is the responsibility of each member institution to establish and maintain an environment in which a student-athlete's activities are conducted as an integral part of the student-athlete's educational experience. *(Adopted: 1/10/95)*

**2.2.2 Cultural Diversity and Gender Equity. [\*]** It is the responsibility of each member institution to establish and maintain an environment that values cultural diversity and gender equity among its student-athletes and intercollegiate athletics department staff. *(Adopted: 1/10/95)*

**2.2.3 Health and Safety. [\*]** It is the responsibility of each member institution to protect the health of, and provide a safe environment for, each of its participating student-athletes. *(Adopted: 1/10/95)*

**2.2.4 Student-Athlete/Coach Relationship. [\*]** It is the responsibility of each member institution to establish and maintain an environment that fosters a positive relationship between the student-athlete and coach. *(Adopted: 1/10/95)*

**2.2.5 Fairness, Openness and Honesty. [\*]** It is the responsibility of each member institution to ensure that coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes. *(Adopted: 1/10/95)*

**2.2.6 Student-Athlete Involvement. [\*]** It is the responsibility of each member institution to involve student-athletes in matters that affect their lives. *(Adopted: 1/10/95)*

## 2.3 The Principle of Gender Equity. [\*]

**2.3.1 Compliance With Federal and State Legislation. [\*]** It is the responsibility of each member institution to comply with federal and state laws regarding gender equity. *(Adopted: 1/11/94)*

**2.3.2 NCAA Legislation. [\*]** The Association should not adopt legislation that would prevent member institutions from complying with applicable gender-equity laws, and should adopt legislation to enhance member institutions' compliance with applicable gender-equity laws. *(Adopted: 1/11/94)*

**2.3.3 Gender Bias. [\*]** The activities of the Association should be conducted in a manner free of gender bias. *(Adopted: 1/11/94)*

## **2.4 The Principle of Sportsmanship and Ethical Conduct. [\*]**

For intercollegiate athletics to promote the character development of participants, to enhance the integrity of higher education and to promote civility in society, student-athletes, coaches, and all others associated with these athletics programs and events should adhere to such fundamental values as respect, fairness, civility, honesty and responsibility. These values should be manifest not only in athletics participation, but also in the broad spectrum of activities affecting the athletics program. It is the responsibility of each institution to: *(Revised: 1/9/96)*

- (a) Establish policies for sportsmanship and ethical conduct in intercollegiate athletics consistent with the educational mission and goals of the institution; and *(Adopted: 1/9/96)*
- (b) Educate, on a continuing basis, all constituencies about the policies in Constitution 2.4-(a). *(Adopted: 1/9/96)*

## **2.5 The Principle of Sound Academic Standards. [\*]**

Intercollegiate athletics programs shall be maintained as a vital component of the educational program, and student-athletes shall be an integral part of the student body. The admission, academic standing and academic progress of student-athletes shall be consistent with the policies and standards adopted by the institution for the student body in general.

## **2.6 The Principle of Nondiscrimination. [\*]**

The Association shall promote an atmosphere of respect for and sensitivity to the dignity of every person. It is the policy of the Association to refrain from discrimination with respect to its governance policies, educational programs, activities and employment policies, including on the basis of age, color, disability, gender, national origin, race, religion, creed or sexual orientation. It is the responsibility of each member institution to determine independently its own policy regarding nondiscrimination. *(Adopted: 1/16/93, Revised: 1/16/00)*

## **2.7 The Principle of Diversity Within Governance Structures. [\*]**

The Association shall promote diversity of representation within its various divisional governance structures and substructures. Each divisional governing body must assure gender and ethnic diversity among the membership of the bodies in the division's administrative structure. *(Adopted: 1/9/96 effective 8/1/97)*

## **2.8 The Principle of Rules Compliance. [\*]**

**2.8.1 Responsibility of Institution. [\*]** Each institution shall comply with all applicable rules and regulations of the Association in the conduct of its intercollegiate athletics programs. It shall monitor its programs to assure compliance and to identify and report to the Association instances in which compliance has not been achieved. In any such instance, the institution shall cooperate fully with the Association and shall take appropriate corrective actions. Members of an institution's staff, student-athletes, and other individuals and groups representing the institution's athletics interests shall comply with the applicable Association rules, and the member institution shall be responsible for such compliance.

**2.8.2 Responsibility of Association. [\*]** The Association shall assist the institution in its efforts to achieve full compliance with all rules and regulations and shall afford the institution, its staff and student-athletes fair procedures in the consideration of an identified or alleged failure in compliance.

**2.8.3 Penalty for Noncompliance. [\*]** An institution found to have violated the Association's rules shall be subject to such disciplinary and corrective actions as may be determined by the Association.

## **2.9 The Principle of Amateurism. [\*]**

Student-athletes shall be amateurs in an intercollegiate sport, and their participation should be motivated primarily by education and by the physical, mental and social benefits to be derived. Student participation in intercollegiate athletics is an avocation, and student-athletes should be protected from exploitation by professional and commercial enterprises.

## **2.10 The Principle of Competitive Equity. [\*]**

The structure and programs of the Association and the activities of its members shall promote opportunity for equity in competition to assure that individual student-athletes and institutions will not be prevented unfairly from achieving the benefits inherent in participation in intercollegiate athletics.

## **2.11 The Principle Governing Recruiting. [\*]**

The recruiting process involves a balancing of the interests of prospective student-athletes, their educational institutions and the Association's member institutions. Recruiting regulations shall be designed to promote equity among member institutions in their recruiting of prospective student-athletes and to shield them from undue pressures that may interfere with the scholastic or athletics interests of the prospective student-athletes or their educational institutions.

### **2.12 The Principle Governing Eligibility. [\*]**

Eligibility requirements shall be designed to assure proper emphasis on educational objectives, to promote competitive equity among institutions and to prevent exploitation of student-athletes.

### **2.13 The Principle Governing Financial Aid. [\*]**

A student-athlete may receive athletically related financial aid administered by the institution without violating the principle of amateurism, provided the amount does not exceed the cost of education authorized by the Association; however, such aid as defined by the Association shall not exceed the cost of attendance as published by each institution. Any other financial assistance, except that received from one upon whom the student-athlete is naturally or legally dependent, shall be prohibited unless specifically authorized by the Association.

### **2.14 The Principle Governing Playing and Practice Seasons. [\*]**

The time required of student-athletes for participation in intercollegiate athletics shall be regulated to minimize interference with their opportunities for acquiring a quality education in a manner consistent with that afforded the general student body.

### **2.15 The Principle Governing Postseason Competition and Contests Sponsored by Noncollegiate Organizations. [\*]**

The conditions under which postseason competition occurs shall be controlled to assure that the benefits inherent in such competition flow fairly to all participants, to prevent unjustified intrusion on the time student-athletes devote to their academic programs, and to protect student-athletes from exploitation by professional and commercial enterprises.

### **2.16 The Principle Governing the Economy of Athletics Program Operation. [\*]**

Intercollegiate athletics programs shall be administered in keeping with prudent management and fiscal practices to assure the financial stability necessary for providing student-athletes with adequate opportunities for athletics competition as an integral part of a quality educational experience.



# NCAA Membership

## 3.01 General Principles.

**3.01.1 Classes of Membership.** Division I offers three classes of membership: active, conference and affiliated. Eligibility for and method of election to membership, obligations and conditions for continuing membership, voting rights and other membership privileges for each class are defined in this article. *(Revised: 1/11/94 effective 9/2/94, 1/15/11 effective 8/1/11)*

**3.01.2 Division Membership.** Active and conference members of the NCAA may be divided into divisions for purposes of legislation and competition in NCAA championships. Criteria for membership in these divisions are defined in Bylaw 20.

**3.01.3 Obligation to Meet Division Criteria.** Division membership criteria constitute enforceable legislation. Each member institution shall comply with all applicable criteria of its division, and an institution that fails to do so shall be subject to the enforcement procedures and to possible reclassification.

**3.01.4 Termination or Suspension of Membership.** All rights and privileges of a member shall cease immediately upon termination or suspension of its membership.

## 3.02 Definitions and Applications.

**3.02.1 Competitive Body.** A competitive body is an athletics conference that conducts competition among its member institutions and determines a conference champion in one or more sports.

**3.02.2 Legislative Body.** A legislative body is an athletics conference that develops and maintains rules and regulations governing the athletics programs and activities of its member institutions.

### 3.02.3 Membership Categories.

**3.02.3.1 Active Member.** An active member is a four-year college or university that is accredited by the appropriate regional accrediting agency and duly elected to active membership under the provisions of this article (see Constitution 3.2.3). Active members have the right to compete in NCAA championships, to vote on legislation and other issues before the Association, and to enjoy other privileges of membership designated in the constitution and bylaws of the Association. *(Revised: 1/15/11 effective 8/1/11)*

**3.02.3.1.1 Athletics Consortium.** An athletics consortium consists of one member institution and neighboring member or nonmember institutions (but not more than one nonmember institution), recognized and approved by a two-thirds vote of the Administration Cabinet. The student-athletes of the combined institutions are permitted to compete on the NCAA member institution's athletics teams, provided they meet the eligibility requirements of the NCAA and the member institution (see Constitution 3.1.2). *(Revised: 11/1/07 effective 8/1/08)*

**3.02.3.2 Member Conference.** A member conference is a group of colleges and/or universities that conducts competition among its members and determines a conference champion in one or more sports (in which the NCAA conducts championships or for which it is responsible for providing playing rules for intercollegiate competition), duly elected to conference membership under the provisions of this article (see Constitution 3.3.3). A member conference is entitled to all of the privileges of active members except the right to compete in NCAA championships (see Constitution 3.3.2). Only those conferences that meet specific criteria as competitive and legislative bodies (see Constitution 3.02.1 and 3.02.2) and minimum standards related to size and division status are permitted to vote on legislation or other issues before the Association.

**3.02.3.3 Affiliated Member.** An affiliated member is a coaches or sports association whose function and purpose are directly related to one or more sports in which the NCAA conducts championships or an emerging sport for women, or an association that consists of college/university administrators and has a direct connection to either the NCAA or its member institutions, duly elected to affiliated membership under the provisions of this article (see Constitution 3.4.3). An affiliated member is entitled to be represented by one nonvoting delegate at any NCAA Convention and enjoys other privileges as designated in the bylaws of the Association (see Constitution 3.4.2). *(Revised: 1/11/97, 1/15/11 effective 8/1/11)*

## 3.1 Eligibility for Membership.

**3.1.1 General.** Membership is available to colleges, universities, athletics conferences or associations and other groups that are related to intercollegiate athletics; that are accredited by one of the six regional accrediting agencies; and that are located in the United States, its territories or possessions. Such institutions or organizations must accept and observe the principles set forth in the constitution and bylaws of the Association. *(Revised: 8/9/07, 11/1/07, 1/15/11 effective 8/1/11)*

**3.1.2 Athletics Consortiums.** The Administration Cabinet, by a two-thirds majority of its members present and voting, may approve an athletics consortium involving a member institution and neighboring member or nonmember institutions (but not more than one nonmember institution) to permit the student-athletes of the combined institutions to compete on the member institution's intercollegiate athletics teams, provided the student-athletes satisfy the eligibility requirements of the member institution and the NCAA. *(Revised: 11/1/07 effective 8/1/08, 7/30/10)*

**3.1.2.1 General Policy.** In general, a consortium shall be approved on the basis of existing academic considerations with the understanding that there shall be no change in the basic recruitment, enrollment or financial aid policies of the involved institutions as a result of such approval. *(Revised: 7/30/10)*

**3.1.2.2 Combining Entire Athletics Programs.** The institutions shall combine their entire athletics programs, and the consortium shall not be formed on a sport-by-sport basis. *(Revised: 7/30/10)*

**3.1.2.3 Conference Approval.** An institution that belongs to an NCAA member conference first shall receive approval of its conference prior to instituting a consortium for its intercollegiate athletics program. If more than one institution holds such conference membership, all such conferences shall approve the consortium. *(Revised: 7/30/10)*

**3.1.2.4 Eligibility Requirements for Student-Athletes.** Participating student-athletes shall meet all eligibility requirements of the member institution(s), the athletics conference(s) involved and the NCAA. The member institution(s) shall certify the eligibility of all student-athletes under those rules. *(Revised: 7/30/10)*

**3.1.2.5 Financial Assistance to Student-Athletes.** Within a consortium: *(Revised: 7/30/10)*

- (a) Each institution shall be responsible for the financial assistance awarded to its student-athletes. The financial arrangement between or among the institutions for the exchange of funds to cover the academic costs of student-athletes who take part in the exchange program shall apply to student-athletes in the same manner as it applies to those students not participating in the intercollegiate athletics program;
- (b) One institution may not provide a scholarship or any other form of financial aid to a student-athlete enrolled in another institution or transmit a scholarship or grant-in-aid to another institution to be used by one or more of its student-athletes; and
- (c) Financial aid limitations as set forth in Bylaw 15 shall be applicable to the consortium as one entity and shall include all countable student-athletes, regardless of the institution in which they are enrolled.

**3.1.2.6 Length of Approval.** NCAA approval shall be for a four-academic-year period, at the end of which the institutions shall submit a report on the program, setting forth its effect upon their academic and athletics operations. *(Revised: 8/4/89, 7/30/10)*

**3.1.2.7 NCAA Division Membership.** The institutions may be members of different NCAA divisions but shall select one division for legislative and competitive purposes. *(Revised: 7/30/10)*

**3.1.2.8 NCAA Member Involvement.** At least one of the institutions already shall be a member of the NCAA, and not more than one nonmember institution shall be included. *(Revised: 7/30/10)*

**3.1.2.9 NCAA Membership Application.** The institutions shall apply for NCAA membership as a consortium and shall be considered as one member of the Association, with their combined names included on the official NCAA membership list. *(Revised: 7/30/10)*

**3.1.2.10 Prior Academic Consortium Relationship.** The institutions shall have had a prior academic consortium relationship. *(Revised: 7/30/10)*

**3.1.2.11 Recruitment.** It is permissible for one institution to recruit prospective student-athletes with a view to their possible enrollment at another institution in the consortium, provided the individuals qualify for admission to that institution and the athletics interests of the member institution are not involved, directly or indirectly, in influencing the admission or award of financial assistance. *(Revised: 7/30/10)*

## 3.2 Active Membership.

### 3.2.1 Eligibility Requirements.

**3.2.1.1 Types of Institutions.** Active membership is available to four-year colleges and universities, accredited by the appropriate regional accrediting agency and pursuant to Executive Committee policy, and duly elected to active membership under the provisions of Constitution 3.2.3. *(Revised: 1/15/11 effective 8/1/11, 7/23/12)*

**3.2.1.2 Compliance With Association Rules.** The institution shall administer its athletics programs in accordance with the constitution, bylaws and other legislation of the Association.



**3.2.1.3 Standards.** The institution's athletics programs shall reflect the establishment and maintenance of high standards of personal honor, eligibility and fair play.

### **3.2.2 Privileges.**

**3.2.2.1 Active Members.** Active members shall be entitled to all of the privileges of membership under the constitution and bylaws of the Association and all privileges incidental thereto. A copy of NCAA Champion magazine shall be sent to each member of the NCAA.

**3.2.2.2 Use of Association's Registered Marks.** Active members may use the registered marks of the Association (the Association's name, logo or other insignia) only in accordance with guidelines established by the Executive Committee.

### **3.2.3 Election Procedures.**

**3.2.3.1 Completion of Reclassification Process.** An institution desiring to become an active member of Division I shall complete a reclassification period (see Bylaw 20.5). After the Administration Cabinet has determined that the institution has met the requirements of reclassification, its request for active membership will be referred to the Board of Directors for election. *(Revised: 1/11/94 effective 9/2/94, 5/8/06, 11/1/07 effective 8/1/08, 10/28/10, 1/15/11 effective 8/1/11)*

**3.2.3.2 Accreditation.** After the Administration Cabinet has determined that the institution is accredited by one of the six regional accrediting agencies, the application shall be referred to the Board of Directors for consideration. *(Revised: 11/1/07 effective 8/1/08, 10/28/10, 1/15/11 effective 8/1/11)*

**3.2.3.3 Election.** A favorable vote by two-thirds of the Board of Directors members present and voting shall elect the applicant to membership effective the following August 1. When the vote of the Board of Directors has been completed, the applicant shall be notified. *(Revised: 11/1/07 effective 8/1/08, 10/28/10, 1/15/11 effective 8/1/11)*

**3.2.3.4 Resignation and Re-election to Membership.** If an institution resigns its Division I membership and subsequently applies to re-establish its Division I membership, the institution shall complete the reclassification process (see Bylaw 20.5) before becoming eligible for re-election as an active member. *(Revised: 1/11/94 effective 9/2/94, 1/26/07, 1/15/11 effective 8/1/11)*

### **3.2.4 Conditions and Obligations of Membership.**

**3.2.4.1 General.** The active members of this Association agree to administer their athletics programs in accordance with the constitution, bylaws and other legislation of the Association.

**3.2.4.2 Obligation to Meet Division Criteria.** Division membership criteria constitute enforceable legislation. Each member institution shall comply with all applicable criteria of its division, and an institution that fails to do so shall be subject to the enforcement procedures and to possible reclassification.

**3.2.4.3 Certification of Eligibility/Declaration of Ineligibility.** An active member is responsible for certifying the eligibility of student-athletes under the terms of the constitution, bylaws or other legislation of the Association before permitting a student-athlete to represent the institution in intercollegiate competition. Procedures for eligibility certification shall be approved by the president or chancellor, who may designate an individual on the institution's staff to administer proper certification of eligibility. The institution shall be obligated immediately to apply all applicable rules and withhold ineligible student-athletes from all intercollegiate competition (see Bylaw 14.10). See Bylaw 14.11 for procedures regarding restoration of eligibility. *(Revised: 3/8/06)*

**3.2.4.4 Academic Performance Program.** Each active member is responsible for annually submitting documentation demonstrating its compliance with the academic performance program, including the submission of data for the academic progress rate (APR), the academic performance census (APC) and the graduation success rate (GSR). The specific requirements of the academic performance program are set forth in Bylaw 14.12. *(Adopted: 4/29/04)*

**3.2.4.5 Application of Rules to All Recognized Varsity Sports.** The constitution, bylaws and other legislation of this Association, unless otherwise specified therein, shall apply to all teams in sports recognized by the member institution as varsity intercollegiate sports and that involve all-male teams, mixed teams of males and females, and all-female teams. To be recognized as a varsity sport, the following conditions must be met:

- (a) The sport shall be one in which the Association conducts championships, except as provided in Bylaw 20.9.6.1.1 or an emerging sport for women per Bylaw 20.02.4; *(Revised: 1/11/89, 1/11/94, 1/10/95, 1/15/11 effective 8/1/11)*
- (b) The sport officially shall have been accorded varsity status by the institution's president or chancellor or committee responsible for intercollegiate athletics; *(Revised: 3/8/06)*
- (c) The sport is administered by the department of intercollegiate athletics;
- (d) The eligibility of student-athletes participating in the sport shall be reviewed and certified by a staff member designated by the institution's president or chancellor or committee responsible for intercollegiate athletics policy; and *(Revised: 3/8/06)*
- (e) Qualified participants in the sport shall receive the institution's official varsity awards.



**3.2.4.5.1 Intent to Sponsor a Varsity Sport.** Once an institution evidences an intent or commitment to sponsor a sport on a varsity level (e.g., official announcement that competition will be conducted on a varsity basis, employment of individuals to coach the varsity team), the institution must begin applying NCAA recruiting regulations to the applicable sport. *(Adopted: 1/14/97)*

**3.2.4.6 Student-Athlete Statement.** An active member shall administer annually, on a form prescribed by the Legislative Council, a signed statement for each student-athlete that provides information prescribed in Bylaw 14.1.3. *(Revised: 4/24/03, 11/1/07 effective 8/1/08)*

**3.2.4.7 Drug-Testing Program and Consent Form.** An active member shall administer annually, a drug-testing consent form for each student-athlete (per Bylaw 12.02.9) pursuant to Bylaw 14.1.4 and shall ensure compliance with the following elements of the NCAA Drug-Testing Program: **[D]** *(Adopted: 1/10/92 effective 8/1/92, Revised: 4/24/03, 11/1/07 effective 8/1/08, 7/30/10)*

- (a) Complete and forward the drug-testing availability calendars to The National Center for Drug Free Sport by the date specified by the organization;
- (b) Respond to the initial drug-testing notification from The National Center for Drug Free Sport by the date specified by the organization;
- (c) Complete and forward to The National Center for Drug Free Sport a current and accurate institutional squad list (see Bylaw 15.5.11) by the date specified by the organization;
- (d) Provide adequate and secure drug-testing facilities as specified by The National Center for Drug Free Sport;
- (e) Notify student-athletes who have been randomly selected for drug testing according to the timeline specified by The National Center for Drug Free Sport;
- (f) Respond to additional requests for assistance in administering the NCAA drug-testing program as specified by The National Center for Drug Free Sport;
- (g) Designate an individual (or individuals) as the athletics department resource for questions related to NCAA banned drugs and the use of nutritional supplements; and *(Adopted: 1/15/11 effective 8/1/11)*
- (h) Educate athletics department staff members who have regular interaction with student-athletes that: *(Adopted: 1/15/11 effective 8/1/11)*
  - (1) The NCAA maintains a list of banned drug classes and provides examples of banned substances in each drug class on the NCAA website;
  - (2) Any nutritional supplement use may present risks to a student-athlete's health and eligibility; and
  - (3) Questions regarding NCAA banned drugs and the use of nutritional supplements should be referred to the institution's designated athletics department resource individual (or individuals).

**3.2.4.8 Certification of Insurance Coverage.** An active member institution must certify insurance coverage for medical expenses resulting from athletically related injuries sustained by the following individuals while participating in a covered event: **[D]** *(Adopted: 4/28/05 effective 8/1/05)*

- (a) A student-athlete participating in a covered event in an intercollegiate sport as recognized by the participating institution; and
- (b) A prospective student-athlete participating in a covered event who has graduated from high school and signed a National Letter of Intent or an institution's written offer of admission and/or financial aid to participate in an intercollegiate sport at a participating institution.

**3.2.4.8.1 Amount of Coverage Insurance.** Such insurance coverage must be of equal or greater value than the deductible of the NCAA catastrophic injury insurance program and may be provided through the following sources: **[D]** *(Adopted: 4/28/05 effective 8/1/05)*

- (a) Parents' or guardians' insurance coverage;
- (b) Participant's personal insurance coverage; or
- (c) Institution's insurance program.

**3.2.4.8.2 Athletically Related Injuries.** For purposes of this bylaw, athletically related injuries are injuries that are a direct result of participation in a covered event. *(Adopted: 4/28/05 effective 8/1/05)*

**3.2.4.8.3 Covered Event.** A covered event includes the following: *(Adopted: 4/28/05 effective 8/1/05)*

- (a) Any intercollegiate sports activity, including team travel, competition, practices and conditioning sessions during the playing season (as defined in Bylaw 17.1.1);
- (b) An NCAA-sanctioned competition in which the insured person is an official competitor; or
- (c) Practice and conditioning sessions that are authorized, organized or directly supervised by athletics department personnel at the member institution other than during the playing season. Such sessions must occur on campus or at approved off-campus facilities as part of an intercollegiate athletics activity. For insured student-athletes or prospective student-athletes who compete in individual sports, off-campus intercollegiate athletics activities must be authorized by athletics department personnel at the participating school and take place at approved locations.

**3.2.4.9 Student-Athlete Health Insurance Portability and Accountability Act (HIPAA) Authorization/Buckley Amendment Consent Form—Disclosure of Protected Health Information.** The active member institution shall administer annually a statement for each student-athlete to voluntarily sign that provides information prescribed in Bylaw 14.1.5. (*Adopted: 4/24/03 effective 8/1/03, Revised: 8/7/03 effective 8/1/04, 11/1/07 effective 8/1/08*)

**3.2.4.10 Institutional Performance Program.** To meet the provisions of the institutional performance program of the Association, member institutions shall complete, at least once every 10 years, an institutional self-study, verified and evaluated through external peer review, in accordance with the Association's constitution and bylaws. A Division II or III institution that sponsors a sport in Division I is not required to participate in the institutional performance program. (See Bylaws 22 and 33.) (Note: Between April 28, 2011, and August 1, 2014, no active Division I institution shall begin the institutional performance program process.) (*Adopted: 1/16/93 effective 1/1/94, Revised: 1/14/97 effective 8/1/97, 4/28/11, 4/26/12, 1/19/13*)

**3.2.4.11 Discipline of Members.** Pursuant to directions of the Board of Directors or the annual Convention, active members shall refrain from athletics competition with designated institutions as required under the provisions of the Association's enforcement procedures (see Bylaw 19). (*Revised: 11/1/07 effective 8/1/08*)

**3.2.4.12 Standards.** Active members agree to establish and maintain high standards of personal honor, eligibility and fair play.

**3.2.4.13 Publication of Progress-Toward-Degree Requirements.** Active members are obligated to publish their progress-toward-degree requirements for student-athletes (see Bylaw 14.4.1).

**3.2.4.14 Missed Class-Time Policies.** Active members are obligated to establish policies in all sports concerning student-athletes' missed class time due to participation in intercollegiate athletics and in athletics competition scheduled during final examination periods. In men's basketball, an institution's athletics participation schedule, which shall include the anticipated amount of missed class time due to athletics participation, shall be approved by the institution's faculty athletics representative or faculty oversight committee prior to the beginning of each regular academic term. (*Adopted: 4/29/10 effective 8/1/10*)

**3.2.4.15 Compliance-Related Forms.** A member institution shall not be eligible to enter a team or individual competitors in an NCAA championship unless its president or chancellor makes an annual institutional eligibility certification [see Bylaw 18.4.2.1-(d)] attesting that the conditions specified have been satisfied. (*Adopted: 1/10/95, Revised: 3/8/06*)

**3.2.4.16 Operating and Capital Financial Data Report.** An institution shall submit financial data detailing operating revenues, expenses and capital related to its intercollegiate athletics program to the NCAA on an annual basis in accordance with the financial reporting policies and procedures. The required data shall include, but is not limited to, the following: (*Adopted: 1/17/09 effective 8/1/09*)

- (a) All expenses and revenues for or on behalf of an institution's intercollegiate athletics program, including those by any affiliated or outside organization, agency or group of individuals;
- (b) Salary and benefits data for all athletics positions. The data shall include base salary, bonuses, endorsements, media fees, camp or clinic income, deferred income and other income contractually guaranteed by the institution;
- (c) Capital expenditures (to be reported in aggregate for athletics facilities), including capitalized additions and deletions to facilities during the reporting period, total estimated book value of athletically related plant and equipment net of depreciation, total annual debt service on athletics and university facilities and total debt outstanding on athletics and university facilities;
- (d) Value of endowments at fiscal year-end that are dedicated to the sole support of athletics;
- (e) Value of all pledges at fiscal year-end that support athletics; and
- (f) The athletics department fiscal year-end fund balance.

**3.2.4.16.1 Verification and Certification.** The report shall be subject to annual agreed-on verification procedures approved by the membership (in addition to any regular financial reporting policies and procedures of the institution) and conducted by a qualified independent accountant who is not a staff member of the institution and who is selected by the institution's chancellor or president or by an institutional administrator from outside the athletics department designated by the chancellor or president. The independent accountant shall verify the accuracy and completeness of the data prior to submission to the institution's chancellor or president and the NCAA. The institution's chancellor or president shall certify the financial report prior to submission to the NCAA. (*Adopted: 1/17/09 effective 8/1/09*)

**3.2.4.17 Concussion Management Plan.** An active member institution shall have a concussion management plan for its student-athletes. The plan shall include, but is not limited to, the following: (*Adopted: 8/12/10*)

- (a) An annual process that ensures student-athletes are educated about the signs and symptoms of concussions. Student-athletes must acknowledge that they have received information about the signs and symptoms of concussions and that they have a responsibility to report concussion-related injuries and illnesses to a medical staff member;

- (b) A process that ensures a student-athlete who exhibits signs, symptoms or behaviors consistent with a concussion shall be removed from athletics activities (e.g., competition, practice, conditioning sessions) and evaluated by a medical staff member (e.g., sports medicine staff, team physician) with experience in the evaluation and management of concussions;
- (c) A policy that precludes a student-athlete diagnosed with a concussion from returning to athletics activity (e.g., competition, practice, conditioning sessions) for at least the remainder of that calendar day; and
- (d) A policy that requires medical clearance for a student-athlete diagnosed with a concussion to return to the athletics activity (e.g., competition, practice, conditioning sessions) as determined by a physician (e.g., team physician) or the physician's designee.

#### **3.2.4.18 Use of a Student-Athlete's Name or Likeness.**

**3.2.4.18.1 Contracts and Commercial Agreements.** For agreements that may involve the use of a student-athlete's name or likeness, an institution shall include language in all licensing, marketing, sponsorship, advertising, broadcast and other commercial agreements that outlines the commercial entity's obligation to comply with relevant NCAA legislation, interpretations and policies on the use of a student-athlete's name or likeness. *(Adopted: 1/15/11 effective 8/1/11)*

**3.2.4.18.2 Written Policies.** An institution shall maintain written policies for its licensing, marketing, sponsorship, advertising, broadcast and other commercial agreements that may involve the use of a student-athlete's name or likeness. Such policies shall be made available for examination on request by an NCAA staff member or an authorized representative of the NCAA. *(Adopted: 1/15/11 effective 8/1/11)*

#### **3.2.5 Loss of Active Membership.**

**3.2.5.1 Termination or Suspension.** The membership of any active member failing to maintain the academic or athletics standards required for such membership or failing to meet the conditions and obligations of membership may be suspended, terminated or otherwise disciplined by a vote of two-thirds of the delegates present and voting at an annual Convention. Membership shall not be suspended or terminated unless:

- (a) A notice of intention to suspend or terminate membership, stating the grounds on which such a motion will be based, is given in writing to the chair of the Board of Directors and to the president or chancellor of the member institution on or before the first day of November prior to the Convention; *(Revised: 3/8/06)*
- (b) The Board of Directors approves the notification of intention to move for suspension or termination; and
- (c) Such notice is included in the Official Notice of the annual Convention.

**3.2.5.1.1 Cessation of Rights and Privileges.** All rights and privileges of the member shall cease upon any termination or suspension of active membership.

**3.2.5.2 Removal of Accreditation.** If an active member's accreditation is removed by its regional accrediting agency, it shall immediately forfeit its membership in the Association. *(Revised: 1/15/11 effective 8/1/11)*

**3.2.5.3 Failure to Pay Dues.** If an active member fails to pay its annual dues for one year, its membership shall be automatically terminated.

**3.2.5.4 Failure to Satisfy the Academic Performance Program.** A member institution may be placed in a restricted membership category if the institution or its sports team(s) has failed to comply with the established requirements of the academic performance program. (See Bylaw 14.12.) *(Adopted: 4/29/04 effective 8/1/04, Revised: 1/15/11 effective 8/1/11, 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond)*

**3.2.5.5 Failure to Address Institutional Performance Program Problems.** The Committee on Institutional Performance may place a member institution in a restricted membership category if it concludes that the institution has not addressed properly the problems identified pursuant to the institutional performance program of the Association. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/15/11 effective 8/1/11, 1/19/13)*

**3.2.5.6 Reinstatement of Terminated Member.** Any active member whose membership has been terminated (see Constitution 3.2.5.1) may have it reinstated by a two-thirds vote of the members present and voting at any annual Convention.

**3.2.5.7 Reinstatement of Suspended Member.** Any active member whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Board of Directors or by vote of the majority of the members present and voting at any annual Convention.

**3.2.6 Discipline of Active Members.** Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaw 19 for enforcement regulations.)

**3.2.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of the disciplinary action taken, or may be restored to good standing at any time by a majority vote of the members of the Committee on Infractions present and voting. If fewer than eight members are present, any committee action requires a favorable vote of at least four committee members. Disciplined members also may be restored to good standing at the annual Convention, by vote of a majority of the members present and voting.

### 3.3 Member Conference.

#### 3.3.1 Eligibility.

**3.3.1.1 Competitive and Legislative Body.** A member conference shall be both a competitive and a legislative body on the conference level (see Constitution 3.02.1 and 3.02.2). *(Revised: 1/15/11 effective 8/1/11)*

**3.3.1.2 Conference Competition Requirement.** Conference membership is available to duly elected athletics conferences of colleges and universities that conduct conference competition and determine a champion in one or more sports in which the Association conducts championships or for which it is responsible for providing playing rules for intercollegiate competition.

**3.3.1.3 Composition of Conference.** All of the members of the conference shall be active members of Division I or be engaged in the reclassification process pursuant to Bylaw 20.5. *(Revised: 1/11/94 effective 9/2/94, 1/15/11 effective 8/1/11)*

#### 3.3.2 Privileges.

**3.3.2.1 Privileges of Member Conferences.** Member conferences shall be entitled to all of the privileges of active members except the right to compete as such in NCAA championships. A copy of NCAA Champion magazine shall be sent to each member of the NCAA.

**3.3.2.2 Voting Rights.** Only those member conferences that meet the criteria of Bylaw 20.02.5 shall be permitted to vote on issues before the Association. *(Revised: 1/15/11 effective 8/1/11)*

**3.3.2.2.1 Football Issues.** Conference championship competition shall be conducted in football in order for the conference to vote on issues pertaining only to football. *(Revised: 1/15/11 effective 8/1/11)*

**3.3.2.3 Use of Association's Registered Marks.** Member conferences may use the registered marks of the Association (the Association's name, logo or other insignia) only in accordance with guidelines established by the Executive Committee.

#### 3.3.3 Election Procedures.

**3.3.3.1 Application.** An athletics conference desiring to become a member conference shall make application on a form available from the national office by June 1 for membership effective August 1 of the following academic year. A check in the appropriate amount for annual dues (see Constitution 3.7.2) shall accompany the application. Should the applicant fail election, the dues paid shall be refunded. *(Revised: 4/25/02, 1/15/11 effective 8/1/11)*

**3.3.3.2 Election.** Athletics conferences may be elected as member conferences by a majority vote of the delegates present and voting at an annual Convention or by a majority vote of the Board of Directors, effective the following August 1. *(Revised: 4/25/02, 11/1/07 effective 8/1/08, 10/28/10, 1/15/11 effective 8/1/11)*

#### 3.3.4 Conditions and Obligations of Membership.

**3.3.4.1 General.** The member conferences of this Association agree to administer their athletics programs in accordance with the constitution, bylaws and other legislation of the Association.

**3.3.4.2 Institutional Performance Program.** Member conferences shall facilitate the institutional performance program of the Association in accordance with the Association's constitution and bylaws. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

**3.3.4.3 Conference Competition.** Member conferences shall conduct conference competition and determine a champion in one or more sports in which the Association conducts championships or for which it is responsible for providing playing rules for intercollegiate competition.

**3.3.4.4 Officiating.** A multisport conference shall provide oversight of the officiating programs for selecting, training and assigning officials for its men's and women's basketball programs. *(Adopted: 1/15/11 effective 8/1/11)*

**3.3.4.5 Compliance Program.** A multisport conference shall have a comprehensive compliance program. *(Adopted: 1/15/11 effective 8/1/11)*

**3.3.4.6 Conference Student-Athlete Advisory Committee.** Each conference shall establish a student-athlete advisory committee for its member institutions' student-athletes. The composition and duties of the committee shall be determined by the conference. *(Adopted: 10/27/98 effective 8/1/99)*

#### 3.3.4.7 Use of a Student-Athlete's Name or Likeness.

**3.3.4.7.1 Contracts and Commercial Agreements.** For agreements that may involve the use of a student-athlete's name or likeness, a conference shall include language in all licensing, marketing, sponsorship, advertising, broadcast and other commercial agreements that outline the commercial entity's obligation to comply with relevant NCAA legislation, interpretations and policies on the use of a student-athlete's name or likeness. *(Adopted: 1/15/11 effective 8/1/11)*

**3.3.4.7.2 Written Policies.** A conference shall maintain written policies for its licensing, marketing, sponsorship, advertising, broadcast and other commercial agreements that may involve the use of a student-athlete's name or likeness. Such policies shall be made available for examination on request by an NCAA staff member or an authorized representative of the NCAA. *(Adopted: 1/15/11 effective 8/1/11)*



**3.3.4.8 Academic Performance Program.** A conference shall maintain a written policy regarding teams that are subject to a postseason restriction pursuant to the academic performance program (see Bylaw 14.12) with respect to the conference's automatic qualification for postseason/championships and revenue distribution. The policy shall be made available for examination upon request by an NCAA staff member or an authorized representative of the NCAA. *(Adopted: 10/27/11 effective 8/1/12; applicable to postseason competition occurring on or after 8/1/12)*

### **3.3.5 Loss of Member—Conference Status.**

**3.3.5.1 Termination or Suspension.** The membership of any member conference failing to maintain the academic or athletics standards required for membership or failing to meet the conditions and obligations of membership may be suspended or terminated or the member conference otherwise disciplined by a vote of two-thirds of the delegates present and voting at an annual Convention. Membership shall not be suspended or terminated unless:

- (a) Notice of intention to suspend or terminate membership, stating the grounds on which such motion will be based, is given in writing to the secretary of this Association and to the president or chancellor of the member conference on or before the first day of November prior to the Convention; *(Revised: 3/8/06)*
- (b) The Board of Directors approves the notification of intention to move for suspension or termination; and
- (c) Such notice is included in the Official Notice of the annual Convention.

**3.3.5.1.1 Cessation of Rights and Privileges.** All rights and privileges of the member shall cease upon any termination or suspension of conference membership.

**3.3.5.2 Failure to Pay Dues.** If a member conference fails to pay its annual dues for one year, its membership shall be automatically terminated.

**3.3.5.3 Reinstatement of Terminated Member.** Any member conference whose membership has been terminated (see Constitution 3.3.5.1) may have it reinstated by a two-thirds vote of the members present and voting at any annual Convention.

**3.3.5.4 Reinstatement of Suspended Member.** Any member conference whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Board of Directors or by vote of the majority of the members present and voting at any annual Convention.

**3.3.6 Discipline of Member Conferences.** Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaw 19 for enforcement regulations.)

**3.3.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing under the terms of disciplinary action taken or, at the annual Convention, by majority vote of the members present and voting. *(Revised: 10/30/12 effective 8/1/13)*

## **3.4 Affiliated Membership.**

**3.4.1 Eligibility.** Affiliated membership is available to a duly elected coaches or sports association whose function and purpose are directly related to one or more sports in which the NCAA conducts championships or an emerging sport for women. Affiliated membership is also available to an association that consists of college/university administrators and has a direct connection to either the NCAA or its member institutions. *(Revised: 1/11/97, 1/15/11 effective 8/1/11)*

### **3.4.2 Privileges.**

**3.4.2.1 Privileges and Voting Rights.** An affiliated member shall be entitled to be represented by one non-voting delegate at any Convention of the Association and shall have such other privileges as may be accorded to affiliated members by the bylaws of the Association. A copy of NCAA Champion magazine shall be sent to each member of the NCAA.

**3.4.2.2 Use of Association's Registered Marks.** An affiliated member may use the registered marks of the Association (the Association's name, logo or other insignia) only if such use is approved by the NCAA staff in accordance with guidelines established by the Executive Committee. *(Revised: 1/11/97)*

### **3.4.3 Election Procedures.**

**3.4.3.1 Application.** A group or association desiring to become an affiliated member shall make application on a form available from the national office. A check in the appropriate amount for annual dues (see Constitution 3.7.2) shall accompany the application. Should the applicant fail election, the dues paid shall be refunded.

**3.4.3.2 Election.** Groups or associations may be granted affiliated membership by the NCAA staff in accordance with guidelines established by the NCAA president. *(Revised: 11/1/00 effective 8/1/01, 1/15/11 effective 8/1/11)*

### **3.4.4 Conditions and Obligations of Membership.**

**3.4.4.1 General.** An affiliated member is responsible for observing the principles set forth in the constitution and bylaws of the Association.

**3.4.4.2 Function and Purpose.** The function and purpose of the affiliated member must be directly related to one or more sports in which the Association conducts championships or an emerging sport for women, or must be directly related to either the NCAA or its member institutions. *(Revised: 1/15/11 effective 8/1/11)*

### **3.4.5 Loss of Membership.**

**3.4.5.1 Termination or Suspension.** The membership of any affiliated member failing to meet the conditions and obligations of membership or failing to support and adhere to the purposes and policies of the Association (see Constitution 1) may be suspended or terminated or the affiliated member otherwise disciplined through the following procedure:

- (a) The Executive Committee by a two-thirds majority of its members present and voting, may take such action on its own initiative; or *(Adopted: 1/11/89)*
- (b) The Committee on Infractions, by majority vote, may recommend such action to the Executive Committee, which may adopt the recommendation by a two-thirds majority of its members present and voting; and
- (c) The affiliated member shall be advised of the proposed action at least 30 days prior to any Committee on Infractions or Executive Committee meeting in which such action is considered and shall be provided the opportunity to appear at any such meeting.

**3.4.5.1.1 Cessation of Rights and Privileges.** All rights and privileges of the affiliated member shall cease upon any termination or suspension of affiliated membership.

**3.4.5.2 Failure to Pay Dues.** If an affiliated member fails to pay its annual dues for one year, its membership shall be automatically terminated.

**3.4.5.3 Reinstatement of Terminated Member.** Any affiliated member whose membership has been terminated may have it reinstated by a two-thirds vote of the Executive Committee.

**3.4.5.4 Reinstatement of Suspended Member.** Any affiliated member whose membership has been suspended may be reinstated to good standing in accordance with the terms, if any, of the suspension action, or at any time after six months from the date of such suspension, by vote of a majority of the Executive Committee or by vote of the majority of the members present and voting at any annual Convention.

**3.4.6 Discipline of Affiliated Members.** Disciplinary or corrective actions other than suspension or termination of membership may be effected during the period between annual Conventions for violation of NCAA rules. (See Bylaw 19 for enforcement regulations.)

**3.4.6.1 Restoration of Good Standing.** Disciplined members shall resume good standing in accordance with the terms of disciplinary action taken, or may be restored to good standing at any time by a vote of at least three members of the Executive Committee present and voting or, at the annual Convention, by vote of a majority of the members present and voting.

## **3.7 Dues of Members.**

**3.7.1 Determination of Dues.** The annual dues of the various classes of membership shall be recommended to the membership by the Executive Committee. *(Revised: 1/10/90, 12/5/06)*

**3.7.2 Current Annual Dues.** The annual dues for various classes of membership shall be: *(Revised: 12/5/06, 1/15/11 effective 8/1/11)*

Active Members	\$ 1,800.00
Member Conferences	\$ 900.00
Affiliated Members	\$500.00

**3.7.3 Payment Deadline.** Dues are payable September 1 of each year. A member shall not be permitted to vote at a Convention of the Association if its dues are not paid for that year. To be eligible to compete in NCAA championships, dues shall be paid in accordance with Bylaw 31.2.1.2. Membership is terminated if a member fails to pay dues for one year (see Constitution 3.2.5.3, 3.3.5.2 and 3.4.5.2).



# Organization

## 4.01 General Principles.

**4.01.1 Structure. [\*]** The Association’s administrative structure shall include an Executive Committee comprised of institutional presidents or chancellors that oversees Association-wide issues and shall ensure that each division operates consistent with the basic purposes, fundamental policies and general principles of the Association (see Constitution 1 and 2). In addition, the administrative structure of each division shall empower a body of institutional presidents or chancellors to set forth the policies, rules and regulations for operating the division. Further, the administrative structure of each division shall empower a body of athletics administrators and faculty athletics representatives (and in Division III, institutional presidents and chancellors) to make recommendations to the division’s body of institutional presidents or chancellors and to handle responsibilities delegated to it. *(Adopted: 1/9/96 effective 8/1/97, Revised: 3/8/06)*

**4.01.2 Guarantees. [\*]** The Association’s overall governance structure guarantees its members the following: *(Adopted: 1/9/96 effective 8/1/97)*

**4.01.2.1 Budget Allocations. [\*]** Members are guaranteed revenue through allocations made to each division from the Association’s general operating revenue. Division II shall receive at least 4.37 percent of the Association’s annual general operating revenue. Division III shall receive at least 3.18 percent of the Association’s annual general operating revenue. *(Adopted: 1/9/96 effective 8/1/97)*

**4.01.2.1.1 General Operating Revenue. [\*]** General operating revenue, as used in this section, shall include at least all sources of revenue existing as of January 9, 1996, including revenue from contracts for these existing sources and revenue from any modified, extended or successor contract for such sources. *(Adopted: 1/9/96 effective 8/1/97)*

**4.01.2.2 Revenue Guarantee. [◆]** All members shall receive revenue from all gross revenue sources received by the Association, unless specifically excluded, through the division’s revenue distribution formulas. *(Adopted: 1/9/96 effective 8/1/97)*

**4.01.2.2.1 Revenue From New Subdivision Championship. [◆]** This provision shall not apply to the distribution of revenue produced directly by a new subdivisional championship in a sport that has a subdivisional championship at the time of the adoption of this legislation. Any revenue produced by such a new subdivisional championship shall be distributed as determined by that subdivision. *(Adopted: 1/9/96 effective 8/1/97)*

**4.01.2.2.2 Revenue Distribution Formula. [◆]** As used in this section, the components of the division’s revenue distribution formulas as they existed at the time of the adoption of this legislation include the Academic Enhancement, Basketball, Conference Grant, Grant-in-Aid, Special Assistance, and Sports Sponsorship funds, and the supplemental and reserve funds intended for distribution to the membership. *(Adopted: 1/9/96 effective 8/1/97)*

**4.01.2.2.2.1 Proportion of Revenue. [◆]** The revenue distributed through these funds shall be allocated among the funds in the same proportion as existed in the fiscal year 2001-02. *(Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97)*

**4.01.2.2.2.2 Formula for Allocation. [◆]** The formula for allocating each such fund among the members shall be as it existed at the time of the adoption of this legislation. *(Adopted: 1/9/96 effective 8/1/97)*

**4.01.2.2.2.3 Waiver of Proportionality Requirement.** The Board of Directors may waive the proportionality requirements of the revenue guarantee to permit uniform increases to all programs in the Academic Enhancement, Conference Grant and Special Assistance funds. *(Adopted: 1/14/97 effective 8/1/97)*

**4.01.2.2.3 Joint Ventures.** All marketing joint ventures, involving sports (other than bowl subdivision football) in which the NCAA sponsored a championship as of January 15, 1997, between the Association (or the Association’s representative or agent) and a member conference or member institution (or the representative or agent of a member institution or conference) shall be reviewed by the Leadership Council. *(Adopted: 1/14/97 effective 8/1/97, Revised: 12/15/06, 11/1/07 effective 8/1/08)*



**4.01.2.2.3.1 Definition.** A marketing joint venture is any marketing program that uses the Association's marks or logos in conjunction with those of a conference or member institution. *(Adopted: 1/14/97 effective 8/1/97)*

**4.01.2.2.3.2 Approval Process.** The principles and overall program of any joint venture defined in Constitution 4.01.2.2.3.1 shall require the approval of the Leadership Council and Board of Directors to be enacted as an Association business operation. *(Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08)*

**4.01.2.3 Championships. [\*]** Members are guaranteed access to national championships. *(Adopted: 1/9/96 effective 8/1/97)*

**4.01.2.3.1 Championships Access. [◆]** Members are guaranteed access to national championships (including the play-in structure in certain championships, sizes of championship fields and the number and ratio of automatic qualifying conferences) at least at the level provided as of January 9, 1996. *(Adopted: 1/9/96 effective 8/1/97, Revised: 12/15/06)*

**4.01.2.3.2 Championships—Sports Other Than Football. [◆]** With the exception of football, not more than one national championship shall be conducted in each men's and women's sport. *(Adopted: 1/14/97 effective 8/1/97)*

**4.01.2.4 Membership Services. [\*]** Members are guaranteed services provided through the Association's national office at least at the level provided as of January 9, 1996 (e.g., membership services, statistics, research). *(Adopted: 1/9/96 effective 8/1/97)*

**4.01.2.5 Special Programs. [\*]** Members are guaranteed the continuation of Association programs operating at the time of the adoption of this legislation (e.g., the catastrophic-injury insurance program, the drug-testing program, the Division I institutional performance program). In addition, members are guaranteed the continuation of Association programs that were considered by the NCAA Council or Presidents Commission by the spring of 1995 and began operating after the adoption of this legislation. *(Adopted: 1/9/96 effective 8/1/97, Revised: 1/19/13)*

## **4.02 Definitions and Applications.**

**4.02.1 Association. [\*]** The "Association," as used in this Manual, refers to the National Collegiate Athletic Association, a diverse, voluntary, unincorporated Association of four-year colleges and universities, conferences, affiliated associations and other educational institutions. *(Adopted: 1/9/96 effective 8/1/97)*

**4.02.2 Faculty Athletics Representative.** A faculty athletics representative is a member of an institution's faculty or administrative staff who is designated by the institution's president or chancellor or other appropriate entity to represent the institution and its faculty in the institution's relationships with the NCAA and its conference(s), if any (see also Constitution 6.1.3). *(Revised: 3/8/06)*

**4.02.3 "On the Staff."** "On the staff," as it applies to individuals from member institutions or conferences who are eligible to serve on committees or as officers or representatives of the Association, is defined as those individuals who receive a regular salary from a member institution or organization for the performance of a regular staff function representing at least 50 percent of the normal workload for a staff member at that institution or conference. In addition, a conference office staff member must be employed at one of the multisport conferences set forth in Constitution 4. An individual on sabbatical or other temporary leave for a period not exceeding 12 consecutive months may be considered to be "on the staff" of an institution or organization. An individual on terminal leave or on leave in excess of 12 consecutive months shall not be considered to be "on the staff." *(Revised: 10/31/02 effective 8/1/03)*

### **4.02.4 Senior Woman Administrator.**

**4.02.4.1 Institutional Senior Woman Administrator. [#]** An institutional senior woman administrator is the highest-ranking female involved in the management of an institution's intercollegiate athletics program. An institution with a female director of athletics may designate a different female involved with the management of the member's program as a fifth representative to the NCAA governance structure. *(Adopted: 11/1/01 effective 8/1/02, Revised: 10/27/05)*

**4.02.4.2 Conference Senior Woman Administrator.** A conference senior woman administrator is the highest-ranking female involved with the conduct and policy processes of a member conference's office. A conference with a female commissioner may designate a different female involved with the management of the conference as a representative to the NCAA governance structure. *(Adopted: 11/1/01 effective 8/1/02, Revised: 10/27/05)*

**4.02.5 Gender and Diversity Requirements.** The Board of Directors membership shall include at least one person who is an ethnic minority and at least one person of each gender, and a single member shall not be considered to meet both minimums. The combined membership of the Leadership Council, Legislative Council and Championships/Sports Management Cabinet shall include representatives who comprise at least 20 percent of persons who are ethnic minorities and at least 35 percent of persons of each gender. The combined membership of the Academic Cabinet; Administration Cabinet; Agents and Amateurism Cabinet; Awards, Benefits, Expenses

and Financial Aid Cabinet; and Recruiting and Athletics Personnel Issues Cabinet shall include representatives that comprise at least 20 percent of persons who are ethnic minorities and at least 35 percent of persons of each gender. *(Adopted: 11/1/07 effective 8/1/08)*

#### **4.02.6 Selection/Term of Office of Board of Directors, Leadership Council and Legislative Council.**

**4.02.6.1 Selection.** Members of the Board of Directors, Leadership Council and Legislative Council shall be selected by the constituencies that they represent. Each membership unit (e.g., conference) that is authorized to select or nominate individuals must have a plan to assure diversity among these individuals. *(Adopted: 11/1/07 effective 8/1/08)*

**4.02.6.1.1 Selection Process—Board of Directors.** In order to assure that the requirements for diversity of membership (as set forth in Constitution 4.02.5) are met, the following process shall be used: *(Adopted: 11/1/07 effective 8/1/08)*

- (a) The conferences represented in each subdivision (as identified in Constitution 4.2.1), shall review the open positions in the subdivision and shall attempt to coordinate the conference selections to assure adequate diversity in the subdivision's representatives.
- (b) The Board of Directors shall review the selections from each subdivision to assess the diversity of those selections. If the Board of Directors does not approve the diversity of the selections of a subdivision, it shall ask the subdivision to reconsider the selections and report any changes.
- (c) If, after reconsideration, the Board of Directors still does not agree that the selections of any subdivision are adequately diverse, it shall direct each conference that has made a selection in that subdivision to provide a report on the diversity, qualifications and willingness to serve of the chancellors or presidents in the conference. The report shall be forwarded to a subcommittee of the Executive Committee. The subcommittee shall analyze the availability and alter selections as warranted to achieve adequate diversity.
- (d) In the event a conference fails to satisfy Football Bowl Subdivision membership criteria, the conference shall lose its designated seat on the Board of Directors and be removed from Football Bowl Subdivision classification in the governance structure. The Board of Directors shall be authorized to determine the manner by which the unallocated Football Bowl Subdivision position is assigned.

**4.02.6.1.2 Selection Process—Leadership Council, Legislative Council and Championships/Sports Management Cabinet.** In order to assure that the requirements for diversity of membership (as set forth in Constitution 4.02.5) are met, the following process shall be used: *(Adopted: 11/1/07 effective 8/1/08)*

- (a) The conferences represented in the Football Bowl Subdivision shall review together the open positions and shall attempt to coordinate the conference selections to assure adequate diversity in the subdivision's representatives. The conferences represented in the Football Championship Subdivision and Division I Subdivision shall review together the open positions in those subdivisions and shall attempt to coordinate the conference selections to assure adequate diversity in the representatives.
- (b) The Board of Directors shall review the selections to assess the diversity of the selections. If the Board of Directors does not approve the diversity of the selections, it shall ask the subdivision(s) to reconsider the selections and report any changes.
- (c) If, after reconsideration, the Board of Directors still does not agree that the selections are adequately diverse, it shall direct each conference that has made a selection in the subdivision(s) to select a slate of four individuals qualified to serve on the particular council or cabinet, including at least one woman and one ethnic minority, and the Board of Directors shall make the selection for service.

#### **4.02.6.2 Term of Office.**

**4.02.6.2.1 Board of Directors.** The term of office for the members of the Board of Directors shall be as follows: *(Adopted: 11/1/07 effective 8/1/08)*

- (a) Football Bowl Subdivision members shall serve a four-year term. Football Bowl Subdivision members of the Board of Directors are not eligible for immediate re-election;
- (b) The Football Championship Subdivision and Division I Subdivision conferences shall be authorized to determine the term of office of their members, not to exceed four consecutive years in length. Further, after completing a term, Football Championship Subdivision and Division I Subdivision members of the Board of Directors may not serve again for two years;
- (c) A conference may remove its representative during a term of office;
- (d) The terms of service of Board of Directors members shall expire on a staggered basis to provide for continuity. Members may be appointed for less than full terms; and
- (e) Board of Directors members who serve more than one-half of a term shall be considered to have served a full term.

**4.02.6.2.2 Leadership Council and Legislative Council.** The term of office for the Leadership Council and Legislative Council shall be as follows: *(Adopted: 11/1/07 effective 8/1/08)*

- (a) Members shall serve a four-year term. Members are not eligible for immediate re-appointment;
- (b) A conference may remove its representative during a term;
- (c) The terms of office of Football Bowl Subdivision positions and Football Championship Subdivision and Division I Subdivision positions shall expire on a staggered basis to provide for continuity. Members may be appointed for less than full terms; and
- (d) Members who serve more than one-half of a term shall be considered to have served a full term.

**4.02.6.3 Institution's Membership in Different Subdivision.** An institution's representative to the Board of Directors, Leadership Council and Legislative Council is eligible to serve on behalf of the multisport conference in which the institution holds membership, even if the institution's NCAA membership is in a different subdivision. *(Adopted: 11/1/07 effective 8/1/08)*

## **4.1 Executive Committee. [\*]**

**4.1.1 Composition. [\*]** The Executive Committee shall consist of 20 members. The NCAA president and the chairs of the Division I Leadership Council and the Division II and Division III Management Councils shall be ex officio nonvoting members, except that the NCAA president is permitted to vote in the case of a tie among the voting members of the Executive Committee present and voting. The other 16 voting members of the Executive Committee shall include: *(Adopted: 1/9/96 effective 8/1/97, Revised: 3/8/06, 11/1/07 effective 8/1/08)*

- (a) Eight chancellors or presidents from the Division I Board of Directors from Football Bowl Subdivision institutions; *(Revised: 3/8/06, 12/15/06)*
- (b) Two chancellors or presidents from the Division I Board of Directors from Football Championship Subdivision institutions; *(Revised: 3/8/06, 12/15/06)*
- (c) Two chancellors or presidents from the Division I Board of Directors from Division I Subdivision institutions; *(Revised: 3/8/06, 12/15/06)*
- (d) Two Division II chancellors or presidents from the Division II Presidents Council; and *(Revised: 3/8/06)*
- (e) Two Division III chancellors or presidents from the Division III Presidents Council. *(Revised: 3/8/06)*

**4.1.2 Duties and Responsibilities. [\*]** The Executive Committee shall: *(Adopted: 1/9/96 effective 8/1/97)*

- (a) Provide final approval and oversight of the Association's budget;
- (b) Employ the NCAA president, who shall be administratively responsible to the Executive Committee and who shall be authorized to employ such other persons as may be necessary to conduct efficiently the business of the Association; *(Revised: 3/8/06)*
- (c) Provide strategic planning for the Association as a whole;
- (d) Identify core issues that affect the Association as a whole;
- (e) Act on behalf of the Association by adopting and implementing policies to resolve core issues and other Association-wide matters; *(Revised: 1/12/08)*
- (f) Initiate and settle litigation;
- (g) Convene at least one combined meeting per year of the three divisional presidential governing bodies;
- (h) Convene at least one same-site meeting per year of the Division I Legislative Council and the Division II and Division III Management Councils;
- (i) Forward proposed amendments to Constitution 1 and 2 and other dominant legislation to the entire membership for a vote;
- (j) Call for a vote of the entire membership on the action of any division that it determines to be contrary to the basic purposes, fundamental policies and general principles set forth in the Association's constitution. This action may be overridden by the Association's entire membership by a two-thirds majority vote of those institutions voting;
- (k) Call for an annual or special Convention of the Association;
- (l) Review and coordinate the catastrophic-injury and professional career insurance (disabling injury/illness) programs; and *(Adopted: 8/5/99)*
- (m) Compile the names of those individuals associated with intercollegiate athletics who died during the year immediately preceding the annual Convention. *(Adopted: 11/1/01)*

### **4.1.3 Election/Term of Office. [\*]**

**4.1.3.1 Election. [\*]** Division I members of the Executive Committee shall be appointed by the Division I Board of Directors. Divisions II and III members of the Executive Committee shall be appointed by the Divisions II and III Presidents Councils, respectively. *(Adopted: 1/9/96 effective 8/1/97)*

**4.1.3.2 Terms. [\*]** The terms of service of members of the Executive Committee shall coincide with their service on the applicable divisional presidential governing body, unless otherwise specified by that governing body. *(Adopted: 1/9/96 effective 8/1/97)*

**4.1.3.3 Committee Chair. [\*]** The Executive Committee shall elect one of its members to serve for a two-year period as chair. *(Adopted: 1/9/96 effective 8/1/97)*

## 4.2 Division I Board of Directors.

**4.2.1 Composition.** Giving due weight to gender and ethnic diversity per Constitution 4.02.5, the Board of Directors shall include 18 members and shall be comprised of presidents or chancellors. The members of the Board shall include: *(Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97, 8/5/99, 4/24/03, 11/1/07 effective 8/1/08, 5/2/13 effective 8/1/13)*

(a) One institutional president or chancellor from each of the following 11 conferences:

- |                                   |                                   |
|-----------------------------------|-----------------------------------|
| (1) American Athletic Conference; | (7) Mountain West Conference;     |
| (2) Atlantic Coast Conference;    | (8) Pac-12 Conference;            |
| (3) Big Ten Conference;           | (9) Southeastern Conference;      |
| (4) Big 12 Conference;            | (10) Sun Belt Conference; and     |
| (5) Conference USA;               | (11) Western Athletic Conference. |
| (6) Mid-American Conference;      |                                   |

(b) Seven institutional presidents or chancellors from among the following conferences:

- |  |  |
|--|--|
| (1) America East Conference;             | (12) Mid-Eastern Athletic Conference;  |
| (2) Atlantic Sun Conference;             | (13) Missouri Valley Conference;       |
| (3) Atlantic 10 Conference;              | (14) Northeast Conference;             |
| (4) Big East Conference;                 | (15) Ohio Valley Conference;           |
| (5) Big Sky Conference;                  | (16) Patriot League;                   |
| (6) Big South Conference;                | (17) Southern Conference;              |
| (7) Big West Conference;                 | (18) Southland Conference;             |
| (8) Colonial Athletic Association;       | (19) Southwestern Athletic Conference; |
| (9) Horizon League;                      | (20) The Summit League; or             |
| (10) The Ivy League;                     | (21) West Coast Conference.            |
| (11) Metro Atlantic Athletic Conference; |  |

**4.2.1.1 Conference Representation.** No conference listed in Constitution 4.2.1-(b) may have more than one conference representative serving on the Board of Directors simultaneously. *(Adopted: 1/9/96 effective 8/1/97, Revised: 8/5/99, 12/15/06)*

**4.2.1.2 Increase or Decrease.** The number of Board members from each category set forth in Constitution 4.2.1-(a) and 4.2.1-(b) shall remain the same regardless of an increase or decrease in the number of voting member conferences. *(Adopted: 1/9/96 effective 8/1/97, Revised: 8/5/99)*

**4.2.1.3 Rotation of Representatives.** The rotation of Board of Directors conference representatives among the conferences listed in Constitution 4.2.1-(b), shall be developed, maintained and revised by those conferences. *(Adopted: 1/14/97 effective 8/1/97, Revised: 12/15/06)*

**4.2.2 Duties and Responsibilities.** The Board of Directors shall: *(Adopted: 1/9/96 effective 8/1/97, Revised: 8/7/03)*

- (a) Establish and direct general policy;
- (b) Establish a strategic plan;
- (c) Adopt or defeat legislative proposals independent of the Legislative Council (e.g., emergency, noncontroversial or other proposals sponsored by the Board); *(Revised: 11/1/07 effective 8/1/08)*
- (d) At its discretion, ratify, amend or defeat legislation adopted by the Legislative Council (see Constitution 5.3.2); *(Revised: 11/1/07 effective 8/1/08)*
- (e) Delegate to the Leadership Council or Legislative Council responsibilities for specific matters it deems appropriate; *(Revised: 11/1/07 effective 8/1/08)*
- (f) Appoint members of the NCAA Division I Committee on Infractions and the Division I Infractions Appeals Committee; *(Adopted: 11/1/07 effective 8/1/08)*
- (g) Review and approve policies and procedures governing the enforcement program; *(Adopted: 11/1/07 effective 8/1/08)*
- (h) Ratify, amend or rescind the actions of the Leadership Council or Legislative Council; *(Revised: 11/1/07 effective 8/1/08)*



- (i) Assure that there is gender and ethnic diversity among its membership and the membership of each of the other bodies in the administrative structure; *(Revised: 11/1/07 effective 8/1/08)*
- (j) Require bodies in the administrative structure to alter (but not expand) their membership to achieve diversity;
- (k) Approve an annual budget;
- (l) Approve regulations providing for the expenditure of funds and the distribution of income consistent with the provisions of Constitution 4.01.2.2;
- (m) Approve regulations providing for the administration of championships;
- (n) Advise the Executive Committee concerning the employment of the NCAA president and concerning the oversight of his or her employment; *(Revised: 3/8/06)*
- (o) Be responsible for the administration, compilation and disclosure of information concerning the Academic Progress Rate (APR) and Academic Performance Census (APC); and *(Adopted: 8/7/03 effective 8/1/04)*
- (p) Elect institutions to active Division I membership. *(Adopted: 10/28/10)*

**4.2.3 Voting Method.** The method of voting on issues considered by the Board of Directors shall be by roll call, except for those actions taken by the unanimous consent of the Board members present and voting. Roll-call vote results shall be reported to the membership. *(Adopted: 1/9/96 effective 8/1/97)*

## 4.5 Division I Leadership Council.

**4.5.1 Composition.** Giving due weight to gender and ethnic diversity per Constitution 4.02.5, the Leadership Council shall include 32 members and shall be comprised of athletics administrators (e.g., athletics directors, senior woman administrators, assistant athletics directors, conference administrators), faculty athletics representatives and institutional administrators to whom athletics departments report or who have other significant duties regarding athletics. The members of the Leadership Council shall include: *(Adopted: 11/1/07 effective 8/1/08, Revised: 5/2/13 effective 8/1/13)*

- (a) One administrator or representative (who shall have three votes) from each of the following seven conferences:
 

(1) American Athletic Conference;	(5) Conference USA;
(2) Atlantic Coast Conference;	(6) Pac-12 Conference; and
(3) Big Ten Conference;	(7) Southeastern Conference.
(4) Big 12 Conference;	
- (b) One administrator or representative (who shall have 1.5 votes) from each of the following four conferences:
 

(1) Mid-American Conference;	(3) Sun Belt Conference; and
(2) Mountain West Conference;	(4) Western Athletic Conference.
- (c) One administrator or representative (who shall have 1.14 votes) from each of the following conferences:
 

(1) America East Conference;	(12) Mid-Eastern Athletic Conference;
(2) Atlantic Sun Conference;	(13) Missouri Valley Conference;
(3) Atlantic 10 Conference;	(14) Northeast Conference;
(4) Big East Conference;	(15) Ohio Valley Conference;
(5) Big Sky Conference;	(16) Patriot League;
(6) Big South Conference;	(17) Southern Conference;
(7) Big West Conference;	(18) Southland Conference;
(8) Colonial Athletic Association;	(19) Southwestern Athletic Conference;
(9) Horizon League;	(20) The Summit League; and
(10) The Ivy League;	(21) West Coast Conference.
(11) Metro Atlantic Athletic Conference;	

**4.5.2 Duties and Responsibilities.** The Leadership Council shall: *(Adopted: 11/1/07 effective 8/1/08)*

- (a) Take final action on matters delegated to it by the Board of Directors;
- (b) Make recommendations to the Board of Directors on matters that it deems appropriate;
- (c) Suggest policies to the Board of Directors that are necessary to ensure proper management;
- (d) Review recommendations of the substructure;
- (e) Oversee the appointment of the members of the substructure (e.g., cabinets and committees);
- (f) Recommend fiscal, competitive, academic and championships policies to the Board of Directors and the substructure;
- (g) Oversee Division I membership requirements and processes;
- (h) Advise the Board of Directors on the performance of the NCAA president;
- (i) Coordinate strategic planning activities;

- (j) Identify and examine trends and issues of intercollegiate athletics;
- (k) In conjunction with the Minority Opportunities and Interests Committee, review issues related to the interests of ethnic minority student-athletes, NCAA minority programs and NCAA policies that affect ethnic minorities; and
- (l) In conjunction with the Committee on Women's Athletics, study and make policy recommendations concerning opportunities for women in athletics at the institutional, conference and national levels, and other issues directly affecting women's athletics.

**4.5.3 Chair.** The Leadership Council shall elect one of its members to serve for a period of not more than two years as chair. The chair shall not be eligible for immediate re-election to that position. At least once in every three chair rotations, a Football Championship Subdivision or Division I Subdivision member shall serve as chair. *(Adopted: 11/1/07 effective 8/1/08)*

## 4.6 Division I Legislative Council.

**4.6.1 Composition.** Giving due weight to gender and ethnic diversity per Constitution 4.02.5, the Legislative Council shall include 32 members and shall be comprised of athletics administrators (e.g., athletics directors, senior woman administrators, assistant athletics directors, conference administrators), faculty athletics representatives and institutional administrators to whom athletics departments report or who have other significant duties regarding athletics. The members of the Legislative Council shall include: *(Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97, 8/5/99, 4/24/03, 12/15/06, 11/1/07 effective 8/1/08, 5/2/13 effective 8/1/13)*

- (a) One administrator or representative (who shall have three votes) from each of the following seven conferences:
 

(1) American Athletic Conference;	(5) Conference USA;
(2) Atlantic Coast Conference;	(6) Pac-12 Conference; and
(3) Big Ten Conference;	(7) Southeastern Conference.
(4) Big 12 Conference;	
- (b) One administrator or representative (who shall have 1.5 votes) from each of the following four conferences: *(Revised: 8/5/99, 4/24/03)*

(1) Mid-American Conference;	(3) Sun Belt Conference; and
(2) Mountain West Conference;	(4) Western Athletic Conference.
- (c) One administrator or representative (who shall have 1.14 votes) from each of the following conferences:
 

(1) America East Conference;	(12) Mid-Eastern Athletic Conference;
(2) Atlantic Sun Conference;	(13) Missouri Valley Conference;
(3) Atlantic 10 Conference;	(14) Northeast Conference;
(4) Big East Conference;	(15) Ohio Valley Conference;
(5) Big Sky Conference;	(16) Patriot League;
(6) Big South Conference;	(17) Southern Conference;
(7) Big West Conference;	(18) Southland Conference;
(8) Colonial Athletic Association;	(19) Southwestern Athletic Conference;
(9) Horizon League;	(20) The Summit League; and
(10) The Ivy League;	(21) West Coast Conference.
(11) Metro Atlantic Athletic Conference;	

**4.6.2 Duties and Responsibilities.** The Legislative Council shall: *(Adopted: 1/9/96 effective 8/1/97, Revised: 11/1/07 effective 8/1/08)*

- (a) Serve as the division's primary legislative authority, subject to review by the Board of Directors (see Constitution 5.3.2); *(Revised: 11/1/07 effective 8/1/08)*
- (b) Develop educational materials regarding pending legislation;
- (c) Take final action on matters delegated to it by the Board of Directors; *(Revised: 11/1/07 effective 8/1/08)*
- (d) Make interpretations of the bylaws; and
- (e) Review the recommendations of the substructure.

**4.6.3 Chair.** The Legislative Council shall elect one of its members to serve for a period of not more than two years as chair. The chair shall not be eligible for immediate re-election to that position. At least once in every three chair rotations, a Football Championship Subdivision or Division I Subdivision representative shall serve as chair. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/27/00 effective 8/1/00, 11/1/01, 12/15/06, 11/1/07 effective 8/1/08)*

**4.6.4 Voting Method.** The method of voting on issues considered by the Legislative Council shall be by roll call, except for actions taken by the unanimous consent of the members present and voting. Roll-call vote results of legislative actions shall be reported to the membership and shall include a record of each member's vote. The

overall outcomes of votes on other matters shall be reported to the membership, but neither the vote count nor individual votes shall be reported. *(Adopted: 1/9/96 effective 8/1/97, Revised: 11/1/07 effective 8/1/08, 10/28/10)*

#### **4.6.5 Administrative Committee.**

**4.6.5.1 Composition and Selection.** The Administrative Committee of the Legislative Council shall consist of four members of the Legislative Council, including two representatives of Football Bowl Subdivision conferences, one representative of Football Championship Subdivision conferences and one representative of Division I Subdivision conferences. *(Adopted: 1/14/97 effective 8/1/97, Revised: 8/10/00, 12/15/06, 11/1/07 effective 8/1/08)*

**4.6.5.2 Duties.** The Administrative Committee is empowered to act on behalf of the Legislative Council to transact necessary and routine items of business clearly necessary to promote the normal and orderly administration in the interim between meetings of the Legislative Council. *(Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08)*

**4.6.5.3 Approval.** All actions of the Administrative Committee shall be effective immediately and shall be reported to and subject to approval of the full Legislative Council. *(Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08)*

**4.6.6 Football Championship Subdivision Governance Committee.** The Football Championship Subdivision Governance Committee of the Division I Legislative Council shall have the authority to act on behalf of the Football Championship Subdivision members of the Legislative Council on legislative issues specific to the subdivision in accordance with Constitution 5.3.2.2.6 (see Constitution 5.1.4.3.4). *(Adopted: 1/14/97 effective 8/1/97, Revised: 12/15/06, 11/1/07 effective 8/1/08)*

**4.6.6.1 Composition.** The Football Championship Subdivision Governance Committee shall consist of the following: *(Adopted: 1/14/97 effective 8/1/97, Revised: 10/31/02, 12/15/06)*

(a) The members of the Legislative Council from the Football Championship Subdivision conferences listed in Constitution 4.6.1-(c) that are composed of at least six football-sponsoring members; however, if a Football Championship Subdivision conference representative is not from an institution that sponsors football in the subdivision, the conference may appoint another representative from an institution that sponsors football in that conference; *(Revised: 1/8/01, 10/31/02, 12/15/06, 11/1/07 effective 8/1/08)*

(b) A representative selected by the conference from each Football Championship Subdivision conference listed in Constitution 4.6.1-(c) that is composed of at least six football-sponsoring members and does not have a representative on the Legislative Council; and *(Revised: 10/31/02, 12/15/06, 11/1/07 effective 8/1/08)*

(c) A representative selected by the conference from each Football Championship Subdivision conference not listed in Constitution 4.6.1-(c), provided: *(Revised: 12/15/06, 11/1/07 effective 8/1/08)*

(1) The conference consists of at least six championship subdivision football-sponsoring members (regular or affiliate members of the conference) that play a single round-robin schedule that leads to the declaration of a conference football champion; and *(Revised: 12/15/06)*

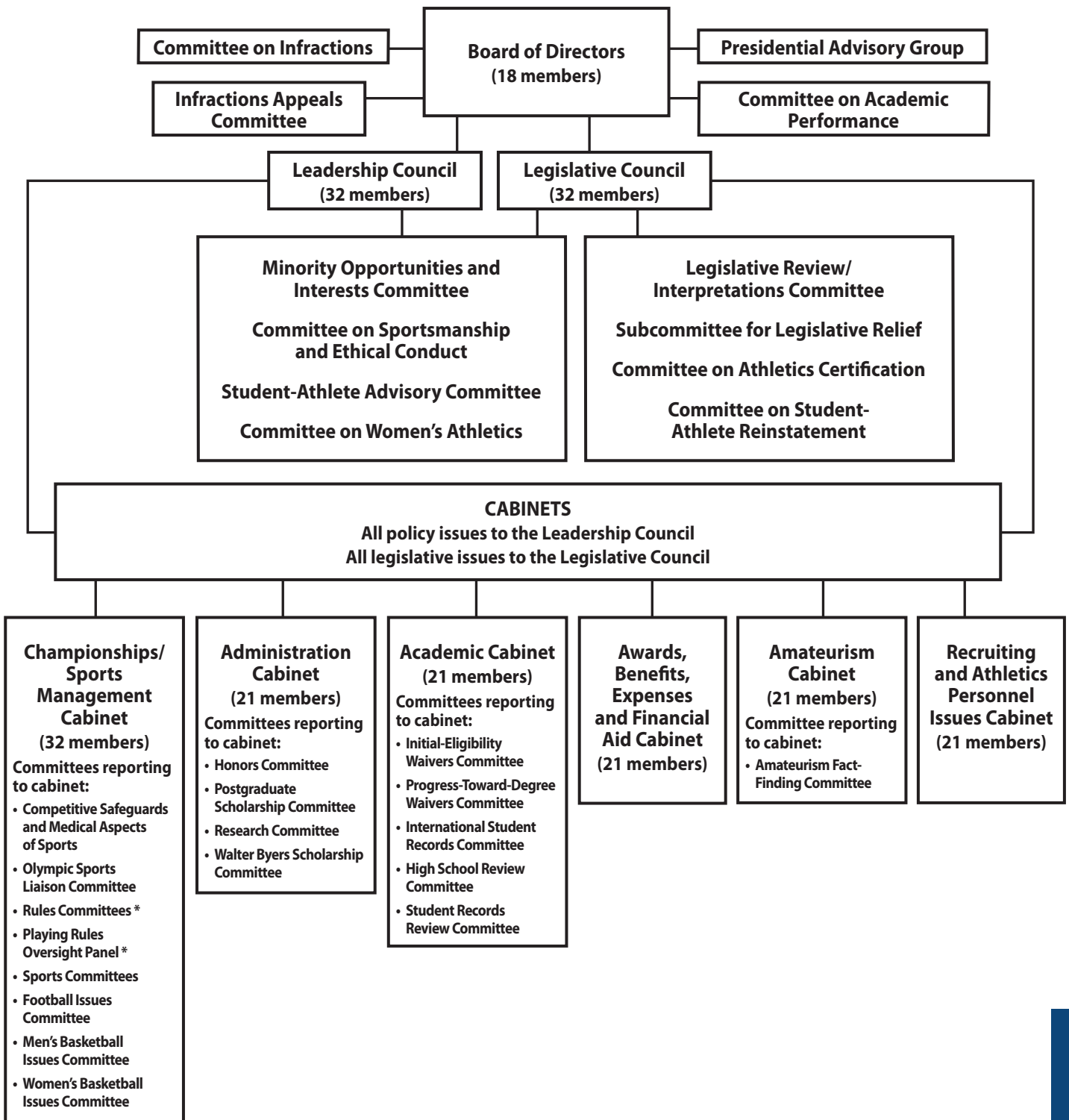
(2) The affairs of the conference are administered by a conference listed in Constitution 4.6.1-(a), 4.6.1-(b) or 4.6.1-(c). *(Revised: 12/15/06, 11/1/07 effective 8/1/08)* A Football Championship Subdivision conference represented on the committee that falls below the six-member requirement may continue to be represented on the committee for a one-year time period. Such a conference no longer shall be represented on the committee after the one-year period unless it is composed of at least six championship subdivision football-sponsoring members. Further, a Football Championship Subdivision conference that sponsors football and plays a single round-robin schedule that leads to the declaration of a conference football champion, but does not meet the six-member requirement, shall be permitted to have its Legislative Council member attend the Football Championship Subdivision Governance Committee meeting in a nonvoting capacity. *(Adopted: 10/31/02, Revised: 12/15/06, 11/1/07 effective 8/1/08)*

**4.6.6.2 Administration.** The administration and actions of the Football Championship Subdivision Governance Committee shall be governed by Legislative Council policies and procedures. *(Adopted: 1/14/97 effective 8/1/97, Revised: 12/15/06, 11/1/07 effective 8/1/08)*

## **4.9 Committees/Cabinets. [\*]**

The bylaws shall provide for the establishment of such a governance substructure (e.g., cabinets, committees) as each division considers necessary. The number of members and tenure of each division's governance substructure shall be stipulated in Bylaw 21. *(Adopted: 1/9/96 effective 8/1/97)*

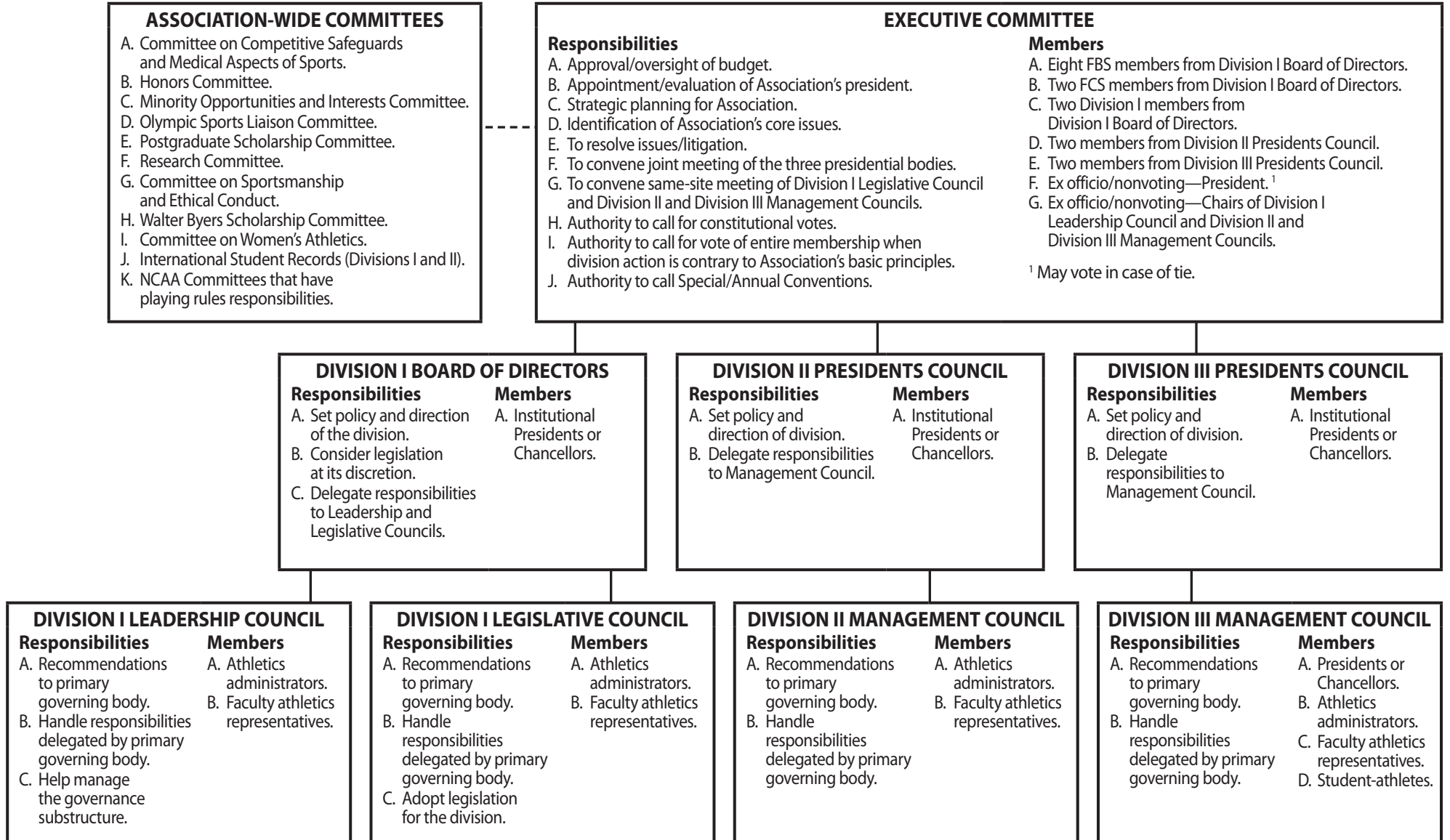
**FIGURE 4-1**  
**Division I Governance Structure**



\*For information purposes.



**FIGURE 4-2**  
**Association Governance Structure**



## CONSTITUTION, ARTICLE 5

# Legislative Authority and Process

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### 5.01 General Principles. [\*]

**5.01.1 Basis of Legislation. [\*]** All legislation of the Association that governs the conduct of the intercollegiate athletics programs of its member institutions shall be adopted by the membership in Convention assembled, or by the divisional governance structures as set forth in Constitution 4, as determined by the constitution and bylaws governing each division, and shall be consistent with the purposes and fundamental policy set forth in Constitution 1, and shall be designed to advance one or more principles such as those set forth in Constitution 2. *(Revised: 1/9/96 effective 8/1/97)*

**5.01.2 Approaches to Legislative Process. [\*]** The membership of the Association recognizes that certain fundamental polices, practices and principles have applicability to all members, while others are applicable to division groupings of members, based on a common philosophy shared among the individual members of the division and on special policies and concerns that are common to the nature and purposes of the institutions in the division. *(Revised: 1/9/96 effective 8/1/97)*

### 5.02 Definitions and Applications.

#### 5.02.1 Legislative (Constitution and Bylaw) Provisions.

**5.02.1.1 Dominant. [\*]** A dominant provision is a regulation that applies to all members of the Association and is of sufficient importance to the entire membership that it requires a two-thirds majority vote of all delegates present and voting in joint session at an annual or special Convention. Dominant provisions are identified by an asterisk (\*).

**5.02.1.2 Division Dominant. [\*]** A division dominant provision is a regulation that applies to all members of a division and is of sufficient importance to the division that it requires a two-thirds majority vote of all delegates present and voting at a division's annual or special Convention. Division dominant provisions are identified by the diamond symbol (◆). *(Revised: 1/9/96 effective 8/1/97)*

**5.02.1.3 Common. [\*]** A common provision is a regulation that applies to more than one of the divisions of the Association. A common provision shall be adopted by each of the applicable divisions, acting separately pursuant to the divisional legislative process described in Constitution 5.3, and must be approved by all applicable divisions to be effective. Common provisions are identified by the pound sign (#). *(Adopted: 1/14/97 effective 8/1/97)*

**5.02.1.4 Federated. [\*]** A federated provision is a regulation adopted by a majority vote of the delegates present and voting of one or more of the divisions or subdivisions of the Association, acting separately pursuant to the divisional legislative process described in Constitution 5.3. Such a provision applies only to the division(s) or subdivision(s) that adopts it. *(Revised: 1/9/96 effective 8/1/97)*

**5.02.1.5 Football Championship Subdivision Dominant. [FCSD]** A Football Championship Subdivision dominant provision is a regulation that applies only to the Football Championship Subdivision and is of sufficient importance to the subdivision that it requires a two-thirds majority vote for adoption or to be amended pursuant to the legislative process set forth in Constitution 5.3. Football Championship Subdivision dominant provisions are identified by the initialization FCSD. *(Adopted: 1/15/11)*

### 5.1 Conventions and Meetings.

#### 5.1.1 Authorization.

**5.1.1.1 Annual Convention. [\*]** There shall be an annual Convention of this Association during the second week of January or at such other time as may be prescribed by the Executive Committee.

**5.1.1.2 Special Convention. [\*]** A special Convention of the Association may be called by the Executive Committee. *(Revised: 1/9/96 effective 8/1/97)*

## **5.1.2 Annual or Special Convention Programs.**

**5.1.2.1 Establishment of Program—Annual or Special Convention. [\*]** The program of the business session of an annual or special Convention of the Association shall be established by the Executive Committee, acting as the Convention program committee. *(Revised: 1/9/96 effective 8/1/97)*

**5.1.2.1.1 Change in Program. [\*]** Once adopted by a majority vote of the Convention, the order of business established in the program may be changed or suspended only by a two-thirds vote of the members present and voting.

**5.1.2.2 Other Convention Arrangements. [\*]** All other arrangements for a Convention of the Association or for division legislative meetings shall be made by the NCAA president, subject to the direction and approval of the Executive Committee, which shall serve as the Convention arrangements committee.

### **5.1.2.3 Business and Discussion Sessions.**

**5.1.2.3.1 General Business Session. [\*]** When determined necessary by the Executive Committee, an annual or special Convention shall include a general business session to enable all three divisions, meeting in joint session, to act on the dominant legislation specified in Constitution 1 and 2 and elsewhere, and on the actions of any division determined to be contrary to the Association's basic purposes, fundamental policies and general principles. *(Revised: 1/10/91, 1/9/96 effective 8/1/97)*

**5.1.2.3.2 Division Business Sessions. [\*]** An annual or special Convention shall include separate division business sessions to: *(Revised: 1/9/96 effective 8/1/97)*

- (a) Enable a single division to act, in accordance with the constitution and bylaws, on federated legislation pertaining to that division; *(Revised: 1/10/91, 1/9/96 effective 8/1/97)*
- (b) Discuss matters of interest to the members of each division; and
- (c) Act upon division membership criteria waiver requests under the provisions of the bylaws.

**5.1.2.3.3 Round-Table Discussions. [\*]** In addition to the division business sessions, a general round-table discussion may be held to enable the membership to discuss matters of general interest.

## **5.1.3 Annual or Special Convention Delegates.**

### **5.1.3.1 Institutional and Conference Delegates.**

**5.1.3.1.1 With Voting Privileges. [\*]** Each active member and each member conference with voting privileges, as specified in Constitution 3.3.2.2, shall be entitled to one vote. Institutions and conferences designating both a male and a female as voting or alternate delegates on the Convention appointment form shall be allowed to appoint four official institutional delegates. In all other circumstances, institutions shall be limited to not more than three official delegates. *(Revised: 1/10/92)*

**5.1.3.1.2 Without Voting Privileges. [\*]** Each member conference without voting privileges shall be entitled to one accredited delegate without voting privilege.

**5.1.3.2 Affiliated Delegates. [\*]** Each affiliated member shall be entitled to one accredited delegate without voting privilege. *(Revised: 1/15/11 effective 8/1/11)*

**5.1.3.3 Visiting Delegates. [\*]** Member and nonmember institutions and organizations are authorized to send visiting delegates, who shall not have voting privileges.

**5.1.3.4 Certification and Voting of Delegates. [\*]** The certification and voting of delegates shall be conducted as follows:

- (a) Delegates shall be certified to the NCAA national office as entitled to represent the member in question by the proper executive officers of their institutions or organizations;
- (b) An active member or member conference represented by more than one delegate shall designate (on the proper form signed by the president or chancellor) the delegate entitled to cast its vote. Once the member has so designated its primary voting and alternate voting delegates, transferring the voting rights between or among them is a matter of institutional judgment, inasmuch as the voter and alternate(s) have been approved as voters; *(Revised: 3/8/06)*
- (c) The same delegate may represent both an active member and a member conference;
- (d) A delegate shall not represent any active member or member conference unless the delegate actually is identified with such member, and an institution's student may not serve as its voting or alternate voting delegate;
- (e) Whenever the Association votes on any question by roll call, either written or via voice, on demand of any delegate, the names of delegates as they vote shall be checked by the Membership Committee in order to verify the authority of the voter; and
- (f) Voting by proxy shall not be allowed.

### 5.1.3.5 Delegate Participation in Conventions and Meetings.

**5.1.3.5.1 Active Delegate.** [\*] Privileges of the floor and the right to active participation in the business proceedings of any annual or special Convention of the Association is accorded to the following: *(Revised: 1/9/96 effective 8/1/97)*

- (a) Any of the accredited delegates authorized in Constitution 5.1.3.1.1 to represent an active member or member conference with voting privileges; *(Revised: 1/10/92)*
- (b) The single accredited delegate authorized in Constitution 5.1.3.1.2 to represent a member conference without voting privileges or in Constitution 5.1.3.2 to represent an affiliated member; *(Revised: 1/15/11 effective 8/1/11)*
- (c) Any member of the Executive Committee, the divisional governance entities per Constitution 4 and the chair (or a committee member designated to speak for the chair) of an NCAA committee listed in Bylaw 21; and *(Revised: 1/9/96 effective 8/1/97)*
- (d) Any member of a division's Student-Athlete Advisory Committee. *(Adopted: 1/10/91)*

**5.1.3.5.2 Visiting Delegate.** [\*] Visiting delegates authorized in Constitution 5.1.3.3 shall not actively participate in the business proceedings.

### 5.1.4 Operational Procedures.

**5.1.4.1 Quorum.** [\*] One hundred (100) active members and member conferences represented as prescribed in this constitution shall constitute a quorum for the transaction of the Association's business. For purposes of voting by membership divisions, 40 members of each division shall constitute a quorum.

**5.1.4.2 Parliamentary Rules.** [\*] The rules contained in the current edition of Robert's Rules of Order, Newly Revised, shall be the parliamentary authority for the conduct of all meetings of the Association. Additionally, they shall be the deciding reference used in case of parliamentary challenge in all instances to which they apply and in which they are not superseded by this constitution, the bylaws or any special rule of order adopted by the Association in accordance with Constitution 5.4.3.

**5.1.4.3 Consideration of Legislation.** [\*] Legislation shall be acted upon only at the Convention business sessions in accordance with the constitution and bylaws.

**5.1.4.3.1 Order and Grouping of Legislation.** [\*] In the consideration of groupings of related amendments or amendments-to-amendments, the Convention shall consider first the proposal that contemplates the greatest modification of the present circumstance, followed by the other proposals in the order of decreasing modification. Once a proposal in such a grouping is adopted, those that follow ordinarily will become moot.

**5.1.4.3.2 Legislation at General Session.** [\*] Dominant legislation per Constitution 1 and 2 and elsewhere, and federated legislation determined by the Executive Committee to be contrary to the Association's basic purposes, fundamental policies and general principles shall be acted on by the divisions meeting in joint session. *(Revised: 1/10/91, 1/9/96 effective 8/1/97)*

**5.1.4.3.3 Legislation at Division Sessions.** [\*] Legislation pertaining only to a single division of the Association may be acted on in a separate legislative session of that division. Federated legislation pertaining to more than a single division of the Association may be acted on by the appropriate divisions during the separate division business sessions. *(Revised: 1/10/91, 1/9/96 effective 8/1/97)*

**5.1.4.3.4 Football Classification, Voting.** A member institution shall be entitled to vote on legislative issues pertaining only to football in the subdivision in which it is classified.

**5.1.4.3.4.1 Restriction.** An active member or member conference with no football program shall not be permitted to vote on issues affecting only football.

**5.1.4.3.4.2 Football Championship Subdivision Member Applying Bowl Subdivision Football Legislation.** A Football Championship Subdivision member that elects to be governed by the legislation pertaining to the Football Bowl Subdivision in accordance with Bylaw 20.7.1.1 shall be eligible to vote on issues pertaining to championship subdivision football. *(Revised: 12/15/06)*

**5.1.4.3.4.3 Conference of Football Bowl Subdivision and Football Championship Subdivision Members.** A conference whose members are divided between the Football Bowl Subdivision and the Football Championship Subdivision shall vote in the subdivision in which the majority of its members are classified; or if the membership is divided equally between the two subdivisions, the subdivision in which it shall vote shall be determined by the Legislative Council Administrative Committee. *(Revised: 12/15/06, 11/1/07 effective 8/1/08)*

**5.1.4.4 Voting Methods.** [\*] The methods of voting at an NCAA Convention shall be by voice, paddle, roll call and/or secret ballot, in accordance with the following procedures:

- (a) **Voice Vote.** The presiding officer shall determine whether to use voice voting. In the taking of a voice vote, if the presiding officer is in doubt, or on request for a division of the assembly by any member eligible to vote on the particular issue, the presiding officer shall retake the vote by a show of paddles.



- (b) **Paddle Vote.** The presiding officer shall determine whether to use paddle voting, which may be accomplished through electronic collection. In the taking of a vote by show of paddles, if the presiding officer is in doubt, or on the request of any member eligible to vote on the particular issue, the presiding officer shall order the vote to be counted. *(Revised: 1/3/07)*
- (c) **Secret Ballot.** Voting by secret ballot shall be conducted only when so ordered by a majority of the eligible delegates present and voting, after the making of an undebatable motion to vote in that manner.
- (d) **Roll Call.** Voting by roll call, on issues other than those so designated by the Divisions II and III presidential administrative groups, shall be conducted only when so ordered by a majority of the eligible delegates present and voting, after the making of an undebatable motion to vote in that manner. If both a roll-call vote and a secret ballot are moved on a particular issue, the vote shall be taken first on whether to vote by roll call. Any interim or later vote to amend, postpone, reconsider, refer or table a proposal that has been designated by the presidential administrative groups for a roll-call vote during the Convention also must be conducted by roll-call vote. *(Revised: 1/10/92, 1/9/96 effective 8/1/97)*

## 5.2 Elements of Legislation.

**5.2.1 Constitution. [\*]** The membership may adopt legislation to be included in the constitution of the Association, which sets forth basic purposes, fundamental policies and general principles that generally serve as the basis on which the legislation of the Association shall be derived and which includes information relevant to the purposes of the Association. *(Revised: 1/9/96 effective 8/1/97)*

**5.2.2 Operating Bylaws. [\*]** Each division may adopt legislation to be included in the operating bylaws of the Association, which provide rules and regulations not inconsistent with the provisions of the constitution and which shall include, but not be limited to, the following particulars: *(Revised: 1/9/96 effective 8/1/97)*

- (a) The administration of intercollegiate athletics by members of the Association;
- (b) The establishment and control of NCAA championships (games, matches, meets and tournaments) and other athletics events sponsored or sanctioned by the Association;
- (c) The procedures for administering and enforcing the provisions of the constitution and bylaws; and
- (d) The adoption of rules of play and competition in the various sports, and the delegation of authority in connection with such subjects to individuals, officers or committees.

**5.2.3 Administrative Bylaws. [\*]** The administrative bylaws of the Association (administrative regulations, executive regulations, enforcement policies and procedures, and the Division I institutional performance program policies and procedures) provide rules and regulations for the implementation of policy adopted by the membership as set forth in the constitution and operating bylaws. They are distinct from the operating bylaws in that, to provide greater flexibility and efficiency in the conduct of the affairs of the Association, they may be adopted or amended by the applicable division presidential administrative group, the Division I Legislative Council and the Division III Management Council. *(Revised: 1/9/96 effective 8/1/97, 11/1/07 effective 8/1/08, 1/19/13)*

**5.2.3.1 Administrative Regulations. [\*]** Each division is empowered to adopt or revise administrative regulations consistent with the provisions of the constitution and bylaws, subject to amendment by the Divisions II and III membership, for the implementation of policy established by legislation governing the general activities of each division. The administrative bylaws may be adopted or amended by the applicable division presidential administrative group, the Division I Legislative Council and the Division III Management Council or at any annual or special Convention by a majority vote of the delegates in Divisions II and III present and voting in accordance with the legislative process. *(Revised: 1/9/96 effective 8/1/97, 11/1/07 effective 8/1/08)*

**5.2.3.2 Executive Regulations. [\*]** Each division is empowered to adopt or revise executive regulations consistent with the provisions of the constitution and bylaws, subject to amendment by the Divisions II and III membership. Executive regulations relate to the administration of the division's championships, the expenditure of the division's funds, the distribution of the income of the division and the general administration of the affairs of the division. The executive regulations may be adopted or amended by the applicable division presidential administrative group, the Division I Legislative Council and the Division III Management Council or at any annual or special Convention by a majority vote of the delegates in Divisions II and III present and voting in accordance with the legislative process. *(Revised: 1/9/96 effective 8/1/97, 11/1/07 effective 8/1/08)*

**5.2.3.3 Enforcement Policies and Procedures. [\*]** The Committee on Infractions is empowered to adopt or revise policies and procedures for the conduct of the Association's enforcement program, subject to approval by the Board of Directors for Division I and by the applicable Management Council for Division II and Division III. These policies and procedures shall be developed by the Committee on Infractions, shall not be inconsistent with the provisions of the constitution and bylaws and shall be subject to amendment by the membership. The Infractions Appeals Committee may adopt or revise enforcement policies and procedures that relate directly to the infractions appeals process, subject to review and approval by the Board of Directors for Division I, the Presidents Council for Division II and the Management Council for Division III. Such policies and procedures shall not be inconsistent with the provisions of the constitution and bylaws and shall be subject to amendment by the membership. *(Revised: 11/1/07 effective 8/1/08, 4/5/10, 12/20/10)*

**5.2.3.4 Institutional Performance Program Policies and Procedures.** The Committee on Institutional Performance is empowered to adopt or revise policies and procedures for the conduct of the Association's institutional performance program, subject to approval by the Legislative Council. These policies and procedures shall be developed by the Committee on Institutional Performance, shall not be inconsistent with the provisions of the constitution and bylaws, and shall be subject to amendment by the membership. (*Adopted: 1/16/93 effective 1/1/94, Revised: 11/1/07 effective 8/1/08, 1/19/13*)

**5.2.3.5 Academic Performance Program Policies and Procedures.** The Committee on Academic Performance shall have the authority to adopt or revise policies and procedures for the conduct of the academic performance program, subject to the approval of the Board of Directors. (*Adopted: 4/29/04, Revised: 11/1/07 effective 8/1/08*)

**5.2.4 Resolutions. [\*]** Legislation of a temporary character effective only for a specified time period may be enacted through resolutions not inconsistent with the constitution, bylaws (including administrative bylaws) and special rules of order (see Constitution 5.4.2).

**5.2.5 Interpretations of Constitution and Bylaws. [\*]** The divisional presidential administrative groups, the Division I Legislative Council and the Division II and Division III Management Councils, and the divisional interpretative committees, in the interim between meetings of the Division I Legislative Council and the Division II and Division III Management Councils, are empowered to make interpretations of the constitution and bylaws (see Constitution 5.4.1). (*Revised: 1/9/96 effective 8/1/97, 8/31/05, 11/1/07 effective 8/1/08*)

**5.2.6 Special Rules of Order. [\*]** The Association may adopt special rules of order not inconsistent with the constitution and bylaws. These special rules, with Robert's Rules of Order, Newly Revised, this constitution, and the bylaws, shall be the parliamentary authority for the conduct of all meetings of the Association and, together, shall be the deciding reference used in case of parliamentary challenge in all instances to which they apply (see Constitution 5.4.3).

**5.2.7 Statements of Division Philosophy. [\*]** The membership of a division or subdivision, through appropriate deliberative processes, may prepare a statement of division philosophy relating to the development and operation of an intercollegiate athletics program in the division. Such a statement is not binding on member institutions but shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences (see Constitution 5.4.4).

## 5.3 Amendment Process.

### 5.3.1 Authorizing Legislation.

**5.3.1.1 Amendment. [\*]** The dominant provisions of Constitution 1 and 2 and elsewhere and the division dominant provisions may be amended only at an annual or special Convention. In Division I, federated provisions may be amended as specified in Constitution 5.3.2. In Divisions II and III, federated provisions may be amended at any annual or special Convention. (*Revised: 1/9/96 effective 8/1/97, 1/14/97 effective 8/1/97*)

**5.3.1.2 Amendment-to-Amendment—Dominant Provisions. [\*]** A proposed amendment to the dominant provisions of Constitution 1 and 2 and elsewhere may be amended at any annual or special Convention. From July 15 through September 15, sponsors of proposed legislation may refine and change proposals in any manner that is germane to the original proposal. After September 15, proposed amendments may be amended only if the amendment to the proposed amendment does not increase the modification of the provision to be amended. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.1.3 Amendment-to-Amendment—Division Dominant Provisions.** A proposed amendment to a division dominant provision may be amended at any annual or special Convention. From July 15 through September 15, sponsors of proposed legislation may refine and change proposals in any manner that is germane to the original proposal. After September 15, proposed amendments may be amended only if the amendment to the proposed amendment does not increase the modification of the provision to be amended. (*Adopted: 1/9/96 effective 8/1/97*)

### 5.3.2 Division I Legislative Process.

**5.3.2.1 Authority to Adopt or Amend Legislation.** Legislation applicable to Division I may be adopted or amended at any meeting of the Board of Directors or of the Legislative Council. (*Adopted: 1/9/96 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

**5.3.2.1.1 Amendments Proposed by Board of Directors, Leadership Council or Legislative Council.** The Board of Directors, Leadership Council or Legislative Council may sponsor legislative amendments for consideration as emergency or noncontroversial legislation or in the regular legislative cycle or at any legislative Convention. A member also may move that current or proposed policies and procedures become legislative proposals, subject to the override process. (*Adopted: 1/14/97 effective 8/1/97, Revised: 4/28/05, 11/1/07 effective 8/1/08*)

### 5.3.2.2 Process for Adoption or Amendment of Legislation.

**5.3.2.2.1 Legislative Council Action—Initial Review.** On initial review of a legislative proposal, the Legislative Council shall conduct a single vote to accomplish one of the following actions: *(Adopted: 1/9/96 effective 8/1/97, Revised: 4/24/03, 10/27/05, 4/27/06, 11/1/07 effective 8/1/08)*

- (a) **Adopt.** A proposal that receives approval by at least a two-thirds majority vote of the Legislative Council members present and voting shall be considered adopted subject to possible review by the Board of Directors at its next meeting; *(Adopted: 10/27/05, Revised: 4/27/06, 11/1/07 effective 8/1/08)*
- (b) **Distribute for Membership Review.** If a proposal is not adopted, but a majority of the Legislative Council members present and voting vote to adopt the proposal or to forward it to the membership for review and comment, or if the combined votes for the two options equal at least a majority, it shall be forwarded to the membership for review and comment pursuant to Constitution 5.3.2.4.1; or *(Adopted: 10/27/05, Revised: 4/27/06, 11/1/07 effective 8/1/08)*
- (c) **Defeat.** A proposal that fails to receive the votes necessary for adoption or distribution to the membership for review and comment shall be considered defeated. *(Adopted: 10/27/05, Revised: 4/27/06)*

**5.3.2.2.1.1 Amendment by Legislative Council.** The Legislative Council may amend a proposal by a three-fourths majority vote of the Legislative Council members present and voting. *(Adopted: 11/1/07 effective 8/1/08)*

**5.3.2.2.2 Legislative Council Action—Final Review.** At its next regular meeting after the period for membership review and comment (see Constitution 5.3.2.4), the Legislative Council shall consider the reactions and suggestions received and take action on the proposed change. If the proposed change receives a majority vote of those Legislative Council members present and voting, it shall be considered adopted, subject to the possible review by the Board of Directors at its next meeting. If the proposed change does not receive a majority vote of those Legislative Council members present and voting, it shall be considered defeated. The Legislative Council's action will be considered final at the conclusion of the next Board of Directors meeting, provided the action is not amended or rescinded by the Board. *(Adopted: 1/9/96 effective 8/1/97, Revised: 4/24/03, 10/27/05, 11/1/07 effective 8/1/08)*

**5.3.2.2.2.1 Amendment by Legislative Council.** The Legislative Council may amend a proposal by a three-fourths majority vote of the Legislative Council members present and voting, provided the amendment does not increase the modification specified in the original proposal. *(Adopted: 4/13/10)*

**5.3.2.2.3 Legislative Council—Sunset Provision.** If, within two legislative meetings, the Legislative Council fails to act on a proposed change, it shall be considered defeated; however, when appropriate, the Legislative Council may extend the time period for action. *(Adopted: 11/1/07 effective 8/1/08)*

#### 5.3.2.2.4 Board of Directors Action.

**5.3.2.2.4.1 Legislation Adopted by the Legislative Council.** Legislation adopted by the Legislative Council shall be subject to review by the Board of Directors at its next meeting. At its discretion, the Board of Directors may ratify, amend or defeat legislation adopted by the Legislative Council. *(Adopted: 1/9/96 effective 8/1/97, Revised: 4/28/05, 11/1/07 effective 8/1/08)*

**5.3.2.2.4.2 Legislation Defeated by the Legislative Council.** The Board of Directors may restore a proposal defeated on initial review by the Legislative Council. The Board may forward the proposal to the membership for review and comment in its original form or amend the proposal and forward it for review and comment. The Board also may adopt the proposal in its original form or amend and adopt it. The Board may resurrect a proposal defeated on final review by the Legislative Council and consider the proposal on its merits. The Board also may amend such a proposal. *(Adopted: 4/28/05 effective 8/1/05, Revised: 11/1/07 effective 8/1/08)*

**5.3.2.2.5 Emergency or Noncontroversial Legislation.** The Legislative Council or Board of Directors may adopt emergency or noncontroversial legislation during any meeting. Such legislation may be adopted only by at least a three-fourths majority of the members of the adopting body present and voting. *(Adopted: 11/1/07 effective 8/1/08)*

**5.3.2.2.6 Bowl Subdivision and Championship Subdivision Football.** Members of the Board of Directors or Legislative Council who are representatives of conferences that are members of the Football Bowl Subdivision and the Football Championship Subdivision shall vote separately in their respective subdivisions on legislative issues that pertain only to football. *(Adopted: 1/9/96 effective 8/1/97, Revised: 12/15/06, 11/1/07 effective 8/1/08)*

**5.3.2.2.6.1 Geographical Representation.** An institution classified as a Football Bowl Subdivision independent or a Football Championship Subdivision independent shall have its views expressed on football issues by a predetermined Football Bowl Subdivision or Football Championship Subdivision conference representative, respectively, within the institution's geographical region. *(Adopted: 1/9/96 effective 8/1/97, Revised: 12/15/06)*

**5.3.2.3 Membership Override of Legislative Changes.** The membership may override the adoption of legislation by the Legislative Council or the Board of Directors or the defeat of legislation by the Board of Di-

rectors. Notification of the adoption of legislation by the Legislative Council or the Board of Directors or the defeat of legislation by the Board of Directors shall be provided to the membership within 15 days of the date of the Board of Directors' meeting on which the adoption or defeat became final. (*Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97, 11/1/07 effective 8/1/08*)

**5.3.2.3.1 Call for an Override Vote.** In order to call for a vote to override the adoption or defeat of a legislative change, written requests for such a vote from at least 75 active member institutions with voting privileges must be received in the national office not later than 5 p.m. Eastern time within 60 days of the date of the Board of Directors' meeting on which the adoption or defeat became final. An override vote request must be approved by the institution's chancellor or president. The institution's chancellor or president or his or her designated representative may submit the override vote request to the national office. An adopted legislative change shall be suspended upon receipt of 125 requests pending the vote by the membership. (*Adopted: 1/9/96 effective 8/1/97, Revised: 1/10/98, 8/11/98, 3/1/06, 3/8/06, 5/25/07, 11/1/07 effective 8/1/08, 8/11/11*)

**5.3.2.3.2 Call for an Override Vote—Bowl Subdivision Football-Only Issues.** In order to call for a vote to override the adoption or defeat of a football-only legislative change applicable to the Football Bowl Subdivision, written requests for such a vote from at least 25 active Football Bowl Subdivision member institutions with voting privileges must be received in the national office not later than 5 p.m. Eastern time within 60 days of the date of the Board of Directors' meeting in which the adoption or defeat became final. An override vote request must be approved by the institution's chancellor or president. The institution's chancellor or president or his or her designated representative may submit the override vote request to the national office. An adopted legislative change shall be suspended upon receipt of 50 requests for an override vote, pending the vote by the Football Bowl Subdivision membership. (*Adopted: 8/11/11*)

**5.3.2.3.3 Call for an Override Vote—Championship Subdivision Football-Only Issues.** In order to call for a vote to override the adoption or defeat of a football-only legislative change applicable to the Football Championship Subdivision, written requests for such a vote from at least 25 active Football Championship Subdivision member institutions with voting privileges must be received in the national office not later than 5 p.m. Eastern time within 60 days of the date of the Board of Directors' meeting in which the adoption or defeat became final. An override vote request must be approved by the institution's chancellor or president. The institution's chancellor or president or his or her designated representative may submit the override vote request to the national office. An adopted legislative change shall be suspended upon receipt of 50 requests for an override vote, pending the vote by the Football Championship Subdivision membership. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/10/98, 3/1/06, 3/8/06, 12/15/06, 5/25/07, 11/1/07 effective 8/1/08, 8/11/11*)

**5.3.2.3.4 Conference Requests.** A conference may file requests for an override vote on behalf of its member institutions. To take such action, a conference must annually submit to the Board of Directors its procedures to ensure that its presidents or chancellors have delegated this authority. The override vote request must be approved by the chair of the conference's official presidential group or at least two chancellors or presidents of the conference's member institutions if the conference has no presidential administrative group. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/10/98, 3/1/06, 3/8/06, 12/15/06, 5/25/07*)

**5.3.2.3.5 Legislative Council or Board of Directors Review.** Once the required number of override requests has been received, the Legislative Council or the Board of Directors will review its legislative decision. If the decision is not changed, a vote by active members shall take in accordance with policies and procedures established by the Administration Cabinet. (*Adopted: 4/28/11 effective 8/1/11*)

**5.3.2.3.6 Five-Eighths Majority Vote.** At least a five-eighths majority vote of the active members voting shall be required to override the legislative action taken by the Legislative Council or the Board of Directors. The vote shall be based on the principle of one institution, one vote and all override votes shall be taken with recorded roll calls. (*Adopted: 1/9/96 effective 8/1/97, Revised: 1/14/97 effective 8/1/97, 11/1/07 effective 8/1/08, 4/28/11 effective 8/1/11*)

#### **5.3.2.4 Notification to Membership.**

**5.3.2.4.1 Membership Review and Comment.** Proposed changes for which the Legislative Council recommends membership review and comment shall be forwarded to the membership within 15 days of adjournment of the Board of Directors' meeting immediately after the Legislative Council's actions. To be considered by the Legislative Council in its final review of the proposed change, any comments from the membership shall be received in the national office within 60 days of the adjournment of the Board of Directors' meeting immediately after the Legislative Council's actions. (*Adopted: 1/9/96 effective 8/1/97, Revised: 8/11/98, 10/28/99, 11/1/00, 4/24/03, 10/27/05, 4/27/06, 11/1/07 effective 8/1/08*)

**5.3.2.4.2 Amendment-to-Amendment.** A conference or cabinet may submit an amendment to any proposal under review during the 60-day comment period, provided the amendment does not increase the modification specified in the original proposal. (*Adopted: 1/9/96 effective 8/1/97, Revised: 8/11/98, 10/28/99, 11/1/00, 4/24/03, 10/27/05, 4/27/06, 11/1/07 effective 8/1/08*)



**5.3.2.5 Other Rules and Procedures.** The Board of Directors may approve such additional rules and procedures governing the legislative process consistent with the provisions of this section (Constitution 5.3.2) as it determines are necessary to ensure an efficient process to meet the legislative needs of the membership. *(Adopted: 1/9/96 effective 8/1/97)*

### **5.3.3 Sponsorship—Amendments to Dominant Provisions.**

**5.3.3.1 Amendment. [\*]** An amendment to a dominant provision of Constitution 1 and 2 and elsewhere may be sponsored by the Executive Committee. *(Adopted: 1/9/96 effective 8/1/97)*

**5.3.3.2 Amendment-to-Amendment. [\*]** An amendment-to-amendment to a dominant provision of Constitution 1 and 2 and elsewhere may be sponsored by the Executive Committee. *(Adopted: 1/9/96 effective 8/1/97)*

**5.3.3.3 Editorial Changes. [\*]** The presiding officer may permit changes in the wording of proposed amendments of a purely editorial nature or to correct typographical errors.

### **5.3.4 Sponsorship—Amendments to Division Dominant Provisions.**

**5.3.4.1 Amendment.** An amendment to a division dominant provision may be introduced to the Board of Directors by any one regular or alternate member of the Board. *(Adopted: 1/9/96, Revised: 1/14/97 effective 8/1/97)*

**5.3.4.2 Amendment-to-Amendment.** An amendment-to-amendment to a division dominant provision may be introduced to the Board of Directors by any one regular or alternate member of the Board. *(Adopted: 1/9/96, Revised: 1/14/97 effective 8/1/97)*

**5.3.4.3 Editorial Changes.** The presiding officer may permit changes in the wording of a proposed amendment of a purely editorial nature or to correct typographical errors. *(Adopted: 1/9/96 effective 8/1/97)*

### **5.3.5 Submission Deadline.**

#### **5.3.5.1 Dominant Provisions.**

**5.3.5.1.1 Amendments. [\*]** Amendments to dominant provisions of Constitution 1 and 2 and elsewhere shall be sponsored by the Executive Committee in accordance with the following deadlines: *(Adopted: 1/9/96 effective 8/1/97)*

- (a) Annual Convention—September 1.
- (b) Special Convention—Ninety days before a special Convention.

**5.3.5.1.1.1 Exception. [\*]** The Executive Committee, by a two-thirds majority of its members present and voting, may establish a later date for the submission of amendments for an annual Convention when a special Convention is held after September 1. *(Adopted: 1/9/96 effective 8/1/97)*

**5.3.5.1.2 Amendments-to-Amendments. [\*]** The Executive Committee must submit amendments to its original proposals in writing and such amendments-to-amendments must be submitted not later than 5 p.m. Eastern time September 15, unless the amendment-to-amendment does not increase the modification specified in the original proposal. Any amendment to a proposed amendment submitted after September 15 shall not increase the modification of the original proposal and must be submitted in writing not later than 5 p.m. Eastern time November 1 before an annual Convention or 60 days before a special Convention. The Executive Committee may propose amendments-to-amendments at the time of the Convention without meeting these procedural requirements, provided the proposed amendment-to-amendment has been approved by two-thirds of the Executive Committee and copies are distributed before or during the business session. *(Adopted: 1/9/96 effective 8/1/97, Revised: 3/1/06)*

#### **5.3.5.2 Division Dominant Provisions.**

**5.3.5.2.1 Amendments.** Amendments to division dominant provisions shall be sponsored by the appropriate divisional presidential administrative group in accordance with the following deadlines: *(Adopted: 1/9/96 effective 8/1/97)*

- (a) Annual Convention—September 1.
- (b) Special Convention—Ninety days before a special Convention.

**5.3.5.2.1.1 Exception.** A divisional presidential administrative group, by a two-thirds majority of its members present and voting, may establish a later date for the submission of amendments for an annual Convention when a special Convention is held after September 1. *(Adopted: 1/9/96 effective 8/1/97)*

**5.3.5.2.2 Amendments-to-Amendments.** The appropriate divisional presidential administrative group must submit amendments to its original proposals in writing and such amendments must be submitted not later than 5 p.m. Eastern time September 15, unless the amendment-to-amendment does not increase the modification specified in the original proposal. Any amendment to a proposed amendment submitted after September 15 shall not increase the modification of the original proposal and must be submitted in writing not later than 5 p.m. Eastern time November 1 before an annual Convention or 60 days before a special Convention. A divisional presidential administrative group may propose amendments-

to-amendments at the time of the Convention without meeting these procedural requirements, provided the proposed amendment-to-amendment has been approved by two-thirds of the divisional presidential administrative group and copies are distributed before or during the appropriate business session. (*Adopted: 1/9/96 effective 8/1/97, Revised: 3/1/06*)

### 5.3.6 Notification to Membership.

#### 5.3.6.1 Amendments to Dominant Provisions.

**5.3.6.1.1 Initial Publication.** [\*] Amendments to dominant provisions of Constitution 1 and 2 and elsewhere sponsored by the Executive Committee shall be published for the information of the membership as follows: (*Adopted: 1/9/96 effective 8/1/97*)

- (a) Not later than September 23 for an annual Convention.
- (b) Not later than 75 days before a special Convention.

**5.3.6.1.2 Official Notice.** [\*] A copy of the proposed amendments shall be mailed to all members of the Association not later than November 15 before an annual Convention or 45 days before a special Convention. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.6.1.3 Delayed Date.** [\*] If the Executive Committee establishes a date later than July 15 or September 1 for the submission of amendments to dominant provisions for an annual Convention, it, by a two-thirds majority of its members present and voting, may establish a later date for publishing copies of the proposed amendments for information of the membership. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.6.1.4 Amendments-to-Amendments.** [\*] Copies of all amendments-to-amendments to dominant provisions submitted by the Executive Committee in accordance with the September 15 deadline shall be published by September 23. Copies of all other amendments-to-amendments submitted by the November 1 deadline shall be published in the Official Notice of the Convention. (*Adopted: 1/9/96 effective 8/1/97*)

#### 5.3.6.2 Amendments to Division Dominant Provisions.

**5.3.6.2.1 Initial Publication.** Amendments to division dominant provisions sponsored by a divisional presidential administrative group shall be published for the information of the membership as follows: (*Adopted: 1/9/96 effective 8/1/97*)

- (a) Not later than September 22 for an annual Convention.
- (b) Not later than 75 days before a special Convention.

**5.3.6.2.2 Official Notice.** A copy of the proposed amendments shall be made available not later than November 15 before an annual Convention or 45 days preceding a special Convention. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.6.2.3 Delayed Date.** If a divisional presidential administrative group establishes a date later than July 15 or September 1 for the submission of amendments to division dominant provisions for an annual Convention, it, by a two-thirds majority of its members present and voting, may establish a later date for publishing copies of the proposed amendments for information of the membership. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.6.2.4 Amendments-to-Amendments.** Copies of all amendments-to-amendments to division dominant provisions submitted by a divisional presidential administrative group pursuant to the September 15 deadline shall be published by September 22. Copies of all other amendments-to-amendments submitted by the November 1 deadline shall be published in the Official Notice of the Convention. (*Adopted: 1/9/96 effective 8/1/97*)

### 5.3.7 Adoption of Amendment, Voting Requirements.

**5.3.7.1 Voting Requirement Determination.** [\*] The Executive Committee shall be authorized to determine the voting requirement for an amendment when the voting requirement is not obvious from the content or context of the legislation. (*Revised: 1/9/96 effective 8/1/97*)

**5.3.7.2 Dominant Provision.** [\*] Adoption of an amendment to a dominant provision of Constitution 1 and 2 and elsewhere shall require a two-thirds majority vote of all delegates present and voting in joint session at an annual or special Convention of the Association. (*Revised: 1/9/96 effective 8/1/97*)

**5.3.7.3 Division Dominant Provision.** Adoption of an amendment to a division dominant provision shall require a two-thirds majority vote of all delegates of the affected division present and voting at a division's annual or special Convention. (*Adopted: 1/9/96 effective 8/1/97, Revised: 12/5/06*)

### 5.3.8 Adoption of Amendment-to-Amendment, Voting Requirements.

**5.3.8.1 Dominant Provision.** [\*] A proposed amendment to an amendment of a dominant provision of Constitution 1 and 2 and elsewhere shall be approved by a majority vote of all delegates present and voting. (*Revised: 1/9/96 effective 8/1/97*)

**5.3.8.2 Division Dominant Provision.** A proposed amendment to an amendment of a division dominant provision shall be approved by a majority vote of the delegates of the affected division present and voting. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.9 Special Voting Requirements. [\*]** The following topics are subject to special voting requirements.

**5.3.9.1 National Collegiate Championship. [\*]** A National Collegiate Championship per Bylaw 18.02.1.1 may be established by action of all three divisions acting through each division's governance structure, subject to all requirements, standards and conditions prescribed in Bylaw 18.2. (*Revised: 1/14/97 effective 8/1/97*)

**5.3.9.2 Division Championship.** A division championship per Bylaw 18.02.1.2 may be established by a majority vote of the Board of Directors subject to all requirements, standards and conditions prescribed in Bylaw 18.2. (*Revised: 1/9/96 effective 8/1/97*)

**5.3.9.3 Voting on Football Issues.** An active member or voting member conference with no football program shall not be permitted to vote on issues affecting only football. (*Revised: 1/9/96 effective 8/1/97*)

**5.3.9.4 Override.** The membership may override (rescind) the adoption of legislation by the Legislative Council or the Board of Directors or the defeat of legislation by the Board of Directors. At least a five-eighths majority of the active members voting shall be required to override the legislative action taken. (*Adopted: 4/28/11 effective 8/1/11*)

**5.3.9.5 Challenged Action. [\*]** The action of any specific division challenged by the Executive Committee as being contrary to the basic purposes, fundamental policies and general principles set forth in the Association's constitution may be overridden by the Association's entire membership by a two-thirds majority vote of those institutions voting. (*Adopted: 1/9/96 effective 8/1/97*)

### **5.3.10 Intent and Rationale.**

**5.3.10.1 Amendments to Dominant Provisions. [\*]** All amendments to dominant provisions of Constitution 1 and 2 and elsewhere shall include a statement of intent and a separate statement of rationale. The statement of rationale shall not exceed 200 words in length. All amendments-to-amendments shall include a statement of intent and, if applicable, a cost estimate. An amendment-to-amendment also may include a statement of rationale that shall not exceed 50 words in length. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.10.2 Amendments to Division Dominant Provisions.** All amendments to division dominant provisions shall include a statement of intent and a separate statement of rationale. The statement of rationale shall not exceed 200 words in length. All amendments-to-amendments shall include a statement of intent and, if applicable, a cost estimate. An amendment-to-amendment also may include a statement of rationale that shall not exceed 50 words in length. (*Adopted: 1/9/96 effective 8/1/97*)

### **5.3.11 Effective Date.**

**5.3.11.1 Dominant Provisions. [\*]** All amendments to dominant provisions of Constitution 1 and 2 and elsewhere shall become effective not earlier than the first day of August following adoption by the Convention. (*Revised: 1/10/95 effective 8/1/95, 1/9/96 effective 8/1/97*)

**5.3.11.1.1 Alternative to August 1 Effective Date. [\*]** If a voting delegate wishes to propose an immediate effective date, or to propose any other effective date prior to the first day of August, a two-thirds majority of all delegates present and eligible to vote on the amendment is required to approve the immediate or alternative effective date. Those amendments specified as being effective immediately shall become effective upon adjournment of the Convention. (*Revised: 1/10/95 effective 8/1/95, 1/9/96 effective 8/1/97*)

**5.3.11.2 Division Dominant Provisions.** All amendments to division dominant provisions shall become effective not earlier than the first day of August following adoption by the Convention. (*Adopted: 1/9/96 effective 8/1/97*)

**5.3.11.2.1 Alternative to August 1 Effective Date.** If a voting delegate wishes to propose an immediate effective date, or to propose any other effective date prior to the first day of August, a two-thirds majority of all delegates present and eligible to vote on the amendment is required to approve the immediate or alternative effective date. Those amendments specified as being effective immediately shall become effective upon adjournment of the Convention. (*Revised: 1/10/95 effective 8/1/95, 1/9/96 effective 8/1/97*)

### **5.3.12 Reconsideration.**

**5.3.12.1 Vote on Dominant Provisions. [\*]** Prior to the adjournment of any Convention, an affirmative or negative vote on an amendment to a provision of Constitution 1 and 2 and elsewhere may be subjected to one motion for reconsideration of that action by any member who voted on the prevailing side in the original consideration. (*Revised: 1/9/96 effective 8/1/97*)

**5.3.12.2 Vote on Division Dominant Provisions.** Prior to adjournment of any Convention, an affirmative or negative vote on an amendment to a division dominant provision may be subjected to one motion for reconsideration of that action by any member who voted on the prevailing side in the original consideration. Reconsideration of the vote must occur in the appropriate division business session. (*Adopted: 1/9/96 effective 8/1/97*)

## 5.4 Other Legislative and Amendment Procedures.

### 5.4.1 Interpretations of Constitution and Bylaws.

**5.4.1.1 Authorization.** The Board of Directors and the Legislative Council, and the Legislative Review/Interpretations Committee in the interim between meetings of the Board of Directors and Legislative Council, are empowered to make interpretations of the constitution and bylaws (see Constitution 5.2.5). *(Revised: 1/9/96 effective 8/1/97, 11/1/07 effective 8/1/08)*

**5.4.1.1.1 Modification of Wording.** In addition to its general authority to make binding interpretations of NCAA legislation, the Legislative Council, by a two-thirds majority of its members present and voting, may interpret legislation consistent with the intent of the membership in adopting the legislation if sufficient documentation and testimony are available to establish clearly that the wording of the legislation is inconsistent with that intent. The Legislative Council shall initiate the legislative process to confirm any such interpretations. *(Revised: 1/9/96 effective 8/1/97, 11/1/07 effective 8/1/08)*

### 5.4.1.2 Interpretation Process.

**5.4.1.2.1 Staff Interpretation (Determination).** The academic and membership affairs staff shall respond to a request from a member institution for an interpretation of NCAA rules. *(Revised: 1/14/97 effective 8/1/97, 8/5/04, 4/24/08)*

**5.4.1.2.1.1 Appeal of Staff Interpretation.** An institution may appeal a staff interpretation to the Legislative Review/Interpretations Committee. Such a request must be submitted in writing by the institution's conference or by one of the five individuals who are authorized to request interpretations on behalf of the institution (president or chancellor, faculty athletics representative, athletics director, senior woman administrator, senior compliance administrator, or a designated substitute for the president or chancellor and/or athletics director, as specified in writing to the national office). *(Revised: 1/10/91, 1/11/94, 1/14/97 effective 8/1/97, 8/5/04, 3/8/06, 4/24/08)*

**5.4.1.2.1.1.1 Institutional Participation.** An institution may participate by teleconference in the appeal of an interpretation if the activity at issue already has occurred and the interpretative decision could result in an individual or institutional violation. The Legislative Review/Interpretations Committee shall establish policies and procedures relating to an institution's participation. *(Adopted: 4/25/02, Revised: 8/5/04, 4/24/08)*

**5.4.1.2.1.2 Review of Staff Interpretations.** The Legislative Review/Interpretations Committee shall review all staff interpretations. *(Adopted: 4/24/08)*

**5.4.1.2.1.3 Publication and Notification.** A staff interpretation shall be binding on the requesting institution on notification of the response to its interpretation request, unless the interpretation is modified or reversed on appeal or review by the Legislative Review/Interpretations Committee. A staff interpretation that has been reviewed and approved by the Legislative Review/Interpretations Committee shall be binding on all other institutions on publication to the membership (e.g., announced on the NCAA website or Legislative Services Database for the Internet). *(Adopted: 4/24/08)*

**5.4.1.2.2 Review of Legislative Review/Interpretations Committee's Decision.** The Legislative Council shall review all interpretations issued by the Legislative Review/Interpretations Committee and may approve, reverse or modify such interpretations. A member institution may appeal a decision of the Legislative Review/Interpretations Committee to the Legislative Council. The appeal must be submitted in writing by the institution's president or chancellor, faculty athletics representative or director of athletics. The Legislative Council shall establish the procedures for such an appeal. A decision of the Legislative Council is final and no additional appeal opportunity shall exist for a member institution. *(Adopted: 1/11/94, Revised: 1/9/96 effective 8/1/97, 1/14/97 effective 8/1/97, 8/5/04, 3/8/06, 11/1/07 effective 8/1/08, 10/28/10)*

**5.4.1.2.3 Publication and Notification.** Interpretations issued by the Legislative Review/Interpretations Committee shall be binding on notification to affected institutions and on all member institutions after publication and notification to the membership. *(Revised: 1/9/96 effective 8/1/97, 1/14/97 effective 8/1/97, 8/5/04)*

**5.4.1.2.4 Revision.** Interpretations approved by the Legislative Council may not be revised by the Legislative Review/Interpretations Committee. The Legislative Review/Interpretations Committee may only recommend to the Legislative Council revisions of such interpretations. *(Revised: 1/9/96 effective 8/1/97, 1/14/97 effective 8/1/97, 8/5/04, 11/1/07 effective 8/1/08)*

**5.4.1.3 Subcommittee for Legislative Relief of the Legislative Council.** An institution may appeal a decision of the NCAA staff regarding the application of NCAA legislation to a particular situation to the subcommittee when no other entity has the authority to act. In reaching its decision, the subcommittee shall review the complete record in order to determine whether there is sufficient basis to grant relief from the application of the legislation. The Legislative Council shall establish the process for such a review, shall monitor the actions taken under this authorization, and shall report annually to the membership the actions taken, in summary, aggregate form. *(Adopted: 1/16/93, Revised: 1/9/96 effective 8/1/97, 11/1/00, 11/1/07 effective 8/1/08)*



## **5.4.2 Resolutions.**

**5.4.2.1 Authorization.** [\*] Legislation pertaining to one or more divisions may be enacted through resolutions not inconsistent with the constitution, bylaws (including administrative bylaws) and special rules of order.

**5.4.2.2 Scope and Application.** [\*] Legislation enacted through resolutions shall be of a temporary nature, effective only for a limited time as specified in the resolution itself.

### **5.4.2.3 Dominant Provisions.**

**5.4.2.3.1 Sponsorship.** [\*] A resolution related to the dominant provisions of Constitution 1 and 2 and elsewhere may be sponsored by the Executive Committee. *(Revised: 1/9/96 effective 8/1/97)*

**5.4.2.3.2 Submission Deadline.** [\*] A proposed resolution related to the dominant provisions of Constitution 1 and 2 and elsewhere must be submitted prior to November 1. The Executive Committee may sponsor resolutions at the time of the Convention without meeting this deadline provided the proposed resolution has been approved by a two-thirds vote of the Executive Committee and copies are distributed during the business sessions. *(Revised: 1/9/96 effective 8/1/97)*

#### **5.4.2.3.3 Voting Requirements.**

**5.4.2.3.3.1 Adoption—Annual/Special Convention.** [\*] Adoption of a resolution shall require a majority vote of the delegates present and voting at an annual or special Convention. *(Revised: 1/9/96 effective 8/1/97)*

**5.4.2.3.3.2 Mail Ballot.** [\*] If a majority of the delegates present and voting so direct, a resolution shall be referred to the entire membership for a mail vote conducted under conditions approved by the Executive Committee. A two-thirds majority of members voting in any such mail vote shall be required for the enactment of the legislation proposed in the resolution. *(Revised: 1/9/96 effective 8/1/97)*

### **5.4.2.4 Division Dominant Provisions.**

**5.4.2.4.1 Sponsorship.** A resolution related to a division dominant provision may be sponsored by the appropriate divisional presidential administrative group. *(Adopted: 1/9/96 effective 8/1/97)*

**5.4.2.4.2 Submission Deadline.** A proposed resolution related to a division dominant provision must be submitted prior to November 1. A divisional presidential administrative group may sponsor resolutions at the time of the Convention without meeting this deadline provided the proposed resolution has been approved by a two-thirds majority vote of that presidential administrative group and copies are distributed during the appropriate division business sessions. *(Adopted: 1/9/96 effective 8/1/97)*

#### **5.4.2.4.3 Voting Requirements.**

**5.4.2.4.3.1 Adoption—Annual/Special Convention.** Adoption of a resolution shall require a majority vote of the delegates of the applicable division present and voting at an annual or special Convention. *(Adopted: 1/9/96 effective 8/1/97)*

**5.4.2.4.3.2 Mail Ballot.** If a majority of the delegates of the applicable division present and voting so direct, a resolution shall be referred to the entire membership of that division for a mail vote conducted under conditions approved by the appropriate presidential administrative group. A two-thirds majority of members of the applicable division voting in any such mail vote shall be required for the enactment of the legislation proposed in the resolution. *(Adopted: 1/9/96 effective 8/1/97)*

## **5.4.3 Special Rules of Order.**

**5.4.3.1 General Business Sessions.** [\*] The Association may adopt special rules of order not inconsistent with the constitution and bylaws by a two-thirds majority of the delegates present and voting at any annual or special Convention. *(Revised: 1/9/96 effective 8/1/97)*

**5.4.3.2 Division Business Sessions.** Each division may adopt special rules of order not inconsistent with the constitution and bylaws by a two-thirds majority of the delegates of the applicable division present and voting at any annual or special Convention. *(Revised: 1/9/96 effective 8/1/97)*

**5.4.3.3 Amendment Process.** [\*] Proposed special rules of order and amendments to existing special rules of order shall be subject to the same procedural requirements for previous notice and amendments as prescribed in Constitution 5.3.

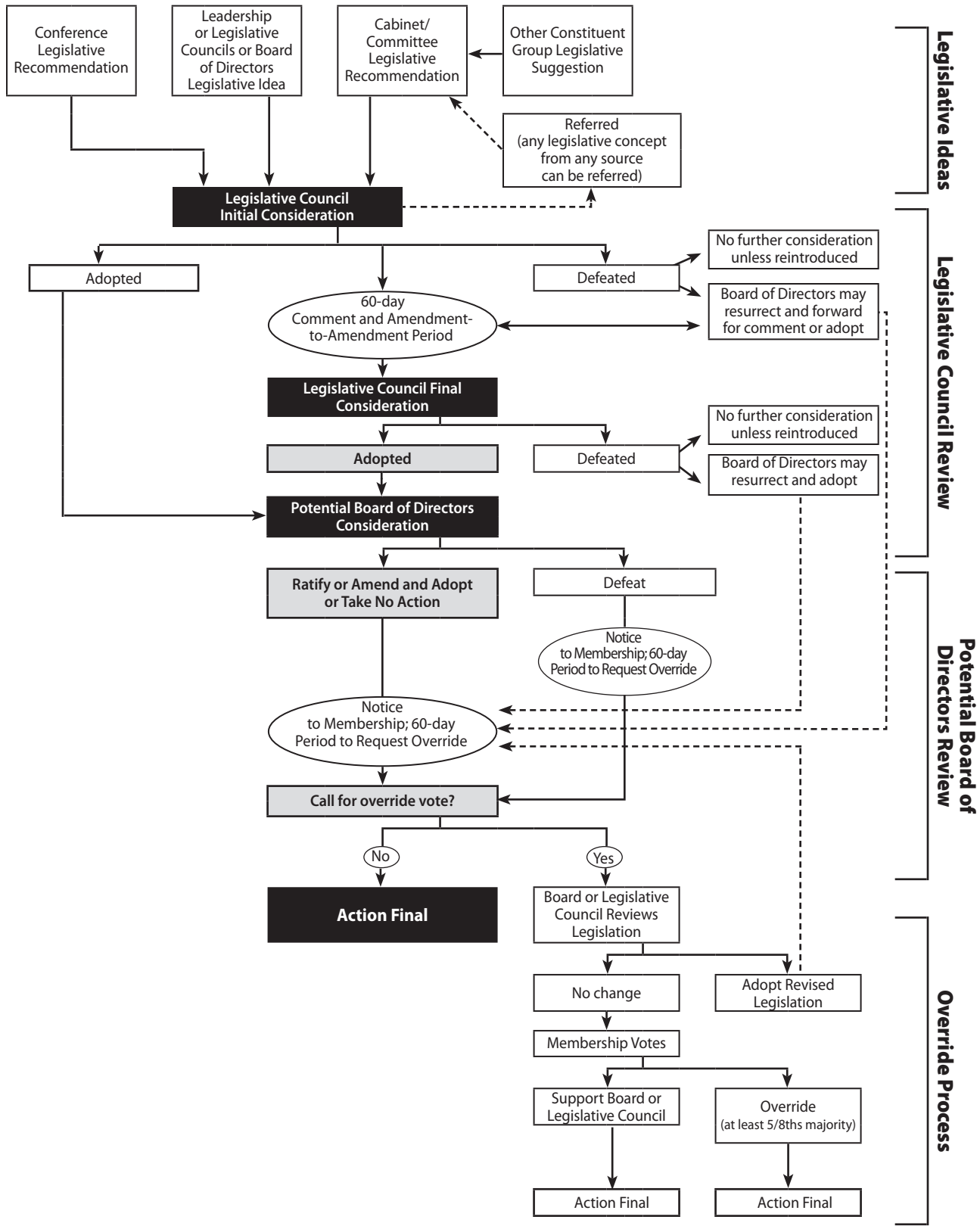
## **5.4.4 Statements of Division Philosophy.**

**5.4.4.1 Authorization.** Each division or subdivision, through appropriate deliberative processes, may prepare a statement of division philosophy relating to the development and operation of an intercollegiate athletics program in the division or subdivision.

**5.4.4.2 Scope and Application.** Such a statement is not binding on member institutions but shall serve as a guide for the preparation of legislation by the division or subdivision and for planning and implementation of programs by institutions and conferences.

**5.4.4.3 Adoption Process.** A statement of division philosophy may be adopted through the legislative process set forth in Constitution 5.3.2. If a statement of division philosophy is adopted, it shall be published in the NCAA Manual. *(Revised: 1/9/96 effective 8/1/97)*

**FIGURE 5-1**  
**NCAA Division I Legislative Process**





# Institutional Control

## 6.01 General Principle.

**6.01.1 Institutional Control.** The control and responsibility for the conduct of intercollegiate athletics shall be exercised by the institution itself and by the conference(s), if any, of which it is a member. Administrative control or faculty control, or a combination of the two, shall constitute institutional control.

## 6.1 Institutional Governance.

**6.1.1 President or Chancellor.** A member institution's president or chancellor has ultimate responsibility and final authority for the conduct of the intercollegiate athletics program and the actions of any board in control of that program. The term "president or chancellor" refers to the individual with primary executive authority for an institution and does not include an individual who has executive responsibility over a system of institutions. *(Revised: 3/8/06, 5/22/13)*

**6.1.2 Athletics Board.** A board in control of athletics or an athletics advisory board, which has responsibility for advising or establishing athletics policies and making policy decisions, is not required. However, if such a board exists, it must conform to the following provisions.

**6.1.2.1 Composition.** Administration and/or faculty staff members shall constitute at least a majority of the board in control of athletics or an athletics advisory board, irrespective of the president or chancellor's responsibility and authority or whether the athletics department is financed in whole or in part by student fees. If the board has a parliamentary requirement necessitating more than a simple majority in order to transact some or all of its business, then the administrative and faculty members shall be of sufficient number to constitute at least that majority. *(Revised: 3/8/06)*

**6.1.2.1.1 Administrator Defined.** An administrator (for purposes of this legislation) is an individual employed by the institution as a full-time administrative staff member who holds an academic appointment, is directly responsible to the institution's president or chancellor or serves as a chief administrative official (e.g., admissions director, finance officer, department head, or athletics department head). Other nonacademic staff members and individuals who are members of an institution's board of trustees or similar governing body would not be considered to be administrators for purposes of this regulation. *(Revised: 3/8/06)*

**6.1.2.1.2 Board Subcommittee.** If a board subcommittee is appointed, it is not necessary for the subcommittee to have majority control by administration and/or faculty members (see Constitution 6.1.2.1), provided all actions of the subcommittee are approved by the entire board before becoming effective. However, if the subcommittee's actions are effective permanently or become effective immediately and remain in effect until reviewed by the entire board at a later date, the subcommittee's membership must satisfy the majority-control requirement.

**6.1.2.1.3 Attendance.** A parliamentary majority of administrators and faculty members of a board in control of athletics is not required to be present at any single meeting in order to conduct business.

**6.1.2.2 Chair or Voting Delegate.** Only an administrator or faculty member (as opposed to a student, alumnus or governing board member) may serve as chair of a member institution's board in control of intercollegiate athletics or represent the board as the institution's voting delegate at Conventions. Institutional representatives in these positions have responsibility for advising or establishing athletics policies and making policy decisions that require administrative and/or faculty control.

**6.1.3 Faculty Athletics Representative.** A member institution shall designate an individual to serve as faculty athletics representative. An individual so designated after January 12, 1989, shall be a member of the institution's faculty or an administrator who holds faculty rank and shall not hold an administrative or coaching position in the athletics department. Duties of the faculty athletics representative shall be determined by the member institution. *(Adopted: 1/11/89)*

**6.1.4 Student-Athlete Advisory Committee.** Each institution shall establish a student-athlete advisory committee for its student-athletes. The composition and duties of the committee shall be determined by the institution. *(Adopted: 1/10/95 effective 8/1/95)*



## 6.2 Budgetary Control.

**6.2.1 Normal Budgeting Procedures.** The institution's annual budget for its intercollegiate athletics programs shall be controlled by the institution and subject to its normal budgeting procedures.

**6.2.2 President or Chancellor Approval.** The institution's president or chancellor or an institutional administrator designated by the president or chancellor from outside the athletics department shall approve the annual budget in the event that the institution's normal budgeting procedures do not require such action. *(Revised: 3/8/06)*

## 6.3 Self-Study and Evaluation.

**6.3.1 Self-Study Report.** Member institutions shall conduct a comprehensive self-study and evaluation of their intercollegiate athletics programs at least once every 10 years pursuant to the institutional performance program (see Bylaws 22 and 33). (Note: Between April 28, 2011, and August 1, 2014, no active Division I institution shall begin the institutional performance program process.) *(Revised: 1/14/97 effective 8/1/97, 5/30/07, 4/28/11, 4/26/12, 1/19/13)*

**6.3.2 Exit Interviews.** The institution's director of athletics, senior woman administrator or designated representatives (excluding coaching staff members) shall conduct exit interviews in each sport with a sample of student-athletes (as determined by the institution) whose eligibility has expired. Interviews shall include questions regarding the value of the students' athletics experiences, the extent of the athletics time demands encountered by the student-athletes, proposed changes in intercollegiate athletics and concerns related to the administration of the student-athletes' specific sports. *(Adopted: 1/10/91 effective 8/1/91)*

## 6.4 Responsibilities for Actions of Outside Entities.

**6.4.1 Independent Agencies or Organizations.** An institution's "responsibility" for the conduct of its intercollegiate athletics program shall include responsibility for the acts of an independent agency, corporate entity (e.g., apparel or equipment manufacturer) or other organization when a member of the institution's executive or athletics administration, or an athletics department staff member, has knowledge that such agency, corporate entity or other organization is promoting the institution's intercollegiate athletics program. *(Revised: 2/16/00)*

**6.4.2 Representatives of Athletics Interests.** An institution's "responsibility" for the conduct of its intercollegiate athletics program shall include responsibility for the acts of individuals, a corporate entity (e.g., apparel or equipment manufacturer) or other organization when a member of the institution's executive or athletics administration or an athletics department staff member has knowledge or should have knowledge that such an individual, corporate entity or other organization: *(Revised: 2/16/00)*

- (a) Has participated in or is a member of an agency or organization as described in Constitution 6.4.1;
- (b) Has made financial contributions to the athletics department or to an athletics booster organization of that institution;
- (c) Has been requested by the athletics department staff to assist in the recruitment of prospective student-athletes or is assisting in the recruitment of prospective student-athletes;
- (d) Has assisted or is assisting in providing benefits to enrolled student-athletes; or
- (e) Is otherwise involved in promoting the institution's athletics program.

**6.4.2.1 Agreement to Provide Benefit or Privilege.** Any agreement between an institution (or any organization that promotes, assists or augments in any way the athletics interests of the member institution, including those identified per Constitution 6.4.1) and an individual who, for any consideration, is or may be entitled under the terms of the agreement to any benefit or privilege relating to the institution's athletics program, shall contain a specific clause providing that any such benefit or privilege may be withheld if the individual has engaged in conduct that is determined to be a violation of NCAA legislation. The clause shall provide for the withholding of the benefit or privilege from a party to the agreement and any other person who may be entitled to a benefit or privilege under the terms of the agreement. *(Adopted: 1/10/95)*

**6.4.2.2 Retention of Identity as "Representative."** Any individual participating in the activities set forth in Constitution 6.4.2 shall be considered a "representative of the institution's athletics interests," and once so identified as a representative, it is presumed the person retains that identity.

# Ethical Conduct

## 10.01 General Principle.

**10.01.1 Honesty and Sportsmanship.** Individuals employed by (or associated with) a member institution to administer, conduct or coach intercollegiate athletics and all participating student-athletes shall act with honesty and sportsmanship at all times so that intercollegiate athletics as a whole, their institutions and they, as individuals, shall represent the honor and dignity of fair play and the generally recognized high standards associated with wholesome competitive sports.

## 10.02 Definitions and Applications.

**10.02.1 Sports Wagering. [#]** Sports wagering includes placing, accepting or soliciting a wager (on a staff member's or student-athlete's own behalf or on the behalf of others) of any type with any individual or organization on any intercollegiate, amateur or professional team or contest. Examples of sports wagering include, but are not limited to, the use of a bookmaker or parlay card; Internet sports wagering; auctions in which bids are placed on teams, individuals or contests; and pools or fantasy leagues in which an entry fee is required and there is an opportunity to win a prize. *(Adopted: 4/26/07 effective 8/1/07)*

**10.02.2 Wager. [#]** A wager is any agreement in which an individual or entity agrees to give up an item of value (e.g., cash, shirt, dinner) in exchange for the possibility of gaining another item of value. *(Adopted: 4/26/07 effective 8/1/07)*

## 10.1 Unethical Conduct.

Unethical conduct by a prospective or enrolled student-athlete or a current or former institutional staff member, which includes any individual who performs work for the institution or the athletics department even if he or she does not receive compensation for such work, may include, but is not limited to, the following: *(Revised: 1/10/90, 1/9/96, 2/22/01, 10/5/10)*

- (a) Refusal to furnish information relevant to an investigation of a possible violation of an NCAA regulation when requested to do so by the NCAA or the individual's institution;
- (b) Knowing involvement in arranging for fraudulent academic credit or false transcripts for a prospective or an enrolled student-athlete;
- (c) Knowing involvement in offering or providing a prospective or an enrolled student-athlete an improper inducement or extra benefit or improper financial aid; *(Revised: 1/9/96)*
- (d) Knowingly furnishing or knowingly influencing others to furnish the NCAA or the individual's institution false or misleading information concerning an individual's involvement in or knowledge of matters relevant to a possible violation of an NCAA regulation; *(Revised: 1/16/10)*
- (e) Receipt of benefits by an institutional staff member for facilitating or arranging a meeting between a student-athlete and an agent, financial advisor or a representative of an agent or advisor (e.g., "runner"); *(Adopted: 1/9/96, Revised: 8/4/05)*
- (f) Knowing involvement in providing a banned substance or impermissible supplement to student-athletes, or knowingly providing medications to student-athletes contrary to medical licensure, commonly accepted standards of care in sports medicine practice, or state and federal law. This provision shall not apply to banned substances for which the student-athlete has received a medical exception per Bylaw 31.2.3.5; however, the substance must be provided in accordance with medical licensure, commonly accepted standards of care and state or federal law; *(Adopted: 8/4/05, Revised: 5/16/08)*
- (g) Failure to provide complete and accurate information to the NCAA, the NCAA Eligibility Center or an institution's admissions office regarding an individual's academic record (e.g., schools attended, completion of coursework, grades and test scores); *(Adopted: 4/27/06, Revised: 10/23/07)*
- (h) Fraudulence or misconduct in connection with entrance or placement examinations; *(Adopted: 4/27/06)*

- (i) Engaging in any athletics competition under an assumed name or with intent to otherwise deceive; or (*Adopted: 4/27/06*)
- (j) Failure to provide complete and accurate information to the NCAA, the NCAA Eligibility Center or the institution's athletics department regarding an individual's amateur status. (*Adopted: 1/8/07, Revised: 5/9/07*)

## **10.2 Knowledge of Use of Banned Drugs.**

A member institution's athletics department staff members or others employed by the intercollegiate athletics program who have knowledge of a student-athlete's use at any time of a substance on the list of banned drugs, as set forth in Bylaw 31.2.3.4, shall follow institutional procedures dealing with drug abuse or shall be subject to disciplinary or corrective action as set forth in Bylaw 19.9.

## **10.3 Sports Wagering Activities. [#]**

The following individuals shall not knowingly participate in sports wagering activities or provide information to individuals involved in or associated with any type of sports wagering activities concerning intercollegiate, amateur or professional athletics competition: (*Adopted: 4/26/07 effective 8/1/07*)

- (a) Staff members of an institution's athletics department;
- (b) Nonathletics department staff members who have responsibilities within or over the athletics department (e.g., chancellor or president, faculty athletics representative, individual to whom athletics reports);
- (c) Staff members of a conference office; and
- (d) Student-athletes.

**10.3.1 Scope of Application. [#]** The prohibition against sports wagering applies to any institutional practice or any competition (intercollegiate, amateur or professional) in a sport in which the Association conducts championship competition, in bowl subdivision football and in emerging sports for women. (*Adopted: 4/26/07 effective 8/1/07*)

**10.3.1.1 Exception. [#]** The provisions of Bylaw 10.3 are not applicable to traditional wagers between institutions (e.g., traditional rivalry) or in conjunction with particular contests (e.g., bowl games). Items wagered must be representative of the involved institutions or the states in which they are located. (*Adopted: 4/26/07 effective 8/1/07*)

**10.3.2 Sanctions. [#]** The following sanctions for violations of Bylaw 10.3 shall apply: (*Adopted: 4/27/00 effective 8/1/00, Revised: 4/26/07 effective 8/1/07*)

- (a) A student-athlete who engages in activities designed to influence the outcome of an intercollegiate contest or in an effort to affect win-loss margins ("point shaving") or who participates in any sports wagering activity involving the student-athlete's institution shall permanently lose all remaining regular-season and postseason eligibility in all sports. (*Revised: 4/26/07 effective 8/1/07*)
- (b) A student-athlete who participates in any sports wagering activity through the Internet, a bookmaker or a parlay card shall be ineligible for all regular-season and postseason competition for a minimum period of one year from the date of the institution's determination that a violation occurred and shall be charged with the loss of a minimum of one season of eligibility. If the student-athlete is determined to have been involved in a later violation of any portion of Bylaw 10.3, the student-athlete shall permanently lose all remaining regular-season and postseason eligibility in all sports. (*Revised: 4/26/07 effective 8/1/07*)

## **10.4 Disciplinary Action. [#]**

Prospective or enrolled student-athletes found in violation of the provisions of this regulation shall be ineligible for further intercollegiate competition, subject to appeal to the Committee on Student-Athlete Reinstatement for restoration of eligibility. (See Bylaw 10.3.2 for sanctions of student-athletes involved in violations of Bylaw 10.3.) Institutional staff members found in violation of the provisions of this regulation shall be subject to disciplinary or corrective action as set forth in Bylaw 19.9 of the NCAA enforcement procedures, whether such violations occurred at the certifying institution or during the individual's previous employment at another member institution. (*Revised: 1/10/90, 4/27/00 effective 8/1/00, 4/26/07 effective 8/1/07*)

# Conduct and Employment of Athletics Personnel

## 11.01 Definitions and Applications.

**11.01.1 Bonus.** A bonus is a direct cash payment over and above an athletics department staff member's institutional salary in recognition of a specific and extraordinary achievement (see Bylaw 11.3.2.3).

**11.01.2 Coach, Head or Assistant.** A head or assistant coach is any coach who is designated by the institution's athletics department to perform coaching duties and who serves in that capacity on a volunteer or paid basis. *(Revised: 1/10/91 effective 8/1/92)*

**11.01.3 Coach, Graduate Assistant—Bowl Subdivision Football and Women's Rowing. [FBS]** In bowl subdivision football, a graduate assistant coach is any coach who has received a baccalaureate degree and has either received his or her first baccalaureate degree or has exhausted athletics eligibility (whichever occurs later) within the previous seven years and qualifies for appointment as a graduate assistant under the policies of the institution. In women's rowing, a graduate assistant coach is any coach who has received a baccalaureate degree and qualifies for appointment as a graduate assistant under the policies of the institution. In bowl subdivision football and women's rowing, the individual is not required to be enrolled in a specific graduate degree program unless required by institutional policy. The following provisions shall apply: *(Revised: 1/10/91, 1/10/92, 1/9/06 effective 8/1/06, 12/15/06, 1/8/07 effective 8/1/07, 4/29/10 for new appointments)*

- (a) The individual shall be enrolled in at least 50 percent of the institution's minimum regular graduate program of studies, except that during his or her final semester or quarter of the degree program, he or she may be enrolled in less than 50 percent of the institution's minimum regular program, provided he or she is carrying (for credit) the courses necessary to complete the degree requirements. If the individual fails to complete all degree requirements during the term in which he or she is enrolled in less than 50 percent of the institution's minimum regular program, the result shall be an institutional violation per Constitution 2.8.1. An institution may appoint a midyear replacement graduate assistant coach who is enrolled in less than 50 percent of the institution's minimum regular graduate program of studies (or is not yet enrolled), provided the graduate assistant coach has been accepted for enrollment in a graduate program beginning with the next regular academic term; *(Adopted: 1/8/07 effective 8/1/07, Revised: 1/16/10 effective 8/1/10)*
- (b) The individual may not receive compensation or remuneration in excess of the value of a full grant-in-aid for a full-time student, based on the resident status of that individual, and the receipt of four complimentary tickets to the institution's intercollegiate football and basketball games;
- (c) Graduate and postgraduate financial assistance administered outside the institution (e.g., NCAA postgraduate scholarship) shall be excluded from the individual's limit on remuneration, provided such assistance is awarded through an established and continuing program to aid graduate students and the donor of the assistance does not restrict the recipient's choice of institutions; *(Adopted: 1/11/89)*
- (d) The individual may not serve as a graduate assistant coach for a period of more than two years except that if the individual successfully completes 24-semester or 36-quarter hours during the initial two-year period, the individual may serve as a graduate assistant coach for a third year; *(Revised: 1/16/93, 11/1/07 effective 8/1/08, 8/21/12)*
- (e) Compensation for employment from a source outside the institution during the academic year shall be excluded from the individual's limit on remuneration, provided the institution does not arrange such employment and the compensation is for work actually performed. The member institution may not arrange on- or off-campus employment opportunities except for summer employment, which is permissible regardless of whether the student remains enrolled in the graduate program during the summer;
- (f) A graduate student coach may accept employment benefits available to all institutional employees (e.g., life insurance, health insurance, disability insurance), as well as expenses to attend the convention of the national coaches association in the coach's sport, without the value of those benefits being included; *(Revised: 11/1/01 effective 8/1/02, 1/9/06 effective 8/1/06)*
- (g) The individual may receive cash to cover unitemized incidental expenses during travel and practice for NCAA championship events or postseason bowl contests in accordance with institutional policy; *(Adopted: 1/15/11 effective 8/1/11, Revised: 1/19/13 effective 8/1/13)*

- (h) The institution may provide actual and necessary expenses for the individual's spouse and children to attend a postseason football bowl game or an NCAA championship or, in women's rowing, for the season-ending tournament(s) specified in Bylaw 17.15.5.3-(b); and *(Revised: 1/9/06 effective 8/1/06)*
- (i) The individual may not evaluate or contact prospective student-athletes off campus, regardless of whether compensation is received for such activities. The individual may not perform recruiting coordination functions (see Bylaw 11.7.1.2); however, it is permissible for a graduate assistant coach to make telephone calls to prospective student-athletes, provided the coach has successfully completed the coaches' certification examination per Bylaw 11.5.1.1. *(Revised: 1/9/96 effective 8/1/96, 5/26/06, 4/26/12)*

**11.01.3.1 Replacement of Graduate Assistant Coach.** The compensation or remuneration set forth in Bylaw 11.01.3 shall be charged against an academic year. Once the amount set forth in Bylaw 11.01.3-(b) is paid to a graduate assistant coach for that academic year, additional funds may not be spent on a replacement until the start of the next academic year, even though the graduate assistant coach leaves the institution's athletics program during the academic year. *(Adopted: 1/11/94, Revised: 1/9/06 effective 8/1/06, 12/15/06, 1/8/07 effective 8/1/07)*

**11.01.4 Coach, Undergraduate Student Assistant.** An undergraduate student assistant coach is any coach who is a student-athlete who has exhausted his or her eligibility in the sport or has become injured to the point that he or she is unable to practice or compete ever again, and who meets the following additional criteria: *(Revised: 1/12/04 effective 8/1/04, 4/29/10 effective 8/1/10)*

- (a) Is enrolled at the institution at which he or she most recently participated in intercollegiate athletics; *(Revised: 4/29/10 effective 8/1/10)*
- (b) Is enrolled as a full-time undergraduate student in his or her first baccalaureate degree program (see Bylaw 14.1.7.2), except that during his or her final semester or quarter of the baccalaureate degree program, he or she may be enrolled in less than a full-time degree program of studies, provided he or she is carrying (for credit) the courses necessary to complete the degree requirements; *(Revised: 1/12/04 effective 8/1/04, 8/11/09, 4/29/10 effective 8/1/10)*
- (c) Is receiving no compensation or remuneration for coaching duties from the institution other than the financial aid that could be received as a student-athlete and expenses incurred on road trips that are received by individual team members; and *(Revised: 1/9/96, 3/10/04)*
- (d) Is not involved in contacting and evaluating prospective student-athletes off campus or scouting opponents off campus and does not perform recruiting coordination functions (see Bylaw 11.7.1.2). *(Revised: 5/26/06)*

**11.01.5 Coach, Volunteer.** In sports other than football and basketball, a volunteer coach is any coach who does not receive compensation or remuneration from the institution's athletics department or any organization funded in whole or in part by the athletics department or that is involved primarily in the promotion of the institution's athletics program (e.g., booster club, athletics foundation association). The following provisions shall apply: *(Revised: 1/10/92 effective 8/1/92, 4/26/01 effective 8/1/01)*

- (a) The individual is prohibited from contacting and evaluating prospective student-athletes off campus or from scouting opponents off campus and may not perform recruiting coordination functions (see Bylaw 11.7.1.2). *(Revised: 1/16/93, 1/11/94, 5/26/06)*
- (b) The individual may receive a maximum of two complimentary tickets to home athletics contests in the coach's sport. *(Revised: 1/16/93)*
- (c) The individual may receive complimentary meals incidental to organized team activities (e.g., pre- or post-game meals, occasional meals, but not training table meals) or meals provided during a prospective student-athlete's official visit, provided the individual dines with the prospective student-athlete. *(Adopted: 4/29/04 effective 8/1/04, Revised: 1/10/05 effective 8/1/05)*

**11.01.6 Manager.** A manager is an individual who performs traditional managerial duties (e.g., equipment, laundry, hydration) and meets the following additional criteria: *(Adopted: 1/16/10 effective 8/1/10)*

- (a) The individual shall be a full-time undergraduate or graduate student (see Bylaws 14.1.7.2 and 14.1.7.2.1.4), except that during his or her final semester or quarter of a degree program, he or she may be enrolled in less than a full-time program of studies, provided he or she is carrying (for credit) the courses necessary to complete the degree requirements;
- (b) The individual may participate in limited on-court or on-field activities during practice (e.g., assist with drills, throw batting practice) or competition (e.g., assist with warm-up activities) involving student-athletes on a regular basis;
- (c) The individual shall not provide instruction to student-athletes;
- (d) The individual shall not participate in countable athletically related activities (e.g., practice player) except as permitted in Bylaw 11.01.6-(b); and
- (e) In baseball, the individual shall forfeit any remaining eligibility in the sport at the institution at which the individual serves as a manager. *(Adopted: 4/29/10 effective 8/1/10)*



## 11.1 Conduct of Athletics Personnel.

**11.1.1 Responsibility for Violations of NCAA Regulations.** Institutional staff members found in violation of NCAA regulations shall be subject to disciplinary or corrective action as set forth in the provisions of the NCAA enforcement procedures, whether such violations occurred at the certifying institution or during the individual's previous employment at another member institution.

**11.1.1.1 Responsibility of Head Coach.** An institution's head coach is presumed to be responsible for the actions of all assistant coaches and administrators who report, directly or indirectly, to the head coach. An institution's head coach shall promote an atmosphere of compliance within his or her program and shall monitor the activities of all assistant coaches and administrators involved with the program who report, directly or indirectly, to the coach. *(Adopted: 4/28/05, Revised: 10/30/12)*

**11.1.2 Use of Association Name or Affiliation.** Staff members of member institutions and others serving on the Association's committees or acting as consultants shall not use, directly or by implication, the Association's name or their affiliation with the Association in the endorsement of products or services.

**11.1.3 Representing Individuals in Marketing Athletics Ability/Reputation.** Staff members of the athletics department of a member institution shall not represent, directly or indirectly, any individual in the marketing of athletics ability or reputation to an agent, a professional sports team or a professional sports organization, including receiving compensation for arranging commercial endorsements or personal appearances for former student-athletes, except as specified in Bylaw 11.1.4.1, and shall not receive compensation or gratuities of any kind, directly or indirectly, for such services. *(Revised: 1/10/92, 1/11/94)*

**11.1.3.1 Exception—Professional Sports Counseling Panel and Head Coach.** An institution's professional sports counseling panel or a head coach in a sport may contact agents, professional sports teams or professional sports organizations on behalf of a student-athlete, provided no compensation is received for such services. The head coach shall consult with and report his or her activities on behalf of the student-athlete to the institution's professional sports counseling panel. If the institution has no such panel, the head coach shall consult with and report his or her activities to the president or chancellor [or an individual or group (e.g., athletics advisory board) designated by the president or chancellor]. *(Revised: 11/1/01 effective 8/1/02, 3/8/06)*

**11.1.4 Use of Tobacco Products.** The use of tobacco products is prohibited by all game personnel (e.g., coaches, trainers, managers and game officials) in all sports during practice and competition. Uniform penalties (as determined by the applicable rules-making committees and sports committees with rules-making responsibilities) shall be established for such use. *(Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95, 1/14/97 effective 8/1/97)*

## 11.2 Contractual Agreements.

**11.2.1 Stipulation That NCAA Enforcement Provisions Apply.** Contractual agreements or appointments between a coach and an institution shall include the stipulation that a coach who is found in violation of NCAA regulations shall be subject to disciplinary or corrective action as set forth in the provisions of the NCAA enforcement procedures, including suspension without pay or termination of employment for significant or repetitive violations. *(Revised: 3/10/04)*

**11.2.2 Athletically Related Income.** Contractual agreements, including letters of appointment, between a full-time or part-time athletics department staff member (excluding secretarial or clerical personnel) and an institution shall include the stipulation that the staff member is required to provide a written detailed account annually to the president or chancellor for all athletically related income and benefits from sources outside the institution. In addition, the approval of all athletically related income and benefits shall be consistent with the institution's policy related to outside income and benefits applicable to all full-time or part-time employees. Sources of such income shall include, but are not limited to, the following: *(Revised: 1/10/92, 1/11/94, 1/10/95, 4/26/01 effective 8/1/01, 3/8/06)*

- (a) Income from annuities;
- (b) Sports camps;
- (c) Housing benefits (including preferential housing arrangements);
- (d) Country club memberships;
- (e) Complimentary ticket sales;
- (f) Television and radio programs; and
- (g) Endorsement or consultation contracts with athletics shoe, apparel or equipment manufacturers.

## 11.3 Compensation and Remuneration.

**11.3.1 Control of Employment and Salaries.** The institution, as opposed to any outside source, shall remain in control of determining who is to be its employee and the amount of salary the employee is to receive within the restrictions specified by NCAA legislation.

### **11.3.2 Income in Addition to Institutional Salary.**

**11.3.2.1 Bona Fide Outside Employment.** A staff member may earn income in addition to the institutional salary by performing services for outside groups. *(Revised: 1/10/92, 4/26/01 effective 8/1/01)*

**11.3.2.2 Supplemental Pay.** An outside source is prohibited from paying or regularly supplementing an athletics department staff member's annual salary and from arranging to supplement that salary for an unspecified achievement. This includes the donation of cash from outside sources to the institution earmarked for the staff member's salary or supplemental income. It would be permissible for an outside source to donate funds to the institution to be used as determined by the institution, and it would be permissible for the institution, at its sole discretion, to use such funds to pay or supplement a staff member's salary.

**11.3.2.3 Bonuses for Specific and Extraordinary Achievement.** An institution may permit an outside individual, group or agency to supplement an athletics department staff member's salary with a direct cash payment in recognition of a specific and extraordinary achievement (e.g., contribution during career to the athletics department of the institution, winning a conference or national championship, number of games or meets won during career/season), provided such a cash supplement is in recognition of a specific achievement and is in conformance with institutional policy.

**11.3.2.4 Noninstitutional Publications That Report on Athletics Program.** Athletics department staff members shall not endorse (either orally or in writing) any noninstitutional publication dedicated primarily to reporting on an institution's athletics activities, except as provided in this section, and shall not write for such publications. *(Adopted: 1/16/93, Revised: 1/11/94, 4/26/01 effective 8/1/01)*

**11.3.2.4.1 Educational Articles.** Athletics department staff members may write educational articles related to NCAA rules and crowd control for noninstitutional publications dedicated primarily to reporting on an institution's athletics activities. *(Adopted: 1/11/94)*

**11.3.2.5 Recruiting Service Consultants.** Institutional athletics department staff members may not endorse, serve as consultants or participate on advisory panels for any recruiting or scouting service involving prospective student-athletes. *(Adopted: 1/16/93)*

**11.3.2.6 Quotations and Pictures Used to Promote a Camp.** An institution's coaching staff member may not promote a noninstitutional camp or clinic by permitting the use of his or her quotations and/or pictures in the camp or clinic brochure, unless that coaching staff member is employed by the camp. *(Adopted: 1/14/97 effective 8/1/97)*

**11.3.2.7 Consultant for or Endorsement of Noninstitutional Athletics Events Involving Prospective Student-Athletes.** An athletics department staff member may not serve as a consultant for a noninstitutional athletics event that primarily involves prospective student-athletes and may not endorse or promote such an event. *(Adopted: 1/15/11)*

**11.3.2.8 Promotion or Endorsement of a Prospective Student-Athlete's Team, Coach or Athletics Facility.** An athletics department staff member shall not promote or endorse a prospective student-athlete's team or coach, or an athletics facility that is primarily used by prospective student-athletes. *(Adopted: 1/15/11)*

### **11.4 Employment of High School, Preparatory School or Two-Year College Coaches, or Other Individuals Associated With Prospective Student-Athletes.**

**11.4.1 High School, Preparatory School or Two-Year College Coach.** An institution may not employ a high school, preparatory school or two-year college coach who remains a coach in the same sport at the high school, preparatory school or two-year college. This provision does not preclude employment of a high school, preparatory school or two-year college coach in a different sport. Men's and women's teams in the same sport are considered different sports for purposes of this legislation. Men's and women's teams in the same sport are considered different sports even if an athlete from the opposite gender is playing on a high school, preparatory school or two-year college men's or women's team, provided the team is classified as a separate team (as opposed to a "mixed" team) by the appropriate institution or the state high school, preparatory school or two-year college governing body. (See Bylaw 13.12.2.2 for regulations relating to the employment of high school, preparatory school or two-year college coaches in institutional camps or clinics.) *(Revised: 1/10/91, 3/16/07, 1/16/10)*

**11.4.1.1 Contract for Future Employment.** An institution is permitted to enter into a contractual agreement with a high school, preparatory school or two-year college coach for an employment opportunity that begins with the next academic year, provided the employment contract with the member institution is not contingent upon the enrollment of a prospective student-athlete and the coach does not begin any coaching duties (e.g., recruiting, selection of coaching staff) for the member institution while remaining associated with the high school, preparatory school or two-year college.

**11.4.2 Individual Associated with a Prospective Student-Athlete—Men's Basketball.** In men's basketball, during a two-year period before a prospective student-athlete's anticipated enrollment and a two-year period after the prospective student-athlete's actual enrollment, an institution shall not employ (or enter into a contract for future employment with) an individual associated with the prospective student-athlete in

any athletics department noncoaching staff position or in a strength and conditioning staff position. (*Adopted: 1/16/10; a contract signed before 10/29/09 may be honored, Revised: 6/17/11*)

**11.4.2.1 Application.** A violation of Bylaw 11.4.2 occurs if an individual associated with a prospective student-athlete (see Bylaw 13.02.17) is employed by the institution and, at the time of employment, a student-athlete who enrolled at the institution in the previous two years (and remains enrolled at the institution) was a prospective student-athlete by which the individual meets the definition of an individual associated with a prospective student-athlete. A violation of Bylaw 11.4.2 also occurs if an individual associated with a prospective student-athlete is employed and, within two years after such employment, a prospective student-athlete by which the individual meets the definition of an individual associated with a prospective student-athlete enrolls as a full-time student in a regular academic term at the institution. In either case, the student-athlete becomes ineligible for intercollegiate competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement. (*Adopted: 6/20/13*)

## 11.5 Certification to Recruit Off Campus.

**11.5.1 Annual Certification Requirement.** Only those coaches who have been certified may contact or evaluate any prospective student-athletes off campus. Certification must occur on an annual basis. (*Adopted: 1/10/91 effective 8/1/92*)

**11.5.1.1 Certification Administration.** Such certification procedures shall be established and administered for its member institutions by the member conferences of the Association or, in the case of an independent institution, by the NCAA national office or the conference office that administers the National Letter of Intent for that institution. Such certification procedures shall include a requirement that the coaches shall have passed a standardized national test developed by the NCAA national office covering NCAA recruiting legislation, including Bylaw 13 and other bylaws [e.g., Bylaws 15.3 (institutional financial aid award) and 14.3 (freshman academic requirements)] that relate to the recruitment of prospective student-athletes as a condition for being permitted to engage in off-campus recruiting. Member conferences shall establish the procedures for administering and correcting the test within each conference. (*Adopted: 1/10/91 effective 8/1/92, Revised: 1/16/93, 4/24/03*)

## 11.6 Scouting of Opponents.

**11.6.1 Off-Campus, In-Person Scouting Prohibition.** Off-campus, in-person scouting of future opponents (in the same season) is prohibited, except as provided in Bylaw 11.6.1.1. (*Adopted: 1/11/94 effective 8/1/94, Revised: 1/14/97 effective 8/1/97, 1/19/13 effective 8/1/13*)

**11.6.1.1 Exception—Double-Header Events or Tournaments.** An institution's coaching staff may scout future opponents also participating in the same tournament at the same site or, the same double-header event at the same site. (*Revised: 1/11/94 effective 8/1/94, 10/28/97 effective 8/1/98, 1/19/13 effective 8/1/13*)

## 11.7 Limitations on the Number and Duties of Coaches.

### 11.7.1 General Provisions Applicable to All Sports with Numerical Coaching Limits.

**11.7.1.1 Designation of Coaching Category.** An individual who coaches and either is uncompensated or receives compensation or remuneration of any sort from the institution, even if such compensation or remuneration is not designated for coaching, shall be designated as a head coach, assistant coach, volunteer coach, graduate assistant coach or student assistant coach by certification of the institution. (*Revised: 1/10/91 effective 8/1/92, 1/12/04 effective 8/1/04*)

**11.7.1.1.1 Countable Coach.** An athletics department staff member must count against coaching limits as soon as the individual participates (in any manner) in the coaching of the intercollegiate team in practice, games or organized activities directly related to that sport, including any organized staff activity directly related to the sport.

**11.7.1.1.1.1 Noncoaching Activities.** Institutional staff members involved in noncoaching activities (e.g., administrative assistants, academic counselors) do not count in the institution's coaching limitations, provided such individuals are not identified as coaches, do not engage in any on- or off-field coaching activities (e.g., attending meetings involving coaching activities, analyzing video involving the institution's or an opponent's team), and are not involved in any off-campus recruitment of prospective student-athletes or scouting of opponents. (*Adopted: 1/16/93, Revised: 1/10/95, 12/13/05, 4/27/06 effective 8/1/06, 1/16/10*)

**11.7.1.1.1.1.1 Exception—Noncoaching Staff Member with Sport-Specific Responsibilities.** A noncoaching staff member with sport-specific responsibilities (e.g., director of operations, administrative assistant) may participate in organized activities involving only the coaching staff or may perform administrative duties (e.g., attend meetings involving coaching activities, analyze video of the institution's or an opponent's team, track statistics during practice or competition). However, such an individual is prohibited from participating in instructional activities with student-athletes and any on-court or on-field activities (e.g., assist with drills, throw batting



practice), and is prohibited from participating with or observing student-athletes in the staff member's sport who are engaged in nonorganized voluntary athletically related activities (e.g., pick-up games). *(Adopted: 1/16/10)*

**11.7.1.1.2 Replacement Due to Extenuating Circumstances.** An institution may replace temporarily or on a limited basis one of its countable coaches if the coach is unable to perform any or all of his or her duties because of extenuating circumstances (e.g., suspension, prolonged serious illness, pregnancy). The replacement coach may perform only those coaching, administrative or recruiting duties, including the telephoning of prospective student-athletes, that the replaced coach is unable to perform. *(Revised: 1/11/94, 4/25/02 effective 8/1/02)*

**11.7.1.1.3 Replacement for National or Olympic Team Coaches.** An institution may replace a coach temporarily or on a limited basis when that coach takes a leave of absence to participate on or to coach a national team or Olympic team, provided the replacement is limited to a one-year period and the coach who is replaced performs no recruiting or other duties on behalf of the institution. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/25/02 effective 8/1/02, 1/14/08)*

**11.7.1.1.4 Use of Outside Consultants.** An institution may use or arrange for a temporary consultant to provide in-service training for the coaching staff, but no interaction with student-athletes is permitted unless the individual is counted against the applicable coaching limits. An outside consultant may not be involved in any on- or off-field or on- or off-court coaching activities (e.g., attending practices and meetings involving coaching activities, formulating game plans, analyzing video involving the institution's or opponent's team) without counting the consultant in the coaching limitations in that sport. *(Adopted: 1/10/92, Revised: 3/10/04)*

**11.7.1.1.2 Placement Within Categories.** If an institution has not reached its limit on the number of coaches in any category, any type of coach may be counted in that category. *(Revised: 1/10/91 effective 8/1/92, 1/12/04 effective 8/1/04)*

**11.7.1.2 Recruiting Coordination Functions.** The following recruiting coordination functions (except related routine clerical tasks) must be performed by the head coach or one or more of the assistant coaches who count toward the numerical limitations in Bylaw 11.7.4: *(Revised: 4/27/06 effective 8/1/06, 4/24/08 effective 8/1/08)*

- (a) Activities involving athletics evaluations and/or selection of prospective student-athletes; and *(Revised: 4/24/08 effective 8/1/08)*
- (b) Making telephone calls to prospective student-athletes (or prospective student-athletes' parents, legal guardians or coaches). *(Revised: 1/12/06, 4/24/08 effective 8/1/08, 4/26/12)*

**11.7.1.2.1 Exception—Graduate Assistant Coach—Bowl Subdivision Football and Women's Rowing.** In bowl subdivision football and women's rowing, a graduate assistant coach may perform the functions set forth in Bylaw 11.7.1.2-(a) (on campus only) and 11.7.1.2-(b) if the coach has successfully completed the coaches' certification examination per Bylaw 11.5.1.1. [See Bylaw 11.01.3-(i).] *(Revised: 4/27/06 effective 8/1/06, 12/15/06)*

**11.7.1.2.2 Exceptions—Noncoaching Staff Members and Noncountable Coaches.**

- (a) **After National Letter of Intent Signing or Other Written Commitment.** A noncoaching staff member or a coach who does not count toward the numerical limitations on head and assistant coaches in Bylaw 11.7.4 may perform the functions set forth in Bylaw 11.7.1.2-(b) after the calendar day on which the prospective student-athlete signs a National Letter of Intent or the institution's written offer of admission and/or financial aid. *(Adopted: 1/14/08 effective 8/1/08, Revised: 8/8/08, 4/13/10, 1/15/11 effective 8/1/11)*
- (b) **After Receipt of Financial Deposit.** A noncoaching institutional staff member or a coach who does not count toward the numerical limitations on head and assistant coaches in Bylaw 11.7.4 may perform the functions set forth in Bylaw 11.7.1.2-(b) after the calendar day on which the institution receives a financial deposit in response to the institution's offer of admission. *(Adopted: 4/13/10, Revised: 1/15/11 effective 8/1/11)*
- (c) **Telephone Calls in Conjunction With Official Visit.** A noncoaching staff member or coach who does not count toward the numerical limitations on head and assistant coaches in Bylaw 11.7.4 may initiate telephone calls to a prospective student-athlete or those individuals accompanying the prospective student-athlete during the prospective student-athlete's official visit transportation and during his or her official visit. *(Adopted: 1/16/10 effective 8/1/10, Revised: 4/26/12)*
- (d) **Telephone Calls Regarding Institutional Camp or Clinic Logistical Issues.** A noncoaching staff member or coach who does not count toward the numerical limitations on head and assistant coaches in Bylaw 11.7.4 may initiate telephone calls to a prospective student-athlete (or his or her parents, legal guardians, relatives or coach) that relate solely to institutional camp or clinic logistical issues (e.g., missing registration information), provided no recruiting conversation or solicitation

of particular individuals to attend a camp or clinic occurs during such calls. (*Adopted: 9/24/09, Revised: 4/26/12*)

**11.7.2 Bowl Subdivision Football. [FBS]** There shall be a limit of one head coach, nine assistant coaches and four graduate assistant coaches who may be employed by an institution in bowl subdivision football. (*Revised: 4/28/11 effective 8/1/12*)

**11.7.2.1 Exceptions to Number Limits. [FBS]** No individual other than coaches designated to fill the coaching categories set forth in Bylaw 11.7.2 may participate in any manner in the coaching of the intercollegiate team of a member institution during any football game, practice or other organized activity, with the following exceptions:

**11.7.2.1.1 Weight or Strength Coach. [FBS]** A weight (strength and conditioning) coach may conduct flexibility, warm-up and physical conditioning activities prior to any game and prior to or during any practice or other organized activities without being included in the limitations on number of coaches. Not more than five weight or strength coaches are permitted to work with a football program in any capacity, including all workouts (required and voluntary), practices and game-related activities. (*Revised: 1/15/11 effective 8/1/12*)

**11.7.2.1.2 Undergraduate Coach. [FBS]** The limits on the number of coaches in this section do not apply to undergraduate coaches (see Bylaw 11.01.4). (*Revised: 1/10/91 effective 8/1/92*)

**11.7.2.1.3 Sprint Football. [FBS]** The limits on the number of coaches in this section do not apply to sprint football programs. Sprint football coaches are prohibited from off-campus recruiting.

**11.7.2.1.4 Additional Coaches—National Service Academies. [FBS]** National service academies may employ four additional coaches. (*Revised: 1/10/91 effective 8/1/92, 1/12/04 effective 8/1/04, 8/23/05*)

**11.7.2.1.5 Special Attrition Provision. [FBS]** The institution is permitted to meet these limitations through normal attrition only if the institution had in effect prior to September 15, 1990, a written obligation to the assistant coach through academic tenure, an enforceable contract or a formal security-of-employment commitment. (*Revised: 1/10/91 effective 8/1/92*)

**11.7.2.2 Contact and Evaluation of Prospective Student-Athletes. [FBS]** Only those coaches who are counted by the institution within the numerical limitations on head and assistant coaches may contact or evaluate prospective student-athletes off campus. (*Revised: 4/28/05 effective 8/1/05, 1/19/13 effective 8/1/13*)

**11.7.3 Championship Subdivision Football. [FCS]** There shall be a limit of 11 coaches of any type who may be employed by an institution in championship subdivision football. (*Revised: 1/10/91 effective 8/1/92, 1/16/93, 1/9/96, 1/12/04 effective 8/1/04, 12/15/06*)

**11.7.3.1 Exceptions to Number Limits. [FCS]** No individual other than coaches designated to fill the coaching limit set forth in Bylaw 11.7.3 may participate in any manner in the coaching of the intercollegiate team of a member institution during any football game, practice or other organized activity, with the following exceptions:

**11.7.3.1.1 Weight or Strength Coach. [FCS]** A weight (strength and conditioning) coach may conduct flexibility, warm-up and physical conditioning activities prior to any game and prior to or during any practice or other organized activities without being included in the limitations on number of coaches.

**11.7.3.1.2 Undergraduate Coach. [FCS]** The limits on the number of coaches in this section do not apply to undergraduate coaches (see Bylaw 11.01.4). (*Revised: 1/10/91 effective 8/1/92*)

**11.7.3.1.3 Varsity/Freshman Team Football Program. [FCS]** An institution that conducts a championship subdivision football program that includes a varsity team and a freshman team may employ two additional coaches. Freshman eligibility for varsity team participation must be prohibited by the institution and the freshman team must participate in five or more intercollegiate contests in order for the two additional coaches to be employed. Such additional coaches may perform football-related duties only during the permissible playing and practice season in football. (*Revised: 1/10/91 effective 8/1/92, 1/12/04 effective 8/1/04, 12/15/06*)

**11.7.3.1.4 Varsity/Junior Varsity/Freshman Team Football Program. [FCS]** An institution that conducts a championship subdivision football program that includes a varsity team, a junior varsity team and a freshman team may employ four additional coaches. Freshman eligibility for varsity or junior varsity team participation must be prohibited by the institution, the junior varsity team must participate in at least four intercollegiate contests and the freshman team must participate in at least five intercollegiate contests in order for the four additional coaches to be employed. Such additional coaches may perform football-related duties only during the permissible playing and practice season in football. (*Revised: 1/10/91 effective 8/1/92, 1/12/04 effective 8/1/04, 12/15/06*)

**11.7.3.1.5 Varsity/Junior Varsity Football Program. [FCS]** An institution that conducts a championship subdivision football program that includes a varsity team and a junior varsity team may employ two additional coaches. The institution's junior varsity team must participate in at least four intercollegiate contests in order for the two additional coaches to be employed. Such additional coaches may perform

football-related duties only during the permissible playing and practice season in football. *(Revised: 1/10/92 effective 8/1/92, 1/12/04 effective 8/1/04, 12/15/06)*

**11.7.3.1.6 Sprint Football. [FCS]** The limits on the number of coaches in this section do not apply to sprint football programs. Sprint football coaches are prohibited from off-campus recruiting.

**11.7.3.1.7 Special Attrition Provision. [FCS]** The institution is permitted to meet these limitations through normal attrition only if the institution had in effect prior to September 15, 1990, a written obligation to the assistant coach through academic tenure, an enforceable contract or a formal security-of-employment commitment. *(Revised: 1/10/91 effective 8/1/92)*

**11.7.3.2 Off-Campus Contact and Evaluation of Prospective Student-Athletes. [FCS]** Only those coaches who are counted by the institution within the numerical limitations on head and assistant coaches may contact or evaluate prospective student-athletes off campus. *(Revised: 4/28/05 effective 8/1/05, 1/19/13 effective 8/1/13)*

**11.7.4 Limitations on Number of Coaches and Off-Campus Recruiters.** There shall be a limit on the number of coaches (other than graduate assistant coaches per Bylaw 11.01.3, undergraduate assistant coaches per Bylaw 11.01.4 and volunteer coaches per Bylaw 11.01.5) who may be employed by an institution and who may contact or evaluate prospective student-athletes off campus in each sport as follows: *(Revised: 1/10/91 effective 8/1/92, 1/10/92 effective 8/1/92, 1/9/96 effective 8/1/96, 1/14/97, 4/25/02 effective 8/1/02, 1/12/04 effective 8/1/04, 4/29/04 effective 8/1/04, 4/28/05, 4/28/05 effective 8/1/05, 2/3/06, 12/15/06, 4/26/07 effective 8/1/07, 1/17/09 effective 8/1/09, 1/15/11 effective 8/1/11, 4/28/11 effective 8/1/12, 8/11/11, 1/19/13 effective 8/1/13)*

<b>Sport</b>	<b>Limit</b>	<b>Sport</b>	<b>Limit</b>
Baseball .....	3	Skiing, Men's .....	2
Basketball, Men's .....	4	Skiing, Women's .....	2
Basketball, Women's .....	4	Soccer, Men's .....	3
Bowling, Women's .....	2	Soccer, Women's .....	3
Equestrian .....	3	Softball .....	3
Fencing, Men's .....	2	Swimming, Men's .....	2
Fencing, Women's .....	2	Swimming and Diving, Men's .....	3
Football, Bowl Subdivision (See Bylaw 11.7.2) .....	10	Swimming, Women's .....	2
Football, Championship Subdivision (See Bylaw 11.7.3) .....	11	Swimming and Diving, Women's .....	3
Field Hockey .....	3	Tennis, Men's .....	2
Golf, Men's .....	2	Tennis, Women's .....	2
Golf, Women's .....	2	Cross Country, Men's (Without Track and Field) .....	2
Gymnastics, Men's .....	3	Track and Field, Men's .....	3
Gymnastics, Women's .....	3	Cross Country/Track and Field, Men's .....	3
Ice Hockey, Men's .....	3	Cross Country, Women's (Without Track and Field) .....	2
Ice Hockey, Women's .....	3	Track and Field, Women's .....	3
Lacrosse, Men's .....	3	Cross Country/Track and Field, Women's .....	3
Lacrosse, Women's .....	3	Volleyball, Men's .....	3
Rifle, Men's .....	2	Volleyball, Women's .....	3
Rifle, Women's .....	2	Water Polo, Men's .....	2
Rowing, Women's .....	3	Water Polo, Women's .....	2
Rugby, Women's .....	3	Wrestling .....	3
Sand Volleyball, Women's .....	2		

**11.7.4.1 Combined Sports Program.** A member institution that conducts a combined program in a sport (one in which all coaching staff members in the same sport are involved in practice activities or competition with both the men's and women's teams on a daily basis) may employ the total number of coaches specified separately for men and for women in that sport. *(Adopted: 1/16/93)*

**11.7.4.2 Exceptions to Number Limits.** No individual other than coaches designated to fill the coaching limits set forth in Bylaw 11.7.4 may participate in any manner in the coaching of the intercollegiate team of a member institution during any game, practice or other organized activity, with the following exceptions: *(Revised: 1/10/91 effective 8/1/92)*

**11.7.4.2.1 Weight or Strength Coach.** A weight (strength and conditioning) coach may conduct flexibility, warm-up and physical conditioning activities prior to any game and prior to or during any practice or other organized activities without being included in the limitations on number of coaches. *(Revised: 1/10/91 effective 8/1/92)*

**11.7.4.2.2 Undergraduate Student Assistant Coach.** An institution may employ undergraduate student assistant coaches (see Bylaw 11.01.4). The limit on the number of undergraduate student assistant coaches in each sport shall be the same as the limit on the number of coaches in the sport per Bylaw 11.7.4. (Revised: 1/10/91 effective 8/1/92, 8/23/05, 4/29/10 effective 8/1/10)

**11.7.4.2.3 Volunteer Coach.** In sports other than football, basketball, women's equestrian and women's rowing, a member institution may use the services of one volunteer coach (per Bylaw 11.01.5). Indoor track and field, outdoor track and field, and cross country are separate sports for purposes of this provision. In sports in which the NCAA conducts separate men's and women's championships, a combined men's and women's program may use two volunteer coaches. (Adopted: 1/19/92 effective 8/1/92, Revised: 4/26/01 effective 8/1/01, 1/8/07 effective 8/1/07)

**11.7.4.2.3.1 Volunteer Coach—Women's Rowing.** In women's rowing, an institution may use the services of four volunteer coaches. (Adopted: 4/25/01 effective 8/1/01)

**11.7.4.2.3.2 Volunteer Coach—Swimming and Diving.** An institution that conducts separate men's and women's swimming programs with a combined men's and women's diving program may employ three volunteer coaches, one for men's swimming, one for women's swimming and one for diving. (Adopted: 1/10/95 effective 8/1/95)

**11.7.4.2.3.3 Volunteer Coach—Cross Country/Track and Field.** An institution that sponsors cross country, indoor track and field, or outdoor track and field as separate sports may use the services of one volunteer coach for each of the sports that it sponsors. Each volunteer coach may coach student-athletes in any of the three sports throughout the academic year. (Adopted: 4/27/00 effective 8/1/00)

**11.7.4.2.3.4 Volunteer Coach—Track and Field—Pole Vault.** An institution that competes in pole vault may use the services of one additional volunteer coach (to coach both genders), limited to coaching pole vault. (Adopted: 1/12/04)

**11.7.4.2.3.5 Volunteer Coach—Women's Equestrian.** In women's equestrian, an institution may use the services of one volunteer coach for the hunt seat riding discipline and one volunteer coach for the western riding discipline. (Adopted: 1/8/07 effective 8/1/07)

**11.7.4.2.4 Special Attrition Provision.** The institution is permitted to meet these limitations through normal attrition only if the institution had in effect prior to September 15, 1990, a written obligation to the assistant coach through academic tenure, an enforceable contract or formal security-of-employment commitment. (Revised: 1/10/91 effective 8/1/92)

**11.7.4.2.5 Additional Coaches—National Service Academies.** National service academies may employ two additional coaches in basketball. (Revised: 1/10/91 effective 8/1/92, 1/12/04 effective 8/1/04)

**11.7.4.2.6 Exception for Lightweight Rowing.** An institution that conducts a rowing program that includes heavyweight rowing and lightweight rowing may employ two additional coaches. Each of the institution's rowing teams must have at least one "eight" or two "fours" that compete in at least four spring events. (Adopted: 1/9/96 effective 8/1/96)

**11.7.4.2.7 Graduate Assistant Coach—Women's Rowing.** In women's rowing, an institution may employ one graduate assistant coach (see Bylaw 11.01.3). (Adopted: 1/9/06 effective 8/1/06)

**FIGURE 11-1**  
**Coaches' Compensation and Benefits**

	<b>Head Coach (Bylaw 11.01.2) Assistant Coach (Bylaw 11.01.2)</b>	<b>Volunteer Coach (Bylaw 11.01.5)</b>	<b>Graduate Assistant Coach (Bylaw 11.01.3)</b>	<b>Undergraduate Student Assistant Coach (Bylaw 11.01.4)</b>
<b>I. Compensation or Remuneration</b>				
A. In excess of full grant-in-aid based on nonresident status	X			
B. Not more than full grant-in-aid based on actual resident status			X	X
C. Compensation or remuneration from athletics department prohibited		X		
D. May receive camp compensation from athletics department	X	X	X	X
E. May receive camp compensation from source other than athletics department	X	X	X	X
F. Employment outside athletics department arranged by institution	X	X	X (only during summer)	X
G. May receive compensation from institution for duties actually performed outside athletics department, from source outside athletics department	X	X		X
H. Bowl or postseason-play bonuses	X			
I. Established graduate or postgraduate award administered outside the institution			X	
<b>II. Benefits</b>				
A. Complimentary-ticket limit	Unlimited	2 (home contests only in coach's sport)	4	6*
B. Training table (over and above I-A, B and C compensation)	X			
C. Use of car (over and above I-A, B and C compensation)	X			
D. Country club/health club membership or similar complimentary services (over and above I-A, B or C compensation)	X			
E. Benefits available to all institutional employees (life insurance, health insurance, disability insurance, tuition waiver)	X		X	
F. Reduction in teaching load without reduction in non-athletics department compensation in recognition of coaching duties (in addition to I-A, B or C compensation)	X			
G. Complimentary meals incidental to organized team activities, other than training table meals, or in conjunction with official visits	X	X	X	X
H. Actual and necessary expenses for spouse and children to attend a postseason football game or an NCAA championship in football or, in women's rowing, a season-ending tournament	X		X	
I. Incidental expenses during travel and practice for NCAA championship or bowl game			X	X

\* Admissions, not hard tickets.



**FIGURE 11-2**  
**Coaches' Reimbursable Expenses**

	Head Coach (Bylaw 11.01.2) Assistant Coach (Bylaw 11.01.2)	Volunteer Coach (Bylaw 11.01.5)	Graduate Assistant Coach (Bylaw 11.01.3)	Undergraduate Student Assistant Coach (Bylaw 11.01.4)
<b>Expenses—Reimbursable (Room, Board and Transportation)</b>				
A. Away games	X	X**	X	X
B. Off-campus recruiting contacts*	X			
C. Evaluate prospect*	X			
D. Parking expenses associated with practice and competition	X	X	X	X***

\* See Bylaw 11.7.4 for limitations on the number of coaches that are permitted to contact or evaluate prospects off campus.

\*\* Except in the sports of football and basketball.

\*\*\* Only parking expenses associated with away-from-home practice and competition.



## BYLAW, ARTICLE 12

# Amateurism

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## 12.01 General Principles.

**12.01.1 Eligibility for Intercollegiate Athletics.** Only an amateur student-athlete is eligible for intercollegiate athletics participation in a particular sport.

**12.01.2 Clear Line of Demarcation.** Member institutions' athletics programs are designed to be an integral part of the educational program. The student-athlete is considered an integral part of the student body, thus maintaining a clear line of demarcation between college athletics and professional sports.

**12.01.3 "Individual" vs. "Student-Athlete."** NCAA amateur status may be lost as a result of activities prior to enrollment in college. If NCAA rules specify that an "individual" may or may not participate in certain activities, this term refers to a person prior to and after enrollment in a member institution. If NCAA rules specify a "student-athlete," the legislation applies only to that person's activities after enrollment.

**12.01.4 Permissible Grant-in-Aid.** A grant-in-aid administered by an educational institution is not considered to be pay or the promise of pay for athletics skill, provided it does not exceed the financial aid limitations set by the Association's membership.

## 12.02 Definitions and Applications.

**12.02.1 Agent.** An agent is any individual who, directly or indirectly: (*Adopted: 1/14/12*)

- (a) Represents or attempts to represent an individual for the purpose of marketing his or her athletics ability or reputation for financial gain; or
- (b) Seeks to obtain any type of financial gain or benefit from securing a prospective student-athlete's enrollment at an educational institution or from a student-athlete's potential earnings as a professional athlete.

**12.02.1.1 Application.** An agent may include, but is not limited to, a certified contract advisor, financial advisor, marketing representative, brand manager or anyone who is employed or associated with such persons. (*Adopted: 1/14/12*)

**12.02.2 Actual and Necessary Expenses.** Actual and necessary expenses are limited to: (*Adopted 1/19/13 effective 8/1/13*)

- (a) Meals;
- (b) Lodging;
- (c) Apparel, equipment and supplies;
- (d) Coaching and instruction;
- (e) Health/medical insurance;
- (f) Transportation (expenses to and from practice and competition, cost of transportation from home to training/practice site at the beginning of the season/preparation for an event and from training/practice/event site to home at the end of season/event);
- (g) Medical treatment and physical therapy;
- (h) Facility usage;
- (i) Entry fees; and
- (j) Other reasonable expenses.

**12.02.2.1 Application.** Unless otherwise permitted by the NCAA constitution or bylaws, actual and necessary expenses may be provided only if such expenses are for competition on a team or in a specific event or for practice that is directly related to such competition. The value of such expenses must be commensurate with the fair market value of similar goods and services in the locality in which the expenses are provided and must not be excessive in nature. Actual and necessary expenses shall not include the expenses or fees of anyone other than the individual who participates as a member of the team or in a specific event. (*Adopted: 1/19/13 effective 8/1/13*)



**12.02.3 Calculation of Actual and Necessary Expenses—Individual Sports.** In individual sports, the calculation of an individual's actual and necessary expenses shall be based on expenses incurred during each calendar year (January-December), rather than on an event-by-event basis. *(Adopted: 1/19/13 effective 8/1/13)*

**12.02.4 Individual.** An individual, for purposes of this bylaw, is any person of any age without reference to enrollment in an educational institution or status as a student-athlete.

**12.02.5 Limited Benefit—Prior to Initial Full-Time Enrollment at an NCAA Institution—Expenses from a Permissible Source.** Prior to initial full-time enrollment at an NCAA institution, if an individual receives expenses from a permissible source (e.g., event sponsor, club team) that exceed his or her actual and necessary expenses by \$300 or less, the eligibility of the individual shall not be affected. *(Adopted: 1/19/13 effective 8/1/13)*

**12.02.6 Limited Benefit—Enrolled Student-Athlete—Expenses from a Permissible Source.** If a student-athlete engages in permissible outside competition and receives expenses from a permissible source (e.g., event sponsor, club team) that exceed his or her actual and necessary expenses by \$300 or less, the eligibility of the student-athlete shall not be affected and the institution is not required to submit a self-report of the infraction. *(Adopted: 1/19/13 effective 8/1/13)*

**12.02.7 Pay.** Pay is the receipt of funds, awards or benefits not permitted by the governing legislation of the Association for participation in athletics.

**12.02.8 Professional Athletics Team.** A professional team is any organized team that:

(a) Provides any of its players more than actual and necessary expenses for participation on the team, except as otherwise permitted by NCAA legislation. Actual and necessary expenses are limited to the following, provided the value of these items is commensurate with the fair market value in the locality of the player(s) and is not excessive in nature: *(Revised: 4/25/02 effective 8/1/02)*

- (1) Meals directly tied to competition and practice held in preparation for such competition;
- (2) Lodging directly tied to competition and practice held in preparation for such competition;
- (3) Apparel, equipment and supplies;
- (4) Coaching and instruction;
- (5) Health/medical insurance;
- (6) Transportation (expenses to and from practice competition, cost of transportation from home to training/practice site at the beginning of the season and from training/practice site to home at the end of season);
- (7) Medical treatment and physical therapy;
- (8) Facility usage; *(Revised: 4/24/03)*
- (9) Entry fees; and *(Revised: 4/24/03)*
- (10) Other reasonable expenses; or *(Adopted: 4/24/03, Revised: 10/28/04)*

(b) Declares itself to be professional (see Bylaw 12.2.3.2.4). *(Revised: 8/8/02)*

**12.02.9 Student-Athlete.** A student-athlete is a student whose enrollment was solicited by a member of the athletics staff or other representative of athletics interests with a view toward the student's ultimate participation in the intercollegiate athletics program. Any other student becomes a student-athlete only when the student reports for an intercollegiate squad that is under the jurisdiction of the athletics department, as specified in Constitution 3.2.4.5. A student is not deemed a student-athlete solely on the basis of prior high school athletics participation.

**12.02.10 Volleyball and Sand Volleyball.** Volleyball and sand volleyball are considered the same sport for the purposes of Bylaw 12. *(Adopted: 8/26/10)*

## 12.1 General Regulations.

An individual must comply with the following to retain amateur status. (See Bylaw 14 regarding the eligibility restoration process.)

**12.1.1 Validity of Amateur Status.** As a condition and obligation of membership, it is the responsibility of an institution to determine the validity of the information on which the amateur status of a prospective student-athlete (including two-year and four-year college transfers initially enrolling at an NCAA Division I institution) and student-athlete is based. (See Bylaw 14.01.3.) *(Adopted: 1/9/06 effective 8/1/06, for all final certifications for student-athletes initially enrolling at a Division I or Division II institution on or after 8/1/07, Revised: 1/8/07, 4/30/07)*

**12.1.1.1 Amateurism Certification Process.** An institution shall use an initial eligibility center approved by the Executive Committee to determine the validity of the information on which the amateur status of a student-athlete is based. *(Adopted: 1/9/06 effective 8/1/06, for final certifications for student-athletes initially enrolling at a Division I or Division II institution on or after 8/1/07, Revised: 4/30/07)*

**12.1.1.1.1 Scope.** The certification of amateur status issued by the NCAA Eligibility Center is limited to activities that occur prior to a prospective student-athlete's request for final amateurism certification or

his or her initial full-time enrollment at an NCAA Division I or II institution, whichever occurs earlier. *(Adopted: 4/30/07)*

#### **12.1.1.1.2 Institutional Responsibilities.**

**12.1.1.1.2.1 Amateur Status After Certification.** An institution is responsible for certifying the amateur status of a prospective student-athlete (including two-year and four-year college transfers initially enrolling at an NCAA Division I institution) from the time he or she requests that a final certification be issued by the NCAA Eligibility Center or from the time he or she initially enrolls as a full-time student at an NCAA Division I or II institution (whichever occurs earlier). *(Adopted: 4/30/07)*

**12.1.1.1.2.2 Sharing Information and Reporting Discrepancies.** If an institution receives additional information or otherwise has cause to believe that a prospective student-athlete's amateur status has been jeopardized, the institution is responsible for promptly notifying the NCAA Eligibility Center of such information. Further, an institution is responsible for promptly reporting to the NCAA Eligibility Center all discrepancies in information related to a student-athlete's amateurism certification. *(Adopted: 4/30/07)*

**12.1.1.1.3 Eligibility for Practice or Competition.** Prior to engaging in practice or competition, a student-athlete shall receive a final certification of amateur status based on activities that occur prior to his or her request for final certification or initial full-time enrollment at an NCAA Division I or II institution (whichever occurs earlier). *(Adopted: 4/30/07)*

**12.1.1.1.3.1 Temporary Certification.** If a prospective student-athlete reports for athletics participation before the student's amateur status has been certified, the student may practice, but not compete, for a maximum period of 45 days. After this period, the student shall have his or her amateur status certified to continue to practice or to compete. *(Adopted: 1/9/06 effective 8/1/06, for all final certifications for student-athletes initially enrolling at a Division I or Division II institution on or after 8/1/07, Revised: 11/29/09)*

**12.1.1.1.4 Eligibility for Practice After a Final Not-Certified Certification.** After a final not-certified certification is rendered, a student-athlete may continue to engage in practice activities, provided the institution has submitted a notice of appeal. At the point in which all appeal opportunities have been exhausted and no eligibility has been granted, the student-athlete may no longer participate in practice activities. *(Adopted: 3/21/07)*

**12.1.2 Amateur Status.** An individual loses amateur status and thus shall not be eligible for intercollegiate competition in a particular sport if the individual:

- (a) Uses his or her athletics skill (directly or indirectly) for pay in any form in that sport;
- (b) Accepts a promise of pay even if such pay is to be received following completion of intercollegiate athletics participation;
- (c) Signs a contract or commitment of any kind to play professional athletics, regardless of its legal enforceability or any consideration received, except as permitted in Bylaw 12.2.5.1; *(Revised: 4/29/10 effective 8/1/10)*
- (d) Receives, directly or indirectly, a salary, reimbursement of expenses or any other form of financial assistance from a professional sports organization based on athletics skill or participation, except as permitted by NCAA rules and regulations;
- (e) Competes on any professional athletics team per Bylaw 12.02.8, even if no pay or remuneration for expenses was received, except as permitted in Bylaw 12.2.3.2.1; *(Revised: 4/25/02 effective 8/1/02, 4/29/10 effective 8/1/10)*
- (f) After initial full-time collegiate enrollment, enters into a professional draft (see Bylaw 12.2.4); or *(Revised: 4/25/02 effective 8/1/02, 4/24/03 effective 8/1/03)*
- (g) Enters into an agreement with an agent. *(Adopted: 4/25/02 effective 8/1/02)*

**12.1.2.1 Prohibited Forms of Pay.** "Pay," as used in Bylaw 12.1.2 above, includes, but is not limited to, the following:

**12.1.2.1.1 Salary, Gratuity or Compensation.** Any direct or indirect salary, gratuity or comparable compensation.

**12.1.2.1.2 Division or Split of Surplus.** Any division or split of surplus (bonuses, game receipts, etc.).

**12.1.2.1.3 Educational Expenses.** Educational expenses not permitted by the governing legislation of this Association (see Bylaw 15 regarding permissible financial aid to enrolled student-athletes).

**12.1.2.1.3.1 Educational Expenses or Services—Prior to Collegiate Enrollment.** A prospective student-athlete may receive educational expenses or services (e.g., tuition, fees, room and board, books, tutoring, standardized test preparatory classes) prior to collegiate enrollment from any individual or entity other than an agent, professional sports team/organization, member institution or a representative of an institution's athletics interests, provided the payment for such expenses or services is disbursed directly to the individual, organization or educational institution (e.g., high school, prepa-

ratory school) providing the educational expense or service. (Adopted: 4/25/02 effective 8/1/02, Revised: 1/14/08)

**12.1.2.1.3.2 Educational Expenses From Outside Sports Team or Organization—After Collegiate Enrollment.** Educational expenses provided to an individual after initial collegiate enrollment by an outside sports team or organization that are based on any degree on the recipient's athletics ability [except for financial aid that is received from a team or organization that conducts a competitive sports program by an individual who is not a member of that team or organization (see Bylaw 15.2.6.3)], even if the funds are given to the institution to administer to the recipient. (Revised: 1/10/95, 4/25/02 effective 8/1/02, 1/15/11 effective 8/1/11, 8/18/11)

**12.1.2.1.3.2.1 Educational Expenses—Olympic Committee.** A student-athlete may receive educational expenses awarded by the U.S. Olympic Committee (or for international student-athletes, expenses awarded by the equivalent organization of a foreign country) pursuant to the applicable conditions set forth in Bylaw 15.2.6.4. (Adopted: 4/15/97, Revised: 11/1/00, 4/25/02 effective 8/1/02)

**12.1.2.1.3.2.2 Educational Expenses—National Governing Body.** A student-athlete may receive educational expenses awarded by a U.S. national governing body (or, for international student-athletes, expenses awarded by the equivalent organization of a foreign country) pursuant to the applicable conditions set forth in Bylaw 15.2.6.4. (Adopted: 10/28/97 effective 8/1/98, Revised: 11/1/00, 4/25/02 effective 8/1/02)

**12.1.2.1.4 Expenses, Awards and Benefits.** Excessive or improper expenses, awards and benefits.

**12.1.2.1.4.1 Cash or Equivalent Award.** Cash, or the equivalent thereof (e.g., trust fund), as an award for participation in competition at any time, even if such an award is permitted under the rules governing an amateur, noncollegiate event in which the individual is participating. An award or a cash prize that an individual could not receive under NCAA legislation may not be forwarded in the individual's name to a different individual or agency. (Revised: 4/25/02 effective 8/1/02)

**12.1.2.1.4.1.1 Exception—Prospective Student-Athlete's Educational Institution.** A financial award may be provided to a prospective student-athlete's educational institution in conjunction with the prospective student-athlete being recognized as part of an awards program in which athletics participation, interests or ability is a criterion, but not the sole criterion, in the selection process. Such an award must also include nonathletics criteria, such as the prospective student-athlete's academic record and nonathletics extracurricular activities and may not be based on the prospective student-athlete's place finish or performance in a particular athletics event. In addition, it is permissible for an outside organization (other than a professional sports organization) to provide actual and necessary expenses for the prospective student-athlete (and the prospective student-athlete's parents or other relatives) to travel to a recognition event designed to recognize the prospective student-athlete's accomplishments in conjunction with his or her selection as the recipient of a regional, national or international award. (Adopted: 10/28/99)

**12.1.2.1.4.1.2 Operation Gold Grant.** An individual (prospective student-athlete or student-athlete) may accept funds that are administered by the U.S. Olympic Committee pursuant to its Operation Gold program. (Adopted: 4/26/01 effective 8/1/01)

**12.1.2.1.4.2 Expenses/Awards Prohibited by Rules Governing Event.** Expenses incurred or awards received by an individual that are prohibited by the rules governing an amateur, noncollegiate event in which the individual participates.

**12.1.2.1.4.3 Unspecified or Unitemized Expenses.** Payment to individual team members or individual competitors for unspecified or unitemized expenses beyond actual and necessary travel, room and board expenses for practice and competition.

**12.1.2.1.4.4 Expenses from an Outside Sponsor.** An individual who participates in a sport as a member of a team may receive actual and necessary expenses for competition and practice held in preparation for such competition from an outside sponsor (e.g., team, neighbor, business) other than an agent or a representative of an institution's athletics interests (and, after initial full-time collegiate enrollment, other than a professional sports organization). An individual who participates in a sport as an individual (not a member of a team) may receive actual and necessary expenses associated with an athletics event and practice immediately preceding the event, from an outside sponsor (e.g., neighbor, business) other than an agent or a representative of an institution's athletics interests (and, after initial full-time collegiate enrollment, other than a professional sports organization). (Adopted: 1/19/13 effective 8/1/13)

**12.1.2.1.4.4.1 Expenses Prior to Full-Time Collegiate Enrollment—Professional Sports Organization.** Prior to full-time collegiate enrollment, an individual may accept up to actual and necessary expenses for competition and practice held in preparation for such competition from a professional sports organization that sponsors the event. (Adopted: 10/16/12)

**12.1.2.1.4.4.2 Expenses/Benefits Related to Olympic Games.** Members of an Olympic team may receive all nonmonetary benefits and awards provided to members of an Olympic team beyond actual and necessary expenses and any other item or service for which it can be demonstrated that the same benefit is available to all members of that nation's Olympic team or the specific sport Olympic team. *(Adopted: 11/1/00, Revised: 1/19/13 effective 8/1/13)*

**12.1.2.1.4.5 Expenses for Parents/Legal Guardians of Participants in Athletics Competition.** Expenses received by the parents or legal guardians of a participant in athletics competition from a nonprofessional organization sponsoring the competition in excess of actual and necessary travel, room and board expenses, or any entertainment expenses, unless such expenses are made available to the parents or legal guardians of all participants in the competition. *(Adopted: 1/16/93, Revised: 1/11/97)*

**12.1.2.1.4.5.1 Postseason Bowl Event. [FBS]** On one occasion per year, a student-athlete may designate either additional individuals or substitutes (not to exceed a total of six individuals) to receive entertainment expenses related to an event organized by the nonprofessional sponsor of a postseason bowl game specifically for the parents or legal guardians of student-athletes participating in the postseason bowl. The additional individuals or substitutes designated by the student-athlete shall be subject to the review and approval of the institution's athletics director, or his or her designee. *(Adopted: 4/29/04 effective 8/1/04)*

**12.1.2.1.5 Payment Based on Performance.** Any payment conditioned on the individual's or team's place finish or performance or given on an incentive basis that exceeds actual and necessary expenses, or receipt of expenses in excess of the same reasonable amount for permissible expenses given to all individuals or team members involved in the competition. *(Revised: 4/25/02 effective 8/1/02, 1/19/13 effective 8/1/13)*

**12.1.2.1.5.1 Operation Gold Grant.** An individual (prospective student-athlete or student-athlete) may accept funds that are administered by the U.S. Olympic Committee pursuant to its Operation Gold program. *(Adopted: 4/26/01)*

**12.1.2.1.5.2 Awards Based on Performance in Outside Competition.** An individual may receive an award (e.g., trophy, medal, saddle) based on place finish or performance in outside competition, subject to the applicable awards limits (see Bylaw 16.1). *(Adopted: 8/26/10)*

**12.1.2.1.6 Preferential Treatment, Benefits or Services.** Preferential treatment, benefits or services because of the individual's athletics reputation or skill or pay-back potential as a professional athlete, unless such treatment, benefits or services are specifically permitted under NCAA legislation. **[R]** *(Revised: 1/11/94, 1/14/08)*

**12.1.2.1.7 Prize for Participation in Institution's Promotional Activity.** Receipt of a prize for participation (involving the use of athletics ability) in a member institution's promotional activity that is inconsistent with the provisions of Bylaw 12.5 or approved official interpretations. *(Revised: 11/1/07 effective 8/1/08)*

**12.1.2.2 Use of Overall Athletics Skill—Effect on Eligibility.** Participation for pay in competition that involves the use of overall athletics skill (e.g., "superstars" competition) constitutes a violation of the Association's amateur-status regulations; therefore, an individual participating for pay in such competition is ineligible for intercollegiate competition in all sports. (See Bylaw 12.5.2.3.3 for exception related to promotional contests.) *(Revised: 4/25/02 effective 8/1/02)*

**12.1.2.3 Road Racing.** "Road racing" is essentially the same as cross country or track and field competition and cannot be separated effectively from those sports for purposes of Bylaw 12. Therefore, a student-athlete who accepts pay in any form for participation in such a race is ineligible for intercollegiate cross country or track and field competition. *(Revised: 4/25/02 effective 8/1/02)*

**12.1.2.4 Exceptions to Amateurism Rule.**

**12.1.2.4.1 Exception for Prize Money Based on Performance—Sports Other Than Tennis.** In sports other than tennis, an individual may accept prize money based on his or her place finish or performance in an athletics event. Such prize money may not exceed actual and necessary expenses and may be provided only by the sponsor of the event. The calculation of actual and necessary expenses shall not include the expenses or fees of anyone other than the individual (e.g., coach's fees or expenses, parent's expenses). *(Adopted: 4/25/02 effective 8/1/02, Revised: 12/12/06 applicable to any expenses received by a prospective student-athlete on or after 8/23/06, 4/26/12, 1/19/13 effective 8/1/13)*

**12.1.2.4.2 Exception for Prize Money—Tennis.**

**12.1.2.4.2.1 Prior to Full-Time Collegiate Enrollment.** In tennis, prior to full-time collegiate enrollment, an individual may accept up to \$10,000 per calendar year in prize money based on his or her place finish or performance in athletics events. Such prize money may be provided only by the sponsor of an event in which the individual participates. Once the individual has accepted \$10,000 in prize money in a particular year, he or she may receive additional prize money on a per-event basis, provided such prize money does not exceed the individual's actual and necessary expenses for participation in the event. The calculation of actual and necessary expenses shall not include the expenses or fees



of anyone other than the individual (e.g., coach's fees or expenses, parent's expenses). (*Adopted: 4/26/12, Revised: 1/19/13 effective 8/1/13*)

**12.1.2.4.2 After Initial Full-Time Collegiate Enrollment.** In tennis, after initial full-time collegiate enrollment, an individual may accept prize money based on his or her place finish or performance in an athletics event. Such prize money may not exceed actual and necessary expenses and may be provided only by the sponsor of the event. The calculation of actual and necessary expenses shall not include the expenses or fees of anyone other than the individual (e.g., coach's fees or expenses, parent's expenses). (*Adopted: 1/19/13 effective 8/1/13*)

**12.1.2.4.3 Exception for Payment Based on Team Performance.** An individual may accept payment from his or her amateur team or the sponsor of the event based on his or her team's place finish or performance, or given on an incentive basis (e.g., bonus), provided the combination of such payments and expenses provided to the individual does not exceed his or her actual and necessary expenses to participate on the team. The calculation of actual and necessary expenses shall not include the expenses or fees of anyone other than the individual (e.g., coach's fees or expenses, parent's expenses). (*Adopted: 10/28/10, Revised: 1/19/13 effective 8/1/13*)

**12.1.2.4.4 Exception for Insurance Against Disabling Injury or Illness.** An individual may borrow against his or her future earnings potential from an established, accredited commercial lending institution exclusively for the purpose of purchasing insurance (with no cash surrender value) against a disabling injury or illness that would prevent the individual from pursuing a chosen career, provided a third party (including a representative of an institution's athletics interests) is not involved in arrangements for securing the loan. However, an institution's president or chancellor (or his or her designated representative from outside the department of athletics) may designate an institutional staff member (or staff members) (e.g., professional sports counseling panel) to assist a student-athlete with arrangements for securing the loan and insurance. The institution shall retain copies of all documents related to loan transactions and insurance policies, regardless of whether the institution is involved in the arrangements. (*Revised: 1/16/93, 1/14/97 effective 8/1/97, 1/16/10*)

**12.1.2.4.5 Exception for Institutional Fundraising Activities Involving the Athletics Ability of Student-Athletes.** Institutional, charitable or educational promotions or fundraising activities that involve the use of athletics ability by student-athletes to obtain funds (e.g., "swim-a-thons") are permitted only if:

- (a) All money derived from the activity or project go directly to the member institution, member conference or the charitable, educational or nonprofit agency; (*Revised: 5/11/05*)
- (b) The student-athletes receive no compensation or prizes for their participation; and
- (c) The provisions of Bylaw 12.5.1 are satisfied.

**12.1.2.4.6 Exception for USOC Elite Athlete Health Insurance Program.** An individual may receive the comprehensive benefits of the USOC Elite Athlete Health Insurance Program. (*Adopted: 1/10/90*)

**12.1.2.4.7 Exception for Training Expenses.** An individual (prospective or enrolled student-athlete) may receive actual and necessary expenses [including grants, but not prize money, whereby the recipient has qualified for the grant based on his or her performance in a specific event(s)] to cover development training, coaching, facility usage, equipment, apparel, supplies, comprehensive health insurance, travel, room and board without jeopardizing the individual's eligibility for intercollegiate athletics, provided such expenses are approved and provided directly by the U.S. Olympic Committee (USOC), the appropriate national governing body in the sport (or, for international student-athletes, the equivalent organization of that nation) or a governmental entity. (*Adopted: 1/10/91, Revised: 4/27/00, 1/19/13 effective 8/1/13*)

**12.1.2.4.8 Exception for Benefits to Family Members—National Team Competition.** A commercial company (other than a professional sports organization) or members of the local community may provide actual and necessary expenses for an individual's family members to attend national team competition in which the student-athlete will participate. In addition, an individual's family members may receive nonmonetary benefits provided to the family members of all national team members in conjunction with participation in national team competition. (See Bylaw 16.02.4.) (*Adopted: 1/11/94, Revised: 1/19/13 effective 8/1/13*)

**12.1.2.4.9 Exception for Payment of NCAA Eligibility Center Fee.** A high school booster club (as opposed to specific individuals) may pay the necessary fee for prospective student-athletes at that high school to be certified by the NCAA Eligibility Center, provided no particular prospective student-athlete(s) is singled out because of his or her athletics ability or reputation. (*Adopted: 1/11/94, Revised: 5/9/07*)

**12.1.2.4.10 Exception for Camp or Academy Sponsored by a Professional Sports Organization.** An individual may receive actual and necessary expenses from a professional sports organization to attend an academy, camp or clinic, provided: (*Adopted: 1/10/95, Revised: 11/1/01 effective 8/1/02*)

- (a) No NCAA institution or conference owns or operates the academy, camp or clinic;
- (b) No camp participant is above the age of 15;

- (c) The professional sports organization provides to the participants nothing more than actual and necessary expenses to attend the camp or clinic and equipment/apparel necessary for participation;
- (d) Athletics ability or achievements may not be the sole criterion for selecting participants; and
- (e) Academy participants must be provided with academic services (e.g., tutoring).

**12.1.2.4.11 Exception for Receipt of Free Equipment and Apparel Items by a Prospective Student-Athlete.** It is permissible for prospective student-athletes (as opposed to student-athletes) to receive free equipment and apparel items for personal use from apparel or equipment manufacturers or distributors under the following circumstances: *(Adopted: 1/11/97)*

- (a) The apparel or equipment items are related to the prospective student-athlete's sport and are received directly from an apparel or equipment manufacturer or distributor;
- (b) The prospective student-athlete does not enter into an arrangement (e.g., open account) with an apparel or equipment manufacturer or distributor that permits the prospective student-athlete to select apparel and equipment items from a commercial establishment of the manufacturer or distributor; and
- (c) A member institution's coach is not involved in any manner in identifying or assisting an apparel or equipment manufacturer or distributor in determining whether a prospective student-athlete is to receive any apparel or equipment items.

**12.1.2.4.12 Expenses for Participation in Olympic Exhibitions.** An individual may receive actual and necessary expenses from the U.S. Olympic Committee (USOC), national governing body or the non-professional organizations sponsoring the event to participate in Olympic tours or exhibitions involving Olympic team members and/or members of the national team, provided that if the individual is a student-athlete, he or she misses no class time, and the exhibition does not conflict with dates of institutional competition. *(Adopted: 10/28/97 effective 8/1/98)*

**12.1.2.4.13 Commemorative Items for Student-Athletes Participating in Olympic Games, World University Games, World University Championships, Pan American Games, World Championships and World Cup Events.** It is permissible for student-athletes to receive commemorative items incidental to participation in the Olympic Games, World University Games, World University Championships, Pan American Games, World Championships and World Cup events through the applicable national governing body. These benefits may include any and all apparel, leisure wear, footwear and other items that are provided to all athletes participating in the applicable event. *(Adopted: 11/1/00 effective 8/1/01, Revised: 1/14/12)*

**12.1.2.4.14 Exception—NCAA First-Team Program.** A prospective student-athlete who is a participant in the NCAA First-Team Mentoring Program may receive actual and necessary expenses to attend the First-Team Program's annual educational conference and training seminar. *(Adopted: 8/7/03)*

**12.1.3 Amateur Status if Professional in Another Sport.** A professional athlete in one sport may represent a member institution in a different sport and may receive institutional financial assistance in the second sport. *(Revised: 4/27/06 effective 8/1/06)*

## 12.2 Involvement With Professional Teams.

### 12.2.1 Tryouts.

**12.2.1.1 Tryout Before Enrollment—Men's Ice Hockey and Skiing.** In men's ice hockey and skiing, a student-athlete remains eligible in a sport even though, prior to enrollment in a collegiate institution, the student-athlete may have tried out with a professional athletics team in a sport or received not more than one expense-paid visit from each professional team (or a combine including that team), provided such a visit did not exceed 48 hours and any payment or compensation in connection with the visit was not in excess of actual and necessary expenses. The 48-hour tryout period begins at the time the individual arrives at the tryout location. At the completion of the 48-hour period, the individual must depart the location of the tryout immediately in order to receive return transportation expenses. A tryout may extend beyond 48 hours if the individual self-finances additional expenses, including return transportation. A self-financed tryout may be for any length of time. *(Revised: 12/22/08, 4/13/10 effective 8/1/10; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/10)*

**12.2.1.1.1 Exception for National Hockey League Scouting Combine—Men's Ice Hockey.** In men's ice hockey, prior to full-time enrollment in a collegiate institution, a prospective student-athlete may accept actual and necessary expenses from the National Hockey League (NHL) to attend the NHL scouting combine, regardless of the duration of the combine. *(Adopted: 1/16/10)*

**12.2.1.2 Tryout Before Enrollment—Sports Other Than Men's Ice Hockey and Skiing.** In sports other than men's ice hockey and skiing, prior to initial full-time collegiate enrollment, an individual may participate in a tryout with a professional team or league, provided he or she does not receive more than actual and necessary expenses to participate. *(Adopted: 4/13/10 effective 8/1/10; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/10)*

**12.2.1.3 Tryout After Enrollment.** After initial full-time collegiate enrollment, an individual who has eligibility remaining may try out with a professional athletics team (or participate in a combine including that team) at any time, provided the individual does not miss class. The individual may receive actual and necessary expenses in conjunction with one 48-hour tryout per professional team (or a combine including that team). The 48-hour tryout period shall begin at the time the individual arrives at the tryout location. At the completion of the 48-hour period, the individual must depart the location of the tryout immediately in order to receive return transportation expenses. A tryout may extend beyond 48 hours if the individual self-finances additional expenses, including return transportation. A self-financed tryout may be for any length of time, provided the individual does not miss class. *(Revised: 1/10/92, 4/24/03, 5/26/06, 4/26/07 effective 8/1/07)*

**12.2.1.3.1 Exception for Basketball Draft Combine.** In basketball, a student-athlete may accept actual and necessary travel, and room and board expenses from a professional sports organization to attend that organization's basketball draft combine regardless of the duration of the camp. [See Bylaws 14.6.4-(e) and 16.10.1.8 for more information on basketball draft combines.] *(Adopted: 4/23/03, Revised: 5/26/06, 4/26/07 effective 8/1/07)*

**12.2.1.3.2 Exception for National Hockey League Scouting Combine—Men's Ice Hockey.** In men's ice hockey, a student-athlete may accept actual and necessary travel, and room and board expenses from the National Hockey League (NHL) to attend the NHL scouting combine, regardless of the duration of the combine. *(Adopted: 1/16/10)*

**12.2.1.3.3 Outside Competition Prohibited.** During a tryout, an individual may not take part in any outside competition (games or scrimmages) as a representative of a professional team.

## **12.2.2 Practice Without Competition.**

**12.2.2.1 Practice Without Competition—Men's Ice Hockey and Skiing.** In men's ice hockey and skiing, an individual may participate in practice sessions conducted by a professional team, provided such participation meets the requirements of NCAA legislation governing tryouts with professional athletics teams (see Bylaw 12.2.1) and the individual does not: *(Revised: 4/13/10 effective 8/1/10)*

- (a) Receive any compensation for participation in the practice sessions;
- (b) Enter into any contract or agreement with a professional team or sports organization; or
- (c) Take part in any outside competition (games or scrimmages) as a representative of a professional team.

### **12.2.2.2 Practice Without Competition—Sports Other Than Men's Ice Hockey and Skiing.**

**12.2.2.2.1 Before Enrollment.** In sports other than men's ice hockey and skiing, prior to initial full-time enrollment in a collegiate institution, an individual may participate in practice sessions conducted by a professional team, provided he or she does not receive more than actual and necessary expenses to participate. *(Adopted: 4/13/10 effective 8/1/10; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/10)*

**12.2.2.2.2 After Enrollment.** In sports other than men's ice hockey and skiing, after initial full-time collegiate enrollment, an individual may participate in practice sessions conducted by a professional team, provided such participation meets the requirements of Bylaw 12.2.1.3 and the individual does not: *(Adopted: 4/13/10 effective 8/1/10)*

- (a) Receive any compensation for participation in the practice sessions;
- (b) Enter into any contract or agreement with a professional team or sports organization; or
- (c) Take part in any outside competition (games or scrimmages) as a representative of a professional team.

**12.2.2.3 Prohibited Involvement of Institution's Coach.** An institution's coaching staff member may not arrange for or direct student-athletes' participation in football or basketball practice sessions conducted by a professional team.

## **12.2.3 Competition.**

**12.2.3.1 Competition Against Professionals.** An individual may participate singly or as a member of an amateur team against professional athletes or professional teams. *(Revised: 8/24/07)*

**12.2.3.2 Competition With Professionals.** An individual shall not be eligible for intercollegiate athletics in a sport if the individual ever competed on a professional team (per Bylaw 12.02.8) in that sport. However, an individual may compete on a tennis, golf, two-person sand volleyball or two-person synchronized diving team with persons who are competing for cash or a comparable prize, provided the individual does not receive payment of any kind for such participation. *(Revised: 1/9/96 effective 8/1/96, 1/14/97, 4/25/02 effective 8/1/02)*

**12.2.3.2.1 Exception—Competition Before Initial Full-Time Collegiate Enrollment—Sports Other Than Men's Ice Hockey and Skiing.** In sports other than men's ice hockey and skiing, before initial full-time collegiate enrollment, an individual may compete on a professional team (per Bylaw 12.02.8), provided he or she does not receive more than actual and necessary expenses to participate on the team.

*(Adopted: 4/29/10 effective 8/1/10; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/10)*

**12.2.3.2.2 Professional Player as Team Member.** An individual may participate with a professional on a team, provided the professional is not being paid by a professional team or league to play as a member of that team (e.g., summer basketball leagues with teams composed of both professional and amateur athletes).

**12.2.3.2.3 Professional Coach or Referee.** Participation on a team that includes a professional coach or referee does not cause the team to be classified as a professional team.

**12.2.3.2.4 Major Junior Ice Hockey.** Ice hockey teams in the United States and Canada, classified by the Canadian Hockey Association as major junior teams, are considered professional teams under NCAA legislation.

**12.2.3.2.4.1 Limitation on Restoration of Eligibility.** An appeal for restoration of eligibility may be submitted on behalf of an individual who has participated on a major junior ice hockey team under the provisions of Bylaw 14.11; however, such individual shall be denied at least the first year of intercollegiate athletics competition in ice hockey at the certifying institution and shall be charged with the loss of at least one season of eligibility in ice hockey. *(Revised: 1/11/89)*

**12.2.3.2.5 Exception—Olympic/National Teams.** It is permissible for an individual (prospective student-athlete or student-athletes) to participate on Olympic or national teams that are competing for prize money or are being compensated by the governing body to participate in a specific event, provided the student-athlete does not accept prize money or any other compensation (other than actual and necessary expenses). *(Adopted: 8/8/02)*

**12.2.3.3 Competition in Professional All-Star Contest.** A student-athlete who agrees to participate in a professional (players to be paid) all-star game becomes ineligible to compete in any intercollegiate contest that occurs after that agreement. Thus, a senior entering into such an agreement immediately following the last regular-season intercollegiate contest would not be eligible to compete in a bowl game, an NCAA championship or any other postseason intercollegiate contest.

## 12.2.4 Draft and Inquiry.

**12.2.4.1 Inquiry.** An individual may inquire of a professional sports organization about eligibility for a professional-league player draft or request information about the individual's market value without affecting his or her amateur status.

**12.2.4.2 Draft List.** After initial full-time collegiate enrollment, an individual loses amateur status in a particular sport when the individual asks to be placed on the draft list or supplemental draft list of a professional league in that sport, even though: *(Revised: 4/25/02 effective 8/1/02)*

- (a) The individual asks that his or her name be withdrawn from the draft list prior to the actual draft;
- (b) The individual's name remains on the list but he or she is not drafted; or
- (c) The individual is drafted but does not sign an agreement with any professional athletics team.

### 12.2.4.2.1 Exception—Basketball—Four-Year College Student-Athlete.

**12.2.4.2.1.1 Men's Basketball.** In men's basketball, an enrolled student-athlete may enter a professional league's draft one time during his collegiate career without jeopardizing eligibility in that sport, provided: *(Adopted: 4/30/09 effective 8/1/09)*

- (a) The student-athlete requests that his name be removed from the draft list and declares his intent to resume intercollegiate participation not later than the end of the day before the first day of the spring National Letter of Intent signing period for the applicable year; *(Revised: 4/28/11 effective 8/1/11)*
- (b) The student-athlete's declaration of intent is submitted in writing to the institution's director of athletics; and
- (c) The student-athlete is not drafted.

**12.2.4.2.1.2 Women's Basketball.** In women's basketball, an enrolled student-athlete may enter a professional league's draft one time during her collegiate career without jeopardizing eligibility in that sport, provided the student-athlete is not drafted by any team in that league and the student-athlete declares her intention to resume intercollegiate participation within 30 days after the draft. The student-athlete's declaration of intent shall be in writing to the institution's director of athletics. *(Adopted: 1/11/94, Revised: 1/10/95, 1/14/97 effective 4/16/97, 4/24/03 effective 8/1/03, 4/30/09 effective 8/1/09)*

**12.2.4.2.2 Exception—Basketball—Two-Year College Prospective Student-Athlete.** A prospective student-athlete enrolled at a two-year collegiate institution in basketball may enter a professional league's draft one time during his or her collegiate career without jeopardizing eligibility in that sport, provided the prospective student-athlete is not drafted by any team in that league. *(Adopted: 4/24/03 effective 8/1/03)*



**12.2.4.2.3 Exception—Football. [FBS/FCS]** In football, an enrolled student-athlete (as opposed to a prospective student-athlete) may enter the National Football League draft one time during his collegiate career without jeopardizing eligibility in that sport, provided the student-athlete is not drafted by any team in that league and the student-athlete declares his intention to resume intercollegiate participation within 72 hours following the National Football League draft declaration date. The student-athlete's declaration of intent shall be in writing to the institution's director of athletics. (*Adopted: 10/31/02, Revised: 4/14/03, 12/15/06*)

**12.2.4.2.4 Exception—Sports Other Than Basketball and Football.** An enrolled student-athlete in a sport other than basketball or football may enter a professional league's draft one time during his or her collegiate career without jeopardizing his or her eligibility in the applicable sport, provided the student-athlete is not drafted and within 72 hours following the draft he or she declares his or her intention to resume participation in intercollegiate athletics. The student-athlete's declaration of intent shall be in writing to the institution's director of athletics. (*Adopted: 4/26/07 effective 8/1/07*)

**12.2.4.3 Negotiations.** An individual may request information about professional market value without affecting his or her amateur status. Further, the individual, his or her legal guardians or the institution's professional sports counseling panel may enter into negotiations with a professional sports organization without the loss of the individual's amateur status. An individual who retains an agent shall lose amateur status. (*Adopted: 1/10/92*)

**12.2.5 Contracts and Compensation.** An individual shall be ineligible for participation in an intercollegiate sport if he or she has entered into any kind of agreement to compete in professional athletics, either orally or in writing, regardless of the legal enforceability of that agreement. (*Revised: 1/10/92*)

**12.2.5.1 Exception—Before Initial Full-Time Collegiate Enrollment—Sports Other Than Men's Ice Hockey and Skiing.** In sports other than men's ice hockey and skiing, before initial full-time collegiate enrollment, an individual may enter into an agreement to compete on a professional team (per Bylaw 12.02.8), provided the agreement does not guarantee or promise payment (at any time) in excess of actual and necessary expenses to participate on the team. (*Adopted: 4/29/10 effective 8/1/10; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/10*)

**12.2.5.2 Nonbinding Agreement.** An individual who signs a contract or commitment that does not become binding until the professional organization's representative or agent also signs the document is ineligible, even if the contract remains unsigned by the other parties until after the student-athlete's eligibility is exhausted.

## 12.3 Use of Agents.

**12.3.1 General Rule.** An individual shall be ineligible for participation in an intercollegiate sport if he or she ever has agreed (orally or in writing) to be represented by an agent for the purpose of marketing his or her athletics ability or reputation in that sport. Further, an agency contract not specifically limited in writing to a sport or particular sports shall be deemed applicable to all sports, and the individual shall be ineligible to participate in any sport.

**12.3.1.1 Representation for Future Negotiations.** An individual shall be ineligible per Bylaw 12.3.1 if he or she enters into a verbal or written agreement with an agent for representation in future professional sports negotiations that are to take place after the individual has completed his or her eligibility in that sport.

**12.3.1.2 Benefits from Prospective Agents.** An individual shall be ineligible per Bylaw 12.3.1 if he or she (or his or her relatives or friends) accepts transportation or other benefits from: (*Revised: 1/14/97*)

(a) Any person who represents any individual in the marketing of his or her athletics ability. The receipt of such expenses constitutes compensation based on athletics skill and is an extra benefit not available to the student body in general; or

(b) An agent, even if the agent has indicated that he or she has no interest in representing the student-athlete in the marketing of his or her athletics ability or reputation and does not represent individuals in the student-athlete's sport. (*Adopted: 1/14/97*)

**12.3.1.3 Exception—Career Counseling and Internship/Job Placement Services.** A student-athlete may use career counseling and internship/job placement services available exclusively to student-athletes, provided the student-athlete is not placed in a position in which the student-athlete uses his or her athletics ability. (*Adopted: 4/28/11*)

**12.3.2 Legal Counsel.** Securing advice from a lawyer concerning a proposed professional sports contract shall not be considered contracting for representation by an agent under this rule, unless the lawyer also represents the individual in negotiations for such a contract.

**12.3.2.1 Presence of a Lawyer at Negotiations.** A lawyer may not be present during discussions of a contract offer with a professional organization or have any direct contact (in person, by telephone or by mail) with a professional sports organization on behalf of the individual. A lawyer's presence during such discussions is considered representation by an agent.

**12.3.3 Athletics Scholarship Agent.** Any individual, agency or organization that represents a prospective student-athlete for compensation in placing the prospective student-athlete in a collegiate institution as a recipient of institutional financial aid shall be considered an agent or organization marketing the individual's athletics ability or reputation.

**12.3.3.1 Talent Evaluation Services and Agents.** A prospective student-athlete may allow a scouting service or agent to distribute personal information (e.g., high school academic and athletics records, physical statistics) to member institutions without jeopardizing his or her eligibility, provided the fee paid to such an agent is not based on placing the prospective student-athlete in a collegiate institution as a recipient of institutional financial aid.

**12.3.4 Professional Sports Counseling Panel.** It is permissible for an authorized institutional professional sports counseling panel to:

- (a) Advise a student-athlete about a future professional career;
- (b) Assist a student-athlete with arrangements for securing a loan for the purpose of purchasing insurance against a disabling injury or illness and with arrangements for purchasing such insurance; (*Adopted: 1/16/93, Revised: 1/16/10*)
- (c) Review a proposed professional sports contract;
- (d) Meet with the student-athlete and representatives of professional teams;
- (e) Communicate directly (e.g., in person, by mail or telephone) with representatives of a professional athletics team to assist in securing a tryout with that team for a student-athlete; (*Adopted: 1/11/94*)
- (f) Assist the student-athlete in the selection of an agent by participating with the student-athlete in interviews of agents, by reviewing written information player agents send to the student-athlete and by having direct communication with those individuals who can comment about the abilities of an agent (e.g., other agents, a professional league's players association); and (*Adopted: 1/11/94*)
- (g) Visit with player agents or representatives of professional athletics teams to assist the student-athlete in determining his or her market value (e.g., potential salary, draft status). (*Adopted: 1/11/94*)

**12.3.4.1 Appointment by President or Chancellor.** This panel shall consist of at least three persons appointed by the institution's president or chancellor (or his or her designated representative from outside the athletics department). (*Revised: 3/8/06*)

**12.3.4.2 Composition.** The majority of panel members shall be full-time employees outside the institution's athletics department. Not more than one panel member may be an athletics department staff member. No sports agent or any person employed by a sports agent or agency may be a member of the panel. All panel members shall be identified to the NCAA national office. (*Revised: 1/11/94, 1/10/05*)

## 12.4 Employment.

**12.4.1 Criteria Governing Compensation to Student-Athletes.** Compensation may be paid to a student-athlete: (*Revised: 11/22/04*)

- (a) Only for work actually performed; and
- (b) At a rate commensurate with the going rate in that locality for similar services.

**12.4.1.1 Athletics Reputation.** Such compensation may not include any remuneration for value or utility that the student-athlete may have for the employer because of the publicity, reputation, fame or personal following that he or she has obtained because of athletics ability.

### 12.4.2 Specific Athletically Related Employment Activities.

**12.4.2.1 Fee-for-Lesson Instruction.** A student-athlete may receive compensation for teaching or coaching sport skills or techniques in his or her sport on a fee-for-lesson basis, provided: **[R]** (*Revised: 1/9/96 effective 8/1/96, 4/25/02 effective 8/1/02*)

- (a) Institutional facilities are not used; (*Adopted: 4/25/02 effective 8/1/02*)
- (b) Playing lessons shall not be permitted; (*Adopted: 4/25/02 effective 8/1/02*)
- (c) The institution obtains and keeps on file documentation of the recipient of the lesson(s) and the fee for the lesson(s) provided during any time of the year; (*Adopted: 4/25/02 effective 8/1/02*)
- (d) The compensation is paid by the lesson recipient (or the recipient's family) and not another individual or entity; (*Adopted: 4/25/02 effective 8/1/02*)
- (e) Instruction to each individual is comparable to the instruction that would be provided during a private lesson when the instruction involves more than one individual at a time; and (*Adopted: 4/2/03 effective 8/1/03*)
- (f) The student-athlete does not use his or her name, picture or appearance to promote or advertise the availability of fee-for-lesson sessions. (*Adopted: 4/2/03 effective 8/1/03*)

**12.4.2.2 National Team Practice and Competition.** A student-athlete may receive actual and necessary expenses and reasonable benefits associated with national team practice and competition (e.g., health insurance, broken-time payments). [R] (*Revised: 1/19/13 effective 8/1/13*)

**12.4.2.3 Athletics Equipment Sales.** A student-athlete may not be employed to sell equipment related to the student-athlete's sport if his or her name, picture or athletics reputation is used to advertise or promote the product, the job or the employer. If the student-athlete's name, picture or athletics reputation is not used for advertising or promotion, the student-athlete may be employed in a legitimate sales position, provided he or she is reimbursed at an hourly rate or set salary in the same manner as any nonathlete salesperson. [R]

**12.4.2.4 Goodwill Tour Commissions.** A student-athlete representing the institution in a goodwill tour during summer months, in conjunction with the tour, may sell such items as jackets, blazers or similar institutional promotional items to booster groups or other friends of the institution on a salary, but not a commission, basis. [R]

**12.4.3 Camp/Clinic Employment, General Rule.** A student-athlete may be employed by his or her institution, by another institution, or by a private organization to work in a camp or clinic as a counselor, unless otherwise restricted by NCAA legislation (see Bylaw 13.12 for regulations relating to camps and clinics). Out-of-season playing and practice limitations may restrict the number of student-athletes from the same institution who may be employed in that institution's camp (see the specific sport in Bylaw 17 for these employment restrictions and Bylaw 13.12).

**12.4.4 Self-Employment.** A student-athlete may establish his or her own business, provided the student-athlete's name, photograph, appearance or athletics reputation are not used to promote the business. (*Adopted: 12/12/06*)

## 12.5 Promotional Activities.

### 12.5.1 Permissible.

**12.5.1.1 Institutional, Charitable, Education or Nonprofit Promotions.** A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a noninstitutional charitable, educational or nonprofit agency may use a student-athlete's name, picture or appearance to support its charitable or educational activities or to support activities considered incidental to the student-athlete's participation in intercollegiate athletics, provided the following conditions are met: (*Revised: 1/11/89, 1/10/91, 1/10/92*)

- (a) The student-athlete receives written approval to participate from the director of athletics (or his or her designee who may not be a coaching staff member), subject to the limitations on participants in such activities as set forth in Bylaw 17; (*Revised: 1/11/89, 4/26/01*)
- (b) The specific activity or project in which the student-athlete participates does not involve co-sponsorship, advertisement or promotion by a commercial agency other than through the reproduction of the sponsoring company's officially registered regular trademark or logo on printed materials such as pictures, posters or calendars. The company's emblem, name, address, telephone number and website address may be included with the trademark or logo. Personal names, messages and slogans (other than an officially registered trademark) are prohibited; (*Revised: 1/11/89, 1/10/91, 5/6/08*)
- (c) The name or picture of a student-athlete with remaining eligibility may not appear on an institution's printed promotional item (e.g., poster, calendar) that includes a reproduction of a product with which a commercial entity is associated if the commercial entity's officially registered regular trademark or logo also appears on the item; (*Adopted: 11/12/97*)
- (d) The student-athlete does not miss class; (*Revised: 1/11/89*)
- (e) All moneys derived from the activity or project go directly to the member institution, member conference or the charitable, educational or nonprofit agency; (*Revised: 1/11/89, 1/10/92*)
- (f) The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity; (*Revised: 1/11/89, 1/10/92, 4/28/05*)
- (g) The student-athlete's name, picture or appearance is not used to promote the commercial ventures of any nonprofit agency; (*Adopted: 1/10/92*)
- (h) Any commercial items with names, likenesses or pictures of multiple student-athletes (other than highlight films or media guides per Bylaw 12.5.1.7) may be sold only at the member institution at which the student-athletes are enrolled, the institution's conference, institutionally controlled (owned and operated) outlets or outlets controlled by the charitable, educational or nonprofit organization (e.g., location of the charitable or educational organization, site of charitable event during the event). Items that include an individual student-athlete's name, picture or likeness (e.g., name on jersey, name or likeness on a bobble-head doll), other than informational items (e.g., media guide, schedule cards, institutional publications), may not be sold; and (*Adopted: 1/16/93, Revised: 1/9/96, 4/27/06 effective 8/1/06*)

- (i) The student-athlete and an authorized representative of the charitable, educational or nonprofit agency sign a release statement ensuring that the student-athlete's name, image or appearance is used in a manner consistent with the requirements of this section. (*Revised: 1/11/89, 1/10/92*)

**12.5.1.1.1 Promotions Involving NCAA Championships, Events, Activities or Programs.** The NCAA [or a third party acting on behalf of the NCAA (e.g., host institution, conference, local organizing committee)] may use the name or picture of an enrolled student-athlete to generally promote NCAA championships or other NCAA events, activities or programs. (*Adopted: 8/7/03*)

**12.5.1.1.2 Promotions Involving Commercial Locations/Sponsors.** A member institution, a member conference or a charitable, educational or nonprofit organization may use the appearance, name or picture of an enrolled student-athlete to promote generally its fundraising activities at the location of a commercial establishment, provided the commercial establishment is not a co-sponsor of the event and the student-athlete does not promote the sale of a commercial product in conjunction with the fundraising activity. A commercial establishment would become a co-sponsor if the commercial establishment either advertises the presence of the student-athlete at the commercial location or is involved directly or indirectly in promoting the activity. (*Adopted: 1/10/92*)

**12.5.1.1.3 Distribution of Institutional Items through Commercial Outlets.** A member institution may distribute noncommercial items that include names or pictures of student-athletes (items not for sale) at commercial establishments, provided the institution generally distributes such items to other commercial establishments in the community and the distribution of the items does not require the recipient to make a purchase at the commercial establishment. (*Adopted: 1/16/93, Revised: 5/21/08*)

**12.5.1.1.4 Player/Trading Cards.** A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a noninstitutional charitable, educational or nonprofit agency may distribute but may not sell player/trading cards that bear a student-athlete's name or picture. (*Adopted: 1/11/94 effective 8/1/94*)

**12.5.1.1.4.1 Exception—Olympic/National Team.** A national governing body may sell player/trading cards that bear the name or picture of a student-athlete who is a member of the Olympic/national team in that sport, provided all of the funds generated through the sale of such cards are deposited directly with the applicable Olympic/national team. (*Adopted: 1/6/96*)

**12.5.1.1.5 Schedule Cards.** An advertisement on an institution's wallet-size playing schedule that includes the name or picture of a student-athlete may include language other than the commercial product's name, trademark or logo, provided the commercial language does not appear on the same page as the picture of the student-athlete. **[D]** (*Adopted: 1/10/92, Revised: 1/14/08, 5/21/08*)

**12.5.1.1.6 Effect of Violations.** The following violations of Bylaw 12.5.1.1 shall be considered institutional violations; however, the student-athlete's eligibility shall not be affected: (*Adopted: 1/14/97, Revised: 4/26/07, 10/29/09*)

- (a) An institution, without the student-athlete's knowledge or consent, uses or permits the use of the student-athlete's name or picture in a manner contrary to Bylaw 12.5.1.1;
- (b) A violation in which the only condition of the legislation not satisfied is the requirement to obtain written approval from the director of athletics (or his or her designee who may not be a coaching staff member), provided the approval would have been granted if requested; or
- (c) A violation in which the only condition of the legislation not satisfied is the requirement that the student-athlete and an authorized representative of the charitable, educational or nonprofit agency sign a release statement, provided the release statement would have been signed if such a request had been made.

**12.5.1.2 U.S. Olympic Committee/National Governing Body Advertisement Prior to Collegiate Enrollment.** Prior to initial, full-time collegiate enrollment, an individual may receive payment for the display of athletics skill in a commercial advertisement, provided: (*Adopted: 1/11/94*)

- (a) The individual receives prior approval to appear in the advertisement from the U.S. Olympic Committee or the applicable national governing body;
- (b) The U.S. Olympic Committee or national governing body approves of the content and the production of the advertisement;
- (c) The individual forwards the payment to the U.S. Olympic Committee or national governing body for the general use of the organization(s); and
- (d) The funds are not earmarked for the individual.

**12.5.1.3 Continuation of Modeling and Other Nonathletically Related Promotional Activities After Enrollment.** If an individual accepts remuneration for or permits the use of his or her name or picture to advertise or promote the sale or use of a commercial product or service prior to enrollment in a member institution, continued remuneration for the use of the individual's name or picture (under the same or similar circum-



stances) after enrollment is permitted without jeopardizing his or her eligibility to participate in intercollegiate athletics only if all of the following conditions apply: *(Revised: 1/14/97, 3/10/04)*

- (a) The individual's involvement in this type of activity was initiated prior to his or her enrollment in a member institution;
- (b) The individual became involved in such activities for reasons independent of athletics ability;
- (c) No reference is made in these activities to the individual's name or involvement in intercollegiate athletics;
- (d) The individual does not endorse the commercial product; and *(Revised: 3/10/04)*
- (e) The individual's remuneration under such circumstances is at a rate commensurate with the individual's skills and experience as a model or performer and is not based in any way upon the individual's athletics ability or reputation.

**12.5.1.4 Congratulatory Advertisement.** It is permissible for a student-athlete's name or picture, or the group picture of an institution's athletics squad, to appear in an advertisement of a particular business, commercial product or service, provided: *(Revised: 5/21/08)*

- (a) The primary purpose of the advertisement is to publicize the sponsor's congratulations to the student-athlete or team;
- (b) The advertisement does not include a reproduction of the product with which the business is associated or any other item or description identifying the business or service other than its name or trademark;
- (c) There is no indication in the makeup or wording of the advertisement that the squad members, individually or collectively, or the institution endorses the product or service of the advertiser;
- (d) The student-athlete has not signed a consent or release granting permission to use the student-athlete's name or picture in a manner inconsistent with the requirements of this section; and
- (e) If the student-athlete has received a prize from a commercial sponsor in conjunction with participation in a promotional contest and the advertisement involves the announcement of receipt of the prize, the receipt of the prize is consistent with the provisions of Bylaw 12.5.2.3.3 and official interpretations. *(Revised: 11/1/07 effective 8/1/08)*

**12.5.1.5 Educational Products Related to Sport-Skill Instruction.** It is permissible for a student-athlete's name or picture to appear in books, articles and other publications, films, videotapes, and other types of electronic reproduction related to sport-skill demonstration, analysis or instruction, provided:

- (a) Such print and electronic media productions are for educational purposes;
- (b) There is no indication that the student-athlete expressly or implicitly endorses a commercial product or service;
- (c) The student-athlete does not receive, under any circumstances, any remuneration for such participation; however, the student-athlete may receive actual and necessary expenses related to his or her participation; and *(Revised: 1/9/06 effective 8/1/06)*
- (d) The student-athlete has signed a release statement ensuring that the student-athlete's name or image is used in a manner consistent with the requirements of this section and has filed a copy of the statement with the student-athlete's member institution.

**12.5.1.6 Camps.** An institutional or privately owned camp may use a student-athlete's name, picture and institutional affiliation only in the camp counselor section in its camp brochure to identify the student-athlete as a staff member. A student-athlete's name or picture may not be used in any other way to directly advertise or promote the camp. **[D]** *(Revised: 4/26/01 effective 8/1/01, 4/17/02, 7/12/04, 1/9/06)*

**12.5.1.7 Promotion by Third Party of Highlight Film, Video or Media Guide.** Any party other than the institution or a student-athlete (e.g., a distribution company) may sell and distribute an institutional highlight film or video or an institutional or conference media guide that contains the names and pictures of enrolled student-athletes only if: *(Revised: 1/16/93)*

- (a) The institution specifically designates any agency that is authorized to receive orders for the film, video or media guide; *(Revised: 1/16/93)*
- (b) Sales and distribution activities have the written approval of the institution's athletics director;
- (c) The distribution company or a retail store is precluded from using the name or picture of an enrolled student-athlete in any poster or other advertisement to promote the sale or distribution of the film or media guide; and *(Revised: 1/16/93)*
- (d) There is no indication in the makeup or wording of the advertisement that the squad members, individually or collectively, or the institution endorses the product or services of the advertiser.

**12.5.1.8 Promotion of NCAA and Conference Championships.** The NCAA [or a third party acting on behalf of the NCAA (e.g., host institution, conference, local organizing committee)] may use the name or picture of a student-athlete to generally promote NCAA championships. A student-athlete's name or picture may

appear in a poster that promotes a conference championship, provided the poster is produced by a member that hosts a portion of the championship or by the conference. (*Adopted: 1/11/89, Revised: 8/7/03*)

**12.5.1.9 Olympic, Pan American, World Championships, World Cup, World University Games and World University Championships.** A student-athlete's name or picture may be used to promote Olympic, Pan American, World Championships, World Cup, World University Games or World University Championships as specified in this section. (*Adopted: 1/10/92, Revised: 1/9/96 effective 8/1/96, 1/14/12*)

**12.5.1.9.1 Sale and Distribution of Promotional Items.** Promotional items (e.g., posters, postcards, film, videotapes) bearing the name or picture of a student-athlete and related to these events may be sold or distributed by the national or international sports governing body sponsoring these events or its designated third-party distributors. It is not permissible for such organizations to sell player/trading cards that bear a student-athlete's name or picture, except as noted in Bylaw 12.5.1.1.4.1. Promotional items may include a corporate sponsor's trademark or logo but not a reproduction of the product with which the business is associated. The name or picture of the student-athlete may not be used by the distribution company or retail store on any advertisement to promote the sale or distribution of the commercial item. (*Adopted: 1/10/92, Revised: 1/16/93, 1/11/94 effective 8/1/94*)

**12.5.1.9.1.1 Corporate Sponsors.** A corporate sponsor may sell a promotional item related to these events that uses the name or picture of a team but not an individual student-athlete. (*Adopted: 1/10/92*)

## 12.5.2 Nonpermissible.

**12.5.2.1 Advertisements and Promotions After Becoming a Student-Athlete.** After becoming a student-athlete, an individual shall not be eligible for participation in intercollegiate athletics if the individual:

- (a) Accepts any remuneration for or permits the use of his or her name or picture to advertise, recommend or promote directly the sale or use of a commercial product or service of any kind; or
- (b) Receives remuneration for endorsing a commercial product or service through the individual's use of such product or service.

**12.5.2.1.1 Exceptions.** The individual's eligibility will not be affected, provided the individual participated in such activities prior to enrollment and the individual:

- (a) Meets the conditions set forth in Bylaw 12.5.1.3 that would permit continuation of such activities; or
- (b) Takes appropriate steps upon becoming a student-athlete to retract permission for the use of his or her name or picture and ceases receipt of any remuneration for such an arrangement.

**12.5.2.1.2 Improper Use of Student-Athlete's Name or Picture.** If an institution, without the student-athlete's knowledge or consent, uses or permits the use of the student-athlete's name or picture in a manner contrary to Bylaw 12.5.2.1, the violation shall be considered an institutional violation; however, the student-athlete's eligibility shall not be affected. (*Adopted: 1/11/97*)

**12.5.2.2 Use of a Student-Athlete's Name or Picture Without Knowledge or Permission.** If a student-athlete's name or picture appears on commercial items (e.g., T-shirts, sweatshirts, serving trays, playing cards, posters) or is used to promote a commercial product sold by an individual or agency without the student-athlete's knowledge or permission, the student-athlete (or the institution acting on behalf of the student-athlete) is required to take steps to stop such an activity in order to retain his or her eligibility for intercollegiate athletics. Such steps are not required in cases in which a student-athlete's photograph is sold by an individual or agency (e.g., private photographer, news agency) for private use. (*Revised: 1/11/97, 5/12/05*)

**12.5.2.3 Specifically Restricted Activities.** A student-athlete's involvement in promotional activities specified in this section is prohibited.

**12.5.2.3.1 Name-the-Player Contest.** A student-athlete may not permit use of his or her name or picture in a "name-the-player" contest conducted by a commercial business for the purpose of promoting that business.

**12.5.2.3.2 Athletics Equipment Advertisement.** A student-athlete's name or picture may not be used by an athletics equipment company or manufacturer to publicize the fact that the institution's team uses its equipment.

**12.5.2.3.3 Promotional Contests.** Receipt of a prize for winning an institutional or noninstitutional promotional activity (e.g., making a half-court basketball shot, being involved in a money scramble) by a prospective or enrolled student-athlete (or a member of his or her family) does not affect his or her eligibility, provided the prize is won through a random drawing in which all members of the general public or the student body are eligible to participate. (*Revised: 1/9/96 effective 8/1/96, 3/25/05, 6/12/07*)

**12.5.2.4 Other Promotional Activities.** A student-athlete may not participate in any promotional activity that is not permitted under Bylaw 12.5.1. (*Adopted: 11/1/01*)

### 12.5.3 Media Activities.

- (a) **During the Playing Season.** During the playing season, a student-athlete may appear on radio and television programs (e.g., coaches' shows) or engage in writing projects when the student-athlete's appearance or participation is related in any way to athletics ability or prestige, provided the student-athlete does not receive any remuneration for the appearance or participation in the activity. The student-athlete shall not make any endorsement, expressed or implied, of any commercial product or service. The student-athlete may, however, receive actual and necessary expenses directly related to the appearance or participation in the activity. A student-athlete participating in media activities during the playing season may not miss class, except for class time missed in conjunction with away-from-home competition or to participate in a conference-sponsored media day. *(Revised: 1/16/93, 1/14/97, 1/9/06, 4/27/06)*
- (b) **Outside the Playing Season.** Outside the playing season, a student-athlete may participate in media activities (e.g., appearance on radio, television, in films or stage productions or participation in writing projects) when such appearance or participation is related in any way to athletics ability or prestige, provided the student-athlete is eligible academically to represent the institution and does not receive any remuneration for such appearance or participation. The student-athlete may not make any endorsement, expressed or implied, of any commercial product or service. The student-athlete may, however, receive legitimate and normal expenses directly related to such appearance or participation, provided the source of the expenses is the entity sponsoring the activity. *(Revised: 1/16/93, 1/14/97)*

**12.5.4 Use of Commercial Trademarks or Logos on Equipment, Uniforms and Apparel.** *(Adopted: 5/27/11)* A student-athlete may use athletics equipment or wear athletics apparel that bears the trademark or logo of an athletics equipment or apparel manufacturer or distributor in athletics competition and pre- and postgame activities (e.g., celebrations on the court, pre- or postgame press conferences), provided the following criteria are met. **[D]** *(Revised: 1/11/94, 1/10/95, 1/9/96 effective 8/1/96, 5/27/11)*

- (a) Athletics equipment (e.g., shoes, helmets, baseball bats and gloves, batting or golf gloves, hockey and lacrosse sticks, goggles and skis) shall bear only the manufacturer's normal label or trademark, as it is used on all such items for sale to the general public; and *(Revised: 1/10/95)*
- (b) The student-athlete's institution's official uniform (including numbered racing bibs and warm-ups) and all other items of apparel (e.g., socks, head bands, T-shirts, wrist bands, visors or hats, swim caps and towels) shall bear only a single manufacturer's or distributor's normal label or trademark (regardless of the visibility of the label or trademark), not to exceed 2-1/4 square inches in area (rectangle, square, parallelogram) including any additional material (e.g., patch) surrounding the normal trademark or logo. The student-athlete's institution's official uniform and all other items of apparel shall not bear a design element similar to the manufacturer's trademark/logo that is in addition to another trademark/logo that is contrary to the size restriction. *(Revised: 1/11/94, 1/10/95, 2/16/00)*

**12.5.4.1 Laundry Label.** If an institution's uniform or any item of apparel worn by a student-athlete in competition contains washing instructions on the outside of the apparel on a patch that also includes the manufacturer's or distributor's logo or trademark, the entire patch must be contained within a four-sided geometrical figure (rectangle, square, parallelogram) that does not exceed 2-1/4 square inches. **[D]** *(Adopted: 1/10/95)*

**12.5.4.2 Pre- or Postgame Activities.** The restriction on the size of a manufacturer's or distributor's logo is applicable to all apparel worn by student-athletes during the conduct of the institution's competition, which includes any pre- or postgame activities (e.g., postgame celebrations on the court, pre- or postgame press conferences) involving student-athletes. **[D]** *(Adopted: 1/10/95)*

**12.5.4.3 Outside Team Uniforms and Apparel.** The provisions of Bylaw 12.5.4-(b) do not apply to the official uniforms and apparel worn by outside teams.

**12.5.4.4 Title-Sponsor Recognition.** Racing bibs and similar competition identification materials (e.g., bowl-game patches) worn by participants may include the name of the corporate sponsor of the competition, provided the involved commercial company is the sole title sponsor of the competition. **[D]**

## 12.6 Financial Donations From Outside Organizations.

### 12.6.1 Professional Sports Organizations.

**12.6.1.1 To Intercollegiate Event.** A professional sports organization may serve as a financial sponsor of an intercollegiate competition event, provided the organization is not publicly identified as such. A professional sports organization may serve as a financial sponsor of an activity or promotion that is ancillary to the competition event and may be publicly identified as such. **[D]** *(Adopted: 1/10/92, Revised: 8/5/04, 1/14/12)*

**12.6.1.2 Developmental Funds to NCAA.** A professional sports organization may provide funds for intercollegiate athletics developmental purposes in a particular sport (e.g., officiating expenses, research and educational projects, the conduct of summer leagues, purchase of equipment). However, such funds shall be provided in an unrestricted manner and administered through the Association's national office.



**12.6.1.3 To Institution, Permissible.** A member institution may receive funds from a professional sports organization, provided: (*Revised: 4/29/04 effective 8/1/04*)

- (a) The money is placed in the institution's general fund and used for purposes other than athletics;
- (b) The money is placed in the institution's general scholarship fund and commingled with funds for the assistance of all students generally;
- (c) The money is received by the institution as a result of the professional sports organization's financial sponsorship of a specific intercollegiate competition event and is placed in the institution's budget for the specific event, including ancillary activities and promotions; or (*Adopted: 1/14/12*)
- (d) The money is received by the institution as a result of a reciprocal contractual marketing relationship and is placed in the athletics department's budget for the specific purpose of marketing and promoting any institutionally sponsored sport other than football and men's basketball. (*Adopted: 4/29/04 effective 8/1/04, Revised: 4/17/12*)

**12.6.1.4 To Conference, Permissible.** A member conference may receive funds from a professional sports organization, provided the money is received by the conference as a result of the professional sports organization's financial sponsorship of a specific intercollegiate competition event and is placed in the conference's budget for the specific event, including ancillary activities and promotions. (*Adopted: 1/14/12*)

**12.6.1.5 To Institution, Nonpermissible.** A member institution shall not accept funds from a professional sports organization if:

- (a) The funds are for the purpose of recognizing the development of a former student-athlete in a particular sport. The receipt of such funds by an institution would make additional money available that could benefit student-athletes and thus result in student-athletes indirectly receiving funds from a professional sports organization;
- (b) The money, even though not earmarked by the donor, is received and credited to institutional funds for the financial assistance of student-athletes generally; or
- (c) The money is placed in the institution's general fund and credited to the athletics department for an unspecified purpose.

**12.6.1.6 Revenues Derived from Pro-Am Events.** The distribution of revenues from an event involving an intercollegiate athletics team and a professional sports team (e.g., a baseball game in which a member institution's team plays against a professional baseball team) or pro-am event (e.g., golf, tennis) that results in a member institution's receiving a share of receipts from such a contest is permitted, provided the institution has a formal agreement with the professional sports team regarding the institution's guarantee or share of receipts and the contractual terms are consistent with agreements made by the professional team or individuals for similar intercollegiate or nonprofessional competition.

**12.6.1.7 Promotion of Professional Athletics Contests.** A member institution may host and promote an athletics contest between two professional teams from recognized professional sports leagues as a fundraising activity for the institution. (*Revised: 1/9/96 effective 8/1/96*)

**12.6.1.8 Reciprocal Marketing Agreements—Sports Other Than Football and Men's Basketball.** In sports other than football and men's basketball, an institution's marketing department may enter into a reciprocal contractual relationship with a professional sports organization for the specific purpose of marketing and promoting an institutionally sponsored sport. (*Adopted: 4/29/04 effective 8/1/04, Revised: 2/17/12*)

## **12.6.2 Organizations (Nonprofessional Sports Organizations).**

**12.6.2.1 Individual Athletics Performance.** A member institution shall not accept funds donated from a nonprofessional sports organization based on the place finish of a student-athlete or the number of student-athletes representing the institution in an event. However, the organization may donate an equal amount of funds to every institution with an athlete or team participating in a particular event.

**12.6.2.2 Individual and Team Rankings.** A member institution may accept funds donated to its athletics program from a nonprofessional sports organization based on an individual's or a team's national or regional ranking. (*Revised: 1/9/06 effective 8/1/06*)

**12.6.2.3 Academic Performance.** A member institution may accept funds donated to its athletics program from a nonprofessional sports organization based on an individual's or a team's academic performance (e.g., the number of Academic All-American award recipients).



# Recruiting

## 13.01 General Principles.

**13.01.1 Eligibility Effects of Recruiting Violation.** The recruitment of a student-athlete by a member institution or any representative of its athletics interests in violation of the Association's legislation, as acknowledged by the institution or established through the Association's enforcement procedures, shall result in the student-athlete becoming ineligible to represent that institution in intercollegiate athletics. The Committee on Student-Athlete Reinstatement may restore the eligibility of a student involved in such a violation only when circumstances clearly warrant restoration. A student is responsible for his or her involvement in a violation of NCAA regulations during the student's recruitment, and involvement in a major violation (see Bylaw 19.02.2.2) may cause the student to become permanently ineligible for intercollegiate athletics competition at that institution.

**13.01.2 Institutional Responsibility in Recruitment.** A member of an institution's athletics staff or a representative of its athletics interests shall not recruit a prospective student-athlete except as permitted by this Association, the institution and the member conference, if any.

**13.01.3 Additional Recruiting Restrictions.** The Committee on Academic Performance shall have the authority to determine the circumstances that would require an institution or team that fails to satisfy the academic performance program to apply additional recruiting restrictions. The Committee on Academic Performance shall establish and annually publish to the membership such circumstances under which the additional restrictions apply (see Bylaw 14.12). *(Adopted: 4/29/04 effective 8/1/04)*

## 13.02 Definitions and Applications.

**13.02.1 Business Day.** A business day is any weekday that is not recognized as a national holiday, including any weekday during which an institution is closed for other reasons (e.g., holiday break). *(Adopted: 4/29/10 effective 8/1/10)*

### 13.02.2 Camps.

**13.02.2.1 Diversified Sports Camp.** A diversified sports camp is a camp that offers a balanced camping experience, including participation in seasonal summer sports and recreational activities, without emphasis on instruction, practice or competition in any particular sport.

**13.02.2.2 Specialized Sports Camp.** A specialized sports camp is a camp that places special emphasis on a particular sport or sports and provides specialized instruction.

**13.02.3 Competition Site.** The "competition site" is the facility in which athletics competition is actually conducted, including any dressing room or meeting facility used in conjunction with the competition.

**13.02.4 Contact.** A contact is any face-to-face encounter between a prospective student-athlete or the prospective student-athlete's parents, relatives or legal guardians and an institutional staff member or athletics representative during which any dialogue occurs in excess of an exchange of a greeting. Any such face-to-face encounter that is prearranged (e.g., staff member positions himself or herself in a location where contact is possible) or that takes place on the grounds of the prospective student-athlete's educational institution or at the site of organized competition or practice involving the prospective student-athlete or the prospective student-athlete's high school, preparatory school, two-year college or all-star team shall be considered a contact, regardless of whether any conversation occurs. However, an institutional staff member or athletics representative who is approached by a prospective student-athlete or the prospective student-athlete's parents, relatives or legal guardians at any location shall not use a contact, provided the encounter was not prearranged and the staff member or athletics representative does not engage in any dialogue in excess of a greeting and takes appropriate steps to immediately terminate the encounter. *(Revised: 1/11/94 effective 8/1/94)*

**13.02.4.1 Evaluation Activities During Contact Period—Football. [FBS/FCS]** In football, a visit to a prospective student-athlete's high school, preparatory school or two-year college, or an evaluation at any site that occurs during a contact period shall constitute a contact (for all prospective student-athletes in that sport at the educational institution) for that particular week even if no contact is made with a prospective student-athlete. *(Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95 effective 8/1/95)*

### 13.02.5 Periods of Recruiting Activities.

**13.02.5.1 Contact Period.** A contact period is a period of time when it is permissible for authorized athletics department staff members to make in-person, off-campus recruiting contacts and evaluations.

**13.02.5.2 Evaluation Period.** An evaluation period is a period of time when it is permissible for authorized athletics department staff members to be involved in off-campus activities designed to assess the academic qualifications and playing ability of prospective student-athletes. No in-person, off-campus recruiting contacts shall be made with the prospective student-athlete during an evaluation period.

**13.02.5.3 Recruiting Period—Men's Basketball.** In men's basketball, a recruiting period is a period of time when it is permissible for authorized athletics department staff members to make in-person, off-campus recruiting contacts and evaluations. (*Adopted: 10/27/11 effective 8/1/12*)

**13.02.5.4 Quiet Period.** A quiet period is a period of time when it is permissible to make in-person recruiting contacts only on the institution's campus. No in-person, off-campus recruiting contacts or evaluations may be made during the quiet period.

**13.02.5.5 Dead Period.** A dead period is a period of time when it is not permissible to make in-person recruiting contacts or evaluations on or off the institution's campus or to permit official or unofficial visits by prospective student-athletes to the institution's campus. The provision of complimentary admissions to a prospective student-athlete during a dead period is prohibited, except as provided in Bylaw 13.7.2.5 for a prospective student-athlete who visits an institution as part of a group. During a dead period, a coaching staff member may not serve as a speaker at or attend a meeting or banquet at which prospective student-athletes are in attendance, except as provided in Bylaw 13.1.8.1, and may not visit a prospective student-athlete's educational institution. It remains permissible, however, for an institutional staff member to write or telephone a prospective student-athlete during a dead period. (*Revised: 1/11/94*)

**13.02.5.5.1 Exception—Women's Volleyball.** In women's volleyball, an institutional coaching staff member may have incidental contact with a two-year college prospective student-athlete who is attending and being honored at the annual American Volleyball Coaches Association (AVCA) awards banquet, provided no recruiting conversation occurs. (*Adopted: 10/30/03*)

**13.02.5.5.2 Exception—Official or Unofficial Visit During Dead Period After Commitment.** A prospective student-athlete is permitted to make an official or unofficial visit during a dead period after one of the following events occurs: (*Adopted: 1/16/10 effective 8/1/10, Revised: 1/15/11 effective 8/1/11, 1/19/13 effective 8/1/13*)

- (a) The prospective student-athlete signs a National Letter of Intent (NLI) or the institution's written offer of admission and/or financial aid; or
- (b) The institution receives a financial deposit in response to the institution's offer of admission.

**13.02.6 Enrolled Student-Athlete.** An enrolled student-athlete is an individual whose enrollment was solicited by a member of the athletics staff or other representative of athletics interests with a view toward the student's ultimate participation in the intercollegiate athletics program. Any other student becomes a student-athlete only when the student reports for an intercollegiate squad that is under the jurisdiction of the athletics department.

**13.02.7 Evaluation.** Evaluation is any off-campus activity designed to assess the academic qualifications or athletics ability of a prospective student-athlete, including any visit to a prospective student-athlete's educational institution (during which no contact occurs) or the observation of a prospective student-athlete participating in any practice or competition at any site. (*Revised: 1/10/91 effective 8/1/91, 1/11/94 effective 8/1/94*)

**13.02.7.1 Exception—Football.** In football, any evaluation that occurs during a contact period by a coaching staff member is a countable contact per Bylaw 13.02.4.1 rather than a countable evaluation. (*Adopted: 1/10/95 effective 8/1/95*)

**13.02.7.2 Evaluation Days—Football, Softball, Women's Volleyball and Women's Sand Volleyball.** An evaluation day is defined as one coach engaged in the evaluation of any prospective student-athlete on one day (12:01 a.m. to midnight). Two coaches making evaluations on the same day shall use two evaluation days. See Bylaws 13.1.7.9.3, 13.1.7.11, 13.1.7.12 and 13.1.7.13. (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/12/99 effective 8/1/99, 4/27/00 effective 8/1/01, 4/25/02 effective 8/1/02, 4/28/05 effective 8/1/05, 1/14/08 effective 8/1/08, 4/14/08, 1/16/10 effective 8/1/10, 1/15/11 effective 8/1/11*)

**13.02.8 Recruiting-Person Days—Men's Basketball.** In men's basketball, a recruiting-person day is defined as one coach engaged in an off-campus recruiting activity of a men's basketball prospective student-athlete, including a prospective student-athlete who has signed a National Letter of Intent (or the institution's written offer of admission and/or financial aid), on one day (12:01 a.m. to midnight); two coaches engaged in recruiting activities on the same day shall use two recruiting-person days. Men's basketball staff members shall not exceed 130 recruiting-person days during the academic year. (*Adopted: 4/28/05 effective 8/1/05, Revised: 3/28/07, 5/9/08*)

**13.02.8.1 Tournament Application.** Each day of a tournament or tier of a tournament in which a coach engages in off-campus evaluation activity shall count as a separate recruiting-person day. (*Adopted: 12/12/06*)

**13.02.9 Recruiting-Person Days—Women’s Basketball.** In women’s basketball, a recruiting-person day is defined as one coach engaged in an off-campus recruiting activity of a women’s basketball prospective student-athlete, including a prospective student-athlete who has signed a National Letter of Intent (or the institution’s written offer of admission and/or financial aid), on one day (12:01 a.m. to midnight); two coaches engaged in recruiting activities on the same day shall use two recruiting-person days. Women’s basketball staff members shall not exceed 112 recruiting-person days during the academic year. (*Adopted: 4/28/05 effective 8/1/05, Revised: 1/8/07 effective 8/1/07, 3/27/07, 5/9/08, 1/19/13 effective 8/1/13*)

**13.02.9.1 Tournament Application.** Each day of a tournament or tier of a tournament in which a coach engages in off-campus evaluation activity shall count as a separate recruiting person day. (*Adopted: 12/12/06*)

**13.02.10 Home.** In general, a prospective student-athlete’s “home” is the prospective student-athlete’s legal residence, or the community of the educational institution in which the prospective student-athlete is enrolled while residing there.

**13.02.11 National Letter of Intent.** The National Letter of Intent referred to in this bylaw is the official document administered by the Collegiate Commissioners Association and used by subscribing member institutions to establish the commitment of a prospective student-athlete to attend a particular institution.

**13.02.12 Prospective Student-Athlete.** A prospective student-athlete is a student who has started classes for the ninth grade. In addition, a student who has not started classes for the ninth grade becomes a prospective student-athlete if the institution provides such an individual (or the individual’s relatives or friends) any financial assistance or other benefits that the institution does not provide to prospective students generally. An individual remains a prospective student-athlete until one of the following occurs (whichever is earlier):

- (a) The individual officially registers and enrolls in a minimum full-time program of studies and attends classes in any term of a four-year collegiate institution’s regular academic year (excluding summer);
- (b) The individual participates in a regular squad practice or competition at a four-year collegiate institution that occurs before the beginning of any term; (*Revised: 1/11/89, 1/10/90, 1/19/13 effective 8/1/13*)
- (c) The individual officially registers, enrolls and attends classes during the certifying institution’s summer term prior to his or her initial full-time enrollment at the certifying institution; or (*Adopted: 4/28/05, Revised: 1/17/09, 1/19/13 effective 8/1/13*)
- (d) The individual reports to an institutional orientation session that is open to all incoming students within 14 calendar days prior to the opening day of classes of a regular academic year term. (*Adopted: 1/19/13 effective 8/1/13*)

**13.02.12.1 Exception—After Commitment.** After an individual has signed a National Letter of Intent or the institution’s written offer of admission and/or financial aid or after the institution has received his or her financial deposit in response to its offer of admission, the individual shall no longer be subject to the restrictions of Bylaw 13.1. The individual remains a prospective student-athlete for purposes of applying the remaining provisions of Bylaw 13 and other bylaws. (*Adopted: 4/28/05, Revised: 1/19/13 effective 8/1/13*)

**13.02.13 Recruiting.** Recruiting is any solicitation of a prospective student-athlete or a prospective student-athlete’s relatives (or legal guardians) by an institutional staff member or by a representative of the institution’s athletics interests for the purpose of securing the prospective student-athlete’s enrollment and ultimate participation in the institution’s intercollegiate athletics program.

**13.02.13.1 Recruited Prospective Student-Athlete.** Actions by staff members or athletics representatives that cause a prospective student-athlete to become a recruited prospective student-athlete at that institution are: (*Revised: 1/10/90, 1/11/94 effective 8/1/94, 1/10/05 effective 8/01/05*)

- (a) Providing the prospective student-athlete with an official visit; (*Adopted: 1/11/94 effective 8/1/94*)
- (b) Having an arranged, in-person, off-campus encounter with the prospective student-athlete or the prospective student-athlete’s parents, relatives or legal guardians; (*Adopted: 1/11/94 effective 8/1/94*)
- (c) Initiating or arranging a telephone contact with the prospective student-athlete, the prospective student-athlete’s relatives or legal guardians on more than one occasion for the purpose of recruitment; or (*Revised: 1/11/94 effective 8/1/94*)
- (d) Issuing a National Letter of Intent or the institution’s written offer of athletically related financial aid to the prospective student-athlete. Issuing a written offer of athletically related financial aid to a prospective student-athlete to attend a summer session prior to full-time enrollment does not cause the prospective student-athlete to become recruited. (*Adopted: 1/10/05 effective 8/1/05, Revised: 12/13/05*)

**13.02.14 Representative of Athletics Interests.** A “representative of the institution’s athletics interests” is an individual, independent agency, corporate entity (e.g., apparel or equipment manufacturer) or other organization who is known (or who should have been known) by a member of the institution’s executive or athletics administration to: (*Revised: 2/16/00*)

- (a) Have participated in or to be a member of an agency or organization promoting the institution’s intercollegiate athletics program;



- (b) Have made financial contributions to the athletics department or to an athletics booster organization of that institution;
- (c) Be assisting or to have been requested (by the athletics department staff) to assist in the recruitment of prospective student-athletes;
- (d) Be assisting or to have assisted in providing benefits to enrolled student-athletes or their families; or
- (e) Have been involved otherwise in promoting the institution's athletics program.

**13.02.14.1 Duration of Status.** Once an individual, independent agency, corporate entity or other organization is identified as such a representative, the person, independent agency, corporate entity or other organization retains that identity indefinitely. *(Revised: 2/16/00)*

**13.02.15 Telephone Calls.** All electronically transmitted human voice exchange (including videoconferencing and videophones) shall be considered telephone calls. *(Adopted: 1/10/95, Revised: 1/9/96 effective 8/1/96, 1/14/97, 4/27/00 effective 8/1/00, 9/6/00, 4/29/04 effective 8/1/04, 4/26/07 effective 8/1/07)*

**13.02.16 Visits by Prospective Student-Athletes.**

**13.02.16.1 Official Visit.** An official visit to a member institution by a prospective student-athlete is a visit financed in whole or in part by the member institution.

**13.02.16.2 Unofficial Visit.** An unofficial visit to a member institution by a prospective student-athlete is a visit made at the prospective student-athlete's own expense. The provision of any expenses or entertainment valued at more than \$100 by the institution or representatives of its athletics interests shall require the visit to become an official visit, except as permitted in Bylaws 13.5 and 13.7. *(Revised: 2/22/07, 5/9/08)*

**13.02.17 Individual Associated with a Prospective Student-Athlete—Men's Basketball.** In men's basketball, an individual associated with a prospective student-athlete is any person who maintains (or directs others to maintain) contact with the prospective student-athlete, the prospective student-athlete's relatives or legal guardians, or coaches at any point during the prospective student-athlete's participation in basketball, and whose contact is directly or indirectly related to either the prospective student-athlete's athletic skills and abilities or the prospective student-athlete's recruitment by or enrollment in an NCAA institution. This definition includes, but is not limited to, parents, legal guardians, handlers, personal trainers and coaches. An individual who meets this definition retains such status during the enrollment of the prospective student-athlete at the institution. *(Adopted: 8/26/10)*

**13.1 Contacts and Evaluations.**

Recruiting contacts (per Bylaw 13.02.4) and telephone calls by institutional staff members or representatives of the institution's athletics interests are subject to the provisions set forth in this bylaw. *(Revised: 1/10/91 effective 7/1/91, 6/13/08)*

**13.1.1 Contactable Individuals.**

**13.1.1.1 Time Period for Off-Campus Contacts—General Rule.** Off-campus recruiting contacts shall not be made with an individual (or his or her relatives or legal guardians) before July 1 following the completion of his or her junior year in high school (July 7 after the junior year in high school in women's ice hockey and July 15 after the junior year in high school in women's gymnastics), or the opening day of classes of his or her senior year in high school (as designated by the high school), whichever is earlier. U.S. service academy exceptions to this provision are set forth in Bylaw 13.16.1. *(Revised: 1/10/91 effective 7/1/91, 1/11/94 effective 3/15/94, 1/10/95, 1/14/97 effective 5/1/97, 10/28/97, 4/26/01 effective 8/1/01, 4/29/04 effective 8/1/04, 4/28/05, 1/9/06, 2/26/07, 6/13/08, 4/30/09, 1/15/11)*

**13.1.1.1.1 Exception—Men's Basketball.** In men's basketball, off-campus recruiting contacts shall not be made with an individual (or his relatives or legal guardians) before the opening day of his junior year in high school. Contacts that occur during a prospective student-athlete's junior year during recruiting periods other than the April recruiting period may occur only at the prospective student-athlete's educational institution. During the April recruiting period of a prospective student-athlete's junior year, contacts may occur at either the prospective student-athlete's educational institution or residence. *(Adopted: 10/27/11 effective 8/1/12)*

**13.1.1.1.2 Exception—Women's Basketball.** In women's basketball, off-campus recruiting contacts shall not be made with an individual (or her relatives or legal guardians) before September 1 at the beginning of her junior year in high school. Contacts that occur during a prospective student-athlete's junior year may occur only at the prospective student-athlete's educational institution or residence. *(Adopted: 1/19/13 effective 8/1/13)*

**13.1.1.2 Two-Year College Prospective Student-Athletes.** A prospective student-athlete who was not a qualifier as defined in Bylaw 14.02.13.1 and who is enrolled in the first year of a two-year college may not be contacted in person on or off an institution's campus for recruiting purposes.

**13.1.1.3 Four-Year College Prospective Student-Athletes.** An athletics staff member or other representative of the institution's athletics interests shall not make contact with the student-athlete of another NCAA or

NAA four-year collegiate institution, directly or indirectly, without first obtaining the written permission of the first institution's athletics director (or an athletics administrator designated by the athletics director) to do so, regardless of who makes the initial contact. If permission is not granted, the second institution shall not encourage the transfer and the institution shall not provide athletically related financial assistance to the student-athlete until the student-athlete has attended the second institution for one academic year. If permission is granted to contact the student-athlete, all applicable NCAA recruiting rules apply. If an institution receives a written request from a student-athlete to permit another institution to contact the student-athlete about transferring, the institution shall grant or deny the request within seven business days (see Bylaw 13.02.1) of receipt of the request. If the institution fails to respond to the student-athlete's written request within seven business days, permission shall be granted by default and the institution shall provide written permission to the student-athlete. *(Revised: 1/10/91, 1/16/93, 1/11/94, 4/26/01, 4/29/04 effective 8/1/04, 4/29/10 effective 8/1/10)*

**13.1.1.3.1 Hearing Opportunity.** If the institution decides to deny a student-athlete's request to permit any other institution to contact the student-athlete about transferring, the institution shall inform the student-athlete in writing that he or she, upon request, shall be provided a hearing conducted by an institutional entity or committee outside the athletics department (e.g., the office of student affairs; office of the dean of students; or a committee composed of the faculty athletics representative, student-athletes and non-athletics faculty/staff members). The institution shall conduct the hearing and provide written results of the hearing to the student-athlete within 15 business days (see Bylaw 13.02.1) of receipt of the student-athlete's written request for a hearing. The student-athlete shall be provided the opportunity to actively participate (e.g., in person, via telephone) in the hearing. If the institution fails to conduct the hearing or provide the written results to the student-athlete within 15 business days, permission to contact the student-athlete shall be granted by default and the institution shall provide written permission to the student-athlete. *(Adopted: 1/11/94, Revised: 9/18/07, 4/29/10 effective 8/1/10)*

**13.1.1.3.2 Student-Athlete Withdrawn From Four-Year College.** A member institution may contact a student-athlete who has withdrawn officially from a four-year collegiate institution without obtaining permission from the first institution only if at least one academic year has elapsed since the withdrawal.

**13.1.1.3.2.1 Exception—Official Religious Mission.** An institution shall not contact a student-athlete who has begun service on an official religious mission without obtaining permission from the institution from which the student-athlete withdrew prior to beginning his or her mission if the student-athlete signed a National Letter of Intent (NLI) and attended the institution (with which he or she signed the NLI) as a full-time student. If such a student-athlete has completed his or her official religious mission and does not enroll full time in a collegiate institution within one calendar year of completion of the mission, an institution may contact the student-athlete without obtaining permission from the first institution. *(Adopted: 1/17/09 effective 8/1/09, Revised: 4/2/10)*

**13.1.1.3.3 Transfer From Institution Placed on Probation by Committee on Infractions.** It is not necessary for an institution to obtain permission in writing to recruit a student-athlete at an institution that has been placed on probation with sanctions that preclude it from competing in postseason competition during the remaining seasons of the student-athlete's eligibility. However, the student-athlete's institution must be notified of the recruitment and may establish reasonable restrictions related to the contact (e.g., no visits during class time), provided such restrictions do not preclude the opportunity for the student-athlete to discuss transfer possibilities with the other institution [see Bylaw 14.7.2-(c)]. *(Adopted: 1/10/92, Revised: 1/9/06 effective 8/1/06)*

**13.1.1.3.4 Transfer From Institution Ineligible for Postseason Competition—Academic Performance Program.** On approval by the Committee on Academic Performance, an institution may contact a student-athlete at another institution whose team is ineligible for postseason competition during the remaining seasons of the student-athlete's eligibility due to sanctions pursuant to the Academic Performance Program, without obtaining written permission from the other institution. The student-athlete's institution must be notified of the recruitment and may establish reasonable restrictions related to the contact (e.g., no visits during class time), provided such restrictions do not preclude the opportunity for the student-athlete to discuss transfer possibilities with the other institution [see Bylaw 14.7.2-(e)]. *(Adopted: 1/9/06 effective 8/1/06)*

**13.1.1.3.5 Transfer While Ineligible Due to Positive Drug Test.** If a student-athlete who is declared ineligible due to a positive drug test administered by the NCAA transfers to another NCAA institution, the institution from which the student-athlete transferred must notify the new institution of the student-athlete's ineligibility (see Bylaw 18.4.1.5.1.3). *(Adopted: 1/14/97 effective 8/1/97)*

## 13.1.2 Permissible Recruiters.

**13.1.2.1 General Rule.** All in-person, on- and off-campus recruiting contacts with a prospective student-athlete or the prospective student-athlete's relatives or legal guardians shall be made only by authorized institutional staff members. Such contact, as well as correspondence and telephone calls, by representatives of an institution's athletics interests is prohibited except as otherwise permitted in this section. Violations of this bylaw involving individuals other than a representative of an institution's athletics interests shall be considered institutional viola-



tions per Constitution 2.8.1; however, such violations shall not affect the prospective student-athlete's eligibility. *(Revised: 8/5/04)*

**13.1.2.1.1 Off-Campus Recruiters.** An institutional staff member is not permitted to recruit off campus until he or she has been certified on an annual basis as to knowledge of applicable recruiting rules per Bylaw 11.5.1.1. **[D]** *(Adopted: 1/10/91 effective 8/1/92, Revised: 4/27/00)*

**13.1.2.2 General Exceptions.** This regulation is not applicable to:

- (a) **Admissions Program.** Off-campus recruiting contacts made by an institution's regular admissions program representative and directed at all prospective students including nonathletes.
- (b) **Coach Who Is Prospective Student-Athlete's Parent or Legal Guardian.** Recruiting contact and evaluation limitations do not apply to a coaching staff member who is the parent (or legal guardian) of a participant in any activity being observed (e.g., practices, contests or camps), provided the attendance by the coaching staff member at such activity does not involve any personal contact with any other participating prospective student-athlete. *(Revised: 1/10/95, 1/14/97 effective 8/1/97)*
- (c) **Spouse of Prospective Student-Athlete's Coach.** Recruiting contact and evaluation limitations do not apply to a coaching staff member observing a contest that involves prospective student-athletes coached by his or her spouse, provided the attendance by the coaching staff member at such a contest does not involve any personal contact with any prospective student-athlete participating in the contest. *(Adopted: 1/11/94)*
- (d) **Established Family Friend/Neighbor.** Contacts made with a prospective student-athlete by an established family friend or neighbor, it being understood that such contacts are not made for recruiting purposes and are not initiated by a member of an institution's coaching staff.
- (e) **Spouse of Staff Member.**
  - (1) **On Campus.** A spouse of an institutional staff member on campus.
  - (2) **Off Campus during Official Visit.** A spouse of an athletics department staff member during a prospective student-athlete's official visit and within a 30-mile radius of the institution's main campus during the prospective student-athlete's official visit.
- (f) **Interpreter.** An interpreter present during an institution's in-person, off-campus contact with a prospective student-athlete or the prospective student-athlete's parents (or legal guardians), provided that if the institution is involved in making the arrangements for the use of the interpreter, the interpreter must be a faculty member or a professional interpreter. It is not permissible for the interpreter to be an enrolled student-athlete, a family member of an enrolled student-athlete or a representative of the institution's athletics interests. *(Adopted: 1/11/97)*
- (g) **Unavoidable Incidental Contact.** An unavoidable incidental contact made with a prospective student-athlete by representatives of the institution's athletics interests, provided the contact is not prearranged by the representative or an athletics department staff member, does not take place on the grounds of the prospective student-athlete's educational institution or at the sites of organized competition and practice involving the prospective student-athlete or the prospective student-athlete's team (high school, preparatory school, two-year college or all-star team), is not made for the purpose of recruitment of the prospective student-athlete, and involves only normal civility.
- (h) **Relatives (or Legal Guardians) of Enrolled Student-Athletes.** On-campus contacts between a prospective student-athlete or his or her relatives (traditional or nontraditional) or legal guardians accompanying the prospective student-athlete and the relatives (traditional and nontraditional) or legal guardians of an enrolled student-athlete. *(Adopted: 4/26/12)*

**13.1.2.3 General Restrictions—Staff Members and Governing Board.** The following are additional restrictions that apply to an institution's staff members and governing board: **[D]** *(Revised: 4/27/00, 7/31/13)*

- (a) **Noncoaching Staff Members with Sport-Specific Responsibilities.** A noncoaching staff member with sport-specific responsibilities (except a staff member who only performs clerical duties) shall not attend an on- or off-campus athletics event in the staff member's sport that involves prospective student-athletes (e.g., high school contest, noninstitutional sports camp) unless the staff member is an immediate family member or legal guardian of a participant in the activity. A staff member who is an immediate family member or legal guardian of a participant may attend such an event, subject to the following conditions: *(Adopted: 1/16/10, Revised: 4/13/10, 4/29/10)*
  - (i) Attendance shall not be for evaluation purposes (the staff member shall not provide information related to the performance of a prospective student-athlete back to the institution's coaching staff); and
  - (ii) The staff member shall not have direct contact with a prospective student-athlete or a prospective student-athlete's parent (or legal guardian) or coach (other than the immediate family member, if applicable) participating in the activity.
- (b) **Board of Governors/Regents.** Recruiting contacts on or off campus between a member of the institution's board of governors (or regents) and a prospective student-athlete are not permissible.

**13.1.2.4 Other Restrictions, Athletics Representatives.** The following are additional restrictions that apply to athletics representatives:

- (a) **Telephone Conversation.** An athletics representative of a member institution may speak to a prospective student-athlete via the telephone only if the prospective student-athlete initiates the telephone conversation and the call is not for recruiting purposes. Under such circumstances, the representative must refer questions about the institution's athletics program to the athletics department staff;
- (b) **Observing Prospective Student-Athlete's Contest.** An athletics representative may view a prospective student-athlete's athletics contest on his or her own initiative, subject to the understanding that the athletics representative may not contact the prospective student-athlete on such occasions;
- (c) **Evaluation of Prospective Student-Athlete.** An athletics representative may not contact a prospective student-athlete's coach, principal or counselor in an attempt to evaluate the prospective student-athlete; and
- (d) **Visiting Prospective Student-Athlete's Institution.** An athletics representative may not visit a prospective student-athlete's educational institution to pick up video or transcripts pertaining to the evaluation of the prospective student-athlete's academic eligibility or athletics ability.

**13.1.2.5 Off-Campus Contacts or Evaluations.** Only those coaches who are identified by the institution, in accordance with Bylaws 11.7.2.2, 11.7.3.2 and 11.7.4, may contact or evaluate prospective student-athletes off campus. Institutional staff members (e.g., faculty members) may contact prospective student-athletes for recruiting purposes in all sports, on campus, or within 30 miles of campus during the prospective student-athlete's official visit. **[D]** *(Revised: 1/10/91 effective 8/1/92, 8/5/04, 5/26/06, 7/31/13)*

**13.1.2.5.1 Written Certification.** A member institution shall certify in writing and have on file a list of those coaches who are permitted to contact or evaluate prospective student-athletes off campus. **[D]** *(Revised: 5/26/06)*

**13.1.2.5.2 Department-Wide Responsibilities.** An athletics department staff member who has department-wide responsibilities (e.g., recruiting coordinator) may not contact or evaluate prospective student-athletes off campus unless the staff member is counted as a countable coach in the applicable sport (see Bylaw 11.7.1.2 for restrictions related to recruiting coordination functions). **[D]** *(Revised: 5/26/06)*

#### **13.1.2.6 Head Coach Restrictions—Bowl Subdivision Football. [FBS]**

**13.1.2.6.1 Assistant Coach Publicly Designated as Institution's Next Head Coach. [FBS]** An institution's assistant coach who has been publicly designated by the institution to become its next head coach shall be subject to the recruiting restrictions applicable to the institution's head coach. *(Adopted: 1/16/10)*

**13.1.2.6.2 Off-Campus Contact. [FBS]** In bowl subdivision football, the head coach may make in-person, off-campus contact with a prospective student-athlete or the prospective student-athlete's relatives or legal guardians only during one calendar day. It is permissible for this contact to occur both at the site of the prospective student-athlete's educational institution and away from the institutional grounds. During such contact, the head coach may be accompanied by assistant coaches, who otherwise are required to observe the restrictions contained in the bylaws. **[D]** *(Revised: 1/10/92 effective 8/1/92, 8/5/04, 12/15/06)*

**13.1.2.6.3 Spring Evaluation Period. [FBS]** In bowl subdivision football, during the April 15 through May 31 evaluation period, the head coach shall not engage in off-campus recruiting activities, participate in an off-campus coaching clinic, visit a prospective student-athlete's educational institution for any reason or meet with a prospective student-athlete's coach at an off-campus location. *(Adopted: 1/14/08, Revised: 1/17/09)*

**13.1.2.7 Student-Athlete.** The following conditions apply to recruiting activities involving enrolled student-athletes: **[D]** *(Revised: 5/29/08)*

- (a) **Off-Campus Contacts.** Off-campus in-person contact between an enrolled student-athlete and a prospective student-athlete is permissible, provided such contact does not occur at the direction of an institutional staff member. *(Revised: 5/29/08, 4/26/12)*
- (b) **Transportation and Expenses.** An institution may not provide an enrolled student-athlete with transportation or expenses to recruit a prospective student-athlete except for those expenses specified in Bylaw 13.6.7.5 when the student-athlete serves as a student host. *(Revised: 8/5/04, 5/29/08)*
- (c) **Written Correspondence.** It is permissible for an enrolled student-athlete to engage in written correspondence, provided it is not done at the direction or expense of the member institution. *(Revised: 5/29/08)*

**13.1.2.8 Talent Scout.** An institution may not pay any costs incurred by an athletics talent scout or a representative of its athletics interests in studying or recruiting prospective student-athletes. An institution may not provide any such person a fee or honorarium and thereby claim the person as a staff member entitled to expense money. **[D]** *(Revised: 8/5/04)*

**13.1.2.8.1 Employment Prohibition.** An institution may not employ an individual for the primary purpose of recruiting or evaluating prospective student-athletes and designate the individual as a coach if he

or she does not reside in the institution's general locale. Such an individual would be considered an athletics talent scout rather than a regular institutional staff member.

**13.1.2.8.2 Expense Prohibition.** An institution may not pay expenses (other than meals provided in the institution's home community) for representatives of its athletics interests to visit its campus for the purpose of becoming familiar with the institution's academic and athletics programs and campus facilities in order to represent the institution better when recruiting prospective student-athletes. The provision of such expenses would be considered payment of costs incurred by athletics talent scouts.

### **13.1.3 Telephone Calls.**

**13.1.3.1 Time Period for Telephone Calls—General Rule.** Telephone calls to an individual (or his or her relatives or legal guardians) may not be made before July 1 following the completion of his or her junior year in high school (subject to the exceptions below), or the opening day of classes of his or her senior year in high school (as designated by the high school), whichever is earlier; thereafter, staff members shall not make such telephone calls more than once per week. *(Revised: 1/10/91 effective 7/1/91, 1/16/93, 1/9/96 effective 8/1/96, 4/22/98, 4/26/01, 4/29/04 effective 8/1/04, 4/28/05 effective 8/1/05, 1/9/06, 6/13/08, 1/15/11)*

**13.1.3.1.1 Exception—Baseball, Cross Country/Track and Field, Men's Lacrosse, Women's Lacrosse, Women's Sand Volleyball, Softball and Women's Volleyball.** In baseball, cross country/track and field, men's lacrosse, women's lacrosse, women's sand volleyball, softball and women's volleyball, telephone calls to an individual (or his or her relatives or legal guardians) may not be made before July 1 following the completion of his or her junior year in high school, or the opening day of classes of his or her senior year in high school (as designated by the high school), whichever is earlier. Thereafter, such telephone calls shall be limited to once per week outside a contact period, but may be made at the institution's discretion during a contact period. *(Adopted: 4/29/10 effective 8/1/10, 1/15/11, 1/15/11 effective 8/1/11)*

**13.1.3.1.2 Exception—Football. [FBS/FCS]** In football, one telephone call to an individual (or the individual's relatives or legal guardians) may be made from April 15 through May 31 of the individual's junior year in high school. Additional telephone calls to an individual (or the individual's relatives or legal guardians) may not be made before September 1 of the beginning of the individual's senior year in high school. Thereafter, such telephone contact is limited to once per week outside a contact period, but may be made at the institution's discretion during a contact period. *(Revised: 1/10/91 effective 7/1/91, 1/16/93, 1/11/94 effective 3/15/94, 1/10/95, 1/14/97 effective 5/1/97, 10/28/97, 1/8/07)*

**13.1.3.1.3 Exception—Men's Basketball.** In men's basketball, telephone calls to an individual (or the individual's relatives or legal guardians) may not be made before June 15 at the conclusion of the individual's sophomore year in high school. If an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere), telephone calls to the individual (or his or her relatives or legal guardians) may not be made before the day after the conclusion of the individual's sophomore year in high school. Thereafter, an institution may make telephone calls to a prospective student-athlete at its discretion. *(Adopted: 11/1/01 effective 4/1/02, Revised: 4/29/04 effective 8/1/04, 4/28/05 effective 8/1/05, 1/9/06, 4/29/10 effective 8/1/10, 10/27/11 effective 6/15/12)*

**13.1.3.1.3.1 Telephone Calls to "1-900" Numbers—Men's Basketball.** In men's basketball, an institution shall not place a telephone call to a "1-900" number connected to a prospective student-athlete or an individual associated with a prospective student-athlete. *(Adopted: 8/26/10)*

**13.1.3.1.4 Exception—Women's Basketball.** In women's basketball, telephone calls to an individual (or the individual's relatives or legal guardians) may not be made before September 1 at the beginning of the individual's junior year in high school. Thereafter, an institution may make telephone calls to a prospective student-athlete at its discretion. *(Adopted: 4/22/98, Revised: 11/1/01 effective 4/1/02, 4/28/05 effective 8/1/05, 10/17/05, 1/19/13 effective 8/1/13)*

**13.1.3.1.4.1 Additional Restrictions—July Evaluation Periods.** In women's basketball, during the July evaluation periods, all communication with a prospective student-athlete, the prospective student-athlete's relatives or legal guardians, the prospective student-athlete's coach or any individual associated with the prospective student-athlete as a result of the prospective student-athlete's participation in basketball, directly or indirectly, is prohibited. *(Revised: 4/28/05 effective 8/1/05)*

**13.1.3.1.5 Exception—Men's Ice Hockey.** In men's ice hockey, an institution is permitted to make one telephone call per month to an individual (or the individual's relatives or legal guardians) on or after June 15 at the conclusion of the individual's sophomore year in high school through July 31 after the individual's junior year in high school. An institution is permitted to make one telephone call per week to an individual (or the individual's relatives or legal guardians) beginning August 1 following completion of the individual's junior year in high school. *(Adopted: 4/26/07)*

**13.1.3.1.5.1 Nontraditional Academic Calendars—Men's Ice Hockey.** In men's ice hockey, if an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere), telephone calls to the individual (or his or her relatives or legal guardians) may not be made before the day after the conclusion of the individual's sophomore year in high school.

Thereafter, an institution may make telephone calls to an individual (or his or her relatives or legal guardians) as follows: *(Adopted: 6/13/11)*

- (a) One telephone call per month from the day after the conclusion of the individual's sophomore year in high school to the opening day of classes of the individual's senior year in high school.
- (b) One telephone call per week beginning on the opening day of classes of the individual's senior year in high school.

**13.1.3.1.6 Exception—Women's Ice Hockey.** In women's ice hockey, an institution is permitted to make one telephone call to an individual (or the individual's relatives or guardians) who is a resident of a foreign country on or after July 7 through July 31 following the completion of the individual's sophomore year in high school. An institution is permitted to make one telephone call per week to an individual (or the individual's relatives or legal guardians) beginning July 7 following completion of the individual's junior year in high school, or the opening day of classes of his or her senior year in high school (as designated by the high school), whichever is earlier. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/26/07, 4/30/09, 1/15/11, 7/14/11)*

**13.1.3.1.7 Application of Telephone Call Limitations.** Once an institution reaches the applicable limit on telephone calls to a prospective student-athlete (or the prospective student-athlete's relatives or legal guardians) for a particular time period (e.g., one per month, one per week, two per week), the institution may not initiate an additional telephone call during the same time period, even if no direct conversation occurs during the additional call (e.g., voicemail message). *(Adopted: 12/13/05)*

**13.1.3.1.8 Effect of Violations.** Violations of Bylaw 13.1.3.1 and its subsections involving the first occasion when a staff member exceeds the permissible number of telephone calls during a given week (when it is otherwise permissible to call) shall be considered an institutional violation per Constitution 2.8.1 and shall not affect the prospective student-athlete's eligibility. *(Adopted: 8/5/04)*

### 13.1.3.2 Additional Restrictions.

**13.1.3.2.1 During Conduct of Athletics Contest.** Telephone calls to a prospective student-athlete (or the prospective student-athlete's relatives or legal guardians) may not be made during the conduct of any of the institution's intercollegiate athletics contests in that sport from the time the institution's team reports on call at the competition site at the direction of the coach until the competition has concluded and the team has been dismissed by the coach. *(Revised: 1/16/93, 1/9/96, 1/8/09)*

**13.1.3.2.2 Telephone Calls Initiated by Prospective Student-Athlete at His or Her Expense.** Institutional staff members may receive telephone calls placed by a prospective student-athlete at the prospective student-athlete's own expense at any time, including before July 1 following the prospective student-athlete's junior year in high school. *(Adopted: 1/10/92, Revised: 1/10/95, 8/14/02, 12/12/06, 4/26/12)*

### 13.1.3.3 Exceptions.

**13.1.3.3.1 Official-Visit Exception.** Institutional coaching staff members (see Bylaw 13.1.3.4.1) may make unlimited telephone calls to a prospective student-athlete during the five days immediately preceding the prospective student-athlete's official visit (per Bylaw 13.6) to that institution. If more than one call per week occurs under this exception and a scheduled official visit is canceled due to circumstances beyond the control of the prospective student-athlete or the institution (e.g., trip is canceled by the prospective student-athlete, inclement weather conditions), such calls shall not be considered institutional violations and shall not affect the prospective student-athlete's eligibility. However, the institution shall submit a report to the conference office noting the cancellation of the official visit and the reasons for such cancellation. *(Adopted: 1/10/92, Revised: 4/26/01, 12/12/06)*

**13.1.3.3.1.1 Telephone Calls in Conjunction With Official Visit.** Athletics department staff members may make unlimited telephone calls to a prospective student-athlete or those individuals accompanying the prospective student-athlete during the prospective student-athlete's official visit transportation and during his or her official visit. (See Bylaw 11.7.1.2.2.) *(Adopted: 1/16/10 effective 8/1/10)*

**13.1.3.3.2 Letter-of-Intent Signing-Date Exception.** Institutional coaching staff members (see Bylaw 13.1.3.4.1) may make unlimited telephone calls to a prospective student-athlete on the initial date for the signing of the National Letter of Intent and during the two days immediately following the initial signing date. *(Adopted: 1/10/92, Revised: 12/12/06)*

**13.1.3.3.2.1 Football Exception. [FBS/FCS]** In football, institutional coaching staff members may make unlimited telephone calls to prospective student-athletes during the period 48 hours before and 48 hours after 7 a.m. on the initial signing date for the National Letter of Intent. *(Adopted: 1/10/92, Revised: 12/12/06, 12/15/06)*

**13.1.3.3.3 Off-Campus Contact Exception.** Institutional coaching staff members (see Bylaw 13.1.3.4.1) may make unlimited telephone calls to a prospective student-athlete on the day a permissible, in-person, off-campus contact occurs with the prospective student-athlete. *(Adopted: 1/10/92, Revised: 1/16/93, 12/12/06)*



### 13.1.3.4 Permissible Callers.

**13.1.3.4.1 Institutional Coaching Staff Members—General Rule.** All telephone calls made to a prospective student-athlete (or the prospective student-athlete's parents, legal guardians or coaches) must be made by the head coach or one or more of the assistant coaches who count toward the numerical limitations in Bylaw 11.7.4 (see Bylaw 11.7.1.2). In bowl subdivision football and women's rowing, such telephone calls also may be made by a graduate assistant coach, provided the coach has successfully completed the coaches' certification examination per Bylaw 11.5.1.1. (*Revised: 1/10/95, 1/9/96 effective 8/1/96, 1/12/04 effective 8/1/04, 4/27/06 effective 8/1/06, 5/26/06, 12/12/06, 12/15/06, 4/26/12*)

**13.1.3.4.1.1 Exceptions—Before Commitment.** Before a prospective student-athlete signs a National Letter of Intent or the institution's written offer of admission and/or financial aid or before the institution receives a financial deposit in response to the institution's offer of admission, the following institutional staff members may make telephone calls to a prospective student-athlete (or the prospective student-athlete's parents or legal guardians) as specified: (*Adopted: 4/27/06 effective 8/1/06, Revised: 1/14/08 effective 8/1/08, 1/15/11 effective 8/1/11, 4/26/12*)

- (a) **President or Chancellor/Faculty Athletics Representative/Director of Athletics/Senior Woman Administrator.** It is permissible for an institution's chancellor or president, faculty athletics representative, director of athletics and senior woman administrator to return (as opposed to initiate) telephone calls from a prospective student-athlete (or the prospective student-athlete's parents or legal guardians). Under such circumstances, there are no restrictions on the content of the conversation that may occur during the call; however, any return call is subject to any applicable limitations on the number of telephone calls that an institution may place to a prospective student-athlete. (*Adopted: 6/8/99, Revised: 3/8/06, 4/27/06 effective 8/1/06, 4/24/08*)
- (b) **Academic Advisors.** It is permissible for academic advisors (including academic advisors within the athletics department) to make calls to a prospective student-athlete (or the prospective student-athlete's parents or legal guardians) related to admissions or academic issues, subject to any applicable limitation on the number of telephone calls an institution may place to a prospective student-athlete. (*Adopted: 1/10/95, Revised: 4/27/06 effective 8/1/06, 4/26/12*)
- (c) **Compliance Administrators.** It is permissible for a compliance administrator to make telephone calls to a prospective student-athlete (or the prospective student-athlete's parents or legal guardians) with no limit on the timing or number of such telephone calls, provided the calls relate only to compliance issues. (*Adopted: 1/14/97, Revised: 1/9/06 effective 8/1/06, 4/27/06 effective 8/1/06, 1/14/08 effective 8/1/08, 4/26/12*)
- (d) **Noncoaching Staff Members and Noncountable Coaches—Telephone Calls in Conjunction with Official Visit.** A noncoaching staff member or a coach who does not count toward the numerical limitations on head and assistant coaches in Bylaw 11.7.4 may initiate telephone calls to a prospective student-athlete or those individuals accompanying the prospective student-athlete during the prospective student-athlete's official visit transportation and during his or her official visit. (*Adopted: 1/16/10 effective 8/1/10, Revised: 4/26/12*)

### 13.1.3.5 Nonpermissible Callers.

**13.1.3.5.1 Representatives of Athletics Interests.** Representatives of an institution's athletics interests (as defined in Bylaw 13.02.14) are prohibited from making telephonic communications with a prospective student-athlete or the prospective student-athlete's relatives or legal guardians.

**13.1.3.5.1.1 Prospective Student-Athlete Initiates Call.** An athletics representative of a member institution may speak to a prospective student-athlete via the telephone only if the prospective student-athlete initiates the telephone conversation and the call is not for recruiting purposes. Under such circumstances, the representative must refer questions about the institution's athletics program to the athletics department staff.

**13.1.3.5.2 Enrolled Student-Athletes.** Enrolled student-athletes or other enrolled students shall not make or participate in telephone calls to prospective student-athletes at the direction of a coaching staff member or financed by the institution or a representative of its athletics interests; however, they may receive telephone calls at the expense of the prospective student-athlete on or after July 1 following the completion of the prospective student-athlete's junior year in high school. An enrolled student-athlete may receive telephone calls made at the expense of a prospective student-athlete prior to July 1 following the completion of the prospective student-athlete's junior year in high school, provided there is no direct or indirect involvement by athletics department staff. (*Revised: 1/10/91 effective 7/1/91, 1/14/08 effective 8/1/08*)

**13.1.3.5.2.1 Admissions Program Exception.** Telephone calls made by enrolled students (excluding student-athletes) pursuant to an institution's regular admissions program directed at all prospective students shall be permissible. (*Revised: 1/10/91 effective 7/1/91*)

**13.1.3.6 Collect and Toll-Free Telephone Calls.** Institutional coaching staff members (see Bylaw 13.1.3.4.1) may accept collect and toll-free (e.g., 1-800, 1-888) telephone calls placed by a prospective student-

athlete and the prospective student-athlete's parents and legal guardians, provided the calls are placed not earlier than July 1 following completion of the prospective student-athlete's junior year in high school. (*Adopted: 1/10/92, Revised: 1/11/94, 12/12/06*)

**13.1.3.6.1 Exception—Men's Basketball.** In men's basketball, institutional coaching staff members may accept collect and toll-free (e.g., 1-800, 1-888) telephone calls placed by a prospective student-athlete and the prospective student-athlete's parents and legal guardians, provided the calls are placed not earlier than the date on which an institution may begin placing telephone calls to a prospective student-athlete. (*Adopted: 11/1/01 effective 4/1/02, Revised: 5/30/06, 12/12/06, 10/27/11 effective 6/15/12*)

**13.1.3.6.2 Exception—Women's Basketball.** In women's basketball, institutional coaching staff members may accept collect and toll-free telephone calls placed by a prospective student-athlete and the prospective student-athlete's parents and legal guardians, provided the calls are not placed earlier than the date on which an institution may begin placing telephone calls to the prospective student-athlete (see Bylaw 13.1.3.1.4). (*Adopted: 11/1/07*)

**13.1.3.7 Telephone Calls Regarding Institutional Camp or Clinic Logistical Issues.** Telephone calls to an individual (or his or her parents, legal guardians, relatives or coach) that relate solely to institutional camp or clinic logistical issues (e.g., missing registration information) are not subject to the restrictions on telephone calls, provided no recruiting conversation or solicitation of particular individuals to attend a camp or clinic occurs during such calls. (*Adopted: 9/24/09*)

**13.1.4 Visit to Prospective Student-Athlete's Educational Institution.** Visits to a prospective student-athlete's educational institution that will occur during that portion of the day when classes are being conducted for all students must receive the approval of the executive officer (or the executive officer's designated representative) of the prospective student-athlete's educational institution. (*Revised: 10/27/11 effective 8/1/12*)

**13.1.4.1 Men's Basketball.** In men's basketball, institutional staff members may visit a prospective student-athlete's educational institution on not more than one occasion during a particular week within a recruiting period that occurs during the academic year, regardless of the number of prospective student-athletes enrolled in the institution or whether any prospective student-athlete is contacted on that occasion. During the April evaluation periods, if a nonscholastic event occurs at the educational institution of a participating prospective student-athlete, institutional staff members may visit the prospective student-athlete's educational institution on not more than one occasion during a particular week (see Bylaw 13.1.4.4). During the July evaluation periods, there are no limitations on the number of times an institutional staff member may visit a prospective student-athlete's educational institution. (*Revised: 10/27/11 effective 8/1/12*)

**13.1.4.1.1 Tournament Exception.** In men's basketball, visiting a prospective student-athlete's educational institution on consecutive days during a particular week to observe a tournament or tier of a tournament shall count as a single visit (see Bylaws 13.1.7.15 and 13.1.7.15.1). (*Revised: 10/27/11 effective 8/1/12*)

**13.1.4.2 Football and Women's Basketball.** In football and women's basketball, institutional staff members may visit a prospective student-athlete's educational institution on not more than one occasion during a particular week within a contact period, regardless of the number of prospective student-athletes enrolled in the institution or whether any prospective student-athlete is contacted on that occasion. (*Revised: 1/11/94 effective 8/1/94, 10/27/11 effective 8/1/12*)

**13.1.4.2.1 Visits During Contact Period—Football. [FBS/FCS]** In football, one contact per prospective student-athlete is permitted during each week of the contact period as specified in Bylaw 13.17.4 either at the prospective student-athlete's educational institution or any other location (e.g., prospective student-athlete's home). A visit to the prospective student-athlete's educational institution and any other location (e.g., prospective student-athlete's home) during the same calendar day shall be considered one contact. (*Adopted: 1/10/92 effective 8/1/92, Revised: 1/11/94 effective 8/1/94, 12/15/06*)

**13.1.4.2.2 Visits During Evaluation Period—Bowl Subdivision Football. [FBS]** In bowl subdivision football, not more than two coaches per institution may visit a prospective student-athlete's educational institution on any one calendar day during an evaluation period. (*Adopted: 4/29/10*)

**13.1.4.2.3 Visits During Evaluation Period—Women's Basketball.** In women's basketball, institutional staff members may visit a prospective student-athlete's educational institution on not more than one occasion during a particular week within an evaluation period that occurs during the academic year. During the July evaluation period, there are no limitations on the number of times an institutional staff member may visit a prospective student-athlete's educational institution. (*Adopted: 1/11/94 effective 8/1/94, Revised: 8/12/97, 9/15/97, 10/27/11 effective 8/1/12*)

**13.1.4.2.3.1 Tournament Exception.** In women's basketball, visiting a prospective student-athlete's educational institution on consecutive days during a particular week to observe a tournament or tier of a tournament shall count as a single visit (see Bylaws 13.1.7.15 and 13.1.7.15.1). (*Revised: 1/11/94 effective 8/1/94, 10/27/11 effective 8/1/12*)

**13.1.4.3 Multiple-Sport Athlete—Basketball or Football.** If a prospective student-athlete is a multi-sport athlete being recruited by the same institution for more than one sport, one of which is football or bas-

ketball, all staff members from the same institution are permitted only one visit per week to the prospective student-athlete's educational institution, and all visits must take place on the same day of the week. *(Revised: 10/27/11 effective 8/1/12)*

**13.1.4.4 Competition Not Involving Educational Institution Visited—Basketball and Football.** An institution does not use its one visit per week to an educational institution if the coach observes competition between prospective student-athletes who do not attend that institution and the coach does not engage in recruitment activities with any prospective student-athlete who attends the institution where the competition is being conducted. *(Adopted: 1/16/93, Revised: 10/27/11 effective 8/1/12)*

### **13.1.5 Contacts.**

**13.1.5.1 Sports Other Than Football and Basketball.** In sports other than football and basketball, each institution is limited to seven recruiting opportunities (contacts and evaluations combined) per prospective student-athlete (see Bylaw 13.1.5.5). During the senior year of high school, not more than three of the seven opportunities may be off-campus contacts at any site and shall include contacts with the prospective student-athlete's relatives or legal guardians, but shall not include contacts made during an official visit per Bylaw 13.6. *(Adopted: 9/12/03, Revised: 3/10/04, 1/9/06)*

**13.1.5.2 Football. [FBS/FCS]** In football, each institution shall be limited to six in-person, off-campus recruiting contacts per prospective student-athlete at any site and shall include contacts made with the prospective student-athlete's relatives or legal guardians, but shall not include contacts made during an official visit per Bylaw 13.6. *(Adopted: 9/12/03, Revised: 12/15/06)*

**13.1.5.3 Men's Basketball.** In men's basketball, during the academic year, each institution shall be limited to seven recruiting opportunities (contacts and evaluations combined) per prospective student-athlete (see Bylaw 13.1.5.5). A contact made during an official visit per Bylaw 13.6 does not count as a recruiting opportunity. Men's basketball staff members shall not exceed 130 recruiting-person days during the academic year. *(Adopted: 9/12/03, Revised: 4/28/05 effective 8/1/05, 5/9/08, 10/27/11 effective 8/1/12, 10/30/12)*

**13.1.5.4 Women's Basketball.** In women's basketball, during the academic year, each institution shall be limited to seven recruiting opportunities (contacts and evaluations combined) per prospective student-athlete (see Bylaw 13.1.5.5). A contact made during an official visit per Bylaw 13.6 does not count as a recruiting opportunity. Women's basketball staff members shall not exceed 112 recruiting-person days during the academic year. *(Adopted: 9/12/03, Revised: 3/10/04, 4/28/05 effective 8/1/05, 1/8/07 effective 8/1/07, 5/9/08, 1/15/11 effective 8/1/11, 1/19/13 effective 8/1/13)*

**13.1.5.4.1 Contact With Parent or Guardian Who Serves as Nonscholastic Coach.** In-person off-campus contact with a parent or legal guardian of a prospective student-athlete who serves on the staff of his or her daughter's nonscholastic team shall count as a contact, unless the parent or legal guardian also serves as a head coach of his or her daughter's scholastic team and the contact is unrelated to recruitment of his or her daughter. *(Revised: 10/17/05)*

**13.1.5.4.2 Additional Restrictions—July Evaluation Periods.** In women's basketball, during the July evaluation periods, all communication with a prospective student-athlete, the prospective student-athlete's relatives or legal guardians, the prospective student-athlete's coach or any individual associated with the prospective student-athlete as a result of the prospective student-athlete's participation in basketball, directly or indirectly, is prohibited. *(Revised: 4/28/05 effective 8/1/05)*

**13.1.5.5 Counting Contacts and Evaluations.** Evaluations that occur during the academic year count against the permissible number of recruiting opportunities, except for evaluations that occur on the same day as a permissible contact. Outside of the academic year, evaluations do not count against the annual number of recruiting opportunities. Contacts that occur with a prospective student-athlete count against the permissible number of total recruiting opportunities regardless of the time period (e.g., academic year or outside the academic year). All contacts and evaluations are subject to recruiting calendar restrictions. *(Revised: 10/30/12)*

**13.1.5.6 On Same Day.** Any number of contacts made during the same day (defined as 12:01 a.m. to midnight) shall count as one contact. *(Revised: 1/10/91 effective 8/1/91)*

**13.1.5.7 Letter-of-Intent Signing.** Any in-person, off-campus contact made with a prospective student-athlete for the purpose of signing a letter of intent or other commitment to attend the institution or attendance at activities related to the signing of a letter of intent or other commitment to attend the institution shall be prohibited. *(Revised: 1/10/95 effective 8/1/95)*

**13.1.5.7.1 Delivery of Letter of Intent.** In-person, off-campus delivery of a letter of intent by an institutional staff member shall be prohibited. The letter may be delivered by express mail, courier service, regular mail, electronic mail or facsimile machine. *(Adopted: 1/10/92, Revised: 1/10/95 effective 8/1/95, 11/22/04)*

**13.1.5.8 Post-High School Contacts.** In sports other than men's basketball, the contact limitations apply to the period in which the prospective student-athlete is enrolled in high school and the period beginning October 15 following the prospective student-athlete's completion of high school. In men's basketball, an institution shall be limited to three contacts with a prospective student-athlete beginning October 15 following the prospective student-athlete's completion of high school. *(Revised: 1/10/90, 10/27/11 effective 8/1/12)*



### 13.1.6 Contact Restrictions at Specified Sites.

**13.1.6.1 Prospective Student-Athlete's Educational Institution.** Any staff member desiring to contact a prospective student-athlete at the prospective student-athlete's high school, preparatory school or two-year college first shall obtain permission for such contact from that institution's executive officer (or the executive officer's authorized representative). Contact may be made only when such permission is granted and, in basketball, may not be made during the time of the day when classes are in session. Institutions also are bound by this provision when recruiting international prospective student-athletes. **[D]** *(Revised: 8/5/04, 10/27/11 effective 8/1/12, 1/19/13 effective 8/1/13)*

**13.1.6.2 Practice or Competition Site.** Recruiting contact may not be made with a prospective student-athlete prior to any athletics competition in which the prospective student-athlete is a participant during the day or days of competition, even if the prospective student-athlete is on an official or unofficial visit. Contact includes the passing of notes or verbally relaying information to a prospective student-athlete by a third party on behalf of an institutional staff member and telephone calls. Such contact shall be governed by the following: **[D]** *(Revised: 1/11/89, 1/10/91, 1/11/94, 1/9/96 effective 7/1/96, 9/18/07)*

- (a) Contact shall not be made with the prospective student-athlete at any site prior to the contest on the day or days of competition; *(Revised: 1/11/89, 1/11/94)*
- (b) Contact shall not be made with the prospective student-athlete from the time he or she reports on call (at the direction of his or her coach or comparable authority) and becomes involved in competition-related activity (e.g., traveling to an away-from-home game) to the end of the competition even if such competition-related activities are initiated prior to the day or days of competition; *(Revised: 1/11/94)*
- (c) Contact shall not be made after the competition until the prospective student-athlete is released by the appropriate institutional authority and departs the dressing and meeting facility;
- (d) Contact shall not be made with the prospective student-athlete involved in competition that requires participation on consecutive days (e.g., a tournament) until after his or her final contest is completed and he or she is released by the appropriate institutional authority and leaves the dressing and meeting facility. Contact shall not be made with a prospective student-athlete involved in a tournament that is not conducted on consecutive days until after his or her final contest is completed on a day before a break in the days of the tournament and he or she is released by the appropriate institutional authority and leaves the dressing and meeting facility; *(Revised: 1/11/94, 9/18/07)*
- (e) Contact with a prospective student-athlete who is on an extended road trip (e.g., traveling with a team from one contest or event to another), is permitted at the conclusion of a competition and prior to the commencement of travel to the next competition, provided he or she has been released by the appropriate institutional authority and departs the dressing and meeting facility; and *(Adopted: 9/18/07)*
- (f) Coaching staff members may not send electronic correspondence to a prospective student-athlete while he or she is on call for competition at the competition site (e.g., arena, stadium). Coaching staff members may send general correspondence (including electronic correspondence) to a prospective student-athlete while he or she is on call and not at the competition site or while the prospective student-athlete is at any location once he or she has been released by the appropriate authority, provided the general correspondence is sent directly to a prospective student-athlete (e.g., the front desk of the hotel, the prospective student-athlete's personal fax machine) and there is no additional party (e.g., camp employee, coach) involved in disseminating the correspondence (see Bylaw 13.4). For additional restrictions in basketball, see Bylaw 13.1.6.2.1. *(Revised: 4/3/02, 4/24/03, 3/23/06, 12/12/06)*

**13.1.6.2.1 Additional Restrictions—Basketball.** In basketball, the following additional restrictions apply: *(Adopted: 4/24/03)*

- (a) In-person contact shall not be made with a prospective student-athlete or the prospective student-athlete's relatives or legal guardians during a day of the prospective student-athlete's competition (e.g., before and after the competition). *(Revised: 4/24/03, 1/19/13 effective 8/1/13)*
- (b) In men's basketball, all communication with a prospective student-athlete's coach or any individual associated with the prospective student-athlete as a result of the prospective student-athlete's participation in basketball, directly or indirectly, is prohibited during the time period in which the prospective student-athlete is participating in a certified event. Communication with a prospective student-athlete's relatives or legal guardians is permitted during the time period in which the prospective student-athlete is participating in a certified event. *(Revised: 6/20/02, 4/24/03, 4/28/05, 1/9/06 effective 8/1/06, 3/23/06, 4/23/08, 4/24/08 effective 8/1/08, 10/27/11)*
- (c) In women's basketball, during the July evaluation periods, all communication with a prospective student-athlete, the prospective student-athlete's relatives or legal guardians, the prospective student-athlete's coach or any individual associated with the prospective student-athlete as a result of the prospective student-athlete's participation in basketball, directly or indirectly, is prohibited. *(Adopted: 4/28/05 effective 8/1/05, Revised: 3/23/06)*

**13.1.6.2.1.1 Exception—Telephone Contact With High School Coach—Men’s Basketball.** In men’s basketball, an institutional coaching staff member may have telephone contact with a prospective student-athlete’s high school coach (or high school administrator) while the prospective student-athlete is participating in a certified event, provided the high school coach or administrator is not in attendance at that event. *(Adopted: 4/29/04 effective 8/1/04, Revised: 4/28/05 effective 8/1/05, 1/9/06 effective 8/1/06, 4/24/08 effective 8/1/08, 10/27/11 effective 8/1/12, 1/19/13 effective 8/1/13)*

**13.1.6.2.2 Approved Events.** It is permissible for athletics staff members who are responsible for conducting an approved athletics event (see Bylaw 13.11.3) involving prospective student-athletes to come in normal contact with participants; however, under no circumstances may recruitment take place.

**13.1.6.2.3 Athletics Events Outside Contact Period—Football and Basketball.** In-person contact with a prospective student-athlete shall not be made on or off the institution’s campus at the site of practice or competition for any athletics event in which the prospective student-athlete participates outside the permissible contact periods in football and basketball. When a prospective student-athlete in football or basketball participates in an athletics contest or event (including a noninstitutional, private camp or clinic, but not an institutional camp or clinic) on an institution’s campus outside of a contact period, it is not permissible for an authorized institutional staff member to have contact with the prospective student-athlete until the calendar day following his or her release from the contest or event. Further, if a prospective student-athlete is visiting an institution’s campus immediately before or after participating in an athletics contest or event on the institution’s campus, the prospective student-athlete must depart the locale of the institution the calendar day before or after the contest or event. *(Revised: 1/11/89, 4/3/02, 6/1/07)*

**13.1.6.2.4 Bowl Games.** During a dead period, a prospective student-athlete may attend an institution’s bowl game practice session at the bowl site, provided the practice is open to the general public and the prospective student-athlete observes the practice only from an area reserved for that purpose for the general public. No contact between the prospective student-athlete and institutional staff members or representatives of the institution’s athletics interests may occur. *(Adopted: 1/10/92, Revised: 5/27/11)*

### **13.1.7 Limitations on Number of Evaluations.**

**13.1.7.1 Visit (Without Contact) to Prospective Student-Athlete’s Educational Institution.** A visit (without contact) by a coaching staff member to a prospective student-athlete’s educational institution counts as an evaluation for all prospective student-athletes in that sport at that educational institution. *(Adopted: 1/10/92)*

**13.1.7.1.1 Competition Not Involving Educational Institution Visited.** A member institution does not use an evaluation for prospective student-athletes at an educational institution if the coach observes competition at that institution between prospective student-athletes who do not attend that institution. *(Adopted: 1/11/94)*

**13.1.7.2 Evaluations Are Sport Specific.** The limitations on the number of evaluations in Bylaw 13.1.7 are sport specific; therefore, a prospective student-athlete being earnestly recruited [see Bylaw 15.5.9.7.1-(d)] by an institution in more than one sport may be evaluated on the permissible number of occasions in each of those sports during the academic year. Evaluations are counted against the sport of the coach making the evaluation. *(Adopted: 1/10/92)*

**13.1.7.2.1 Recruiting Opportunities in Cross Country and Track and Field.** An institution is limited to a total of seven recruiting opportunities (contacts and evaluations combined) during the academic year during which the prospective student-athlete competes in any or all of the sports of cross country and indoor and outdoor track and field, provided not more than three of the opportunities are contacts. **[D]** *(Adopted: 1/16/93)*

**13.1.7.3 Limitations on Number of Evaluations—Sports Other Than Football and Basketball.** In sports other than football and basketball, during the academic year, each institution shall be limited to seven recruiting opportunities (contacts and evaluations combined) per prospective student-athlete and not more than three of the seven opportunities may be contacts (see Bylaws 13.1.5.1 and 13.1.5.5). **[D]** *(Adopted: 9/12/03, Revised: 4/29/04, 1/9/06)*

**13.1.7.4 Limitations on Number of Evaluations—Football. [FBS/FCS]** In football, institutional staff members shall be limited to three evaluations during the academic year during which the prospective student-athlete competes or practices on any team. Not more than one evaluation may be used during the fall evaluation period and not more than two evaluations may be used during the April 15 through May 31 evaluation period. An authorized off-campus recruiter may use one evaluation to assess the prospective student-athlete’s athletics ability and one evaluation to assess the prospective student-athlete’s academic qualifications during the April 15 through May 31 evaluation period. If an institution’s coaching staff member conducts both an athletics and an academic evaluation of a prospective student-athlete on the same day during the April 15 through May 31 evaluation period, the institution shall be charged with the use of an academic evaluation only and shall be permitted to conduct a second athletics evaluation of the prospective student-athlete on a separate day during the evaluation period. **[D]** *(Adopted: 9/12/03)*

**13.1.7.4.1 Head Coach Restriction—Spring Evaluation Period. [FBS]** In bowl subdivision football, during the April 15 through May 31 evaluation period, the head coach [and any assistant coach who

has been publicly designated by the institution to become the next head coach (see Bylaw 13.1.2.6.1)] shall not engage in off-campus recruiting activities, participate in an off-campus coaching clinic, visit a prospective student-athlete's educational institution for any reason or meet with a prospective student-athlete's coach at an off-campus location. *(Adopted: 1/14/08, Revised: 1/17/09, 1/16/10)*

**13.1.7.5 Limitations on Number of Evaluations—Men's Basketball.** In men's basketball, each institution shall be limited to seven recruiting opportunities (contacts and evaluations combined) during the academic year per prospective student-athlete (see Bylaws 13.1.5.3 and 13.1.5.5). Men's basketball coaching staff members shall not exceed 130 recruiting-person days during the academic year. **[D]** *(Adopted: 9/12/03, Revised: 4/28/05 effective 8/1/05, 5/9/08, 10/27/11 effective 8/1/12, 10/30/12)*

**13.1.7.6 Limitations on Number of Evaluations—Women's Basketball.** In women's basketball, each institution shall be limited to seven recruiting opportunities (contacts and evaluations combined) during the academic year per prospective student-athlete (see Bylaws 13.1.5.4 and 13.1.5.5). Women's basketball staff members shall not exceed 112 recruiting-person days during the academic year. **[D]** *(Adopted: 9/12/03, Revised: 4/29/04, 4/28/05 effective 8/1/05, 1/9/06, 1/8/07 effective 8/1/07, 5/9/08, 1/15/11 effective 8/1/11, 1/19/13 effective 8/1/13)*

**13.1.7.7 On Same Day.** Any number of evaluations or observations made during the same calendar day (defined as 12:01 a.m. to midnight) shall count as one evaluation. *(Adopted: 1/16/93)*

### **13.1.7.8 Basketball Evaluations.**

**13.1.7.8.1 Men's Basketball.** In men's basketball, each institution is limited to 130 recruiting-person days (see Bylaw 13.02.8) during the academic year. **[D]** *(Revised: 5/9/08, 10/27/11)*

- (a) **Academic Year Recruiting Periods.** Evaluations of live athletics activities during the academic year recruiting periods shall be limited to: *(Revised: 10/27/11 effective 8/1/12)*
  - (1) Regularly scheduled high school, preparatory school and two-year college contests/tournaments and practices; and
  - (2) Regular scholastic activities involving prospective student-athletes enrolled only at the institution at which the regular scholastic activities occur. *(Revised: 4/28/05 effective 8/1/05, 10/23/07)*
- (b) **April Evaluation Periods.** Evaluations of live athletics activities during these periods shall be limited to nonscholastic events that are certified per Bylaw 13.18. *(Revised: 10/27/11 effective 4/1/12)*
- (c) **Summer Evaluation Periods.** During the summer evaluation periods, a member of an institution's basketball coaching staff may attend institutional basketball camps per Bylaw 13.12.1.1; and noninstitutional organized events (e.g., camps, leagues, tournaments and festivals) that are certified per Bylaw 13.18. *(Revised: 10/23/07, 11/1/07, 10/27/11 effective 4/1/12)*
- (d) **NBA Draft Combine.** Evaluations conducted at the National Basketball Association (NBA) Draft Combine are not included in the 130 recruiting-person days. *(Adopted: 4/28/05)*

**13.1.7.8.2 Women's Basketball.** In women's basketball, each institution is limited to seven recruiting opportunities (contacts and evaluations combined) per prospective student-athlete (see Bylaw 13.1.5.5). Women's basketball staff members shall not exceed 112 recruiting-person days (see Bylaw 13.02.9) during the academic year. **[D]** *(Revised: 4/28/05 effective 8/1/05, 1/8/07 effective 8/1/07, 4/26/07, 10/23/07, 5/9/08, 1/16/10, 1/15/11 effective 8/1/11, 1/14/12, 1/19/13 effective 8/1/13)*

- (a) **Academic Year Evaluation Period.** Evaluations of live athletics activities during the academic year shall be limited to:
  - (1) Regularly scheduled high school, preparatory school and two-year college contests/tournaments and practices;
  - (2) Regular scholastic activities involving prospective student-athletes enrolled only at the institution at which the regular scholastic activities occur;
  - (3) Evaluations at nonscholastic events during the women's basketball prospective student-athlete's academic year during the last full weekend (including Friday, Saturday and Sunday) of the fall contact period and the Friday, Saturday and Sunday of the spring evaluation periods; and
    - (i) **National Standardized Testing Weekends.** Evaluations at nonscholastic events during the academic year evaluation period shall not occur during any weekend (including Friday, Saturday and Sunday) during which the PSAT, SAT, PLAN or ACT national standardized tests are administered. If the PSAT, SAT, PLAN or ACT is administered on a date that conflicts with the fall nonscholastic evaluation weekend, evaluations at nonscholastic events shall be permissible during the first full weekend (including Friday, Saturday and Sunday) of the fall/winter evaluation period. If the PSAT, SAT, PLAN or ACT is administered on a date that conflicts with the spring nonscholastic evaluation weekend, the five-day evaluation period in April shall shift to the second Friday following the initial date of the spring National Letter of Intent signing period through the following Tuesday.
  - (4) Evaluations at nonscholastic events and noninstitutional camps or clinics that occur on a Division I campus are prohibited.

- (b) **Summer Evaluation Period.** During the summer evaluation period, a member institution's basketball coaching staff may attend institutional basketball camps per Bylaw 13.12.1.1 and noninstitutional organized events (e.g., camps, leagues, tournaments and festivals) that are certified per Bylaw 13.18. Evaluations at nonscholastic events and noninstitutional camps or clinics that occur on a Division I campus are prohibited.

### **13.1.7.8.3 Coaches' Attendance at Basketball Events.**

- (a) **Men's Basketball.** Institutional coaching staff members are restricted during the academic year to attending regularly scheduled high school, preparatory school and two-year college contests/tournaments and practices and regular scholastic activities involving student-athletes enrolled only at the institution at which the regular scholastic activities occur. During the summer evaluation period, a member of an institution's basketball coaching staff may attend institutional basketball camps per Bylaw 13.12.1.1; and noninstitutional organized events (e.g., camps, leagues, tournaments, festivals, showcases) that are certified per Bylaw 13.18, including events that are approved, sponsored or conducted by an applicable state, national or international governing body, but are organized and conducted primarily for a recruiting purpose. It is permissible for coaches to attend organized team practices in preparation for a certified event only if such practice time has been designated by the organizer as part of the event. The basketball event certification program is not applicable to National Basketball Association (NBA) draft combine or noninstitutional organized events that are approved, sponsored or conducted by an applicable state, national or international governing body and are not organized and conducted primarily for a recruiting purpose (e.g., intrastate and interstate high school basketball games, state high school all-star games, international competitions and practices associated with such contests). **[D]** (Revised: 5/9/06, 10/23/07, 11/1/07, 11/24/08, 10/28/10)
- (b) **Women's Basketball.** During prescribed evaluation periods, institutional coaching staff members may attend regularly scheduled high school, preparatory school or two-year college contests/tournaments and practices; regular scholastic activities involving prospective student-athletes enrolled only at the institution at which the regular scholastic activities occur; institutional basketball camps per Bylaw 13.12.1.1; and noninstitutional organized events (e.g., camps, leagues, tournaments, festivals, showcases) that are certified per Bylaw 13.18, including events that are approved, sponsored or conducted by an applicable state, national or international governing body, but are organized and conducted primarily for a recruiting purpose. Evaluations at nonscholastic events and noninstitutional camps or clinics that occur on a Division I campus are prohibited. It is permissible for coaches to attend organized team practices in preparation for a certified event only if such practice time has been designated by the organizer as part of the event. The basketball event certification program is not applicable to noninstitutional organized events that are approved, sponsored or conducted by an applicable state, national or international governing body and are not organized and conducted primarily for a recruiting purpose (e.g., intrastate and interstate high school basketball games, state high school all-star games, international competitions and practices associated with such contests). **[D]** (Revised: 5/9/06, 10/23/07, 11/24/08, 10/28/10, 1/14/12)

### **13.1.7.9 Football Evaluations.**

**13.1.7.9.1 Time Period for Counting Football Evaluations. [FBS/FCS]** In football, the time period during which the three permissible evaluations may take place shall be from April 15 through April 14 of the following academic year. (Adopted: 1/10/92 effective 5/1/92, Revised: 1/16/93, 4/27/00 effective 8/1/00)

**13.1.7.9.2 Limitations on the Number of Spring Evaluations. [FBS/FCS]** In football, institutional staff members shall not visit a prospective student-athlete's educational institution on more than two calendar days during the spring evaluation period. A visit to a prospective student-athlete's educational institution that only includes contact with a signed prospective student-athlete during the spring evaluation period shall be considered one of the institution's two permissible days at that institution. **[D]** (Adopted: 1/10/91 effective 8/1/91, Revised: 4/27/00 effective 8/1/00, 1/14/08 effective 8/1/08, 4/24/08 effective 8/1/08)

**13.1.7.9.3 Evaluation Days. [FBS/FCS]** In football, each institution is limited to 42 (54 for U.S. service academies) evaluation days (see Bylaw 13.02.7.2) during the fall evaluation period and 168 (216 for U.S. service academies) evaluation days during the spring evaluation period. **[D]** (Adopted: 4/25/02 effective 8/1/02, Revised: 8/14/02, 2/21/05, 5/1/05, 12/15/06, 2/22/08 effective 8/1/08, 4/14/08, 1/16/10 effective 8/1/10)

**13.1.7.9.4 Scholastic and Nonscholastic Activities—Bowl Subdivision Football. [FBS]** In bowl subdivision football, all live athletics evaluations shall be limited to: **[D]** (Revised: 1/16/10 effective 8/1/10)

- (a) Regularly scheduled high school, preparatory school and two-year college contests and practices;
- (b) Regular scholastic activities involving prospective student-athletes enrolled only at the institution at which the regular scholastic activities occur; and (Adopted: 1/9/06 effective 8/1/06, Revised: 1/14/08)
- (c) Events that are organized and conducted solely by the applicable state high school athletics association, state preparatory school association or state or national junior college athletics association. (Adopted: 4/30/09 effective 8/1/09)



**13.1.7.9.4.1 Other Evaluation Events—Bowl Subdivision Football. [FBS]** In bowl subdivision football, an institutional staff member shall not attend a recruiting event (other than those permitted pursuant to Bylaw 13.1.7.9.4) in which information (e.g., athletics or academic credentials, highlight or combine video) related to prospective student-athletes is presented or otherwise made available. **[D]** *(Adopted: 4/30/09 effective 8/1/09, Revised: 1/16/10 effective 8/1/10)*

**13.1.7.9.5 Scholastic and Nonscholastic Activities—Championship Subdivision Football. [FCS]** In championship subdivision football, live athletics evaluations may be conducted at scholastic or nonscholastic athletics activities, provided there is no institutional involvement in arranging or directing such activities. An institutional staff member may attend a recruiting event (other than a permissible live athletics event) in which information (e.g., athletics or academic credentials, highlight video) related to prospective student-athletes is presented or otherwise made available. **[D]** *(Adopted: 1/16/10 effective 8/1/10, Revised: 1/15/11)*

**13.1.7.10 Evaluations in Team Sports.** In team sports, an institution shall use an evaluation for each prospective student-athlete participating in a practice or contest observed by the institution's coach, except an evaluation that occurs on the same day as a permissible contact (see Bylaw 13.1.5.5). An institution's coach who is attending an event in which prospective student-athletes from multiple educational institutions participate in drills (e.g., combine) shall use an evaluation only for each prospective student-athlete participating in the event that the coach observes engaging in the drills. In football, an observation that occurs during a permissible contact period shall count only as a contact per Bylaw 13.02.4.1. *(Revised: 6/28/04, 5/14/05, 10/30/12)*

**13.1.7.11 Evaluation Days—Softball.** In softball, each institution is limited to 50 evaluation days (measured August 1 through July 31) per Bylaw 13.02.7.2, which do not include employment of coaches in instructional camps/clinics or the observation of prospective student-athletes participating in high school softball competition. **[D]** *(Adopted: 1/12/99 effective 8/1/99, Revised: 6/8/99)*

**13.1.7.12 Evaluation Days—Women's Volleyball.** In women's volleyball, each institution is limited to 80 evaluation days (measured August 1 through July 31) per Bylaw 13.02.7.2. A coach's employment activities in instructional camps/clinics do not count toward the evaluation days limitation. A coach's involvement outside the contact/evaluation period with a local sports club per Bylaw 13.11.2.4 shall count toward the limit. **[D]** *(Adopted: 4/25/02 effective 8/1/02, Revised: 1/8/07 effective 8/1/07)*

**13.1.7.13 Evaluation Days—Women's Sand Volleyball.** An institution that sponsors only women's sand volleyball is limited to 80 evaluation days (measured August 1 through July 31) per Bylaw 13.02.7.2. An institution that sponsors both women's volleyball and women's sand volleyball is limited to 80 evaluation days for women's volleyball and 20 additional evaluation days specific to sand volleyball competition only (no evaluations of practice or other athletics activities, no academic evaluations). If an institution sponsors both women's volleyball and women's sand volleyball, a coach's involvement outside a volleyball contact or evaluation period with a local sports club (volleyball or sand volleyball) per Bylaw 13.11.2.4 shall count toward the limit. **[D]** *(Adopted: 1/15/11 effective 8/1/11)*

**13.1.7.14 Evaluations in Individual Sports.** An institution's coach who is attending a practice or event in which prospective student-athletes from multiple institutions participate in drills (e.g., combine) or competition in an individual sport on a specific day shall use an evaluation only for those participants whom the coach observes engaging in practice or competition. The evaluation is not counted for a particular prospective student-athlete if a contact is made with that prospective student-athlete during the same day (see Bylaw 13.1.5.5). *(Adopted: 1/10/92, Revised: 1/11/94 effective 8/1/94, 6/28/04, 5/4/05, 10/30/12)*

**13.1.7.15 Tournament Evaluations.** Evaluation during each day of a tournament held during the academic year shall count as a separate evaluation except as follows (see Bylaws 13.1.4.1 and 13.1.4.2.3.1): *(Revised: 3/22/06)*

- (a) Evaluation of multiple contests in a tournament that occurs on consecutive days (and normally at the same site) shall count as a single evaluation.
- (b) Evaluation of multiple contests in a single tier of a tournament (e.g., sectional, district, regional) shall count as a single observation. If a particular tier of a tournament is subdivided into identifiable segments (e.g., conducted on different weekends), evaluation of contests in each identifiable segment counts as a single observation. *(Revised: 1/14/97)*

**13.1.7.15.1 Other Multi-Day Events.** Evaluations at a multiple-day event (e.g., jamboree, round robin, showcase) constitute separate evaluations for each day of the event unless the event is conducted in a tournament format in which a winner of the event is determined. *(Adopted: 12/13/05)*

**13.1.7.16 Open Events in Which College Teams Compete.** An institution does not use an evaluation if the institution's team competes in an open event (e.g., track and field meet) in which prospective student-athletes also compete. *(Adopted: 1/10/92)*

**13.1.7.17 Coaches' Attendance at Elite International Events.** Coaching staff members may attend Olympic, Pan American, World Championships, World Cup, World University Games or World University Championships competition that occurs outside the permissible contact and evaluation periods. However, attendance at qualifying competition for such events, including tryouts, and attendance at junior-level competition

(e.g., Youth Olympic Games, Junior World Championships, U19 World University Games) that may be associated with the specified elite international events, remains subject to the applicable recruiting calendars. (*Adopted: 1/9/06 effective 8/1/06, Revised: 8/11/11, 1/14/12*)

**13.1.7.18 Evaluation of Individuals Before They Become Prospective Student-Athletes.** In sports other than men's basketball, a coaching staff member may observe an individual who has not entered the ninth grade participating in an athletically related activity, provided such observation occurs during a contact or evaluation period when it is permissible to evaluate prospective student-athletes. In men's basketball, a coaching staff member may observe an individual who has not entered the seventh grade participating in an athletically related activity, provided such observation occurs during a period when it is permissible to evaluate prospective student-athletes. **[D]** (*Adopted: 12/12/06, Revised: 6/9/10, 10/27/11 effective 8/1/12*)

**13.1.7.19 Off-Campus Observation of Recruiting or Scouting Service Video.** Off-campus observation of a prospective student-athlete via video made available by a recruiting or scouting service is considered an evaluation activity and is subject to applicable evaluation regulations. (*Adopted: 1/16/10*)

**13.1.7.20 Coaches' Involvement in Local Sports Clubs—No Requirement to Count Evaluations.** A coaching staff member is not required to count evaluations for any observations of prospective student-athletes that occur while he or she is participating in permissible activities and acting on behalf of a local sports club (e.g., coaching or instructional activities, scouting future opponents). (*Adopted: 1/10/13*)

### **13.1.8 Banquets, Meetings and NCAA Promotional Activities.**

**13.1.8.1 Banquets or Meetings at a Prospective Student-Athlete's Educational Institution.** (*Revised: 4/15/09*)

(a) **Sports Other Than Football or Basketball.** In sports other than football and basketball, a coach may speak at a meeting or banquet (except for dead periods per Bylaw 13.02.5.5) at a prospective student-athlete's educational institution outside of a contact period or may speak at such a meeting or banquet during a contact period without using one of the institution's permissible contacts or evaluations, provided:

- (1) The meeting or banquet is initiated and conducted by the educational institution;
- (2) The coach does not make a recruiting presentation in conjunction with the appearance;
- (3) The coach does not have any direct contact with any prospective student-athlete (or a prospective student-athlete's parents or legal guardians) in attendance; and
- (4) The coach does not engage in any evaluation activities.

(b) **Men's Basketball.** (*Revised: 10/27/11 effective 8/1/12*)

(1) **During a Recruiting Period.** In men's basketball, a coach who speaks at a meeting or banquet at a prospective student-athlete's educational institution during a recruiting period, uses the institution's once-per-week visit to a prospective student-athlete's educational institution and uses an evaluation for all basketball prospective student-athletes at that educational institution. The coach does not use a contact, provided he or she does not make a recruiting presentation in conjunction with the appearance and has no direct contact with any prospective student-athlete (or a prospective student-athlete's parents or legal guardians) in attendance.

(2) **Outside a Recruiting Period.** In basketball, a coach may speak at a meeting or banquet at a prospective student-athlete's educational institution outside a recruiting period without such attendance being considered an evaluation or a visit to a prospective student-athlete's educational institution (except for dead periods per Bylaw 13.02.5.5), provided:

- (i) The meeting or banquet is initiated and conducted by the educational institution;
- (ii) The coach does not make a recruiting presentation in conjunction with the appearance;
- (iii) The coach does not have direct contact with any prospective student-athlete (or a prospective student-athlete's parents or legal guardians) in attendance; and
- (iv) The coach does not engage in any evaluation activities.

(c) **Women's Basketball.** (*Revised: 10/27/11 effective 8/1/12*)

(1) **During a Contact Period.** In women's basketball, a coach who speaks at a meeting or banquet at a prospective student-athlete's educational institution during a contact period uses the institution's once-per-week visit to a prospective student-athlete's educational institution and uses an evaluation for all basketball prospective student-athletes at that educational institution. The coach does not use a contact provided he or she does not make a recruiting presentation in conjunction with the appearance and has no direct contact with any prospective student-athlete (or a prospective student-athlete's parents or legal guardians) in attendance.

(2) **Outside a Contact Period.** In women's basketball, a coach may speak at a meeting or banquet at a prospective student-athlete's educational institution outside a contact period without such attendance being considered an evaluation or a visit to a prospective student-athlete's educational institution (except for dead periods per Bylaw 13.02.5.5), provided: (*Revised: 5/18/05*)

- (i) The meeting or banquet is initiated and conducted by the educational institution; (*Adopted: 2/22/01*)
  - (ii) The coach does not make a recruiting presentation in conjunction with the appearance;
  - (iii) The coach does not have direct contact with any prospective student-athlete (or a prospective student-athlete's parents or legal guardians) in attendance; and
  - (iv) The coach does not engage in any evaluation activities. (*Revised: 9/9/98*)
- (d) **Football. [FBS/FCS]**
- (1) **During a Contact Period.** In football, a coach who speaks at a meeting or banquet at a prospective student-athlete's educational institution during a contact period, uses one of the institution's six in-person, off-campus recruiting contacts per prospective student-athlete and also uses the institution's once-per-week visit to a prospective student-athlete's educational institution (see Bylaws 11.7.2.2.2 and 11.7.3.2.2).
  - (2) **Outside a Contact Period.** In football, a coach may speak at a meeting or banquet at a prospective student-athlete's educational institution outside a contact period without such attendance being considered an evaluation or a visit to a prospective student-athlete's educational institution (except for dead periods per Bylaw 13.02.5.5), provided: (*Revised: 5/18/05*)
    - (i) The meeting or banquet is initiated and conducted by the educational institution; (*Adopted: 2/22/01*)
    - (ii) The coach does not make a recruiting presentation in conjunction with the appearance;
    - (iii) The coach does not have direct contact with any prospective student-athlete (or a prospective student-athlete's parents or legal guardians) in attendance; and
    - (iv) The coach does not engage in any evaluation activities. (*Revised: 9/9/98*)

**13.1.8.2 Banquets or Meetings at Locations Other Than a Prospective Student-Athlete's Educational Institution.** A coach may speak at a meeting or banquet at which prospective student-athletes are in attendance at a location other than a prospective student-athlete's educational institution (except during a dead period per Bylaw 13.02.5.5) outside of a contact period (recruiting period in men's basketball) or may speak at such a meeting or banquet during a contact period (recruiting period in men's basketball) without using one of the institution's permissible contacts or evaluations, provided: (*Revised: 4/15/09, 10/27/11 effective 8/1/12*)

- (a) The meeting or banquet is initiated and conducted by an entity other than the coach's institution;
- (b) The coach does not make a recruiting presentation in conjunction with the appearance;
- (c) The coach does not have any direct contact with any prospective student-athlete (or a prospective student-athlete's parents or legal guardians) in attendance; and
- (d) The coach does not engage in any evaluation activities.

**13.1.8.3 Attendance Outside a Contact or Evaluation Period.** In sports with recruiting calendars (see Bylaw 13.17), a coach is not permitted to attend a banquet or meeting that is designed to recognize prospective student-athletes and that occurs outside a contact or evaluation period (or in men's basketball, a recruiting period), unless the coach has been asked to speak at the function. (*Adopted: 1/19/13 effective 8/1/13*)

**13.1.8.4 NCAA Promotional Activities Exception.** An institution's coach may participate in NCAA promotional activities (e.g., autograph sessions, fan festivals and opening ceremonies) at NCAA championship events, provided contacts with prospective student-athletes are not prearranged and recruiting activities do not occur. (*Adopted: 4/28/05*)

**13.1.9 Funeral/Memorial Services.** An institutional staff member may attend the funeral or memorial services of a student-athlete, a prospective student-athlete or a member of the student-athlete's or a prospective student-athlete's immediate family, at which prospective student-athletes also may be in attendance, provided no recruiting contact occurs. The involved prospective student-athlete must have signed a National Letter of Intent, or a written offer of admission and/or financial aid with the institution, or the institution must have received a financial deposit in response to the institution's offer of admission. (*Adopted: 4/28/05, 1/15/11 effective 8/1/11*)

**13.1.10 Conference-Sponsored Sportsmanship Initiatives.** A conference office may coordinate sportsmanship initiatives that may involve prospective student-athletes and their educational institutions subject to the following conditions (see Bylaws 13.4.3.3, 13.10.2.3 and 13.15.1.8): (*Adopted: 4/26/07 effective 8/1/07*)

- (a) Any participating prospective student-athlete must attend a high school within a 30-mile radius of a conference member institution's campus;
- (b) Any initiative that requires the actual presence of a prospective student-athlete shall not take place on an institution's campus; and
- (c) An institution's student-athletes may participate, subject to the conditions of Bylaw 12.5.1.1.



## 13.2 Offers and Inducements.

**13.2.1 General Regulation.** An institution's staff member or any representative of its athletics interests shall not be involved, directly or indirectly, in making arrangements for or giving or offering to give any financial aid or other benefits to a prospective student-athlete or his or her relatives or friends, other than expressly permitted by NCAA regulations. Receipt of a benefit by a prospective student-athlete or his or her relatives or friends is not a violation of NCAA legislation if it is determined that the same benefit is generally available to the institution's prospective students or their relatives or friends or to a particular segment of the student body (e.g., international students, minority students) determined on a basis unrelated to athletics ability. **[R]** (*Revised: 10/28/97, 11/1/00, 3/24/05*)

**13.2.1.1 Specific Prohibitions.** Specifically prohibited financial aid, benefits and arrangements include, but are not limited to, the following:

- (a) An employment arrangement for a prospective student-athlete's relatives;
- (b) Gift of clothing or equipment;
- (c) Co-signing of loans;
- (d) Providing loans to a prospective student-athlete's relatives or friends;
- (e) Cash or like items;
- (f) Any tangible items, including merchandise;
- (g) Free or reduced-cost services, rentals or purchases of any type;
- (h) Free or reduced-cost housing;
- (i) Use of an institution's athletics equipment (e.g., for a high school all-star game);
- (j) Sponsorship of or arrangement for an awards banquet for high school, preparatory school or two-year-college athletes by an institution, representatives of its athletics interests or its alumni groups or booster clubs; and
- (k) Expenses for academic services (e.g., tutoring, test preparation) to assist in the completion of initial-eligibility or transfer-eligibility requirements or improvement of the prospective student-athlete's academic profile in conjunction with a waiver request. (*Adopted: 4/23/08*)

**13.2.1.2 Additional Prohibition—Consulting Fees—Men's Basketball.** In men's basketball, an institution or staff member shall not provide a consulting fee to an individual associated with a prospective student-athlete or to a consulting firm in which an individual associated with a prospective student-athlete has a proprietary or financial interest. (*Adopted: 8/26/10*)

**13.2.1.3 Notification of Ineligibility and Consequences—Men's Basketball.** If a violation of Bylaw 13.2.1 occurs in which an institution or men's basketball staff member employed (either on a salaried or volunteer basis) an individual associated with a recruited prospective student-athlete at the institution's camp or clinic, the institution shall declare each involved prospective student-athlete ineligible. Within 30 days of becoming aware of the violation, the institution shall provide written notification to each involved prospective student-athlete that the actions of the institution affected the prospective student-athlete's eligibility. The written notification shall also include an explanation of the consequences of the violation for the prospective student-athlete. (*Adopted: 8/12/10*)

**13.2.2 Awards to Prospective Student-Athletes.** A member institution is limited to providing the following awards to prospective student-athletes: (*Adopted: 1/10/91*)

- (a) Awards to prospective student-athletes for outstanding athletics accomplishments are prohibited, except as provided in (c) below;
- (b) Awards to high school, preparatory school or two-year-college athletics teams in the name of an NCAA member institution are prohibited, regardless of the institution's involvement (or lack thereof) in the administration of the award; and
- (c) Any award presented at regularly scheduled high school, preparatory school and two-year-college athletics contests or matches under the provisions of Bylaw 13.11.3.4 must be limited in value to \$50 but may bear the institution's name and logo.

### 13.2.3 Employment of Prospective Student-Athletes.

**13.2.3.1 Prior to Completion of Senior Year—Nonathletics Award Winners.** An institution's athletics department may employ a prospective student-athlete who is not an athletics award winner and not recruited by the institution, provided the employment is arranged through normal institutional employment procedures (e.g., local newspaper, bulletin board listings). Any compensation received by the prospective student-athlete must be for work actually performed and commensurate with the going rate for such services in the locale. (See Bylaw 13.12.1.7.1.1.) (*Adopted: 4/29/04, Revised: 6/10/04, 1/19/13 effective 8/1/13*)

**13.2.3.2 Prior to Completion of Senior Year—Athletics Award Winners.** An institution may employ a prospective student-athlete who is an athletics award winner in any department outside intercollegiate athletics, provided the employment is arranged through normal institutional employment procedures (e.g., local

newspaper, bulletin board listings) and without the intervention of any member of the institution's coaching staff. Any compensation received by the prospective student-athlete must be for work actually performed and commensurate with the going rate for such services in the locale. For purposes of this bylaw, institutional recreation programs, even if reporting to the athletics director, may be considered outside the intercollegiate athletics department. An institution may hire a prospective student-athlete, who is an athletics award winner, in its recreation programs, only if recreation and facility managers and no intercollegiate coaches are involved with the hiring and supervision of these employees. (See Bylaws 13.12.1.7.1 and 13.12.1.7.1.1.) (*Adopted: 4/29/04 effective 8/1/04, Revised: 1/19/13 effective 8/1/13*)

**13.2.3.3 After Completion of Senior Year.** An institution may arrange for employment or employ any prospective student-athlete (regardless of athletics award winner status), provided the employment does not begin prior to the completion of the prospective student-athlete's senior year in high school. (See Bylaws 13.12.1.7.1 and 13.12.1.7.1.1.) (*Revised: 1/19/13 effective 8/1/13*)

**13.2.3.3.1 Two-Year College Prospective Student-Athletes.** Once a prospective student-athlete has enrolled as a full-time student in a two-year college, the arrangement of employment by an institution for such a prospective student-athlete shall be permitted, provided the employment does not begin prior to the time period in which the prospective student-athlete has officially withdrawn from or has completed requirements for graduation at the two-year college. (See Bylaws 13.12.1.7.1 and 13.12.1.7.1.1.) (*Adopted: 1/12/99, Revised: 1/19/13 effective 8/1/13*)

**13.2.3.4 Transportation to Summer Job.** An institution or its representatives shall not provide a prospective student-athlete free transportation to and from a summer job unless it is the employer's established policy to transport all employees to and from the job site.

**13.2.4 Loans to Prospective Student-Athletes.** Arrangement of educational loans by an institution for a prospective student-athlete shall be permitted, provided the loan is not made prior to the completion of the prospective student-athlete's senior year in high school. Such loans must be from a regular lending agency and based on a regular repayment schedule.

**13.2.5 Summer Housing for Prospective Student-Athletes.** An institution may rent dormitory space to a prospective student-athlete during the summer months at the regular institutional rate, provided it is the institution's policy to make such dormitory space available on the same basis to all prospective students. (*Revised: 4/28/05 effective 8/1/05, 1/19/13 effective 8/1/13*)

**13.2.6 Medical Expenses—Basketball.** In basketball, an institution may finance medical expenses (including rehabilitation and physical therapy expenses) for a prospective student-athlete who sustains an injury while participating in: (*Adopted: 1/14/12, Revised: 1/19/13*)

- (a) An on-campus evaluation (see Bylaw 13.11.2.1);
- (b) A voluntary summer workout conducted by an institution's strength and conditioning coach with department-wide duties (see Bylaw 13.11.3.8); or
- (c) Required summer athletic activities (see Bylaw 13.11.3.9).

**13.2.7 Medical Expenses—Football.**

**13.2.7.1 Medical Expenses—Bowl Subdivision Football. [FBS]** In bowl subdivision football, an institution may finance medical expenses (including rehabilitation and physical therapy expenses) for a prospective student-athlete who sustains an injury while participating in voluntary summer conditioning activities that are conducted by an institution's strength and conditioning coach with department-wide duties (see Bylaw 13.11.3.7.1). (*Adopted: 4/24/03 effective 5/1/03, Revised: 12/15/06*)

**13.2.7.2 Medical Expenses—Championship Subdivision Football. [FCS]** In championship subdivision football, an institution may finance medical expenses (including rehabilitation and physical therapy expenses) for a prospective student-athlete who sustains an injury while participating in voluntary summer conditioning activities that are conducted by an institution's strength and conditioning coach with department-wide duties or a countable coach who is a certified strength and conditioning coach (see Bylaw 13.11.3.7.2). (*Adopted: 4/24/03 effective 5/1/03, Revised: 4/29/04, 12/15/06, 5/4/09*)

**13.2.8 Life-Threatening Injury or Illness.** An institution may provide a donation (up to \$100) to a charity on behalf of a prospective student-athlete or may provide other reasonable tokens of support (e.g., flowers) in the event of the death of the prospective student-athlete or the death or life-threatening injury or illness of a member of the prospective student-athlete's immediate family, provided the prospective student-athlete has signed a National Letter of Intent, or a written offer of admission and/or financial aid with the institution, or the institution must have received a financial deposit in response to the institution's offer of admission. (*Adopted: 1/12/04, Revised: 4/28/05, 5/26/06, 1/15/11 effective 8/1/11*)

**13.2.9 Benefits for Prospective Student-Athlete's Family Members.** An institutional staff member may provide a benefit to a member of the prospective student-athlete's family, provided: (*Revised: 5/11/05*)

- (a) The family member has a pre-existing established relationship with the institutional staff member; and
- (b) The benefit provided is consistent with the nature and level of benefits that the institutional staff member has provided to the family member prior to the prospective student-athlete starting classes for the ninth grade.

## 13.4 Recruiting Materials.

**13.4.1 Recruiting Materials.** In sports other than men's basketball and men's ice hockey, an institution shall not provide recruiting materials, including general correspondence related to athletics, to an individual (or his or her parents or legal guardians) until September 1 at the beginning of his or her junior year in high school. In men's basketball and men's ice hockey, an institution shall not provide recruiting materials, including general correspondence related to athletics, to an individual (or his or her parents or legal guardians) until June 15 at the conclusion of his or her sophomore year in high school. In men's basketball, if an individual attends an educational institution that uses a nontraditional academic calendar (e.g., Southern Hemisphere) an institution shall not provide recruiting materials, including general correspondence related to athletics, to an individual (or his parents or legal guardians) until the day after the conclusion of the individual's sophomore year in high school. **[D]** (*Revised: 1/10/91 effective 8/1/91, 1/10/92, 1/11/94 effective 8/1/94, 1/10/95 effective 8/1/95, 1/9/96 effective 7/1/96, 1/11/01 effective 4/1/02, 4/29/04 effective 8/1/04, 1/10/05, 4/28/05 effective 8/1/05, 4/26/07, 4/15/08, 6/13/08, 3/29/10, 10/27/11 effective 6/15/12*)

**13.4.1.1 Printed Recruiting Materials.** As specified below, an institution may provide the following printed materials [hard copy or electronically (see Bylaw 13.4.1.2)] to prospective student-athletes, their parents or legal guardians, their coaches or any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved: **[D]** (*Adopted: 4/28/05 effective 8/1/05, Revised: 4/15/08, 4/29/10 effective 8/1/10, 5/27/11*)

- (a) **General Correspondence.** General correspondence may be sent only by mail, subject to the following provisions: (*Revised: 3/8/06, 5/25/06, 12/12/06, 1/8/07 effective 8/1/07, 4/15/08, 4/24/08 effective 8/1/08, 4/29/10 effective 8/1/10*)
- (1) The correspondence shall include a single sheet of institutional letterhead, which shall not exceed 8-1/2 by 11 inches in size; (*Adopted: 4/29/10 effective 8/1/10*)
  - (2) There are no restrictions on the design or content of one side of the single sheet of institutional letterhead. The opposite side shall be blank, except for text (typed or handwritten) used to communicate a message to the recipient and any other handwritten information; (*Adopted: 4/29/10 effective 8/1/10*)
  - (3) Additional pages of the correspondence shall be limited to plain white paper (not to exceed 8-1/2 by 11 inches in size) and black ink. The additional pages shall be blank, except for text (typed or handwritten) used to communicate a message to the recipient and any other handwritten information; (*Adopted: 4/29/10 effective 8/1/10*)
  - (4) Attachments to general correspondence may only include materials printed on plain white paper (not to exceed 8-1/2 by 11 inches in size) with black ink that are not created for recruiting purposes, except for other permissible printed materials (e.g., camp brochures, questionnaires); (*Revised: 4/29/10 effective 8/1/10*)
  - (5) An envelope used to send the correspondence may only include the institution's name and logo or an athletics logo (in addition to the postage, return address and addressee information) on the outside, must be blank on the inside when produced and may not exceed 9 by 12 inches; and (*Adopted: 4/29/10 effective 8/1/10*)
  - (6) All institutional staff members (e.g., faculty members, athletics department staff members and administrators) may prepare general correspondence. (*Revised: 4/29/10 effective 8/1/10*)
- (b) **Business Cards.**
- (c) **Camp or Clinic Brochures.** Brochures are not restricted by content or design, except that they must indicate that the camp or clinic is open to any and all entrants (limited only by number, age, grade level and/or gender). Brochures are restricted to a single two-sided sheet, not to exceed 17 by 22 inches in size when opened in full. Camp or clinic brochures may be provided at any time. (See Bylaw 12.5.1.6.) (*Revised: 4/15/08, 9/24/09*)
- (d) **Questionnaires.** An institution may provide questionnaires at any time. (*Revised: 4/14/08*)
- (e) **Nonathletics Institutional Publications.** An institution may provide nonathletics institutional publications available to all students at any time (e.g., official academic, admissions and student-services publications published by the institution and available to all students).
- (f) **NCAA Educational Material Published by the NCAA (e.g., NCAA Guide for the College-Bound Student-Athlete).** Such material may be provided at any time. (*Revised: 4/15/08*)
- (g) **Game Programs.** Game programs (which may not include posters) may be provided to a prospective student-athlete only during official and unofficial recruiting visits and may not be mailed.
- (h) **Pre-enrollment Information.** Necessary pre-enrollment information regarding orientation, conditioning, academics and practice activities, may be provided to a prospective student-athlete, provided he or she has signed a National Letter of Intent or institutional financial aid agreement or has been officially accepted for enrollment. (See Bylaw 13.4.1.5.4.) (*Adopted: 12/12/06*)

- (i) **Institutional Note Cards.** Institutional note cards may not exceed 8-1/2 by 11 inches when opened in full. In addition, such cards may only contain the institution's name and logo or an athletics logo on the outside, must be blank on the inside (one side of the card when opened in full) when produced and may include only handwritten information (e.g., words, illustrations) on the inside when provided to the recipients. *(Adopted: 1/8/07 effective 8/1/07, Revised: 4/15/08, 4/13/09)*
- (j) **Postcards.** An institution may send an institutional postcard, provided its dimensions do not exceed 4-1/4 by 6 inches, it includes only the institution's name and logo or an athletics logo on one side when produced and it includes only handwritten information, (e.g., words, illustrations) on the opposite side when provided to the recipients. Blank postcards issued by the U.S. Postal Service also may be sent. *(Adopted: 1/14/09 effective 8/1/09, Revised: 4/29/10 effective 8/1/10)*

**13.4.1.1.1 Express Mail Services.** An institution is not permitted to use express mail delivery services and may only use first-class mail or a lesser rate of service (e.g., parcel post) with no extra services (e.g., certified mail, delivery confirmation) to provide permissible printed recruiting materials to prospective student-athletes, their parents or legal guardians, their coaches or any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved, who resides within the 50 United States, other than the National Letter of Intent or other written admissions and/or financial aid commitment to attend the institution and necessary pre-enrollment information per Bylaw 13.4.1.1-(h). **[D]** *(Adopted: 4/28/05 effective 8/1/05, Revised: 5/12/05, 1/14/08, 4/15/08)*

**13.4.1.1.2 Athletics Publication.** An institution may produce a printed media guide; however, an institution shall not provide a printed media guide or any other printed athletics publication not listed in Bylaw 13.4.1.1 to a prospective student-athlete, his or her parents or legal guardians, the prospective student-athlete's educational institution or any individual involved in the coaching of a prospective student-athlete. It is not permissible to make a printed media guide or any other printed athletics publication not listed in Bylaw 13.4.1.1 available free of charge to a prospective student-athlete, his or her parents or legal guardians, the prospective student-athlete's educational institution or any individual involved in the coaching of a prospective student-athlete, even if such publications are available to other members of the general public free of charge. An institution may not create a printed portfolio of information (e.g., pictures) to be used in the recruiting process. An institution may only provide a media guide to a prospective student-athlete via an electronic mail attachment or hyperlink. **[D]** *(Adopted: 4/29/10 effective 8/1/10, Revised: 1/15/11 effective 8/1/11)*

**13.4.1.1.2.1 Media Guide Restrictions.** A printed media guide may have only one color of print inside the cover and may not exceed 8-1/2 by 11 inches in size and 208 pages in length. An institution may not produce a separate printed supplemental media guide. Any supplemental information (e.g., historical information, records) may be posted on the institution's website and may be printed in black and white, and provided to the media. *(Adopted: 4/29/10 effective 8/1/10)*

**13.4.1.2 Electronic Transmissions.** Electronically transmitted correspondence that may be sent to a prospective student-athlete (or the prospective student-athlete's parents or legal guardians) is limited to electronic mail and facsimiles. (See Bylaw 13.1.6.2.) All other forms of electronically transmitted correspondence (e.g., Instant Messenger, text messaging) are prohibited. Color attachments may be included with electronic mail correspondence, provided the attachment only includes information that is not created for recruiting purposes, except for items that are specifically permitted as printed recruiting materials (e.g., questionnaires), a media guide and video and audio materials, as permitted in Bylaw 13.4.1.5. Attachments other than a media guide and permissible video and audio materials shall not include any animation, audio or video clips and there shall be no cost (e.g., subscription fee) associated with sending the item attached to the electronic mail correspondence. **[D]** *(Adopted: 4/28/05 effective 8/1/05, Revised: 12/12/06, 4/26/07 effective 8/1/07, 4/15/08, 4/29/10 effective 8/1/10, 1/15/11 effective 8/1/11)*

**13.4.1.2.1 Exception—Basketball.** In basketball, electronic correspondence (e.g., electronic mail, Instant Messenger, facsimiles, text messages) may be sent to a prospective student-athlete (or the prospective student-athlete's parents or legal guardians). Before a prospective student-athlete has signed a National Letter of Intent or the institution's written offer of admission and/or financial aid, or the institution has received his or her financial deposit in response to its offer of admission, the correspondence must be sent directly to the prospective student-athlete (or his or her parents or legal guardians) and must be private between only the sender and recipient (e.g., no use of chat rooms, message boards or posts to "walls"). (See Bylaws 13.1.6.2 and 13.10.2.) Color attachments may be included with electronic correspondence, provided the attachment only includes information that is not created for recruiting purposes, except for items that are specifically permitted as printed recruiting materials (e.g., questionnaires), a media guide and video and audio materials, as permitted in Bylaw 13.4.1.5. Attachments other than a media guide and permissible video and audio materials shall not include any animation, audio or video clips and there shall be no cost (e.g., subscription fee) associated with sending the item attached to the electronic correspondence. **[D]** *(Adopted: 10/27/11 effective 6/15/12, 1/19/13 effective 8/1/13, 7/31/13)*

**13.4.1.2.2 Exception—Electronic Transmissions After Commitment.** There shall be no limit on the forms and no restrictions on the publicity of electronically transmitted correspondence sent to a pro-



pective student-athlete (or the prospective student-athlete's relatives or legal guardians) after one of the following events occurs: *(Adopted: 1/15/11 effective 8/1/11, Revised: 7/31/13)*

- (a) The prospective student-athlete signs a National Letter of Intent (NLI) or the institution's written offer of admission and/or financial aid; or
- (b) The institution receives a financial deposit in response to the institution's offer of admission.

**13.4.1.2.3 Exception—Electronic Mail and Facsimiles Regarding Institutional Camp or Clinic Logistical Issues—Sports Other Than Basketball.** In sports other than basketball, electronic mail and facsimiles to an individual (or his or her parents, legal guardians, relatives or coach) that relate solely to institutional camp or clinic logistical issues (e.g., missing registration information) are not subject to the restrictions on recruiting materials, provided the correspondence does not contain recruiting language and no solicitation of particular individuals to attend a camp or clinic occurs. *(Adopted: 9/24/09, Revised: 10/27/11 effective 6/15/12, 1/19/13 effective 8/1/13)*

**13.4.1.2.4 Exception—Electronic Correspondence Regarding Institutional Camp or Clinic Logistical Issues—Basketball.** In basketball, electronic correspondence to an individual (or his or her parents, legal guardians, relatives or coach) that relates solely to institutional camp or clinic logistical issues (e.g., missing registration information) is not subject to the restrictions on recruiting materials, provided the correspondence does not contain recruiting language and no solicitation of particular individuals to attend a camp or clinic occurs. *(Adopted: 10/27/11 effective 6/15/12, 1/19/13 effective 8/1/13)*

**13.4.1.3 Other Recruiting Materials.** An institution may post recruiting materials not listed in Bylaw 13.4.1.1 on its website. General information (e.g., information not created for recruiting purposes) posted to an institution's website (e.g., press release, competition schedule) may be sent to a prospective student-athlete via electronic mail as an attachment or a hyperlink or such information may be printed on plain white paper with black ink and provided to a prospective student-athlete as an attachment to general correspondence, via facsimile or during any permissible on- or off-campus contact. **[D]** *(Adopted: 4/28/05 effective 8/1/05, Revised: 1/17/09)*

**13.4.1.4 Responding to Prospective Student-Athlete's Request.** Institutional staff members (including athletics staff members) may respond to a prospective student-athlete's letter or electronic mail requesting information from an institution's athletics department prior to the permissible date on which an institution may begin to provide recruiting materials to a prospective student-athlete, provided the written response does not include information that would initiate the recruitment of the prospective student-athlete or information related to the institution's athletics program (e.g., the reply contains an explanation of current NCAA legislation or a referral to the admissions department). **[D]** *(Revised: 5/26/06, 4/20/11)*

**13.4.1.5 Video/Audio Materials.** An institution may not produce video or audio materials to show to, play for or provide to a prospective student-athlete except as specified in this section. Permissible video or audio material may only be provided to a prospective student-athlete via an electronic mail attachment or hyperlink, except as provided in Bylaw 13.4.1.5.4. **[D]** *(Adopted: 1/11/94 effective 8/1/94, Revised: 1/9/96 effective 8/1/96, 12/12/06, 1/8/07, 1/16/10, 3/29/10, 4/28/11 effective 8/1/11, 6/13/11)*

**13.4.1.5.1 Media Available to All Students.** Official academic admissions and student-services media produced by the institution and available to all students may be provided to prospective student-athletes. *(Revised: 1/16/10, 3/29/10)*

**13.4.1.5.2 Material Not Created for Recruiting Purposes.** An institution may produce video or audio material to show to, play for or provide to a prospective student-athlete, provided such material includes only general information related to an institution or its athletics programs and is not created for recruiting purposes. **[D]** *(Adopted: 1/16/10, Revised: 3/29/10)*

**13.4.1.5.3 Computer-Generated Recruiting Presentations.** An institution may produce a computer-generated recruiting presentation (e.g., using presentation software) to show to, play for or provide to a prospective student-athlete, subject to the following provisions: **[D]** *(Adopted: 1/8/07, Revised: 1/14/08, 1/16/10, 3/29/10)*

- (a) The presentation may be posted to the institution's website;
- (b) The presentation may include general informational video/audio material that relates to an institution or its athletics programs and is not created for recruiting purposes;
- (c) The presentation may not be personalized to include a prospective student-athlete's name, picture or likeness; and
- (d) The presentation may not be created by an entity outside the institution.

**13.4.1.5.4 Pre-enrollment Information.** An institution may provide any necessary pre-enrollment information (that is not otherwise considered to be general information related to an institution or its athletics programs) regarding orientation, conditioning, academics and practice activities in a video format (e.g., video playbook, games clips) to a prospective student-athlete, provided he or she has signed a National Letter of Intent or institutional financial aid agreement, or has been officially accepted for enrollment. Such information may be provided via a digital media storage device (e.g., DVD, flash drive). [See Bylaw 13.4.1.1-(h).] **[D]** *(Adopted: 12/26/06, Revised: 1/16/10, 3/29/10, 6/13/11)*

**13.4.2 Conference Restrictions.** A member conference is precluded from providing recruiting materials to prospective student-athletes. **[D]** (Revised: 1/11/94 effective 8/1/94, 4/24/03 effective 8/1/03, 3/26/04)

**13.4.3 Advertisements and Promotions.**

**13.4.3.1 Recruiting Advertisements.** The publication of advertising or promotional material, by or on behalf of a member institution, designed to solicit the enrollment of a prospective student-athlete is not permitted, except as provided in Bylaw 13.4.3.1.1. Accordingly, a member institution may not buy or arrange to have space in game programs or other printed materials published to provide information concerning the athletics participation or evaluation of prospective student-athletes (e.g., recruiting publications) for any purpose whatsoever, including advertisements, a listing of prospective or enrolled student-athletes who will attend the institution and informative materials related to the institution. **[D]** (Revised: 4/28/05 effective 8/1/05)

**13.4.3.1.1 Nonathletics Institutional Advertisements.** An institution (or a third party acting on behalf of the institution) may publish nonathletics institutional advertisements or promotional material (e.g., use of signage, booths, kiosks and distribution of printed materials) at high school or two-year college athletics events provided: **[D]** (Adopted: 4/28/05 effective 8/1/05)

- (a) The funds generated by the advertisements or promotional materials are not used for the high school or two-year college's athletics program;
- (b) The institution's athletics department is not involved in the advertisement or promotional activities;
- (c) The advertisements or promotional materials do not contain athletics information (unless as noted in Bylaw 13.4.1.1-(e); and
- (d) The institution documents the cost of the advertising and promotional activities.

**13.4.3.1.2 Camp or Clinic Advertisements.** Advertisements for an institution's camp or clinic are permissible if placed in a recruiting publication (other than a high school, two-year college or nonscholastic game program) that includes a camp directory that meets the following requirements: **[D]** (Revised: 1/11/94, 9/12/03, 9/24/09)

- (a) The size (not to exceed one-half page) and format of such advertisements must be identical; and
- (b) The camp directory must include multiple listings of summer camps on each page (at least two summer-camp advertisements of the same size must appear on each page).

**13.4.3.1.2.1 Advertisements Directed Toward a Particular Audience.** An institution may advertise or promote an institutional camp or clinic toward a particular audience (e.g., elite camp), provided the advertisement or promotion indicates that the camp or clinic is open to any and all entrants (limited only by number, age, grade level and/or gender). **[D]** (Adopted: 9/24/09)

**13.4.3.2 NCAA or Conference Championship Posters.** An institution hosting an NCAA or conference championship may produce a poster promoting the championship and send it to a high school coach and/or his or her educational institution. It is not permissible to send such a poster to a prospective student-athlete. **[D]** (Adopted: 10/28/99, Revised: 4/6/00, 1/10/05 effective 8/1/05)

**13.4.3.2.1 NCAA or Conference Championship Promotional Materials.** The NCAA or member conference [or a third party acting on behalf of the NCAA or member conference (e.g., host institution, host conference, or local organizing committee)] may produce and provide championship-promotional materials to any individual or group, provided the materials: **[D]** (Adopted: 1/10/05)

- (a) Are solely for the purpose of promoting the championship event rather than the host institution, and use factual information (e.g., date, time, location, identification of host school/conference, ticket information, photos of previous championships);
- (b) Are not sent exclusively to prospective student-athletes;
- (c) Are available to the general public; and
- (d) Do not promote the institution's athletics program.

**13.4.3.3 Conference-Sponsored Sportsmanship Initiatives.** A conference office may buy or arrange to have space in game programs or other printed materials published to provide information concerning the athletics participation of prospective student-athletes, provided the content of the printed materials is limited exclusively to promoting sportsmanship, and the materials are not designed to solicit the enrollment of prospective student-athletes. In addition, a conference office may produce posters, limited exclusively to promoting sportsmanship, which may be sent to any educational institution. It shall not be permissible to send any printed materials related to a sportsmanship initiative (e.g., poster) to a prospective student-athlete. (Adopted: 4/26/07 effective 8/1/07)

**13.4.3.4 Miscellaneous Promotions.** Member institutions and their representatives of athletics interests are prohibited from financing, arranging or using recruiting aids (e.g., newspaper advertisements, bumper stickers, message buttons) designed to publicize the institution's interest in a particular prospective student-athlete. **[D]** (Revised: 10/28/97)



## **13.5 Transportation.**

**13.5.1 General Restrictions.** An institution may not provide transportation to a prospective student-athlete other than on an official paid visit or, on an unofficial visit, to view a practice or competition site in the prospective student-athlete's sport and other institutional facilities and to attend a home athletics contest at any local facility when accompanied by an institutional staff member. During the official paid visit, transportation may be provided to view a practice or competition site and other institutional facilities located outside a 30-mile radius of the institution's campus. **[R]** *(Revised: 1/11/89, 10/28/97, 11/1/00, 1/9/06 effective 8/1/06, 4/27/06)*

**13.5.1.1 Nonpermissible Transportation.** If nonpermissible transportation is provided, the institution may not avoid a violation of this rule by receiving reimbursement for mileage from the prospective student-athlete.

### **13.5.2 Transportation on Official Paid Visit.**

**13.5.2.1 General Restrictions.** A member institution may pay the prospective student-athlete's actual round-trip transportation costs for his or her official visit to its campus from any location, provided the prospective student-athlete returns to the original point of departure, or if return transportation is provided to the prospective student-athlete's home, educational institution or site of competition, the cost does not exceed round-trip expenses from the prospective student-athlete's original point of departure. Use of a limousine or helicopter for such transportation is prohibited. **[R]** *(Revised: 1/9/06, 5/26/06)*

**13.5.2.2 Automobile Transportation.** If a prospective student-athlete travels by automobile on an official paid visit, the institution may pay round-trip expenses to the individual incurring the expense (except the prospective student-athlete's coach as set forth in Bylaw 13.8.1.2) at the same mileage rate it allows its own personnel. Any automobile may be used by the prospective student-athlete, provided the automobile is not owned or operated or its use arranged by the institution or any representative of its athletics interests. **[R]** *(Revised: 1/11/94)*

**13.5.2.2.1 Use of Automobile.** The institution or representatives of its athletics interests shall not provide an automobile for use during the official visit by the prospective student-athlete or by a student host. **[R]**

**13.5.2.2.2 Coach Accompanying Prospective Student-Athlete and Parents and Legal Guardians.** Except as permitted in Bylaw 13.5.2.4, coaching staff members shall not accompany a prospective student-athlete in the coach's sport to or from an official visit unless the prospective student-athlete travels only by automobile. If such transportation is used, the 48-hour period of the official visit shall begin when the coach begins transporting the prospective student-athlete and his or her parents or legal guardians, if applicable, to campus. A coach who makes an in-person, off-campus contact (any dialogue in excess of an exchange of a greeting) with that prospective student-athlete (or the prospective student-athlete's parents or legal guardians) during a permissible contact period prior to transporting the prospective student-athlete and his or her parents or legal guardians, if applicable, to campus for an official visit is charged with a countable contact. On completion of the 48-hour period, the coach shall terminate contact with the prospective student-athlete and his or her parents or legal guardians, if applicable, immediately. **[R]** *(Adopted: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97, 11/12/97, 1/14/08 effective 8/1/08)*

**13.5.2.2.2.1 Football Championship Subdivision Exception. [FCS]** In championship subdivision football, any member of an institution's athletics department (except a volunteer coach per Bylaw 11.01.5) who has been certified pursuant to a conference certification program may provide such transportation for a prospective student-athlete between the prospective student-athlete's home or educational institution and the member institution. *(Adopted: 1/10/91 effective 8/1/91, Revised: 12/15/06)*

**13.5.2.2.3 On-Campus Transportation.** An institution transporting a prospective student-athlete (and those accompanying a prospective student-athlete) around campus during the official visit must use institutional vehicles normally used to transport prospective students while visiting the campus. In addition, coaching staff members or student hosts may use personal vehicles to transport a prospective student-athlete (and those accompanying the prospective student-athlete) around campus during an official visit. **[R]** *(Adopted: 8/5/04)*

**13.5.2.3 Air Transportation.** An institution providing air transportation to a prospective student-athlete to and from an official campus visit must use commercial transportation at coach-class airfare. Coaching staff members shall not accompany a prospective student-athlete to or from an official visit when air travel is used, except as permitted in Bylaw 13.5.2.4. **[R]** *(Revised: 1/10/95 effective 8/1/95, 8/5/04)*

**13.5.2.3.1 Ticket Discounts.** An institution may not arrange payment of the airline ticket to allow a prospective student-athlete (or the prospective student-athlete's relatives, friends or legal guardians) to take advantage of ticket bonuses, rebates, refunds, upgrades or other benefits connected with the purchase of the ticket. **[R]** *(Revised: 8/5/04)*

**13.5.2.4 From Airport or Bus or Train Station.** During the official visit, any member of an institution's athletics department staff may provide ground transportation for a prospective student-athlete and the prospective student-athlete's parents, relatives or legal guardians between the campus and any bus or train station or airport. If a prospective student-athlete is transported by a member of the institution's athletics department from an airport or bus or train station other than the major airport or bus or train station nearest the institution, the

48-hour official visit period begins with the initiation of the ground transportation by the member of the institution's athletics department staff. *(Revised: 4/28/05, 7/27/07)*

**13.5.2.5 Visiting Two or More Institutions.** Two or more institutions to which a prospective student-athlete is making official visits on the same trip may provide travel expenses, provided there is no duplication of expenses, only actual and necessary expenses are provided, and the 48-hour visit limitation is observed at each institution. [R]

**13.5.2.6 Transportation of Prospective Student-Athlete's Relatives, Friends or Legal Guardians.** An institution shall not permit its athletics department staff members or representatives of its athletics interests to pay, provide or arrange for the payment of transportation costs incurred by relatives, friends or legal guardians of a prospective student-athlete to visit the campus or elsewhere; however, an institution may: [R]

- (a) Provide automobile-mileage reimbursement to a prospective student-athlete on an official visit, even if relatives or friends accompany the prospective student-athlete; *(Revised: 1/11/94, 5/12/05)*
- (b) Permit the parents or legal guardians of a prospective student-athlete to ride in an automobile driven by a coaching staff member for the purpose of providing ground transportation to a prospective student-athlete as part of an official visit; and *(Adopted: 1/14/08 effective 8/1/08)*
- (c) Provide transportation between its campus and any bus or train station or airport for the parents, relatives or legal guardians of a prospective student-athlete making an official visit. *(Revised: 7/27/07)*

**13.5.2.6.1 Exception—Transportation Expenses for a Prospective Student-Athlete's Parents or Legal Guardians—Basketball.** In basketball, an institution may pay the actual round-trip costs for a prospective student-athlete's parents or legal guardians (expenses for up to two people) to accompany the prospective student-athlete on his or her official visit. *(Adopted: 10/27/11 effective 8/1/12, Revised: 1/19/13 effective 8/1/13)*

**13.5.3 Transportation on Unofficial Visit.** During any unofficial recruiting visit, the institution may provide the prospective student-athlete with transportation to view practice and competition sites in the prospective student-athlete's sport and other institutional facilities and to attend a home athletics contest at any local facility. An institutional staff member must accompany the prospective student-athlete during such a trip. Payment of any other transportation expenses, shall be considered a violation. [R] *(Revised: 1/11/89, 4/27/00, 3/10/04, 4/28/05, 1/9/06 effective 8/1/06, 4/27/06)*

**13.5.4 Transportation to Enroll or to Attend Required Orientation.** An institution or its representatives shall not furnish a prospective student-athlete, directly or indirectly, with transportation to the campus for enrollment. However, it is permissible for any member of the institution's staff to provide: *(Revised: 4/26/01 effective 8/1/01)*

- (a) Transportation from the nearest bus or train station or major airport to the campus on the occasion of the prospective student-athlete's initial arrival at the institution to attend classes for a regular term or for initial enrollment for the institution's summer term for a prospective student-athlete who has been awarded athletically related financial aid for his or her initial summer term; and *(Revised: 1/9/06)*
- (b) Transportation from and to the nearest bus or train station or major airport on the occasion of the prospective student-athlete's arrival and departure from the institution to attend the institution's required new-student orientation, provided the prospective student-athlete has been accepted for admission to the institution.

## 13.6 Official (Paid) Visit.

**13.6.1 Institutional Policies.** An institution must have written departmental policies related to official visits that apply to prospective student-athletes, student hosts, coaches and other athletics administrators that are approved by the institution's president or chancellor and kept on file at the institution and conference office. The institution is responsible for the development and enforcement of appropriate policies and penalties regarding specified areas, as identified by the NCAA Division I Board of Directors. The institution shall have an outside entity (e.g., conference office) evaluate its policies related to official visits once every four years. The institution may be held accountable through the NCAA enforcement program for activities that clearly demonstrate a disregard for its stated policies. *(Adopted: 8/5/04, Revised: 3/8/06)*

### 13.6.2 Limitations on Official Visits.

**13.6.2.1 One-Visit Limitation.** A member institution may finance only one visit to its campus for a prospective student-athlete.

**13.6.2.2 Number of Official Visits—Prospective Student-Athlete Limitation.** A prospective student-athlete may take a maximum of five expense-paid visits to Division I institutions, with not more than one permitted to any single institution. This restriction applies regardless of the number of sports in which the prospective student-athlete is involved. *(Revised: 1/12/04, 10/28/11)*

**13.6.2.2.1 First Opportunity to Visit.** In sports other than basketball, a prospective student-athlete may not be provided an expense-paid visit earlier than the opening day of classes of the prospective student-athlete's senior year in high school. In men's basketball, a prospective student-athlete may not be provided

an expense-paid visit earlier than January 1 of his junior year in high school. In women's basketball, a prospective student-athlete may not be provided an expense-paid visit earlier than the Thursday following the NCAA Division I Women's Basketball Championship game of the prospective student-athlete's junior year in high school. **[D]** (Revised: 11/1/01 effective 4/1/02, 4/3/02, 8/5/04, 4/28/05, 5/12/05, 10/27/11 effective 8/1/12, 1/19/13 effective 8/1/13)

**13.6.2.2 July Evaluation Periods—Women's Basketball.** In women's basketball, an institution may not provide an expense-paid visit to a prospective student-athlete during the July evaluation periods (see Bylaw 13.1.5.4.2), unless the prospective student-athlete has signed a National Letter of Intent or the institution's written offer of admission and/or financial aid or the institution has received her financial deposit in response to its offer of admission. (Adopted: 4/28/05 effective 8/1/05, Revised: 6/28/06, 1/19/13 effective 8/1/13)

**13.6.2.3 Post-High School Visits.** The one-visit limitation and the limitations on total official visits apply separately to the period in which the prospective student-athlete is in high school and to the period beginning October 15 following the prospective student-athlete's completion of high school. Thus, a prospective student-athlete may be provided a maximum of 10 official visits—five while in high school and five beginning with the October 15 following the prospective student-athlete's completion of high school. A prospective student-athlete is not required to graduate from high school in order to receive a permissible post-high school visit. **[D]** (Revised: 1/11/89, 1/10/90, 6/21/01, 1/12/04, 8/5/04)

**13.6.2.3.1 Nonqualifier in First Year.** A person who is not a qualifier and who is enrolled at a two-year college may not be provided an expense-paid visit to a member institution until he or she has completed an academic year at a two-year college.

**13.6.2.3.2 Transfer Student.** If a student-athlete attending a four-year institution desires to transfer and that institution provides the permission required (per Bylaw 13.1.1.3), it is permissible for a second institution to provide the student-athlete one official visit to that institution's campus.

**13.6.2.4 Visit to Off-Campus Contest.** An institution may not provide a prospective student-athlete with transportation to attend an off-campus contest outside a 30-mile radius of the member institution's main campus. **[D]** (Revised: 4/24/03, 8/5/04, 1/9/06 effective 8/1/06, 11/1/07 effective 8/1/08, 8/21/12)

**13.6.2.5 Visit While Competing in Open Event.** A host institution may pay the expenses of a recruited prospective student-athlete to participate in an established "open" event, provided the expenses of all other competitors in that event are paid; the expenses are not paid from athletics department (nonmeet) funds; and the expenses of the prospective student-athlete are limited to actual transportation, room and board. This trip shall be considered the one paid visit to the institution's campus with the prospective student-athlete remaining not more than 48 hours.

**13.6.2.6 Number of Official Visits—Institutional Limitation.** The total number of official visits a member institution may provide prospective student-athletes in the following sports on an annual basis (August 1 through July 31) shall be limited to: **[D]** (Revised: 11/12/97, 10/29/09)

- (a) Football—56. (Revised: 1/10/91 effective 8/1/91, 1/11/94 effective 8/1/94)
- (b) Basketball—12. (Revised: 1/11/89, 1/10/91 effective 8/1/91, 1/11/94 effective 8/1/94)
- (c) Baseball—25. (Adopted: 1/12/99 effective 8/1/99)

**13.6.2.6.1 Exception—National Service Academies—Football, Basketball and Baseball.** The national service academies may provide 70 official visits in football, 56 of which may be provided prior to the initial National Letter of Intent signing date, 15 official visits in basketball, 12 of which may be provided prior to the initial National Letter of Intent signing date, and 31 official visits in baseball, 25 of which may be provided prior to the National Letter of Intent signing date. **[D]** (Adopted: 1/10/95, Revised: 1/14/97 effective 8/1/97, 4/25/02 effective 8/1/02, 10/29/09)

**13.6.2.6.2 Unused Visits—Football. [FBS/FCS]** In football, an institution may retain a maximum of six unused visits from the previous academic year. Such visits may be used only during the following academic year. **[D]** (Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/01 effective 8/1/02, 12/15/06, 10/29/09)

**13.6.2.6.3 Exception—Institution That Does Not Subscribe to the National Letter of Intent.** A member institution that does not subscribe to the National Letter of Intent may provide 70 official visits in football, 56 of which may be provided prior to the initial National Letter of Intent signing date. **[D]** (Adopted: 1/11/94 effective 8/1/94, 10/20/09)

**13.6.2.6.4 Written Record Required.** The institution must maintain a written record of the paid visits of its football, basketball and baseball prospective student-athletes. **[D]** (Revised: 1/12/99 effective 8/1/99, 10/29/09)

**13.6.2.6.5 Multiple-Sport Prospective Student-Athletes.** A prospective student-athlete in football and one or more other sports (including basketball or baseball) shall be counted against the visit limitation in football. A prospective student-athlete in basketball and one or more other sports (other than football) shall be counted against the visit limitation in basketball. A prospective student-athlete in baseball and one

or more other sports (other than football or basketball) shall be counted against the visit limitation in baseball. **[D]** (*Revised: 1/12/99 effective 8/1/99, 10/29/09*)

**13.6.2.6.6 Exception—Head Coaching Change.** In baseball, basketball and football, an institution may provide additional official visits (up to 25 percent of the limitation for the particular sport) after a new head coach is hired, provided the previous head coach used 75 percent or more of the official visits permitted for that academic year. **[D]** (*Adopted: 1/11/94 effective 8/1/94, Revised: 1/12/99 effective 8/1/99, 4/29/04, 11/1/07 effective 8/1/08, 10/29/09, 8/21/12*)

**13.6.3 Requirements for Official Visit.** The following requirements must be met before an institution may provide an official visit to a prospective student-athlete: **[D]** (*Adopted: 4/26/07 effective 8/1/07*)

- (a) A high school or preparatory school prospective student-athlete must present the institution with a score from a PSAT, SAT, PLAN or ACT taken on a national testing date under national testing conditions, except that a state-administered ACT may be used to meet the requirement. The score must be presented through a testing agency document, on a high school or preparatory school academic transcript (official or unofficial) or through the use of the applicable testing agency's automated-voice system. An international prospective student-athlete who requires a special administration of the PSAT, SAT, PLAN or ACT may present such a score upon the approval of the Academic Cabinet or the Initial-Eligibility Waivers Committee; (*Revised: 11/1/07 effective 8/1/08*)
- (b) A prospective student-athlete must present this institution with a high school (or college) academic transcript;
- (c) A high school or preparatory school prospective student-athlete must register with the NCAA Eligibility Center; and (*Revised: 5/9/07*)
- (d) A high school or preparatory school prospective student-athlete must be placed on the institution's institutional request list (IRL) with the NCAA Eligibility Center. (*Revised: 5/9/07*)

**13.6.3.1 NCAA Eligibility Center.** A prospective student-athlete's fulfillment of these academic requirements may be certified by the NCAA Eligibility Center approved by the Executive Committee. (*Adopted: 4/26/07 effective 8/1/07*)

**13.6.4 Length of Official Visit.** An official visit to an institution shall not exceed 48 hours. A prospective student-athlete may remain in the locale in which the institution is located after the permissible 48-hour period for reasons unrelated to the official visit, provided that at the completion of the 48-hour visit, the individual departs the institution's campus, and the institution does not pay any expenses thereafter, including the cost of return transportation to the prospective student-athlete's home. Additionally, if the prospective student-athlete does not return home prior to attending the institution, the one-way transportation to the campus would be considered a violation of Bylaw 13.5.4, which prohibits transportation to enroll. **[D]** (*Revised: 1/9/96 effective 8/1/96, 8/5/04*)

**13.6.4.1 48-Hour Period Defined.** The 48-hour period of the official visit begins at the time the prospective student-athlete arrives on the institution's campus, rather than with the initiation of the prospective student-athlete's transportation by a coach or the time of the prospective student-athlete's arrival at the airport or elsewhere in the community (see Bylaws 13.5.2.4, 13.6.4.1.1 and 13.6.4.1.2). The prospective student-athlete's transportation to and from the campus must be without delay for personal reasons or entertainment purposes. The institution may not pay any expenses for entertainment (other than the actual and reasonable cost of meals) in conjunction with the prospective student-athlete's transportation. At the completion of the 48-hour visit, the prospective student-athlete must depart the institution's campus immediately; otherwise, the institution may not pay any expenses incurred by the prospective student-athlete upon departure from the institution's campus, including the cost of the prospective student-athlete's transportation home. (*Revised: 9/24/09*)

**13.6.4.1.1 Coach Accompanying Prospective Student-Athlete.** If a coach accompanies a prospective student-athlete on an official visit by automobile, per Bylaw 13.5.2.2.3, the 48-hour period shall begin when the coach begins transporting the prospective student-athlete to campus. A coach who makes an in-person, off-campus contact (any dialogue in excess of an exchange of a greeting) with the prospective student-athlete or the prospective student-athlete's parents during a permissible contact period prior to transporting the prospective student-athlete to campus for an official visit is charged with a countable contact. Upon completion of the 48-hour period, the coach shall terminate contact with the prospective student-athlete and his or her parents or legal guardians immediately. (*Adopted: 1/14/97 effective 8/1/97*)

**13.6.4.1.2 Lodging in the Locale of the Institution Before Visit.** A prospective student-athlete may receive lodging in the locale of the institution without beginning the 48-hour period if the prospective student-athlete arrives in the locale too late to begin the official visit that day. In sports other than basketball, such expenses may not be provided for any other individual who is accompanying the prospective student-athlete on the official visit (e.g., parents, spouse) before the start of the 48-hour period, including the cost of additional occupants in the same room, if applicable. In basketball, an institution may provide such expenses for a prospective student-athlete's parents or legal guardians (expenses for up to two people) without beginning the 48-hour period. (*Adopted: 9/24/09, 10/27/11 effective 8/1/12, 1/19/13 effective 8/1/13*)

**13.6.4.2 Exception to 48-Hour Period for Extenuating Circumstances.** An official visit may extend beyond 48 hours for reasons beyond the control of the prospective student-athlete and the institution (e.g., inclement weather conditions, natural disaster, flight delays or cancellations, airport security activity). In such



instances, the institution shall submit a report to the conference office noting the details of the circumstances.  
(Adopted: 4/26/07 effective 8/1/07)

**13.6.5 Transportation on Official Visit.** For regulations relating to transportation on the official visit, see Bylaw 13.5.2.

**13.6.6 Accommodations on Official Visit.** A prospective student-athlete on an official visit shall be provided lodging and take meals as regular students normally do. Local commercial facilities may be used but at a scale comparable to that of normal student life and only within a 30-mile radius of the institution's campus. Lodging may not include special accessories (e.g., jacuzzis, suites) that are not available generally to all guests residing at the establishment. (See Bylaw 13.6.7.7 for restrictions on meals provided to prospective student-athletes on official visits.) (Revised: 8/5/04)

**13.6.7 Entertainment/Tickets on Official Visit.**

**13.6.7.1 General Restrictions.** An institution may provide entertainment, which may not be excessive, on the official visit only for a prospective student-athlete and the prospective student-athlete's parents (or legal guardians) or spouse and only within a 30-mile radius of the institution's main campus. Entertainment and contact by representatives of the institution's athletics interests during the official visit are prohibited. It is not permissible to entertain other relatives or friends (including dates) of a prospective student-athlete at any time at any site. [R] (Revised: 10/28/97, 11/1/00)

**13.6.7.1.1 Meals and Lodging While in Transit.** It is permissible for an institution to pay a prospective student-athlete's actual costs for reasonable expenses (e.g., meals, lodging) incurred while traveling to and from campus on the official visit. In basketball, an institution may pay the actual costs for meals and lodging for a prospective student-athlete's parents or legal guardians (expenses for up to two people) that are incurred while traveling to and from campus to accompany the prospective student-athlete on his or her official visit. (Revised: 10/27/11 effective 8/1/12, 1/19/13 effective 8/1/13)

**13.6.7.2 Complimentary Admissions.** During the official visit, a maximum of three complimentary admissions to a home athletics event at any facility within a 30-mile radius of the institution's main campus in which the institution's intercollegiate team practices or competes may be provided to a prospective student-athlete. Such complimentary admissions are for the exclusive use of the prospective student-athlete and those persons accompanying the prospective student-athlete on the visit and must be issued only through a pass list on an individual-game basis. Such admissions may provide seating only in the general seating area of the facility used for conducting the event. Providing seating during the conduct of the event (including intermission) for the prospective student-athlete or those persons accompanying the prospective student-athlete in the facility's press box, special seating box(es) or bench area is specifically prohibited. [R] (Revised: 1/10/90 effective 8/1/90, 1/11/94, 10/28/97, 11/1/00, 4/26/01 effective 8/1/01, 4/24/03, 1/9/06, 4/24/08 effective 8/1/08)

**13.6.7.2.1 Exception—Nontraditional Family.** If a prospective student-athlete is a member of a non-traditional family (e.g., divorce, separation), the institution may provide up to two additional complimentary admissions to the prospective student-athlete in order to accommodate the parents accompanying the prospective student-athlete (e.g., stepparents) to attend a home athletics event. [R] (Adopted: 4/24/08 effective 8/1/08)

**13.6.7.2.2 Conference Tournaments.** A member institution may not provide complimentary admissions to a prospective student-athlete for a postseason conference tournament. The prospective student-athlete may purchase tickets only in the same manner as any other member of the general public. [R] (Revised: 1/10/91 effective 8/1/91, 1/10/92, 4/28/08 effective 8/1/08)

**13.6.7.2.3 NCAA Championships or Other Postseason Contests.** The provision of complimentary or reduced-cost admissions to prospective student-athletes for an NCAA championship (all rounds) or other postseason contests (e.g., bowl game, NAIA or NIT championship) constitutes excessive entertainment and is prohibited. The prospective student-athlete may purchase these tickets only in the same manner as any other member of the general public. [R] (Revised: 1/10/92, 4/28/08 effective 8/1/08)

**13.6.7.2.4 Purchase of Game Tickets in Same Locale.** An institution may reserve tickets, only for the use of immediate family members accompanying a prospective student-athlete during an official visit and for seat locations adjacent to the complimentary seats being provided to the prospective student-athlete. These tickets must be purchased at face value. [R] (Adopted: 1/10/92, 4/28/08 effective 8/1/08)

**13.6.7.2.5 Exception.** A member institution may provide complimentary admissions to a prospective student-athlete for a home athletics event that has been relocated outside a 30-mile radius of the institution's main campus due to the home facility's inoperable conditions (e.g., construction or facility repairs), which result in the facility being unavailable for safe use. (Adopted: 4/28/05)

**13.6.7.3 Parking.** An institution may arrange special on-campus parking for prospective student-athletes during an official visit. (Adopted: 1/10/92)

**13.6.7.4 Cash to Prospective Student-Athlete.** The institution or representatives of its athletics interests shall not provide cash to a prospective student-athlete for entertainment purposes.

**13.6.7.5 Student Host.** The student host must be either a current student-athlete or a student designated in a manner consistent with the institution's policy for providing campus visits or tours to prospective students in

general. The institution may provide the following to a student host entertaining a prospective student-athlete:

**[R]** (Revised: 10/28/97, 11/1/00, 8/5/04)

- (a) A maximum of \$40 for each day of the visit to cover all actual costs of entertaining the student host(s) and the prospective student-athlete (and the prospective student-athlete's parents, legal guardians or spouse), excluding the cost of meals and admission to campus athletics events. The cost of entertainment of the institution's athletics department staff members who accompany the prospective student-athlete is also excluded. If an athletics department staff member serves as the prospective student-athlete's host, his or her entertainment costs must be included in the entertainment allowance. The entertainment allowance may not be used for the purchase of souvenirs, such as T-shirts or other institutional mementos. It is permissible to provide the student host with an additional \$20 per day for each additional prospective student-athlete the host entertains; (Revised: 1/10/90 effective 8/1/90, 1/9/96 effective 8/1/96, 5/12/05, 4/27/06, 4/26/12 effective 8/1/12)
- (b) Complimentary meals, provided the student host is accompanying the prospective student-athlete during the prospective student-athlete's official visit; and (Adopted: 1/10/92, Revised: 2/23/09)
- (c) Complimentary admissions to campus athletics events, provided the student host is accompanying the prospective student-athlete to the events during the prospective student-athlete's official visit. (Revised: 2/23/09)

**13.6.7.5.1 Multiple Hosts.** If several students host a prospective student-athlete, the \$40-per-day entertainment money may be used to cover the actual and necessary expenses incurred by the prospective student-athlete and all hosts. Only one student host per prospective student-athlete may be provided a free meal if restaurant facilities are used. **[D]** (Revised: 1/10/92, 1/16/93, 1/9/96 effective 8/1/96, 4/24/03 effective 8/1/03, 3/26/04, 4/26/12 effective 8/1/12)

**13.6.7.5.2 Nonqualifier Prohibition.** The student host must be enrolled in the member institution being visited by a prospective student-athlete. A nonqualifier (see Bylaw 14.02.13.2) may not serve as a student host during his or her first academic year in residence. **[D]** (Revised: 3/19/97, 4/24/03 effective 8/1/03, 3/26/04)

**Delayed effective date. See specific date below.**

**13.6.7.5.2 Nonqualifier and Academic Redshirt Prohibition.** The student host must be enrolled in the member institution being visited by a prospective student-athlete. A nonqualifier (see Bylaw 14.02.13.3) or an academic redshirt (see Bylaw 14.02.13.2) may not serve as a student host during his or her first academic year in residence. **[D]** (Revised: 3/19/97, 4/24/03 effective 8/1/03, 3/26/04, 8/2/12 effective 8/1/16, for students initially enrolling full time in a collegiate institution on or after 8/1/16)

**13.6.7.5.3 Use of Automobile.** The institution or representatives of its athletics interests shall not provide an automobile for use by the prospective student-athlete or the student host. **[R]** (Revised: 4/24/03 effective 8/1/03)

**13.6.7.6 Student Support Group Assisting in Recruiting.** An institution may not provide a free meal or entertainment to a member of an institutional student support group that assists in the recruitment of a prospective student-athlete during an official visit unless the student is designated as the one student host for that prospective student-athlete. Any additional arrangement between the institution and members of such a support group (e.g., compensation, providing a uniform) is left to the discretion of the institution. (Adopted: 1/16/93)

**13.6.7.7 Meals on Official Visit.** The cost of actual meals, not to exceed three per day, on the official visit for a prospective student-athlete and the prospective student-athlete's parents, legal guardians, spouse or children need not be included in the \$40-per-day entertainment expense. Meals must be comparable to those provided to student-athletes during the academic year. A reasonable snack (e.g., pizza, hamburger) may be provided in addition to the three meals. **[R]** (Adopted: 1/10/92, Revised: 1/11/94 effective 8/1/94, 1/10/95 effective 8/1/95, 8/5/04, 1/9/06, 4/26/12 effective 8/1/12)

**13.6.7.7.1 Entertainment at Staff Member's Home.** A luncheon, dinner or brunch at the home of an institutional staff member (e.g., the athletics director, a coach, a faculty member or the institution's president) may be held for a prospective student-athlete on an official visit, provided the entertainment is on a scale comparable to that of normal student life, is not excessive in nature and occurs on only one occasion. **[R]** (Revised: 1/9/96)

**13.6.7.8 Normal Retail Cost.** If a boat, snowmobile, recreational vehicle or similar recreational equipment (including those provided by an institutional staff member or a representative of the institution's athletics interests) is used to entertain a prospective student-athlete or the prospective student-athlete's parents (or legal guardians) and spouse, the normal retail cost of the use of such equipment shall be assessed against the \$40-per-day entertainment figure; further, if such normal retail costs exceeds the \$40-per-day entertainment allowance, such entertainment may not be provided. **[R]** (Adopted: 1/10/92, Revised: 1/9/96 effective 8/1/96, 4/24/03 effective 8/1/03, 4/17/12 effective 8/1/12)

**13.6.7.9 Activities During Official Visit.** An institution may not arrange miscellaneous, personalized recruiting aids (e.g., personalized jerseys, personalized audio/video scoreboard presentations) and may not permit



a prospective student-athlete to engage in any game-day simulations (e.g., running onto the field with the team during pregame introductions) during an official visit. Personalized recruiting aids include any decorative items and special additions to any location the prospective student-athlete will visit (e.g., hotel room, locker room, coach's office, conference room, arena) regardless of whether the items include the prospective student-athlete's name or picture. *(Adopted: 8/5/04, Revised: 5/14/05)*

**13.6.7.10 Professional Tryout or Workout Activities.** During an official visit, a prospective student-athlete may not attend events in which professional tryout or workout activities occur. (See Bylaw 13.7.2.7.) *(Adopted: 1/8/07)*

**13.6.8 Entertainment on Official Visit for Spouse, Parent or Legal Guardian of Prospective Student-Athlete.** A member institution shall limit entertainment and lodging on the prospective student-athlete's official visit to a prospective student-athlete, the prospective student-athlete's parents (or legal guardians) and spouse. An institution shall limit meals on the prospective student-athlete's official visit to a prospective student-athlete, the prospective student-athlete's parents (or legal guardians), spouse and children. **[R]** *(Revised: 4/25/02 effective 8/1/02, 1/9/06)*

**13.6.9 Lodging for Additional Persons.** Additional persons (e.g., prospective student-athlete's brother, sister, friend) may stay in the same room as the prospective student-athlete or parents, spouse or legal guardians of the prospective student-athlete, but the institution shall not pay the costs resulting from the additional occupants. The additional occupants shall not be prospective student-athletes being recruited by the institution. **[R]** *(Adopted: 1/10/92, Revised: 4/24/03 effective 8/1/03)*

## 13.7 Unofficial (Nonpaid) Visit.

**13.7.1 Number Permitted.** A prospective student-athlete may visit a member institution's campus at his or her own expense an unlimited number of times. A prospective student-athlete may make unofficial visits before his or her senior year in high school.

**13.7.1.1 Exception—Men's Basketball.** In men's basketball, a prospective student-athlete may not make an unofficial visit during the month of July; however, a prospective student-athlete is permitted to make an unofficial visit during July, provided he has signed a National Letter of Intent or the institution's written offer of admission or financial aid, or the institution has received a financial deposit from the prospective student-athlete in response to an offer of admission. *(Adopted: 11/1/01 effective 4/1/02, Revised: 4/12/11 effective 8/1/11)*

**13.7.1.2 Exception—Women's Basketball.** In women's basketball, a prospective student-athlete may not make an unofficial visit during the July evaluation periods (see Bylaw 13.1.5.4.2), unless the prospective student-athlete has signed a National Letter of Intent or the institution's written offer of admission and/or financial aid or the institution has received her financial deposit in response to its offer of admission. *(Adopted: 4/28/05 effective 8/1/05, Revised: 6/28/06, 1/19/13 effective 8/1/13)*

### 13.7.2 Entertainment/Tickets.

**13.7.2.1 General Restrictions.** During an unofficial visit, the institution may not pay any expenses or provide any entertainment except a maximum of three complimentary admissions (issued only through a pass list) to a home athletics event at any facility within a 30-mile radius of a member institution's main campus in which the institution's intercollegiate team practices or competes. Such complimentary admissions are for the exclusive use of the prospective student-athlete and those persons accompanying the prospective student-athlete on the visit and must be issued on an individual-game basis. Such admissions may provide seating only in the general seating area of the facility used for conducting the event. Providing seating during the conduct of the event (including intermission) for the prospective student-athlete or the prospective student-athlete's parents (or legal guardians) or spouse in the facility's press box, special seating box(es) or bench area is specifically prohibited. **[R]** *(Revised: 1/10/90 effective 8/1/90, 1/11/94, 4/24/03)*

**13.7.2.1.1 Exception—Nontraditional Family.** If a prospective student-athlete is a member of a non-traditional family (e.g., divorce, separation), the institution may provide up to two additional complimentary admissions to the prospective student-athlete in order to accommodate the parents accompanying the prospective student-athlete (e.g., stepparents) to attend a home athletics event. *(Adopted: 1/15/11 effective 8/1/11)*

**13.7.2.1.2 Meals.** A prospective student-athlete on an unofficial visit to an institution may pay the actual cost of meals (or the regular cost of training-table meals) and eat with other prospective student-athletes who are on their official visits or with enrolled student-athletes. **[R]**

**13.7.2.1.2.1 Exception—Championship Subdivision Football. [FCS]** A championship subdivision football program that restricts its total number of official visits to 25 may provide one meal to a football prospective student-athlete in the institution's on-campus student dining facilities without the visit counting as an official visit. The institution also may provide one meal to the prospective student-athlete's parents or legal guardians in the institution's on-campus student dining facilities without the visit counting as an official visit, provided it is the institution's normal policy to provide such a meal under similar circumstances to all prospective students' parents or legal guardians visiting the campus.

A prospective student-athlete who is given such a meal may not also be provided by the institution with an official visit in any sport. [R] (*Adopted: 1/11/94, Revised: 12/15/06*)

**13.7.2.1.2.2 Exception—Sports Other Than Football and Basketball.** In sports other than football and basketball, a prospective student-athlete visiting an institution's campus as part of an admissions event (open house) may be provided with one meal in the institution's on-campus student dining facility and may have contact with institutional coaching staff members only during such an event without the visit counting as an official visit. The institution must be able to certify that it is the institution's normal policy to provide such a meal to all prospective student-athletes (including nonathletes) attending the admissions event. [R] (*Adopted: 1/14/97 effective 8/1/97*)

**13.7.2.1.3 Housing—Lodging in Dormitories.** A prospective student-athlete on an unofficial visit may stay in an enrolled student-athlete's dormitory room only if the prospective student-athlete pays the regular institutional rate for such lodging. [R]

**13.7.2.1.4 Transportation During Unofficial Visit.** For regulations relating to transportation on an unofficial visit, see Bylaw 13.5.3.

**13.7.2.1.5 Reserving Game Tickets.** An institution may not reserve tickets (in addition to the permissible complimentary admissions) to be purchased by a prospective student-athlete (or individuals accompanying the prospective student-athlete) on an unofficial visit. Tickets may be purchased only in the same manner as any other member of the general public. [R] (*Adopted: 1/10/92*)

**13.7.2.1.6 Parking.** An institution may not arrange special parking for prospective student-athletes to use while attending a member institution's campus athletics event during an unofficial visit. [R] (*Adopted: 1/10/92*)

**13.7.2.1.7 Academic Interviews.** An athletics department staff member may arrange academic interviews for a prospective student-athlete on an unofficial visit.

**13.7.2.1.8 Student Host.** A student host used during an unofficial visit must either be a current student-athlete or a student who is designated in a manner consistent with the institution's policies for providing campus visits or tours to prospective students in general. (*Revised: 8/5/04*)

**13.7.2.2 Home Games at Site Other Than Regular Home Facility.** If an institution schedules any regular-season home games at a site not designated as its regular home facility, the host institution may provide a maximum of three complimentary admissions to any such game for the exclusive use of a prospective student-athlete and those persons accompanying the prospective student-athlete. Tournament and postseason games are excluded. The institution shall not arrange or permit any other entertainment or payment of expenses, including transportation, except as permitted in Bylaw 13.5.3. [R] (*Revised: 4/24/03*)

**13.7.2.3 Conference Tournaments.** A member institution may not provide complimentary admissions to a prospective student-athlete for a postseason conference tournament. The prospective student-athlete may purchase tickets only in the same manner as any other member of the general public. [R] (*Revised: 1/10/91 effective 8/1/91*)

**13.7.2.4 NCAA Championships or Other Postseason Contests.** The provision of complimentary or reduced-cost admissions to prospective student-athletes for an NCAA championship (all rounds) or other postseason contests (e.g., bowl game, NAIA or NIT championship) constitutes excessive entertainment and is prohibited. The prospective student-athlete may purchase tickets to such events only in the same manner as any other member of the general public. [R] (*Revised: 1/10/92*)

**13.7.2.5 Visit Unrelated to Recruitment.** The limitations on providing entertainment to a prospective student-athlete shall not extend to a visit to the institution's campus for a purpose having nothing whatsoever to do with the prospective student-athlete's athletics recruitment by the institution (e.g., band trip, fraternity weekend, athletics team's attendance at a sporting event with the high school coach). The institution's athletics department or representatives of its athletics interests may not be involved in any way with the arrangements for the visit, other than the institution providing (in accordance with established policy) free admissions to an athletics event on a group basis, rather than personally to the prospective student-athlete. [R]

**13.7.2.6 Visit Related to National Student-Athlete Day or National Girls and Women in Sports Day.** The limitations on providing entertainment to a prospective student-athlete shall not extend to a visit to the institution's campus for activities related to National Student-Athlete Day and National Girls and Women in Sports Day. [R] (*Adopted: 1/14/97, Revised: 4/22/98 effective 8/1/98*)

**13.7.2.7 Professional Tryout or Workout Activities.** During an unofficial visit, a prospective student-athlete may not attend events in which professional tryout or workout activities occur. (See Bylaw 13.6.7.10.) [R] (*Adopted: 1/8/07*)

**13.7.3 Activities During Unofficial Visit.** An institution may not arrange miscellaneous, personalized recruiting aids (e.g., personalized jerseys, personalized audio/visual scoreboard presentations) and may not permit a prospective student-athlete to engage in any game-day simulations (e.g., running onto the field with the team during pregame introductions) during an unofficial visit. Personalized recruiting aids include any decorative items and special additions to any location the prospective student-athlete will visit (e.g., hotel room, locker room, coach's office, conference room, arena) regardless of whether the items include the prospective student-athlete's name or picture. (*Adopted: 8/5/04, Revised: 5/14/05, 4/27/06*)

## **13.8 Entertainment, Reimbursement and Employment of High School/ College-Preparatory School/Two-Year College Coaches and Other Individuals Associated With Prospective Student-Athletes.**

**13.8.1 Entertainment Restrictions.** Entertainment of a high school, preparatory school or two-year college coach or any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved shall be limited to providing a maximum of two complimentary admissions (issued only through a pass list) to home intercollegiate athletics events at any facility within a 30-mile radius of the institution's main campus, which must be issued on an individual-game basis. Such entertainment shall not include food and refreshments, room expenses, or the cost of transportation to and from the campus or the athletics event. It is not permissible to provide complimentary admissions to any postseason competition (e.g., NCAA championship, conference tournament, bowl game). An institutional coaching staff member is expressly prohibited from spending funds to entertain the prospective student-athlete's coach on or off the member institution's campus. [R] *(Revised: 4/3/02, 8/5/04, 4/28/05 effective 8/1/05)*

**13.8.1.1 Exception—Nonathletics Personnel.** An institutional department outside the athletics department (e.g., president's office, admissions) may host nonathletics high school, preparatory school or two-year college personnel (e.g., guidance counselors, principals) in conjunction with a home intercollegiate athletics event and may provide such individuals reasonable expenses (e.g., food, refreshments, parking, room) and a nominal gift, provided the visit is not related to athletics recruiting and there is no involvement by the institution's athletics recruiting and there is no involvement by the institution's athletics department in the arrangements for the visit, other than providing (in accordance with established policy) free admissions to an athletics event. [R] *(Adopted: 3/8/12)*

**13.8.1.2 Transportation Reimbursement.** An institution shall not reimburse a high school, preparatory school or two-year college coach for expenses incurred in transporting a prospective student-athlete to visit the campus. [R]

**13.8.1.3 Transportation to Off-Campus Contest.** If a high school, preparatory school or two-year college coach transports members of his or her athletics squad to an off-campus site to watch NCAA member institutions compete, an institution may not reimburse the coach for the transportation costs or provide complimentary tickets for the coach or any of the team members. [R]

**13.8.1.4 Purchase of Game Tickets.** An institution may not reserve tickets (in addition to the permissible complimentary admissions) to be purchased by high school, preparatory or two-year college coaches (or individuals accompanying them) to attend an institution's athletics contest. Tickets may be purchased only in the same manner as any other member of the general public. [R] *(Adopted: 1/10/92)*

**13.8.1.5 Noncoaching-Related Organization.** If a high school, preparatory school or two-year college coach is a member of a noncoaching-related organization (e.g., state high school principals association, college fraternity alumni organization, institution's alumni association), an institution may entertain the group, provided there is no direct involvement by the institution's athletics department. [R]

**13.8.2 Material Benefits.** Arrangements by an institution that involve a material benefit for a high school, preparatory school or two-year college coach, or for any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved, (e.g., the provision of a gift such as a tangible item bearing the institution's insignia, the offer to pay a portion of the coach's or other individual's personal expenses, compensation based on the number of campers sent to an institution's camp, or an arrangement to provide transportation for the coach or other individual) are prohibited. [R] *(Revised: 8/5/04)*

**13.8.2.1 Gifts at Coaches' Clinic.** An institution may not provide gifts to high school, preparatory school or two-year college coaches in conjunction with its coaches' clinic or other events. This specifically prohibits the provision of a door prize to the coach, even if the cost of the prize is included in the cumulative admission fee (the admission fee charged to each person, when combined, would cover the cost of the prize). Materials (e.g., clipboards, file folders) may be provided to each person attending the clinic, provided the items are included in the registration or admission fee. [R] *(Revised: 1/16/93, 1/11/94)*

### **13.8.3 Employment Conditions.**

**13.8.3.1 Employment in Athletically Related Institutional Activities—Basketball.** An institution shall not employ (either on a salaried or a volunteer basis) an individual as a speaker or presenter at any athletically related institutional event or activity (e.g., booster club function, outside consultant) if that individual is involved in coaching prospective student-athletes or is associated with a prospective student-athlete as a result of the prospective student-athlete's participation in basketball. *(Adopted: 1/17/09)*

**13.8.3.2 Individual Associated with a Prospective Student-Athlete—Men's Basketball.** In men's basketball, during a two-year period before a prospective student-athlete's anticipated enrollment and a two-year period after the prospective student-athlete's actual enrollment, an institution shall not employ (or enter into a contract for future employment with) an individual associated with the prospective student-athlete in any athletics department noncoaching staff position or in a strength and conditioning staff position. *(Adopted: 1/16/10; a contract signed before 10/29/09 may be honored, Revised: 6/13/11)*

**13.8.3.2.1 Application.** A violation of Bylaw 13.8.3.2 occurs if an individual associated with a prospective student-athlete (see Bylaw 13.02.17) is employed by the institution and, at the time of employment, a student-athlete who enrolled at the institution in the previous two years (and remains enrolled at the institution) was a prospective student-athlete by which the individual meets the definition of an individual associated with a prospective student-athlete. A violation of Bylaw 13.8.3.2 also occurs if an individual associated with a prospective student-athlete is employed and, within two years after such employment, a prospective student-athlete by which the individual meets the definition of an individual associated with a prospective student-athlete enrolls as a full-time student in a regular academic term at the institution. In either case, the student-athlete becomes ineligible for intercollegiate competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement. *(Adopted: 6/20/13)*

**13.8.3.3 Graduate Teaching Assistants.** A high school, preparatory school or two-year college coach who is enrolled in a bona fide postgraduate program at a member institution is permitted to receive legitimate compensation as a graduate teaching assistant.

**13.8.3.4 Employment in Different Sport.** A high school, preparatory school or two-year college coach who remains associated with the high school, preparatory school or two-year college in a coaching capacity in a different sport may be employed as a member of an institution's coaching staff. *(Revised: 3/16/07)*

**13.8.3.5 Employment in Same Sport.** A high school, preparatory school or two-year college coach who remains associated with the high school, preparatory school or two-year college in a coaching capacity in the same sport shall not be employed as a member of an institution's coaching staff.

**13.8.3.5.1 Contract for Future Employment.** A member institution is permitted to enter into a contractual agreement with a high school, preparatory school or two-year college coach for an employment opportunity that begins with the next academic year, provided the employment contract with the member institution is not contingent upon the enrollment of a prospective student-athlete and the coach does not begin any coaching duties (e.g., recruiting, selection of coaching staff) for the member institution while remaining associated with the high school, preparatory school or two-year college.

## 13.9 Letter-of-Intent Programs, Financial Aid Agreements.

**13.9.1 Requirements for Offer of Athletically Related Financial Aid.** The following requirements must be met before an institution may provide a written offer of athletically related financial aid (per Bylaw 15.3.2.2) to a prospective student-athlete: **[D]** *(Adopted: 4/26/07 effective 8/1/07, Revised: 4/30/09 effective 8/1/10)*

- (a) A high school or preparatory school prospective student-athlete must register with the NCAA Eligibility Center;
- (b) A high school or preparatory school prospective student-athlete must be placed on the institution's institutional request list (IRL) with the NCAA Eligibility Center; and
- (c) A high school, preparatory school or transfer (if applicable) prospective student-athlete must complete the amateurism certification questionnaire administered by the NCAA Eligibility Center.

**13.9.2 Letter of Intent Restriction.** A member institution may not participate in an institutional or conference athletics letter-of-intent program or issue an institutional or conference financial aid agreement that involves a signing date that precedes the initial regular (as opposed to early) signing date for the National Letter of Intent program in the same sport. However, an institution may permit a prospective student-athlete to sign an institutional or conference letter of intent during the National Letter of Intent early signing period in the applicable sport. **[D]** *(Revised: 8/5/04, 12/12/06, 4/26/07 effective 8/1/07)*

**13.9.2.1 Mailing of Financial Aid Offer.** An institutional or conference financial aid form may be included in the normal mailing of the National Letter of Intent, but none of the forms enclosed in the mailing may be signed by the prospective student-athlete prior to the initial signing date in that sport in the National Letter of Intent program. **[D]** *(Revised: 8/5/04)*

**13.9.2.2 Written Offer of Aid Before Signing Date.** Before August 1 of a prospective student-athlete's senior year in high school, an institution shall not, directly or indirectly, provide a written offer of athletically related financial aid or indicate in writing to the prospective student-athlete that an athletically related grant-in-aid will be offered by the institution. On or after August 1 of a prospective student-athlete's senior year in high school, an institution may indicate in writing to a prospective student-athlete that an athletically related grant-in-aid will be offered by the institution; however, the institution may not permit the prospective student-athlete to sign a form indicating his or her acceptance of such an award before the initial signing date in that sport in the National Letter of Intent program. **[D]** *(Revised: 8/5/04, 4/29/10 effective 8/1/10, 3/3/11)*

**13.9.2.3 Limitation on Number of National Letter of Intent/Offer of Financial Aid Signings—Bowl Subdivision Football.** In bowl subdivision football, there shall be an annual limit of 25 on the number of prospective student-athletes who may sign a National Letter of Intent or an institutional offer of financial aid from December 1 through May 31. **[D]** *(Adopted: 1/16/10 effective 8/1/10, Revised: 1/14/12 effective 8/1/12)*

**13.9.2.3.1 Exception—Counter During Same Academic Year. [FBS]** A prospective student-athlete who signs a National Letter of Intent or an institutional offer of financial aid and becomes an initial counter for the same academic year in which the signing occurred (e.g., midyear enrollee) shall not count toward the annual limit on signings. *(Adopted: 1/14/12 effective 8/1/12)*



## 13.10 Publicity.

**13.10.1 Presence of Media During Recruiting Contact.** A member institution shall not permit a media entity to be present during any recruiting contact made by an institution's coaching staff member. [D] (*Adopted: 1/9/96, Revised: 1/14/97*)

### 13.10.2 Publicity Before Commitment.

**13.10.2.1 Comments Before Commitment.** Before the signing of a prospective student-athlete to a National Letter of Intent or an institution's written offer of admission and/or financial aid or before the institution receives his or her financial deposit in response to its offer of admission, a member institution may comment publicly only to the extent of confirming its recruitment of the prospective student-athlete. The institution may not comment generally about the prospective student-athlete's ability or the contribution that the prospective student-athlete might make to the institution's team; further, the institution is precluded from commenting in any manner as to the likelihood of the prospective student-athlete committing to or signing with that institution. (*Revised: 1/14/97, 1/19/13 effective 8/1/13*)

**13.10.2.1.1 Evaluations for Media, Recruiting Services.** Athletics department staff members shall not evaluate or rate a prospective student-athlete for news media, scouting services or recruiting services. (*Revised: 1/19/13 effective 8/1/13*)

**13.10.2.2 Radio/TV Show.** A member institution shall not permit a prospective student-athlete or a high school, college preparatory school or two-year college coach to appear, be interviewed or otherwise be involved (in person or via film, audio tape or videotape) on: [D] (*Revised: 8/5/04*)

- (a) A radio or television program conducted by the institution's coach;
- (b) A program in which the institution's coach is participating; or
- (c) A program for which a member of the institution's athletics staff has been instrumental in arranging for the appearance of the prospective student-athlete or coach or related program material.

**13.10.2.2.1 Announcer for Broadcast of Prospective Student-Athlete's Athletics Contest.** A member of the athletics staff of a member institution may not serve as an announcer or commentator for any athletics contest in which a prospective student-athlete is participating, or appear (in person or by means of film, audio tape or videotape) on a radio or television broadcast of such contest. This restriction does not apply to contests involving national teams in which prospective student-athletes may be participants, including the Olympic Games. [D] (*Revised: 1/10/95, 1/12/99, 8/5/04*)

**13.10.2.2.2 Game Broadcast/Telecast.** A prospective student-athlete may not be interviewed during the broadcast or telecast of an institution's intercollegiate contest. A member institution may not permit a station telecasting a game to show a videotape of competition involving high school, preparatory school or two-year college prospective student-athletes. [D] (*Revised: 8/5/04*)

**13.10.2.3 Conference-Sponsored Sportsmanship Initiatives.** It is permissible for a conference to broadcast at any time, and through any medium, a public service announcement that may include prospective student-athletes, provided the following criteria are met (see Bylaw 13.1.10): (*Adopted: 4/26/07 effective 8/1/07*)

- (a) A conference office is responsible for development of the public service announcement;
- (b) The scope of the public service announcement is limited exclusively to promoting sportsmanship; and
- (c) The public service announcement is not designed to solicit the enrollment of prospective student-athletes.

**13.10.2.4 Prospective Student-Athlete's Visit.** A member institution shall not publicize (or arrange for publicity of) a prospective student-athlete's visit to the institution's campus. Further, a prospective student-athlete may not participate in team activities that would make the public or media aware of the prospective student-athlete's visit to the institution (e.g., running out of the tunnel with team, celebratory walks to or around the stadium/arena, on-field pregame celebrations). [D] (*Revised: 1/14/97, 9/12/03*)

**13.10.2.5 Introduction of Prospective Student-Athlete.** An institution may not introduce a visiting prospective student-athlete at a function (e.g., the institution's sports award banquet or an intercollegiate athletics contest) that is attended by media representatives or open to the general public. [D] (*Revised: 1/14/97*)

**13.10.2.6 Intent to Enroll.** A member institution shall not publicize (or arrange for publicity of) a prospective student-athlete's intention to accept its offer of financial assistance. [D] (*Revised: 1/14/97*)

**13.10.2.7 Photograph of Prospective Student-Athlete.** It is permissible for an institution to photograph a prospective student-athlete during a campus visit to be used in the institution's permissible publicity and promotional activities (e.g., press release, media guide), but the photograph may not be provided to the prospective student-athlete. [D] (*Adopted: 1/16/93, Revised: 1/11/94, 4/24/03 effective 8/1/03, 3/26/04, 11/17/04, 1/19/13 effective 8/1/13*)

**13.10.3 Publicity After Commitment.** There are no restrictions on publicity related to a prospective student-athlete after he or she has signed a National Letter of Intent or the institution's written offer of admission and/or financial aid or after the institution has received his or her financial deposit in response to its offer of admission, except as set forth in Bylaw 13.10.1. [D] (*Revised: 1/14/97, 4/29/04 effective 8/1/04, 8/25/04, 1/19/13 effective 8/1/13*)

## 13.11 Tryouts.

**13.11.1 Prohibited Activities.** A member institution, on its campus or elsewhere, shall not conduct (or have conducted on its behalf) any physical activity (e.g., practice session or test/tryout) at which one or more prospective student-athletes (as defined in Bylaws 13.11.1.1 and 13.11.1.2) reveal, demonstrate or display their athletics abilities in any sport except as provided in Bylaws 13.11.2 and 13.11.3. **[D]** *(Revised: 8/5/04, 1/17/09)*

**13.11.1.1 Definition of “Prospective Student-Athlete” for Tryout-Rule Purposes—Sports Other Than Men’s Basketball.** In sports other than men’s basketball, for purposes of the tryout rule, the phrase “prospective student-athlete” shall include any individual who has started classes for the ninth grade and is not enrolled in the member institution at the time of the practice or test therein described. *(Revised: 1/11/89, 1/17/09)*

**13.11.1.2 Definition of “Prospective Student-Athlete” for Tryout-Rule Purposes—Men’s Basketball.** In men’s basketball, for purposes of the tryout rule, the phrase “prospective student-athlete” shall include any individual who has started classes for the seventh grade and is not enrolled in the member institution at the time of the practice or test therein described. *(Adopted: 1/17/09)*

**13.11.1.3 Competition Against Prospective Student-Athletes—Sports Other Than Football.** In sports other than football, an institution’s varsity intercollegiate team may compete against a two-year college team but may not compete against a high school or preparatory school team. An institution’s varsity team may not participate in a contest against an outside team (e.g., nonscholastic team) that includes high school prospective student-athletes except for permissible contests while on a foreign tour, exempted contests against a foreign team in the United States and the U.S. national team. In individual sports, it is permissible for an institution’s varsity team and an outside team that includes prospective student-athletes to participate in the same open event, provided the event either involves no team scoring or the event uses team scoring such that the institution’s varsity team and the outside team are in separate scoring categories. Subvarsity teams are not bound by this prohibition. **[D]** *(Revised: 8/5/04, 1/9/06 effective 8/1/06, 2/26/07, 9/18/07, 1/16/10 effective 8/1/10, 4/29/10 effective 8/1/10; a contract signed before 8/14/09 may be honored)*

**13.11.1.4 Competition Against Prospective Student-Athletes—Bowl Subdivision Football. [FBS]** In bowl subdivision football, an institution’s varsity and subvarsity intercollegiate teams shall not compete against any team that includes prospective student-athletes. **[D]** *(Adopted: 1/16/10 effective 8/1/10, 4/29/10 effective 8/1/10; a contract signed by 8/14/09 may be honored)*

**13.11.1.4.1 Exception—National Service Academy Subvarsity Team.** A national service academy’s subvarsity team may compete against a two-year college team, a high school team or a preparatory school team, provided no payment or other inducement (e.g., guarantee) is provided to such a team and no recruiting activities occur with members of such a team in conjunction with the competition. **[D]** *(Adopted: 1/15/11 effective 8/1/11)*

**13.11.1.5 Competition Against Prospective Student-Athletes—Championship Subdivision Football. [FCS]** In championship subdivision football, an institution’s varsity intercollegiate team shall not compete against a high school or preparatory school team. An institution’s varsity intercollegiate team may compete against a two-year college team and its subvarsity team may compete against a two-year college team, a high school team or a preparatory school team, provided no payment or other inducement (e.g., guarantee) is provided to such a team and no recruiting activities occur with members of such a team in conjunction with such competition. **[D]** *(Adopted: 4/29/10 effective 8/1/10; a contract signed before 8/14/09 may be honored)*

**13.11.1.6 Competition in Conjunction with a High School, Preparatory School or Two-Year College.** In the sports of basketball, football, gymnastics and volleyball, member institutions shall not permit competition between or among high schools, preparatory schools or two-year colleges to be conducted in conjunction with a intercollegiate athletics event (see Bylaw 13.15.1.5). **[D]** *(Revised: 1/10/90, 1/10/95, 8/5/04)*

**13.11.1.6.1 Criteria.** An intercollegiate contest may be scheduled on the same day as a high school, preparatory school or two-year college contest (without being considered to be scheduled “in conjunction” with that event) only if the college and high school, preparatory school or two-year college events are conducted in separate sessions, separate tickets are sold for the events, and the playing facility is cleared between the contests. *(Revised: 1/10/90, 1/10/95)*

**13.11.1.7 Nonscholastic-Based Basketball.** In basketball, a member of an institution’s coaching staff or an institutional staff member with basketball-specific duties (e.g., director of basketball operations, manager, administrative personnel) may only participate in coaching activities involving a nonscholastic-based basketball team that includes the staff member’s child or children and that is of the opposite gender than the institution’s team with which the staff member is associated. A coaching staff member or an institutional staff member with basketball-specific duties may not participate on teams that include individuals with eligibility remaining or that include individuals of prospective student-athlete age or younger, regardless of the gender of the participants. **[D]** *(Revised: 8/5/04, 4/28/05 effective 8/1/05, 1/9/06)*

**13.11.1.7.1 Planning or Operation of Nonscholastic Events—Men’s Basketball.** In men’s basketball, a staff member or a representative of the institution’s athletics interests shall not be involved in any way in the planning or operation of a men’s/boys’ basketball nonscholastic event on an institution’s campus. *(Adopted: 8/26/10)*



**13.11.1.8 Nonscholastic Practice or Competition—Men’s Basketball.** An institution [including any institutional department (e.g., athletics, recreational/intramural)] shall not host, sponsor or conduct a nonscholastic basketball practice or competition in which men’s basketball prospective student-athletes (see Bylaw 13.11.1.2) participate on its campus or at an off-campus facility regularly used by the institution for practice and/or competition by any of the institution’s sport programs. *(Adopted: 4/28/11; a contract signed before 10/29/09 may be honored)*

**13.11.1.8.1 Exception—State Multisport Events.** An institution may host basketball-related events that are part of officially recognized state multisport events. *(Adopted: 4/28/11)*

**13.11.1.8.2 Exception—Other Events.** An institution may host, sponsor or conduct a nonscholastic event that involves men’s basketball prospective student-athletes, provided it meets one of the following conditions: *(Adopted: 4/28/11)*

- (a) The event is open (see Bylaw 13.11.3.1) and all participating men’s basketball prospective student-athletes reside within a 50-mile radius of the institution’s campus;
- (b) The event is part of a program that is consistent with the mission of the institution (e.g., state wellness and educational programs) and is conducted in accordance with Bylaw 13.11.3.2; or
- (c) The event is an ancillary event that is part of a nonathletics program (e.g., Boy Scouts) and is conducted in accordance with Bylaw 13.11.3.2.

**13.11.1.9 Nonscholastic Practice or Competition—Women’s Basketball.** An institution [including any institutional department (e.g., athletics, recreational/intramural)] shall not host, sponsor or conduct a nonscholastic basketball practice or competition in which women’s basketball prospective student-athletes (see Bylaw 13.11.1.1) participate on its campus or at an off-campus facility regularly used by the institution for practice and/or competition by any of the institution’s sport programs. *(Adopted: 1/14/12; a contract signed before 6/28/11 may be honored)*

**13.11.1.9.1 Exception—State Multisport Events.** An institution may host basketball-related events that are part of officially recognized state multisport events. *(Adopted: 1/14/12)*

**13.11.1.9.2 Exception—Other Events.** An institution may host, sponsor or conduct a nonscholastic event that involves women’s basketball prospective student-athletes, provided it meets one of the following conditions: *(Adopted: 1/14/12)*

- (a) The event is open (see Bylaw 13.11.3.1) and all participating women’s basketball prospective student-athletes reside within a 50-mile radius of the institution’s campus;
- (b) The event is part of a program that is consistent with the mission of the institution (e.g., state wellness and educational programs) and is conducted in accordance with Bylaw 13.11.3.2; or
- (c) The event is an ancillary event that is part of a nonathletics program (e.g., Girl Scouts) and is conducted in accordance with Bylaw 13.11.3.2.

**13.11.1.10 Nonscholastic Practice or Competition—Bowl Subdivision Football. [FBS]** In bowl subdivision football, an institution [including any institutional department (e.g., athletics, recreational/intramural)] shall not host, sponsor or conduct a nonscholastic football practice or competition (e.g., seven-on-seven events) in which football prospective student-athletes participate on its campus or at an off-campus facility regularly used by the institution for practice and/or competition by any of the institution’s sport programs. *(Adopted: 1/14/12; a contract signed before 8/15/11 may be honored)*

**13.11.1.11 Use of Institutional Facilities for Noninstitutional Camps or Clinics—Men’s Basketball.** In men’s basketball, the use of institutional facilities for noninstitutional camps or clinics that include prospective student-athletes (see Bylaw 13.11.1.2) shall be limited to the months of June, July and August or any calendar week (Sunday through Saturday) that includes days of those months (e.g., May 28-June 3) and to periods of time other than dead periods. *(Adopted: 4/28/11; a contract signed before 10/29/09 may be honored, Revised: 2/24/12)*

**13.11.1.12 Use of Institutional Facilities for Noninstitutional Camps or Clinics—Women’s Basketball.** In women’s basketball, the use of institutional facilities for noninstitutional camps or clinics that include prospective student-athletes (see Bylaw 13.11.1.1) shall be limited to the months of June, July and August or any calendar week (Sunday through Saturday) that includes days of those months (e.g., May 28-June 3) and to periods of time other than dead periods. *(Adopted: 1/14/12; a contract signed before 6/28/11 may be honored, Revised: 2/13/12, 2/24/12)*

**13.11.1.13 Use of Institutional Facilities for Noninstitutional Camps or Clinics—Bowl Subdivision Football. [FBS]** In bowl subdivision football, the use of institutional facilities for noninstitutional camps or clinics that include prospect-aged participants shall be limited to the months of June and July or any calendar week (Sunday through Saturday) that includes days of those months (e.g., May 28-June 3) and to periods of time other than dead periods. *(Adopted: 1/14/12; a contract signed before 8/15/11 may be honored, Revised: 2/24/12)*

**13.11.1.14 Tryout Events.** A member institution or conference may not host, sponsor or conduct a tryout camp, clinic, group workout or combine (e.g., combination of athletics skill tests or activities) devoted to agility, flexibility, speed or strength tests for prospective student-athletes at any location. An institution or conference

shall not host, sponsor or conduct any portion (e.g., instructional clinic) of an event that also includes agility, flexibility, speed or strength tests for prospective student-athletes that are conducted at a separate location. In sports other than bowl subdivision football, a member institution's staff members may only attend (subject to sport-specific restrictions) such an event sponsored by an outside organization if the event occurs off the institution's campus and is open to all institutions (see Bylaws 13.1.7.9.4 and 13.1.7.9.5). **[D]** (Adopted: 1/10/92, Revised: 8/5/04, 1/9/06 effective 8/1/06, 9/18/07)

**13.11.1.14.1 Exception—National Team Tryout Events.** In sports other than basketball and bowl subdivision football, it is permissible for an institution to host national team tryout events conducted by the applicable national governing body (see Bylaws 13.11.1.7, 13.11.1.8, 13.11.1.9 and 13.11.1.10). (Adopted: 12/12/06, Revised: 3/13/12)

**13.11.1.15 Notification of Ineligibility and Consequences—Men's Basketball.** If a violation of Bylaw 13.11.1 occurs in which a men's basketball staff member or a representative of the institution's athletics interests is involved in any way in the operation or planning of a boys'/men's basketball nonscholastic event on its campus, the institution shall declare each involved prospective student-athlete ineligible. Within 30 days of becoming aware of the violation, the institution shall provide written notification to each involved prospective student-athlete that the actions of the institution affected the prospective student-athlete's eligibility. The written notification shall also include an explanation of the consequences of the violation for the prospective student-athlete. (Adopted: 8/12/10)

### 13.11.2 Permissible Activities.

**13.11.2.1 On-Campus Evaluations—Basketball.** In basketball, an institution may conduct an evaluation of a prospective student-athlete on its campus or at a site at which it normally conducts practice or competition, under the following conditions: (Adopted: 1/14/12, Revised: 1/19/13)

- (a) For a high school or preparatory school senior, the evaluation may be conducted only after the conclusion of the prospective student-athlete's season and after he or she has exhausted high school or preparatory school eligibility in basketball;
- (b) For a two-year college prospective student-athlete, the evaluation may be conducted only after the conclusion of the prospective student-athlete's season and he or she has exhausted his or her two-year college eligibility in basketball;
- (c) For a four-year college prospective student-athlete, the evaluation may be conducted only after the conclusion of the prospective student-athlete's season (see Bylaw 13.1.1.3);
- (d) The on-campus evaluation may be conducted only during the prospective student-athlete's official or unofficial visit;
- (e) The on-campus evaluation shall be conducted not later than the opening day of classes of the institution's fall term;
- (f) Not more than one on-campus evaluation per prospective student-athlete per institution shall be permitted (applied separately to the time period in which a prospective student-athlete completes high school or preparatory school eligibility and to the time period after the prospective student-athlete enrolls full time in a collegiate institution);
- (g) Before participating in an on-campus evaluation, a prospective student-athlete is required to undergo a medical examination or evaluation administered or supervised by a physician (e.g., family physician, team physician). The examination or evaluation shall include a sickle cell solubility test unless documented results of a prior test are provided to the institution or the prospective student-athlete declines the test and signs a written release. The examination or evaluation must be administered either within six months before participation in the on-campus evaluation or within six months before the prospective student-athlete's initial participation in practice, competition or out-of-season conditioning activities during his or her immediately completed season. In addition, the medical examination or evaluation may be conducted by an institution's regular team physician or other designated physician as a part of the on-campus evaluation;
- (h) The institution's basketball student-athletes may participate in an on-campus evaluation, provided such participation is counted toward the applicable hourly and weekly limitations on countable athletically related activities (e.g., four hours per day and 20 hours per week during the playing season, two hours of skill instruction and eight hours per week outside the playing season) [see Bylaws 17.1.6.2-(a) and 17.1.6.2.2];
- (i) The duration of the on-campus evaluation activities (other than the medical examination or evaluation) shall be limited to two hours; and
- (j) The institution may provide equipment and clothing to a prospective student-athlete on an issuance-and-retrieval basis.

**13.11.2.2 Preseason Practice and Competition.** A student-athlete who is not enrolled, but who has been accepted for admission to the institution in a regular full-time program of studies, shall be permitted to engage in preseason practice and competition in fall sports or practice occurring in midyear between terms on the academic calendar, provided such practice is not used to determine whether aid is to be awarded.

**13.11.2.3 Recreational Activities.** A prospective student-athlete visiting a member institution may participate in physical workouts or other recreational activities during a visit to an institution's campus, provided such activities:

- (a) Are not organized or observed by members of the athletics department coaching staff; and
- (b) Are not designed to test the athletics abilities of the prospective student-athlete. (*Revised: 1/11/94*)

**13.11.2.3.1 Exception—After National Letter of Intent Signing.** A prospective student-athlete who has signed a National Letter of Intent (or a four-year college-transfer prospective student-athlete who has signed a written offer of financial aid and/or admission) may participate in voluntary weightlifting or conditioning activities (e.g., conditioning on the track) on the institution's campus in the presence of the institution's strength and conditioning coach, provided such activities are not prearranged, the strength and conditioning coach is performing normal duties and responsibilities in the supervision of the weight room or facility in use (e.g., track) and he or she does not work directly with the prospective student-athlete. (*Adopted: 8/26/10*)

**13.11.2.4 Local Sports Clubs.** In sports other than basketball, an institution's coach may be involved in any capacity (e.g., as a participant, administrator or in instructional or coaching activities) in the same sport for a local sports club or organization located in the institution's home community, provided all prospective student-athletes participating in said activities are legal residents of the area (within a 50-mile radius of the institution). In all sports, an institution's coach may be involved in any capacity (e.g., as a participant, administrator or in instructional or coaching activities) in a sport other than the coach's sport for a local sports club or organization located in the institution's home community, provided all prospective student-athletes participating in said activities are legal residents of the area (within a 50-mile radius of the institution). Further, in club teams involving multiple teams or multiple sports, the 50-mile radius is applicable only to the team with which the institution's coach is involved; however, it is not permissible for the coach to assign a prospective student-athlete who lives outside the 50-mile area to another coach of the club. A coach also may be involved in activities with individuals who are not of a prospective student-athlete age, regardless of where such individuals reside. (In women's volleyball and women's sand volleyball, see Bylaws 13.1.7.12 and 13.1.7.13, respectively, for regulations relating to a coach's involvement with a local sports club and the permissible number of evaluation days.) (*Revised: 1/10/90, 1/16/93, 9/6/00, 4/25/02 effective 8/1/02, 5/11/05*)

**13.11.2.4.1 Exception.** The 50-mile radius restriction shall not apply to a prospective student-athlete who resides outside a 50-mile radius of the institution, provided the institution documents that the local sports club is the closest opportunity for the prospective student-athlete to participate in the sport. (*Adopted: 1/9/06 effective 8/1/06*)

**13.11.2.4.2 Legal Resident.** A prospective student-athlete who relocates to an area within a 50-mile radius of the institution on a temporary basis (e.g., to participate on a club team or attend an institution while maintaining a permanent residence outside the 50-mile radius) is not a legal resident of the area regardless of whether the prospective student-athlete meets legal standards of state or local residency for governmental purposes. (*Adopted: 9/18/07*)

**13.11.2.4.3 Institutional Sponsorship of Local Sports Club.** Neither an institution's athletics department nor an institution's athletics booster group may sponsor a local sports club that includes prospective student-athletes. It is permissible for a department of the institution that operates independent of the athletics department (e.g., physical education department, recreation department) to sponsor a local sports club that includes prospective student-athletes, provided no athletics department staff member is involved with the club team. (*Adopted: 1/16/93, Revised: 1/11/94*)

**13.11.2.4.4 Women's Volleyball—Additional Restrictions.** In women's volleyball, during a dead or quiet period, institutional coaching staff members may not coach a local sports club team at an off-campus competition where prospective student-athletes are present. However, it is permissible for an institution's coach to coach his or her own local sports club team in practice activities. (*Adopted: 4/28/05 effective 8/1/05*)

**13.11.2.5 Sports Camps and Clinics.** An institution's coach may be employed in sports camps, coaching schools and clinics per Bylaw 13.12 without violating the tryout rule.

**13.11.2.6 Medical Examinations.**

**13.11.2.6.1 During Campus Visit.** During a prospective student-athlete's official or unofficial visit to campus, a member institution, through its regular team or other designated physician, may conduct a medical examination to determine the prospective student-athlete's medical qualifications to participate in intercollegiate athletics, provided no athletics department staff member other than the athletic trainer is present, the examination does not include any test or procedure designed to measure the athletics agility or skill of the prospective student-athlete and the results of the examination are not used by the institution to deny admission of a prospective student-athlete who is otherwise qualified for admission under the institution's regular admissions criteria. (*Revised: 10/30/03 effective 8/1/04*)

**13.11.2.6.1.1 Exception—National Service Academies.** National service academies are not subject to the restrictions on medical examinations during a prospective student-athlete's visit to campus, set forth in Bylaw 13.11.2.6.1.

**13.11.2.6.1.2 Exception—On-Campus Evaluation—Basketball.** In basketball, additional athletics department staff members (e.g., coaches) may be present during a medical examination that is conducted as part of an on-campus evaluation (see Bylaw 13.11.2.1) and the medical evaluation may include tests or procedures designed to measure the athletics agility or skill of the prospective student-athlete. *(Adopted: 1/14/12, Revised: 1/19/13)*

**13.11.2.6.2 After Signing or Acceptance for Enrollment.** It shall be permissible to administer medical examinations at any time to prospective student-athletes who either have signed the National Letter of Intent with the involved institution or have been accepted for enrollment in a regular full-time program of studies at that institution, provided the examinations occur during an official paid visit or a visit to the institution at the prospective student-athlete's own expense for any purpose. Such an examination may take place before or after, but not during, a prospective student-athlete's visit to the campus to attend a general orientation session pursuant to Bylaw 13.15.2.4. *(Revised: 1/14/97, 6/10/04, 1/19/13 effective 8/1/13)*

### 13.11.3 Tryout Exceptions.

**13.11.3.1 Open Events.** Participation by a prospective student-athlete in open events conducted by or held on the campus of a member institution shall not be considered tryouts. Competition shall be considered open if the competitive event itself is not classified by age group or level of educational institution represented, and the selection of participants is not limited except by number, by geographical area or on the basis of some objective standard of performance (see Bylaw 13.11.1.8).

**13.11.3.2 Activities Not Involving Institution's Staff.** The use of a member institution's facilities for physical activities by a group that includes prospective student-athletes shall not be considered a tryout, provided the institution's athletics department staff members or representatives of its athletics interests are not involved in the conduct, promotion or administration of the activity (other than activities incidental to supervising the use of the facilities) and are subject to all applicable NCAA recruiting legislation. This exception does not apply to activities and events that are prohibited per Bylaws 13.11.1.7, 13.11.1.8, 13.11.1.9, 13.11.1.10 and 13.11.1.14. *(Revised: 1/9/06 effective 8/1/06, 8/12/10, 5/22/13)*

**13.11.3.3 State, Regional, National or International Training Programs.** Participation by an institution's athletics department staff member in recognized state, regional, national or international training programs or competition organized and administered by the applicable governing body shall not be considered tryouts, provided the athletics department staff member is selected by the applicable governing body and the participants are selected by an authority or a committee of the applicable governing body that is not limited to athletics department staff members affiliated with one institution. A member institution's coaching staff member may not participate only in noncoaching activities (e.g., consultant, on-site coordinator, participant selection), except as provided in Bylaws 13.11.3.3.1, 13.11.3.3.2 and 13.11.3.3.3. *(Revised: 1/9/96, 11/10/97, 4/28/11 effective 8/1/11)*

**13.11.3.3.1 U.S. Junior National Teams—Basketball.** A coaching staff member who is a member of an official committee of USA Basketball may be involved in noncoaching activities (e.g., participant selection, on-site evaluation) involving prospective basketball student-athletes participating on U.S. Junior National teams. *(Adopted: 4/26/01)*

**13.11.3.3.2 Coach/Prospective Student-Athlete Competition.** It is permissible for an institution's coach to participate with or against prospective student-athletes in recognized state, regional, national or international training programs or competition, provided the competition is regularly scheduled under the authority of an outside sports organization and both the coach and the prospective student-athlete are eligible to enter the competition.

**13.11.3.3.3 Administration of State Games.** A member institution serving as the site of state games is permitted to involve its staff members in the administration of the event but may not be involved in the selection or assignment of participants and coaches participating in the event. *(Adopted: 1/10/91)*

**13.11.3.4 High School, Preparatory School and Two-Year College Contests.** High school, preparatory school and two-year-college athletics contests or matches, conducted by a member institution or sponsored jointly with an outside organization and held on the campus of a member institution, shall not be considered tryouts, provided the following conditions are met [see Bylaw 13.2.2-(c) for restrictions related to the provision of awards at such contests]:

(a) **Team Sports.**

- (1) The opportunity to participate in the event is not limited to specific educational institutions and all educational institutions in a specific geographical area are eligible to compete (limited only by number, by institutional classification or on the basis of some objective standard of performance);
- (2) Each participant represents his or her educational institution in the event (no nonscholastic team representation); and
- (3) No financial compensation (e.g., transportation, expenses, guarantee, percentage of income) from the event may be provided to the educational institutions;



(b) **Individual Sports.**

- (1) The opportunity to participate in the event is not limited to specific prospective student-athletes or educational institutions and all individual prospective student-athletes or educational institutions in a specific geographical area are eligible to compete (limited only by number, by institutional classification or on the basis of some objective standard of performance);
- (2) A participant may compete as an individual or may represent his or her educational institution or a nonscholastic team; and
- (3) No financial compensation (e.g., transportation expenses, guarantee, percentage of income) from the event may be provided to an individual, educational institution or nonscholastic team.

**13.11.3.5 Officiating.** An institution's coach may officiate competition that involves prospective student-athletes, provided the competition is regularly scheduled under the authority of an outside sports organization.

**13.11.3.6 Private Lessons—Women's Golf and Equestrian Exception.** An institution's women's golf and equestrian coaches may teach private lessons to a prospective student-athlete in their respective sports, provided the following conditions are met: *(Revised: 4/28/05 effective 8/1/05)*

- (a) The prospective student-athlete resides within a 50-mile radius of the institution's main campus;
- (b) The coach makes lessons available to the general public;
- (c) In women's golf, lessons are only provided to female prospective student-athletes and the coach is a teaching professional certified pursuant to the Ladies Professional Golf Association (LPGA) and/or Professional Golf Association (PGA) certification program; *(Revised: 4/28/05 effective 8/1/05)*
- (d) Fees charged to the prospective student-athlete are at a rate commensurate with fees charged to all individuals;
- (e) Fees charged to the prospective student-athlete are not paid by individuals or entities other than the prospective student-athlete or his or her parent or legal guardian; and
- (f) The institution keeps on file in the office of the director of athletics documentation of the fee charged for the lessons and the name of any individual receiving any portion of the fee.

**13.11.3.7 Voluntary Summer Conditioning—Football. [FBS/FCS]**

**13.11.3.7.1 Voluntary Summer Conditioning—Bowl Subdivision Football. [FBS]** In bowl subdivision football, a prospective student-athlete may engage in voluntary summer workouts conducted by an institution's strength and conditioning coach with department-wide duties and may receive workout apparel (on an issuance and retrieval basis), provided he has signed a National Letter of Intent or, for those institutions not using the National Letter of Intent or in the case of a four-year college prospective student-athlete, the prospective student-athlete has signed the institution's written offer of admission and/or financial aid. *(Adopted: 4/24/03 effective 5/1/03, Revised: 4/29/04, 5/31/06, 12/15/06, 1/14/08, 8/26/10, 1/19/13 effective 8/1/13)*

**13.11.3.7.2 Voluntary Summer Conditioning—Championship Subdivision Football. [FCS]** In championship subdivision football, a prospective student-athlete may engage in voluntary summer workouts conducted by an institution's strength and conditioning coach with department-wide duties or a countable coach who is a certified strength and conditioning coach, and may receive workout apparel (on an issuance and retrieval basis), provided he has signed a National Letter of Intent or, for those institutions not using the National Letter of Intent or in the case of a four-year college prospective student-athlete, the prospective student-athlete has signed the institution's written offer of admission and/or financial aid. *(Adopted: 4/24/03 effective 5/1/03, Revised: 4/29/04, 5/31/06, 12/15/06, 1/14/08, 5/4/09, 8/26/10, 1/19/13 effective 8/1/13)*

**13.11.3.7.3 Mandatory Medical Examination. [FBS/FCS]** Prior to participation in any weight-training or conditioning workouts, a prospective student-athlete who will be a first-time participant shall be required to undergo a medical examination or evaluation administered or supervised by a physician (e.g., family physician, team physician). The examination or evaluation shall include a sickle cell solubility test unless documented results of a prior test are provided to the institution or the prospective student-athlete declines the test and signs a written release. The examination or evaluation must have been administered within six months prior to participation in any weight-training or conditioning activity. *(Adopted: 1/8/07 effective 5/1/07, Revised: 4/29/10 effective 8/1/10)*

**13.11.3.7.4 Strength and Conditioning Coach First Aid/CPR Certification and Authority of Sports Medicine Staff. [FBS/FCS]** A strength and conditioning coach who conducts voluntary weight-training or conditioning activities is required to maintain certification in first aid and cardiopulmonary resuscitation. In addition, a member of the institution's sports medicine staff (e.g., athletic trainer, physician) must be present during all voluntary conditioning activities (running, not lifting) conducted by the institution's strength coach. The sports medicine staff member must be empowered to have the unchallengeable authority to cancel or modify the workout for health and safety reasons, as he or she deems appropriate. *(Adopted: 4/24/03 effective 5/1/03)*

**13.11.3.8 Voluntary Summer Conditioning—Basketball.** In basketball, a prospective student-athlete may engage in voluntary summer workouts conducted by an institution's strength and conditioning coach with department-wide duties and may receive workout apparel (on an issuance and retrieval basis), provided he or she has signed a National Letter of Intent. (*Adopted: 4/29/04, Revised: 7/20/04, 1/14/08, 8/26/10, 1/19/13 effective 8/1/13*)

**13.11.3.8.1 Mandatory Medical Examination.** Prior to participation in any weight-training or conditioning workouts conducted by an institution's strength and conditioning coach, a prospective student-athlete who will be a first-time participant shall be required to undergo a medical examination or evaluation administered or supervised by a physician (e.g., family physician, team physician). The examination or evaluation shall include a sickle cell solubility test unless documented results of a prior test are provided to the institution or the prospective student-athlete declines the test and signs a written release. The examination or evaluation must have been administered within six months prior to participation in any weight-training or conditioning activity. (*Adopted: 1/8/07 effective 5/1/07, Revised: 4/29/10 effective 8/1/10*)

**13.11.3.8.2 Strength and Conditioning Coach First Aid/CPR Certification and Authority of Sports Medicine Staff.** A strength and conditioning coach who conducts voluntary weight-training or conditioning activities is required to maintain certification in first aid and cardiopulmonary resuscitation. If a member of the institution's sports medicine staff (e.g., athletic trainer, physician) is present during voluntary conditioning activities conducted by a strength and conditioning coach, the sports medicine staff member must be empowered with the unchallengeable authority to cancel or modify the workout for health and safety reasons, as he or she deems appropriate. (*Adopted: 1/15/11 effective 5/1/11*)

**13.11.3.9 Required Summer Athletic Activities—National Service Academies—Incoming Freshmen—Basketball.** In basketball, a national service academy may designate eight weeks (not required to be consecutive weeks) of the summer during which incoming freshmen student-athletes who are enrolled in required summer on-campus military training may engage in required weight-training, conditioning and skill-related instruction. Participation in such activities shall be limited to a maximum of eight hours per week with not more than two hours per week spent on skill-related instruction. (*Adopted: 1/14/12, Revised: 1/19/13 effective 8/1/13*)

**13.11.3.9.1 Mandatory Medical Examination.** Before participating in any required summer athletic activities, a prospective student-athlete shall be required to undergo a medical examination or evaluation administered or supervised by a physician (e.g., family physician, team physician). The examination or evaluation shall include a sickle cell solubility test unless documented results of a prior test are provided to the institution or the prospective student-athlete declines the test and signs a written release. The examination or evaluation must have been administered within six months before participation in any athletic activity. (*Adopted: 1/14/12*)

## 13.12 Sports Camps and Clinics.

### 13.12.1 Institution's Sports Camps and Clinics.

**13.12.1.1 Definition.** An institution's sports camp or instructional clinic shall be any camp or clinic that is owned or operated by a member institution or an employee of the member institution's athletics department, either on or off its campus, and in which prospective student-athletes participate. (*Adopted: 1/11/89, Revised: 1/10/90, 4/26/01 effective 8/1/01*)

**13.12.1.1.1 Definition of Prospective Student-Athlete—Men's Basketball.** In men's basketball, for purposes of Bylaw 13.12, the phrase "prospective student-athlete" shall include any individual who has started classes for the seventh grade. (*Adopted: 1/17/09*)

**13.12.1.1.1.1 Definition of Recruited Prospective Student-Athlete—Men's Basketball.** In men's basketball, for purposes of applying Bylaw 13.12, a recruited prospective student-athlete is a prospective student-athlete who has been recruited pursuant to the definition of recruiting in Bylaw 13.02.13 or the definition of a recruited prospective student-athlete pursuant to Bylaw 13.02.13.1. In addition, a men's basketball prospective student-athlete is considered a recruited prospective student-athlete if any of the following conditions have occurred: (*Adopted: 10/29/09*)

- (a) The prospective student-athlete's attendance at any institutional camp or clinic has been solicited by the institution (or a representative of the institution's athletics interests);
- (b) The institution has provided any recruiting materials to the prospective student-athlete;
- (c) An institutional coaching staff member has had any recruiting contact [including in-person or electronic contact (e.g., telephone calls, video conference, electronic correspondence)] with the prospective student-athlete (including contact initiated by the prospective student-athlete);
- (d) The prospective student-athlete has received a verbal offer of athletically related financial aid from the institution; or
- (e) The prospective student-athlete has verbally committed to attend the institution.



**13.12.1.1.2 Purposes of Camps or Clinics.** An institution's sports camp or clinic shall be one that:

- (a) Places special emphasis on a particular sport or sports and provides specialized instruction or practice and may include competition; (*Revised: 5/9/06*)
- (b) Involves activities designed to improve overall skills and general knowledge in the sport; or
- (c) Offers a diversified experience without emphasis on instruction, practice or competition in any particular sport. (*Adopted: 1/11/89, Revised: 1/10/90*)

**13.12.1.1.3 Football. [FBS/FCS]** In bowl subdivision football, an institution's football camp or clinic may be conducted only during two periods of 15 consecutive days in the months of June and July or any calendar week (Sunday through Saturday) that includes days of those months (e.g., May 28-June 3). The dates of the two 15-day periods must be on file in the office of the athletics director. In championship subdivision football, an institution's camp or clinic may be conducted only during the months of June, July and August or any calendar week (Sunday through Saturday) that includes days of those months (e.g., May 28-June 3). **[D]** (*Revised: 4/28/05 effective 8/1/05, 1/9/06 effective 8/1/06, 1/8/07, 1/16/10, 4/29/10*)

**13.12.1.1.4 Basketball.** An institution's basketball camp or clinic shall be conducted only during the months of June, July and August, or any calendar week (Sunday through Saturday) that includes days of those months (e.g., May 28-June 3). **[D]** (*Adopted: 1/8/07*)

**13.12.1.2 Location Restriction—Basketball and Football.** In basketball, an institution's camp or clinic shall be conducted on the institution's campus or within a 100-mile radius of the institution's campus. In football, an institution's camp or clinic shall be conducted on the institution's campus, within the state in which the institution is located or, if outside the state, within a 50-mile radius of the institution's campus. (*Revised: 4/29/10; for men's basketball camps, a contract signed before 9/17/08 may be honored; for women's basketball camps, a contract signed before 9/16/09 may be honored*)

**13.12.1.3 Attendance Restriction.** A member institution's sports camp or clinic shall be open to any and all entrants (limited only by number, age, grade level and/or gender). (See Bylaw 13.4.3.1.2.1.) (*Revised: 1/11/89, 1/10/91, 1/11/94, 12/12/06, 9/24/09*)

**13.12.1.4 Additional Restrictions—Men's Basketball.** In men's basketball, an institution's basketball camp or clinic shall not offer a different participation, registration procedure, fee structure, advertisement and/or logistical experience (e.g., lodging, meals, transportation or awards/mementos) than other institutional men's/boys' basketball camps or clinics. (*Adopted: 8/26/10*)

**13.12.1.5 Recruiting Calendar Exceptions.** The interaction during sports camps and clinics between prospective student-athletes and those coaches employed by the camp or clinic is not subject to the recruiting calendar restrictions. However, an institutional staff member employed at any camp or clinic (e.g., counselor, director) is prohibited from recruiting any prospective student-athlete during the time period that the camp or clinic is conducted (from the time the prospective student-athlete reports to the camp or clinic until the conclusion of all camp activities). The prohibition against recruiting includes extending written offers of financial aid to any prospective student-athlete during his or her attendance at the camp or clinic (see Bylaw 13.9.2.2), but does not include recruiting conversations between the certifying institution's coach and a participating prospective student-athlete during the institution's camps or clinics. Other coaches wishing to attend the camp as observers must comply with appropriate recruiting contact and evaluation periods. In addition, institutional camps or clinics may not be conducted during a dead period. (*Revised: 4/3/02, 4/26/12*)

**13.12.1.6 Advertisements.** Restrictions relating to advertisements of an institution's sports camps and clinics in recruiting publications are set forth in Bylaw 13.4.3.1.2. Such restrictions do not apply to sports camp and clinic advertisements in nonrecruiting publications (e.g., a member institution's game program). **[D]** (*Revised: 8/5/04*)

**13.12.1.7 Employment of Prospective Student-Athletes/No Free or Reduced Admission Privileges.**

**13.12.1.7.1 General Rule.** An institution, members of its staff or representatives of its athletics interests shall not employ or give free or reduced admission privileges to a prospective student-athlete who is an athletics award winner or any individual being recruited by the institution per Bylaw 13.02.13.1. An institution may offer discounted admission to its camps and clinics based on objective criteria unrelated to athletics abilities (e.g., registration prior to a specific date, online registration, attendance at multiple sessions, group discounts), provided such discounts are published and available on an equal basis to all who qualify. **[R]** (*Revised: 3/10/04, 4/20/09, 9/24/09*)

**13.12.1.7.1.1 Exception—Employment After Commitment.** An institution may employ a prospective student-athlete in a camp or clinic, provided he or she has signed a National Letter of Intent or the institution's written offer of admission and/or financial aid or the institution has received his or her financial deposit in response to its offer of admission. Compensation may be paid only for work actually performed and at a rate commensurate with the going rate in the locality for similar services. Such compensation may not include any remuneration for value or utility that the student-athlete may have for the employer because of the publicity, reputation, fame or personal following that he or she has

obtained because of athletics ability. A prospective student-athlete who only lectures or demonstrates at a camp/clinic may not receive compensation for his or her appearance at the camp/clinic. (*Adopted: 1/19/13 effective 8/1/13*)

**13.12.1.7.2 Payment of Expenses.** A representative of an institution's athletics interests may not pay a prospective student-athlete's expenses to attend a member institution's sports camp or clinic. [R]

**13.12.1.7.3 Concession Arrangement.**

**13.12.1.7.3.1 Prospective Student-Athlete.** An institution may not permit or arrange for a prospective student-athlete, at the prospective student-athlete's own expense, to operate a concession to sell items related to or associated with the institution's camp. [R] (*Revised: 8/5/04*)

**13.12.1.7.3.2 Enrolled Student-Athlete.** A student-athlete, at the student-athlete's own expense, may not operate a concession to sell items related to or associated with his or her institution's camp to campers or others in attendance because such an arrangement would be considered an extra benefit. However, the institution may employ the student-athlete at a reasonable rate to perform such services for the camp. [R] (*Revised: 8/5/04*)

**13.12.1.7.4 Awards.** Prospective student-athletes may receive awards from a member institution's sports camp or clinic with the understanding that the cost of such awards is included in the admissions fees charged for participants in the camp or clinic. [R] (*Adopted: 1/10/92, 8/5/04*)

**13.12.1.8 Educational Session.** An institution's basketball sports camp or clinic must include an educational session presented in-person or in a video format detailing NCAA initial-eligibility standards and regulations related to gambling, agents and drug use to all camp and/or clinic participants. (*Adopted: 1/14/97, Revised: 1/13/03*)

### 13.12.2 Employment at Camp or Clinic.

**13.12.2.1 Student-Athletes.** A student-athlete may be employed in any sports camp or clinic, provided compensation is provided pursuant to the criteria of Bylaw 12.4.1. A student-athlete who only lectures or demonstrates at a camp/clinic may not receive compensation for his or her appearance at the camp/clinic. (*Revised: 4/24/03 effective 8/1/03, 1/19/13 effective 8/1/13*)

**13.12.2.1.1 Self-Employment.** A student-athlete with remaining eligibility is not permitted to conduct his or her own camp or clinic. [R] (*Revised: 4/24/03 effective 8/1/03*)

**13.12.2.2 High School, Preparatory School, Two-Year College Coaches or Other Individuals Involved With Prospective Student-Athletes.** A member institution (or employees of its athletics department) may employ a high school, preparatory school or two-year college coach or any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved at its camp or clinic, provided: [R] (*Revised: 1/11/94, 6/25/08, 8/12/10*)

- (a) The individual receives compensation that is commensurate with the going rate for camp counselors of like teaching ability and camp experience; and (*Revised: 6/25/08*)
- (b) The individual is not paid on the basis of the value he or she may have for the employer because of his or her reputation or contact with prospective student-athletes. (See Bylaw 13.12.3.) (*Adopted: 1/11/89, Revised: 6/25/08*)

**13.12.2.2.1 Prohibited Compensation.** A member institution may not compensate or reimburse a high school, preparatory school or two-year college coach, or any other individual responsible for teaching or directing an activity in which a prospective student-athlete is involved based on the number of campers the individual sends to the camp. [R] (*Revised: 4/20/11*)

**13.12.2.2.2 Employment as a Speaker in an Institutional Camp or Clinic—Basketball.** An institution shall not employ (either on a salaried or a volunteer basis) a speaker in any basketball camp or clinic (including a coaches clinic or a camp or clinic involving nonprospects) who is involved in coaching prospective student-athletes or is associated with a prospective student-athlete as a result of the prospective student-athlete's participation in basketball. Such an individual may be employed as a camp counselor (except as prohibited in Bylaw 13.12.2.2.3), but may not perform speaking duties other than those normally associated with camp counselor duties (e.g., skill instruction). (*Adopted: 1/17/09, Revised: 8/26/10*)

**13.12.2.2.3 Individual Associated With a Recruited Prospective Student-Athlete—Men's Basketball.** In men's basketball, an institution or staff member shall not employ (either on a volunteer or paid basis) an individual associated with a recruited prospective student-athlete at the institution's camp or clinic. (*Adopted: 8/26/10*)

**13.12.2.3 Athletics Staff Members.** A member institution's athletics staff member may be involved in sports camps or clinics unless otherwise prohibited in this section (see Bylaw 11.3.2.6). [D] (*Revised: 8/5/04*)

**13.12.2.3.1 Camp/Clinic Providing Recruiting or Scouting Service.** No athletics department staff member may be employed (either on a salaried or a volunteer basis) in any capacity by a camp or clinic established, sponsored or conducted by an individual or organization that provides recruiting or scouting services concerning prospective student-athletes. This provision does not prohibit an athletics department

staff member from participating in an officiating camp where participants officiate for, but are not otherwise involved in, a scouting services camp. [D] (Adopted: 1/11/89, Revised: 1/10/90, 1/10/92, 8/5/04)

**13.12.2.3.2 Institutional/Noninstitutional, Privately Owned Camps/Clinics—Basketball.** In basketball, an institution's coach or noncoaching staff member with responsibilities specific to basketball may be employed only at his or her institution's camps or clinics. Participation in such camps or clinics is limited to the months of June, July and August or any calendar week (Sunday through Saturday) that includes days of those months (e.g., May 28-June 3). It is not permissible for a basketball coach or a noncoaching staff member with responsibilities specific to basketball to be employed at other institutional camps or clinics or at noninstitutional privately owned camps or clinics. [D] (Adopted: 4/28/05 effective 4/28/05 for men's basketball, 8/1/05 for women's basketball, Revised: 1/9/06 effective 8/1/06)

**13.12.2.3.3 Noninstitutional, Privately Owned Camps/Clinics—Bowl Subdivision Football. [FBS]** In bowl subdivision football, an institution's coach or noncoaching staff member with responsibilities specific to football may be employed (either on a salaried or volunteer basis) in any capacity (e.g., counselor, guest lecturer, consultant) in a noninstitutional, privately owned camp or clinic at any location, provided the camp or clinic is operated in accordance with restrictions applicable to an institutional camp or clinic (e.g., open to any and all entrants, no free or reduced admission to or employment of athletics award winners). However, employment in such a camp or clinic is limited to two periods of 15 consecutive days in the months of June and July or any calendar week (Sunday through Saturday) that includes days of those months (e.g., May 28-June 3). The dates of the two 15-day periods must be on file in the office of the athletics director. [D] (Adopted: 1/16/10)

**13.12.2.3.4 Noninstitutional, Privately Owned Camps/Clinics—Championship Subdivision Football. [FCS]** In championship subdivision football, an institution's coach or noncoaching staff member with responsibilities specific to football may be employed (either on a salaried or volunteer basis) in any capacity (e.g., counselor, guest lecturer, consultant) in a noninstitutional, privately owned camp or clinic at any location, provided the camp or clinic is operated in accordance with restrictions applicable to an institutional camp or clinic (e.g., open to any and all entrants, no free or reduced admission to or employment of athletics award winners). However, employment in such a camp or clinic may occur only during the months of June, July and August or any calendar week (Sunday through Saturday) that includes days of those months (e.g., May 28-June 3). [D] (Adopted: 1/16/10)

**13.12.2.3.5 Institutional/Noninstitutional, Privately Owned Camps/Clinics—Women's Volleyball.** In women's volleyball, an institution's coach or noncoaching staff member with responsibilities specific to women's volleyball may serve in any capacity (e.g., counselor, guest lecturer, consultant) in a noninstitutional, privately owned camp or clinic, provided the camp or clinic is operated in accordance with restrictions applicable to an institutional camp or clinic (e.g., open to any and all entrants, no free or reduced admission to or employment of athletics award winners). However, it is not permissible for a women's volleyball coach or noncoaching staff member with responsibilities specific to women's volleyball to be employed (either on salaried or a volunteer basis) in an institutional camp or clinic or a noninstitutional, privately owned camp or clinic that is conducted off the institution's campus during a quiet period. [D] (Adopted: 1/16/10 effective 8/1/10)

**13.12.2.3.6 Other Noninstitutional Privately Owned Camps/Clinics—Sports Other Than Basketball, Football and Women's Volleyball.** In sports other than basketball, football and women's volleyball, an institution's athletics department personnel may serve in any capacity (e.g., counselor, guest lecturer, consultant) in a noninstitutional, privately owned camp or clinic, provided the camp or clinic is operated in accordance with restrictions applicable to institutional camps (e.g., open to any and all entrants, no free or reduced admission to or employment of athletics award winners). Participation in such camps or clinics is not subject to the location restriction in Bylaw 13.12.1.2. [D] (Revised: 8/15/04, 4/28/05 effective 8/1/05, 5/26/06, 1/16/10 effective 8/1/10)

**13.12.2.3.7 Noncoaching Athletics Staff Members With Department-Wide Responsibilities.** A noncoaching athletics staff member with department-wide responsibilities may present an educational session at a noninstitutional, privately owned camp/clinic that is not operated under the restrictions applicable to institutional camps/clinics, provided the staff member does not make a recruiting presentation. [D] (Adopted: 4/29/04 effective 8/1/04, Revised: 8/5/04)

**13.12.2.3.8 Noninstitutional Fundamental Skills Camp/Clinic.** An institution's athletics department personnel may serve in any capacity at a noninstitutional camp or clinic conducted under the following conditions: [D] (Adopted: 1/13/03, 8/5/04)

- (a) The camp or clinic is designed to develop fundamental skills in a sport (rather than refine the abilities of skilled participants in the sport);
- (b) The camp or clinic is open to the general public (except for restrictions in age or number of participants);
- (c) The camp or clinic is conducted primarily for educational purposes and does not include material benefits for the participants (e.g., awards, prizes, merchandise, gifts);

- (d) Participants do not receive a recruiting presentation; and
- (e) All participants reside in the state in which the camp/clinic is located or within 100 miles of the camp/clinic.

**13.12.3 Notification of Ineligibility and Consequences of Violation—Men’s Basketball.** In men’s basketball, if a violation specified below occurs, the institution shall declare each involved prospective student-athlete ineligible. Within 30 days of becoming aware of the violation, the institution shall provide written notification to each involved prospective student-athlete that the actions of the institution affected the prospective student-athlete’s eligibility. The written notification shall also include an explanation of the consequences of the violation for the prospective student-athlete. *(Adopted: 8/12/10)*

- (a) A violation of Bylaw 13.12.2.2-(b) in which an institution or men’s basketball staff member employs (either on a salaried or a volunteer basis) an individual associated with a recruited prospective student-athlete at the institution’s camp or clinic.
- (b) A violation of Bylaw 13.12 in which an institutional boys’ basketball camp offers a participation registration, procedure, fee structure, advertisement and/or logistical experience (e.g., lodging, meals, transportation or awards/mementos) that differs from other institutional boys’ basketball camps.

### 13.13 High School All-Star Games.

**13.13.1 Coach Involvement.** A member institution shall not permit any coach or athletics department staff member directly involved in the recruiting of prospective student-athletes to participate (directly or indirectly) in the management, coaching, officiating, supervision, promotion or participant selection of any all-star team or contest involving interscholastic participants or those who, during the previous school year, were members of high school athletics teams. **[D]** *(Revised: 4/24/03 effective 8/1/03, 3/26/04)*

**13.13.1.1 Previous Contractual Agreement.** If a coach has made a contractual commitment to coach in a high school all-star game prior to being employed by a member institution and then becomes a member of the institution’s staff before the game is held, the coach shall be obligated to observe this provision and disassociate himself or herself from the all-star game. **[D]** *(Revised: 4/24/03 effective 8/1/03)*

**13.13.2 Use of Institutional Facilities.** A member institution’s facilities shall not be made available for a high school all-star game unless the provisions of Bylaw 13.11.3.2 are met (see Bylaw 14.6.4). **[D]** *(Revised: 8/5/04)*

**13.13.3 Use of Institutional Equipment.** It is not permissible for an institution to provide its athletics equipment to a prospective student-athlete (e.g., for use in a high school all-star game). **[D]** *(Revised: 8/5/04)*

### 13.14 Use of Recruiting Funds.

**13.14.1 Institutional Control.** All funds for the recruiting of prospective student-athletes shall be deposited with the member institution, which shall be exclusively and entirely responsible for the manner in which such funds are expended.

**13.14.2 Visiting a Prospective Student-Athlete.** A member institution’s athletics department staff member may visit a prospective student-athlete or the prospective student-athlete’s relatives or legal guardians at any location for recruiting purposes. However, on any such visit, the staff member may not expend any funds other than the amount necessary for his or her own personal expenses.

#### 13.14.3 Recruiting or Scouting Services.

**13.14.3.1 Basketball and Football.** In basketball and football, an institution may subscribe to a recruiting or scouting service involving prospective student-athletes, provided the institution does not purchase more than one annual subscription to a particular service and the service: **[D]** *(Adopted: 1/1/02, Revised: 8/5/04, 1/16/10, 1/14/12)*

- (a) Is made available to all institutions desiring to subscribe and at the same fee rate for all subscribers;
- (b) Publicly identifies all applicable rates;
- (c) Disseminates information (e.g., reports, profiles) about prospective student-athletes at least four times per calendar year;
- (d) Publicly identifies the geographical scope of the service (e.g., local, regional, national) and reflects broad-based coverage of the geographical area in the information it disseminates;
- (e) Provides individual analysis beyond demographic information or rankings for each prospective student-athlete in the information it disseminates; *(Revised: 4/13/10)*
- (f) Provides access to samples or previews of the information it disseminates before purchase of a subscription; and
- (g) Provides information regarding each prospective student-athlete in a standardized format that ensures consistent distribution to all subscribers. Live or individualized oral reports do not satisfy this requirement. *(Revised: 7/26/12)*



**13.14.3.1.1 Video-Only Services.** An institution is permitted to use or subscribe to a video service that only provides video of prospective student-athletes and does not provide information about or analysis of prospective student-athletes. Use of a subscription to such a service is subject to the provisions of Bylaw 13.14.3.1, except for subsections (c) and (e). **[D]** *(Adopted: 1/15/11, Revised: 1/14/12)*

**13.14.3.2 Sports Other Than Basketball and Football.** In sports other than basketball and football, an institution may subscribe to a recruiting or scouting service involving prospective student-athletes, provided the service is made available to all institutions desiring to subscribe and at the same fee rate for all subscribers. The service must also provide information regarding each prospective student-athlete in a standardized format that ensures consistent distribution to all subscribers. (Live or individualized oral reports do not satisfy this requirement.) An institution is permitted to subscribe to a service that provides scholastic and/or nonscholastic video. The institution may not contract with a service in advance to have a particular contest recorded or provided. **[D]** *(Adopted: 1/14/12, Revised: 7/26/12)*

**13.14.3.3 Subscription Limited to Approved Services—Basketball and Football.** In basketball and football, an institution shall not subscribe to a recruiting or scouting service unless the service has been approved by the NCAA pursuant to an annual approval process. **[D]** *(Adopted: 1/14/12 effective 6/1/12)*

**13.14.4 Slush Funds.** An institution shall not permit any outside organization, agency or group of individuals to use, administer or expend funds for recruiting prospective student-athletes, including the transportation and entertainment of, and the giving of gifts or services to, prospective student-athletes or their relatives, legal guardians or friends.

**13.14.4.1 Pooled Resources.** The pooling of resources for recruiting purposes by two or more persons shall constitute such a slush fund. However, this provision shall not apply to persons upon whom a prospect may be naturally or legally dependent.

**13.14.4.2 Company Funds.** The use of a company's funds to pay the expenses incurred in transporting a prospective student-athlete to the campus constitutes the use of pooled resources.

**13.14.5 Alumni Organizations.** Bona fide alumni organizations of an institution may sponsor luncheons, teas or dinners at which prospective students (athletes and nonathletes) of that immediate locale are guests. A member institution's area alumni organization may be considered a bona fide part of that institution, provided such an organization is accredited by the president or chancellor of the institution and meets these additional terms and conditions: *(Revised: 3/8/06)*

- (a) A staff member of the institution periodically shall inspect the financial records of the alumni organization and certify that the expenditures comply with the rules and regulations of the NCAA and the conference(s), if any, of which the institution is a member; and
- (b) A club official shall be designated by the president or chancellor as the institution's official agent in the administration of the club's funds, and said club official shall file regular reports to the institution relating the manner in which the club funds have been spent in the recruiting of student-athletes. *(Revised: 3/8/06)*

**13.14.5.1 Subject to NCAA Rules.** If an alumni organization is certified by the president or chancellor as being a bona fide part of the institution, said organization becomes subject to all of the limitations placed upon the member institution by NCAA legislation. A violation of such legislation by any member of the alumni organization shall be a violation by the member institution. **[D]** *(Revised: 8/5/04, 3/8/06)*

## **13.15 Precollege Expenses.**

**13.15.1 Prohibited Expenses.** An institution or a representative of its athletics interests shall not offer, provide or arrange financial assistance, directly or indirectly, to pay (in whole or in part) the costs of the prospective student-athlete's educational or other expenses for any period prior to his or her enrollment or so the prospective student-athlete can obtain a postgraduate education. **[R]** *(Revised: 8/5/04)*

**13.15.1.1 Extent of Prohibition.** The provisions of Bylaw 13.15.1 apply to all prospective student-athletes, including those who have signed a National Letter of Intent or an institutional offer of admission or financial aid.

**13.15.1.2 Fundraising for High School Athletics Program.** An institution may not provide funding, directly or through paid advertisements, to benefit a high school athletics program. **[D]** *(Adopted: 1/10/92, Revised: 4/26/01)*

**13.15.1.2.1 Involvement by Local Representatives of Institution's Athletics Interests.** A representative of an institution's athletics interests may provide funding to benefit a high school athletics program located in the community in which the athletics representative resides, provided: *(Adopted: 1/10/92)*

- (a) The representative acts independently of the institution;
- (b) The funds are distributed through channels established by the high school or the organization conducting the fundraising activity; and
- (c) The funds are not earmarked directly for a specific prospective student-athlete.

**13.15.1.3 College Use of High School Facility.** An institution may not contribute to a high school or its athletics booster club any funds realized from an athletics contest played or a practice held at a high school facility, except actual and necessary expenses for rental of the facility.

**13.15.1.4 State High School Association Use of Member Institution's Facilities.** An institution may permit a state high school association to use its facilities to host state high school championship events at a reduced rate. *(Adopted: 4/28/05)*

**13.15.1.5 High School Contest in Conjunction with College Competition.** A high school contest held in conjunction with an institution's intercollegiate contest shall be governed by the following: *(Revised: 1/10/90, 1/10/95)*

- (a) It shall involve a sport other than basketball, football, gymnastics or volleyball;
- (b) It shall be regularly scheduled and approved by the appropriate state high school authority;
- (c) The member institution shall not provide the high school with any financial compensation, including transportation expenses or a guarantee or percentage of the income realized from the contest; and
- (d) The only expenses the member institution may incur are the operating and maintenance costs associated with its facility's use for the high school contest.

**13.15.1.6 Donation of Equipment.**

**13.15.1.6.1 Athletics Equipment.** A member institution may not provide athletics equipment to a high school. However, a member institution is permitted to provide athletics equipment to bona fide youth organizations (e.g., the YMCA, YWCA, Boy Scout troops, Girl Scout troops, a summer recreation league) that may consist of some prospective student-athletes, provided the issuance of equipment is in accordance with the institution's regular policy regarding the discarding of equipment. **[D]** *(Revised: 4/26/01, 4/26/12 effective 8/1/12)*

**13.15.1.6.1.1 Exception—Women's Rowing.** An institution may loan rowing equipment to a high school's or junior club program's women's team on an issuance and retrieval basis and may permit high schools' and junior club programs' women's teams to use its rowing facilities for practice and/or competition. *(Adopted: 1/9/96 effective 8/1/96)*

**13.15.1.6.1.2 Donation of Used Athletics Equipment to Foundation.** An institution may donate used athletics equipment to a nonprofit foundation established to distribute such equipment to high schools, provided the request for such donations is initiated by the foundation and the institutions have no part in selecting the high schools that are to receive the equipment. *(Adopted: 1/11/94)*

**13.15.1.6.2 Nonathletics Equipment.** A member institution may provide nonathletics equipment (e.g., a computer) to a high school, provided there is no athletics department involvement and the equipment is not used to benefit only the high school's athletics program. *(Adopted: 1/10/91)*

**13.15.1.7 Ticket Sales.** It is not permissible for a member institution to compensate a high school, preparatory school or two-year college for selling tickets to the institution's athletics contests. Specifically, the member institution may not provide such forms of compensation as a guarantee, a percentage of the income realized from the sale of the tickets or any other form of commission for providing such services.

**13.15.1.8 Conference-Sponsored Sportsmanship Initiatives.** A conference may provide actual and necessary expenses that are directly associated with implementing a conference-sponsored sportsmanship initiative as described in Bylaws 13.1.10, 13.4.3.3 and 13.10.2.3. *(Adopted: 4/26/07 effective 8/1/07)*

**13.15.1.9 Academic Services.** An institution shall not provide academic expenses or services (e.g., tutoring, test preparation) to assist a prospective student-athlete in completing initial-eligibility or transfer-eligibility requirements or in improving his or her academic profile in conjunction with a waiver request. **[R]** *(Adopted: 4/23/08)*

**13.15.1.10 Donation to Nonprofit Foundation—Men's Basketball.** In men's basketball, an institution or a staff member shall not provide a donation to a nonprofit foundation that expends funds for the benefit of a nonscholastic team or teams, prospective student-athletes or individuals associated with prospective student-athletes, regardless of whether the foundation provides funding to or services for prospective student-athletes and individuals who are not prospective student-athletes. *(Adopted: 8/26/10)*

**13.15.1.11 Notification of Ineligibility and Consequences—Men's Basketball.** If a violation of Bylaw 13.15.1 occurs in which a men's basketball staff member or a representative of the institution's athletics interests is involved in any way in the operation or planning of a boys'/men's basketball nonscholastic event on its campus, the institution shall declare each involved prospective student-athlete ineligible. Within 30 days of becoming aware of the violation, the institution shall provide written notification to each involved prospective student-athlete that the actions of the institution affected the prospective student-athlete's eligibility. The written notification shall also include an explanation of the consequences of the violation for the prospective student-athlete. *(Adopted: 8/12/10)*



### **13.15.2 Permissible Expenses.**

**13.15.2.1 ACT and SAT Scores.** An institution may pay a fee required by the appropriate testing agency to obtain a prospective student-athlete's official ACT or SAT scores. *(Adopted: 1/10/90)*

**13.15.2.2 Collect and Toll-Free Telephone Calls.** Institutional coaching staff members (see Bylaw 13.1.3.4.1) may accept collect and toll-free (e.g., 1-800, 1-888) telephone calls placed by prospective student-athletes and prospective student-athletes' parents and legal guardians pursuant to Bylaw 13.1.3.6. **[R]** *(Adopted: 1/10/91 effective 7/1/91, Revised: 1/10/92, 1/11/94, 12/12/06, 4/28/11 effective 8/1/11)*

**13.15.2.3 Institution Providing Items to Athletics Youth Groups for Fundraising.** An institution may provide items to assist in the fundraising efforts of athletics youth groups composed of individuals who have not started classes for the ninth grade without causing such individuals to become prospective student-athletes per Bylaw 13.02.12. *(Adopted: 1/10/95)*

**13.15.2.4 Student Orientation Sessions.** An institution may pay on-campus expenses (e.g., meals, lodging) for prospective student-athletes to attend institutional orientation sessions conducted for all students. However, an institution may provide on-campus expenses to student-athletes to attend orientation sessions for a particular group of students selected on a basis unrelated to athletics ability only if the institution is providing expenses on a uniform basis to all members of that particular group. An institutional staff member may provide transportation from and to the nearest bus or train station or major airport to the campus on the occasion of the prospective student-athlete's arrival or departure from the institution to attend the institution's required new-student orientation, provided the prospective student-athlete has been accepted for admission to the institution. **[R]** *(Adopted: 1/10/95, Revised: 1/9/96, 4/26/01 effective 8/1/01)*

**13.15.2.5 Use of Bands Comprised of Prospective Student-Athletes.** An institution may hire a band (e.g., marching band, pep band) comprised of prospective student-athlete-aged individuals to perform at its regular-season home contests and/or postseason home or away-from-home contests, provided the band is paid commensurate with the going rate in that locale for similar services and the organization providing the band is located within 150 miles of the competition site. *(Adopted: 11/1/01 effective 8/1/02)*

## **13.16 U.S. Service Academy Exceptions and Waivers.**

### **13.16.1 Contacts.**

**13.16.1.1 During Junior Year in High School.** Authorized athletics staff members of the U.S. Air Force, Military, Coast Guard, Merchant Marine and Naval Academies, where congressionally required institutional procedures applying to all prospective cadets and midshipmen, regardless of athletics ability, are in conflict with the Association's legislation governing telephonic and off-campus recruiting contacts, may make in-person, telephonic and off-campus contacts during a prospective student-athlete's junior year in high school. Sport-specific recruiting calendar restrictions apply to off-campus contacts, except, in football, authorized staff members may make in-person off-campus contact with a prospective student-athlete during the spring evaluation period of the prospective student-athlete's junior year in high school, including contact at the prospective student-athlete's educational institution. The total number of contacts, including those after the prospective student-athlete's junior year, shall not exceed the number permitted in the applicable sport. *(Revised: 4/24/08)*

**13.16.1.2 After National Letter-of-Intent Signing Date.** There shall be no limit on the number of recruiting contacts with the prospective student-athlete, the prospective student-athlete's relatives or legal guardians (consistent with the provisions of Bylaws 13.1.5.8 and 13.1.5.8.1) made by a national service academy to which the prospective student-athlete has applied for admission after the National Letter of Intent signing date in the sport.

**13.16.1.3 Freshmen at Summer Enrollment Programs.** Freshmen entering the official summer enrollment program of one of the four national service academies (U.S. Air Force, Coast Guard, Military and Naval Academies) shall be considered student-athletes of a senior collegiate institution and may not be contacted by other member institutions without permission from the athletics director of the service academy.

**13.16.2 Evaluation Days—Football.** In football, each national service academy is limited to 54 evaluation days (see Bylaw 13.02.7.2) during the fall evaluation period. *(Adopted: 4/14/08)*

**13.16.3 Air Force, Military and Naval Academies Exception.** A nonprofit, outside organization representing the interests of one of the service academies may collect contributions from alumni and other friends of the academy for the purpose of assisting candidates in obtaining a preparatory education, provided the following conditions are met: *(Revised: 11/1/07 effective 8/1/08, 7/30/10)*

- (a) The organization's arrangements with the preparatory school(s) shall provide that the organization's contributions shall be turned over to the preparatory school for the school's administration without interference or dictation from the organization or the academy;
- (b) The preparatory school shall have sole jurisdiction in determining the recipient of financial assistance and the terms and conditions of the award;
- (c) The organization may recommend candidates to the preparatory school; athletics staff members of the academy may not; and

- (d) Such a foundation shall provide preparatory education assistance for prospective candidates who do not have specialized athletics abilities as well as those who do. The number of candidates with recognized ability assisted each year as the result of the organization's program shall be in equal ratio to the number of student-athletes on the regular intercollegiate squads of the academy compared with the total enrollment of the academy.

**13.16.4 Precollege Expenses/Preparatory School Assistance—Waiver.** The Legislative Council Subcommittee for Legislative Relief may approve waivers of Bylaw 13.15, provided such waivers are limited to procedures involving preparation for entrance into one of the U.S. service academies. *(Revised: 11/1/07 effective 8/1/08, 7/30/10)*

### 13.17 Recruiting Calendars.

**13.17.1 Baseball.** The following recruiting periods shall apply to baseball: *(Adopted: 4/24/03 effective 8/1/03, Revised: 12/5/05, 7/30/10, 5/22/13)*

(a) September 1 through the second Thursday of September:	Quiet Period
(b) The Friday following the second Thursday of September through the Sunday prior to the initial date for the fall signing of the National Letter of Intent:	Contact Period
(c) Monday through Thursday of the week that includes the initial date for the fall signing of the National Letter of Intent:	Dead Period
(d) The Friday of the week of the fall signing of the National Letter of Intent through the month of February [except for (1) below]:	Quiet Period
(1) 12:01 a.m. on the first official day of the national convention of the American Baseball Coaches Association to 12:01 a.m. on the day after adjournment of the convention:	Dead Period
(e) March 1 through August 31 [except for (1) below]:	Contact Period
(1) Monday through Thursday of the week that includes the initial date for the spring signing of the National Letter of Intent:	Dead Period

**13.17.2 Men's Basketball.** The following recruiting periods shall apply to men's basketball: *(Revised: 1/11/89, 1/10/91, 1/11/94, 4/27/00 effective 8/1/00, 4/27/00 effective 8/1/01, 11/1/01 effective 4/1/02, 12/5/05, 7/30/10, 10/27/11, 10/27/11 effective 8/1/12)*

(a) September 9 through the Sunday beginning the week for the fall signing of the National Letter of Intent: <i>(Revised: 4/26/01, 8/8/02, 4/28/05 effective 8/1/05, 10/27/11 effective 8/1/12)</i>	Recruiting Period
(b) Monday through Thursday of the week that includes the initial date for the fall signing of the National Letter of Intent: <i>(Adopted: 4/26/01, 10/27/11 effective 8/1/12)</i>	Dead Period
(c) The Friday of the week for the fall signing of the National Letter of Intent through March 31 [except for (1) below]: <i>(Revised: 4/26/01, 11/1/01 effective 4/1/02, 4/28/05 effective 8/1/05, 1/8/07, 10/27/11 effective 8/1/12)</i>	Recruiting Period
(1) December 24 through December 26: <i>(Revised: 4/28/05 effective 8/1/05)</i>	Dead Period
(d) April 1 through the Wednesday immediately prior to the NCAA Division I Men's Basketball Championship game: <i>(Adopted: 4/24/08 effective 8/1/08)</i>	Quiet Period
(e) The Thursday immediately prior to the NCAA Division I Men's Basketball Championship game to noon on the Thursday immediately after the game: <i>(Adopted: 4/24/08 effective 8/1/08)</i>	Dead Period
(f) Noon on the Thursday immediately after the NCAA Division I Men's Basketball Championship game through seven days following the initial date for the spring signing of the National Letter of Intent [except for (1) below]: <i>(Revised: 4/24/08 effective 8/1/08, 10/27/11 effective 8/1/12)</i>	Recruiting Period
(1) Monday through Thursday of the week that includes the initial date for the spring signing of the National Letter of Intent: <i>(Revised: 11/1/07, 4/24/08 effective 8/1/08)</i>	Dead Period
(g) The eighth day after the initial date for the spring signing of the National Letter of Intent through July 5 [except for (1) and (2) below]: <i>(Revised: 4/29/04 effective 8/1/04, 4/24/08 effective 8/1/08)</i>	Quiet Period

(1) Two weekends in April (or one weekend in April and the first applicable weekend in May, if necessary) (Friday through Sunday) other than Easter weekend and a weekend during which the PSAT, SAT, PLAN or ACT national standardized tests are administered: <i>(Adopted: 10/27/11, Revised: 7/22/13)</i>	Evaluation Periods (for certified events only)
(2) The day after the conclusion of the spring National Letter of Intent signing period to the day before the first permissible day to conduct institutional basketball camps [except for (i) below]: <i>(Adopted: 1/14/08)</i>	Dead Period
(i) National Basketball Association Draft Combine: <i>(Revised: 4/28/05)</i>	Evaluation Period
(h) July 6 through July 31 [except for (1) below]: <i>(Revised: 4/29/04 effective 8/1/04, 10/27/11)</i>	Dead Period
(1) The first three Wednesday (5 p.m.) through Sunday (5 p.m.) periods in July beginning on or after July 6: <i>(Adopted: 10/27/11)</i>	Evaluation Periods
(i) August 1 through September 8:	Quiet Period
<b>13.17.3 Women's Basketball.</b> The following recruiting periods shall apply to women's basketball: <i>(Revised: 1/11/89, 1/10/90, 1/10/91 effective 8/1/91, 8/2/91, 1/11/94 effective 8/1/94, 8/14/96, 10/9/96, 7/22/97, 10/28/99 effective 4/1/00, 11/1/00, 4/25/02, 8/8/02, 10/30/03, 4/29/04 effective 8/1/04, 10/28/04, 1/10/05 effective 8/1/05, 4/28/05 effective 8/1/05, 8/4/05, 12/5/05, 7/30/10, 1/15/11 effective 8/1/11, 4/26/12 effective 8/1/12, 1/19/13 effective 8/1/13)</i>	
(a) August 1 through September 8:	Quiet Period
(b) September 9-29 [except for (1) and (2) below]:	Contact Period
(1) Evaluations at nonscholastic events shall not occur during any weekend (including Friday, Saturday and Sunday) during which the PSAT, SAT, PLAN or ACT national standardized tests are administered.	
(2) If the PSAT, SAT, PLAN or ACT is administered on a date that conflicts with the fall nonscholastic evaluation weekend, evaluations at nonscholastic events shall be permissible during the first full weekend (including Friday, Saturday and Sunday) of the fall/winter evaluation period.	
(c) September 30 through the last day of February [except for (1) and (2) below]:	Evaluation Period
(1) Monday through Thursday of the week that includes the initial date for the fall signing of the National Letter of Intent:	Dead Period
(2) December 24 through 26:	Dead Period
(d) March 1 through Thursday prior to the NCAA Division I Women's Basketball Championship game:	Contact Period
(e) Friday prior to the NCAA Division I Women's Basketball Championship game through Thursday of the week that includes the initial date for spring signing of the National Letter of Intent [except for (1) and (2) below]:	Dead Period
(1) One women's basketball event certified by the NCAA (see Bylaw 13.20) held in conjunction with, and conducted in the host city of, the NCAA Division I Women's Basketball Championship:	Evaluation Period
(2) The Friday, Saturday and Sunday immediately prior to the initial date for the spring signing of the National Letter of Intent:	Quiet Period
(f) Friday of the week that includes the initial date for the spring signing of the National Letter of Intent through the following Tuesday and an additional weekend (Friday through Sunday) in April (or May, if necessary due to a conflict with the PSAT, SAT, PLAN or ACT, or Easter) for evaluations at nonscholastic events [except for (1) and (2) below]:	Evaluation Periods (evaluations during additional weekend permissible at nonscholastic events only)
(1) Evaluations at nonscholastic events shall not occur during any weekend (including Friday, Saturday and Sunday) during which the PSAT, SAT, PLAN or ACT national standardized tests are administered or during the Easter weekend.	
(2) If the PSAT, SAT, PLAN or ACT is administered on a date that conflicts with the five-day evaluation period or if the evaluation period conflicts with Easter, the period shall shift to the second Friday following the initial date of the spring National Letter of Intent signing period through the following Tuesday.	

(g) Wednesday of the week following the initial date for the spring signing of the National Letter of Intent through July 5 [except when Bylaw 13.17.3-(f)-(1) or (2) applies]:	Quiet Period
(h) July 6 through July 12:	Evaluation Period
(i) July 13 through July 22:	Dead Period
(j) July 23 through July 29:	Evaluation Period
(k) July 30 through July 31:	Dead Period
(l) During the National Junior College Athletic Association championship competition:	Evaluation Period
(m) The following state-specific evaluation times are permissible:	
(1) In the state of Hawaii, evaluations shall be permissible only between July 8 and July 31 and between March 1 and May 31.	
(2) In those states that play the high school basketball season in the spring, except for Hawaii, evaluations shall be permissible only between July 8 and July 31 and between April 8 and April 28.	

**13.17.4 Football.** The following recruiting periods shall apply to football: (*Revised: 1/10/90 effective 8/1/90, 1/10/91 effective 8/1/91, 1/11/94 effective 8/1/94, 2/24/03, 12/5/05, 7/30/10*)

(a) June 1 through the last Saturday in November [except for (1) below]: ( <i>Revised: 1/11/94 effective 8/1/94, 4/25/02 effective 8/1/02, 10/3/03</i> )	Quiet Period
(1) Forty-two (54 for U.S. service academies) evaluation days (see Bylaw 13.02.7.2) during the months of September, October and November selected at the discretion of the institution and designated in writing in the office of the director of athletics; authorized off-campus recruiters shall not visit a prospective student-athlete's educational institution on more than one calendar day during this period: ( <i>Adopted: 4/25/02 effective 8/1/02, Revised: 12/15/06, 1/14/08 effective 8/1/08, 2/22/08, 4/14/08</i> )	Evaluation Period
(b) The Sunday following the last Saturday in November through the Saturday prior to the initial date for the regular signing period of the National Letter of Intent except for (1) through (7) below. Six in-person off-campus contacts per prospective student-athlete shall be permitted during this time period with not more than one permitted in any one calendar week (Sunday through Saturday) or partial calendar week: ( <i>Revised: 1/11/94 effective 8/1/94, 8/12/95, 4/27/00 effective 8/1/00, 5/27/09, 1/16/10</i> )	Contact Period
(1) The Sunday prior to the dead period surrounding the initial date of the midyear junior college transfer National Letter of Intent signing period (applicable only to junior college prospective student-athletes who intend to enroll midyear): ( <i>Adopted: 5/27/09</i> )	Quiet Period
(2) Monday through Thursday of the week that includes the initial date of the mid-year junior college transfer National Letter of Intent signing period (applicable only to junior college prospective student-athletes who intend to enroll midyear) [except for (i) below]: ( <i>Adopted: 5/27/09</i> )	Dead Period
(i) Institutional staff members may have contact with a prospective student-athlete who has been admitted for midyear enrollment, provided the prospective student-athlete has signed a National Letter of Intent or other offer of admission and/or financial aid to attend the institution and is required to be on campus to attend institutional orientation sessions for all students. ( <i>Adopted: 5/27/09</i> )	
(3) The Friday following the initial date of the midyear junior college transfer National Letter of Intent signing period through the Sunday of the week of the annual convention of the American Football Coaches Association [subject to the dead periods (5) and (7) below in applicable years (applicable only to junior college prospective student-athletes who intend to enroll midyear)]: ( <i>Adopted: 5/27/09</i> )	Quiet Period
(4) The Sunday after the third Saturday in December: ( <i>Adopted: 1/11/94 effective 8/1/94, Revised: 4/27/00 effective 8/1/00</i> )	Quiet Period
(5) The Monday following the third Saturday in December through January 3 [except for (a) below]: ( <i>Revised: 1/11/94 effective 8/1/94, 5/27/09, 1/16/10</i> )	Dead Period



(a) Institutional staff members may have contact with a prospective student-athlete who has been admitted for midyear enrollment, provided the prospective student-athlete has signed a National Letter of Intent or other offer of admission and/or financial aid to attend the institution and is required to be on campus to attend institutional orientation sessions for all students. (Adopted: 5/27/09)	
(6) Sunday during the week of the annual convention of the American Football Coaches Association. (Adopted: 1/11/94 effective 8/1/94, Revised: 10/12/94, 4/27/00 effective 8/1/00, 1/16/10)	Quiet Period
(7) Monday through Thursday during the week of the annual convention of the American Football Coaches Association [except for (i) below]: (Revised: 10/12/94, 4/27/00 effective 8/1/00, 5/27/09)	Dead Period
(i) Institutional staff members may have contact with a prospective student-athlete who has been admitted for midyear enrollment, provided the prospective student-athlete has signed a National Letter of Intent or other offer of admission and/or financial aid to attend the institution and is required to be on campus to attend institutional orientation sessions for all students. (Adopted: 1/10/05)	
(c) The Sunday prior to the dead period surrounding the initial date for the regular signing period of the National Letter of Intent: (Revised: 8/12/95, 5/27/09)	Quiet Period
(d) Monday through Thursday of the week that includes the initial date for the regular signing period of the National Letter of Intent: (Revised: 8/12/95, 5/27/09)	Dead Period
(e) Friday following the initial date for the regular signing period of the National Letter of Intent through April 14: (Revised: 8/12/95, 4/27/00 effective 8/1/00, 5/27/09)	Quiet Period
(f) One hundred sixty-eight evaluation days (216 for U.S. service academies) (see Bylaw 13.02.7.2) (excluding Memorial Day and Sundays) during April 15 through May 31 selected at the discretion of the member institution and designated in writing in the office of the director of athletics [as provided in (1) below]: (Revised: 1/11/94 effective 8/1/94, 4/27/00 effective 8/1/00, 9/6/00, 1/16/10 effective 8/1/10)	Evaluation Period
(1) An authorized off-campus recruiter may use one evaluation to assess the prospective student-athlete's athletics ability and one evaluation to assess the prospective student-athlete's academic qualifications during this evaluation period. If an institution's coaching staff member conducts both an athletics and an academic evaluation of a prospective student-athlete on the same day during this evaluation period, the institution shall be charged with the use of an academics evaluation only and shall be permitted to conduct a second athletics evaluation of the prospective student-athlete on a separate day during this evaluation period. (Adopted: 1/11/94 effective 8/1/94, Revised: 4/27/00 effective 8/1/00, 9/6/00)	
(g) Those days in April/May not designated in (f) above for evaluation opportunities.	Quiet Period
<b>13.17.5 Men's Lacrosse.</b> The following recruiting periods shall apply to men's lacrosse: (Adopted: 11/1/01 effective 8/1/02, Revised: 12/5/05, 7/30/10)	
(a) The day after the first Sunday in January through Martin Luther King Jr. Day: (Adopted: 1/14/08)	Contact Period/ No Lacrosse Evaluations
(b) The day after Martin Luther King Jr. Day through the last day of February: (Adopted: 1/14/08)	Quiet Period
(c) March 1 through the Thursday before the NCAA Division I Men's Lacrosse Championship [except for (1) below]: (Revised: 1/14/08)	Contact Period
(1) Monday through Thursday of the initial week for the spring signing of the National Letter of Intent:	Dead Period
(d) The Friday before the Division I Men's Lacrosse Championship to noon on the Tuesday after the championship:	Dead Period
(e) Noon on the Tuesday after the Division I Men's Lacrosse Championship through the first Monday in August: (Revised: 1/14/08)	Contact Period
(f) The day after the first Monday in August through the second Monday in August: (Revised: 1/14/08)	Quiet Period

(g) The day after the second Monday in August through August 31: <i>(Revised: 1/14/08)</i>	Dead Period
(h) September 1 through October 31: <i>(Revised: 1/14/08)</i>	Contact Period/ No Lacrosse Evaluations
(i) November 1 through the Tuesday before Thanksgiving [except for (1) below]: <i>(Revised: 1/14/08)</i>	Contact Period
(1) Monday through Thursday of the initial week for the fall signing of the National Letter of Intent:	Dead Period
(j) The Wednesday before Thanksgiving through the Sunday after Thanksgiving:	Dead Period
(k) The Monday after Thanksgiving through December 23:	Quiet Period
(l) December 24 through the first Sunday in January: <i>(Revised: 1/14/08)</i>	Dead Period

**13.17.6 Women's Lacrosse.** The following recruiting periods shall apply to women's lacrosse: *(Adopted: 4/29/04, Revised: 12/5/05, 7/30/10)*

(a) January 2 through the Thursday before the NCAA Division I Women's Lacrosse Championship [except for (1) below]:	Contact Period
(1) Monday through Thursday of the initial week for the spring signing of the National Letter of Intent:	Dead Period
(b) The Friday before the Division I Women's Lacrosse Championship through Sunday of the championship [except for (1) below]:	Dead Period
(1) One event conducted during the weekend of the women's lacrosse championship, provided it is conducted on a day during which no championship competition occurs and within a 100-mile radius of the site of the championship. <i>(Adopted: 1/8/07)</i>	Evaluation Period
(c) The Monday after the Division I Women's Lacrosse Championship through July 31:	Contact Period
(d) August 1 through August 31—Seven contact days selected at the discretion of the institution and designated in writing in the office of the director of athletics. On the designated days, an institution's coaches are not restricted in the number of prospective student-athletes contacted in a single day:	Contact only (No evaluations)
(e) Those days during August 1 through August 31 not designated in (d) above for contact purposes:	Quiet Period
(f) September 1 through the Tuesday before Thanksgiving [except for (1) and (2) below]: <i>(Revised: 4/30/09 effective 8/1/09)</i>	Contact Period
(1) Monday through Thursday of the initial week of the fall signing of the National Letter of Intent:	Dead Period
(2) Evaluations of prospective student-athletes participating in lacrosse activities are limited to the three weekends (Saturday and Sunday) prior to Thanksgiving. <i>(Adopted: 4/30/09 effective 8/1/09)</i>	
(g) The Wednesday before Thanksgiving through January 1:	Quiet Period

**13.17.7 Softball.** The following recruiting periods shall apply to softball: *(Adopted: 1/12/99 effective 8/1/99, Revised: 10/28/04, 12/5/05, 7/30/10, 5/22/13)*

(a) August 1 through the day prior to Thanksgiving Day [except for (1) below]: <i>(Revised: 4/26/07 effective 8/1/07, 4/30/09)</i>	Contact Period
(1) Monday through Thursday of the week that includes the initial date for the fall signing of the National Letter of Intent:	Dead Period
(b) Thanksgiving Day through January 1 [except for (1) below]: <i>(Revised: 4/26/07 effective 8/1/07, 4/30/09)</i>	Quiet Period
(1) 12:01 a.m. on the first official day of the national convention of the National Fastpitch Coaches Association to 12:01 a.m. on the day after adjournment of the convention:	Dead Period
(c) January 2 through July 31 [except for (1) and (2) below]: <i>(Revised: 10/28/04, 4/26/07 effective 8/1/07)</i>	Contact Period



(1) Monday through Thursday of the week that includes the initial date for the spring signing of the National Letter of Intent:	Dead Period
(2) Tuesday prior to the start of the NCAA Division I Women's Softball Championship to noon on the day after the championship game: <i>(Revised: 1/10/05)</i>	Dead Period
(d) During high school regional and state championship competition that does not occur during a dead period: <i>(Adopted: 4/26/01, Revised: 8/8/02, 4/26/07 effective 8/1/07)</i>	Evaluation Period
(e) The following state-specific contact/evaluation periods are permissible: <i>(Adopted: 10/31/02, Revised: 4/26/07 effective 8/1/07)</i>	
(1) In Hawaii, contacts and evaluations shall be permissible between Thanksgiving Day and January 1 [except for (i) below].	
(i) 12:01 a.m. on the first official day for the national convention of the National Fastpitch Coaches Association to 12:01 a.m. on the day after adjournment of the convention:	Dead Period
(2) In those states that play the high school softball season in the fall, evaluations shall be permissible during those seasons, except during dead periods. <i>(Adopted: 4/26/07 effective 8/1/07)</i>	

**13.17.8 Cross Country/Track and Field.** The following recruiting periods shall apply to cross country and track and field: *(Adopted: 4/26/07 effective 8/1/07, Revised: 7/30/10, 5/22/13)*

(a) August 1 through the second Saturday in August:	Quiet Period
(b) The day after the second Saturday in August through the second Sunday in December [except for (1) below]: <i>(Revised: 10/2/07)</i>	Contact Period
(1) 12:01 a.m. on the day of the Division I Men's and Women's Cross Country Championships to 12:01 a.m. on the day following the completion of the championships: <i>(Revised: 10/10/07)</i>	Dead Period
(c) The day after the second Sunday in December through January 2 [except for (1) below]:	Quiet Period
(1) 12:01 a.m. on the first official day of the national convention of the U.S. Track and Field and Cross Country Coaches Association to 12:01 a.m. on the day after the adjournment of the convention:	Dead Period
(d) January 3 through July 31 [except for (1) through (3) below]:	Contact Period
(1) Monday through Thursday of the week that includes the initial date of the spring signing of the National Letter of Intent:	Dead Period
(2) 12:01 a.m. on the first day of the NCAA Division I Men's and Women's Indoor Track and Field Championships to 12:01 a.m. on the day following the completion of the championships:	Dead Period
(3) 12:01 a.m. on the first day of the NCAA Division I Men's and Women's Outdoor Track and Field Championships to 12:01 a.m. on the day following the completion of the championships:	Dead Period

**13.17.9 Women's Volleyball and Women's Sand Volleyball.** The following recruiting periods shall apply to women's volleyball and women's sand volleyball: *(Adopted: 4/25/02 effective 8/1/02, Revised: 8/15/02, 10/13/05, 12/5/05, 7/30/10, 1/15/11 effective 8/1/11)*

(a) August 1 through the first Sunday of December [except for (1) below]: <i>(Adopted: 4/25/02 effective 8/1/02)</i>	Contact Period
(1) Monday through Thursday of the week that includes the initial date for the fall signing of the National Letter of Intent: <i>(Adopted: 4/25/02 effective 8/1/02)</i>	Dead Period
(b) Monday following the first Sunday of December through the Tuesday prior to the Division I Women's Volleyball Championship: <i>(Adopted: 4/25/02 effective 8/1/02)</i>	Quiet Period
(c) Wednesday prior to the Division I Women's Volleyball Championship through December 31 [except for (1) and (2) below]: <i>(Adopted: 4/25/02 effective 8/1/02, Revised: 10/30/03, 4/26/07)</i>	Dead Period
(1) Coaches attending the American Volleyball Coaches Association (AVCA) annual awards banquet may have incidental contact with two-year college prospective student-athletes being honored at the banquet. (See Bylaw 13.02.5.5.1.) <i>(Adopted: 10/30/03)</i>	

(2) Thursday of the Division I Women's Volleyball Championship through the Sunday immediately following the championship. An institution's authorized coaching staff members (see Bylaw 11.7.4) may evaluate on only one day and may attend only one event on that day during this period. Such an event shall occur within a 30-mile radius of the site of the championship. Coaches from the same institution who attend such an event shall attend the same event on the same day. Coaches shall not attend events that occur at the same time that any intercollegiate competition in conjunction with the NCAA championship occurs: <i>(Adopted: 4/26/07, Revised: 10/29/09)</i>	Evaluation Period
(d) January 1 through July 31 [except for (1) through (3) below]: <i>(Adopted: 4/25/02 effective 8/1/02, Revised: 4/28/05 effective 8/1/05)</i>	Contact Period
(1) January 1 to the Friday prior to Martin Luther King Jr. Day: <i>(Adopted: 1/9/06 effective 8/1/06)</i>	Quiet Period
(2) Monday through Thursday of the week that includes the initial date for the spring signing of the National Letter of Intent: <i>(Adopted: 4/25/02 effective 8/1/02)</i>	Dead Period
(3) May 1 to the Friday prior to Memorial Day: <i>(Adopted: 1/9/06 effective 8/1/06)</i>	Quiet Period
(e) The following state-specific contact and evaluation times are permissible: <i>(Adopted: 1/9/06 effective 8/1/06)</i>	
(1) In those states that play the high school volleyball season in the winter, contacts and evaluations shall be permissible January 1 through the Friday prior to the Presidents Day weekend tournaments. <i>(Adopted: 1/9/06 effective 8/1/06)</i>	

**13.17.10 Contact Period Exception.** An institution that does not subscribe to the National Letter of Intent in a particular sport and has an official admissions notification date (the date when all applicants are notified whether they are admitted) that occurs after the end of the final contact period of the academic year may extend the final contact period of the academic year through 30 days after the institution's official admissions notification date. The institution remains subject to all dead periods that occur during the extended contact period and may not exceed the maximum number of contacts permitted in the particular sport. *(Revised: 7/30/10)*

**13.17.11 Recruiting Periods—Other Sports.** There are no specified recruiting periods in sports for which no recruiting calendars have been established, except for the following dead and quiet periods. *(Revised: 10/27/05, 12/5/05, 7/30/10)*

#### 13.17.11.1 Dead Periods.

**13.17.11.1.1 National Letter of Intent Signing Date.** Monday through Thursday of the week that includes the fall or spring signing of the National Letter of Intent in the applicable sport. *(Revised: 1/10/91, 8/2/91, 8/14/96, 7/30/10)*

**13.17.11.1.1.1 Exception—U.S. Diving National Championships.** During any year in which the National Letter of Intent signing date dead period occurs during the U.S. Diving National Championships, it shall be permissible for authorized coaching staff members to observe prospective student-athletes participating in that event. *(Adopted: 8/14/96, Revised: 7/30/10)*

**13.17.11.1.1.2 Exception—North American Cup Fencing Championship.** During any year in which the National Letter of Intent signing date dead period occurs during the North American Cup Fencing Championship, it shall be permissible for authorized coaching staff members to observe prospective student-athletes participating in that event. *(Adopted: 4/30/09, Revised: 7/30/10)*

**13.17.11.1.2 Field Hockey.** Wednesday prior to the NCAA Division I Field Hockey Championship to noon on the day after the game. *(Adopted: 10/12/95, Revised: 7/30/10)*

**13.17.11.1.3 Women's Gymnastics.** The day before the first day of the National Collegiate Women's Gymnastics Championships to noon on the day after the championships. *(Revised: 6/9/10, 7/30/10)*

**13.17.11.1.4 Men's Ice Hockey.** Wednesday prior to the NCAA Division I Men's Ice Hockey Championship to noon on the Sunday after the game. *(Revised: 7/30/10)*

**13.17.11.1.5 Women's Ice Hockey.** The day prior to the NCAA Division I Women's Ice Hockey Championship to noon on the day after the game (Thursday to noon Monday based on the current Friday to Sunday format). *(Adopted: 11/1/01, Revised: 7/30/10)*

**13.17.11.2 Quiet Period—Women's Ice Hockey.** Monday prior to the American Hockey Coaches Association Convention through midnight May 31. *(Adopted: 10/27/05, Revised: 7/30/10)*

### 13.18 Basketball Event Certification.

In basketball, in order for an event (e.g., camp, league, tournament or festival) to be certified, a certification application form must be submitted each year to the NCAA national office 45 days before the start of the event. An event review form for each event also must be submitted to the national office not later than three months after the event sessions. The following criteria must be met by each event in order to be certified: (*Adopted: 11/1/01 effective 4/1/02, Revised: 1/13/03, 4/29/04, 7/30/10, 10/27/11, 7/31/13*)

- (a) Admissions fees charged to all event participants must be similar;
- (b) No air or ground transportation or other gifts or inducements shall be provided to the event participants or their coaches or relatives;
- (c) A prospective student-athlete who attends an NCAA certified event shall not retain any athletics equipment or apparel provided for his use at the event other than an event T-shirt. All other apparel (e.g., shoes or shorts) may be retained only if the prospective student-athlete is charged the normal retail value of such items (as opposed to the event's cost in purchasing the items);
- (d) Compensation provided to event personnel shall be commensurate with the going rate for event personnel of like teaching ability and event experience;
- (e) The event shall include a comprehensive educational session presented in-person or in a video format that includes a review of regulations related to initial-eligibility standards, gambling, agents and drug use; (*Revised: 1/13/03*)
- (f) Neither the event nor any participating team shall have on its staff or as a participant any individual who has been found guilty or pleaded guilty in a court of law for having been involved in sports bribery, point shaving or game fixing; (*Revised: 4/25/11*)
- (g) The event shall not be conducted in a venue where sports wagering on intercollegiate athletics is permitted, or on property sponsored by an establishment that permits sports wagering on intercollegiate athletics or is branded with signage for such an establishment; (*Adopted: 1/14/02*)
- (h) No individual or agency involved in the marketing of any individual's athletics reputation or ability (including an employee of an agent or anyone associated with an agent in his or her capacity of marketing any individual's athletics reputation or ability) shall be associated in any capacity with the event (or any team participating in the event); (*Revised: 11/1/07*)
- (i) The event (and any team participating in the event) may not receive financial support from any individual or agency involved in marketing any individual's athletics reputation or ability or any representatives of an NCAA member institution's athletics interests that is assisting or has assisted in the recruiting process;
- (j) Individuals involved in coaching activities and in operating or managing the event must have been approved in accordance with guidelines established by the NCAA basketball certification staff; (*Revised: 1/13/03, 10/30/08*)
- (k) Participants on nonscholastic teams must be legal residents of the state in which the team is located or a geographically adjoining state and not more than a total of three prospective student-athletes from adjoining states may participate on any one nonscholastic team; (*Revised: 1/13/03*)
- (l) A participant may receive an award, provided the cost of the award is included in the participant's entry fee; (*Adopted: 1/13/03 effective 1/13/03*)
- (m) In men's basketball, qualified medical personnel must be present at the event. In women's basketball, qualified medical personnel must be present at summer events; (*Adopted: 1/8/07*)
- (n) Athletically related activities are precluded prior to 8 a.m. and the last athletically related activity may not begin later than 10 p.m. In men's basketball, April events may not begin before 6 p.m. on Friday and must conclude not later than 4 p.m. on Sunday; (*Adopted: 1/8/07, Revised: 10/27/11*)
- (o) Prospective student-athletes may participate in not more than five games over a rolling two-day period and in not more than three games on any one day; (*Adopted: 1/8/07*)
- (p) The price of event packets and the price of admission to the event must be listed on the event certification application and the prices must be made available to coaches prior to their arrival at the event; and (*Adopted: 1/8/07, Revised: 10/28/10 effective 5/1/11; for events occurring on or after 5/1/11*)
- (q) The event operator must provide proof of accident medical insurance coverage for event participants. (*Adopted: 11/1/07, Revised: 2/1/10*)

**13.18.1 Exceptions.** An exception to Bylaw 13.18-(k) may apply pursuant to the following circumstances, which must be verified by the NCAA national office: (*Adopted: 1/14/12, 7/31/13*)

- (a) A prospective student-athlete who is not an international prospective student-athlete or a student attending and living at a boarding school or two-year college shall establish and maintain a legal residence for a minimum of three months prior to participation in a certified event;
- (b) A prospective student-athlete may be considered a legal resident of the state in which his or her legal guardian resides, provided the legal guardianship is established in a court of law, the prospective student-athlete resides

- with the guardian and the guardianship is permanent. In addition, the prospective student-athlete shall establish and maintain such residence for a minimum of three months prior to participation in a certified event;
- (c) If a prospective student-athlete provides documentation to show that state residency requirements have been met even though he or she has not maintained residency in the state for the minimum period of three months, the prospective student-athlete may be considered a resident of the state;
  - (d) An international prospective student-athlete may be considered a legal resident of the state in which his or her host family resides, provided the prospective student-athlete is a participant in an official educational exchange program;
  - (e) An international prospective student-athlete who is not a participant in an official educational exchange program may be considered a legal resident of the state in which his or her host family resides if the prospective student-athlete attended high school in the locale of the host family's residence for a minimum of one academic year;
  - (f) A prospective student-athlete who attended and lived at a boarding school or two-year college for a minimum of one academic year may be considered a legal resident of the state in which the educational institution is located; or
  - (g) If a nonscholastic team that includes prospective student-athletes from a metropolitan area located on a state border, the team may include more than three team members from adjoining states, provided each additional participant resides within the metropolitan area.

### 13.19 Women's Final Four Basketball Event Certification.

In women's basketball, coaches are permitted to attend a single basketball event selected at the discretion of the institution, certified by the NCAA and held in conjunction with and conducted within a 30-mile radius of the championship site and host city of the NCAA Division I Women's Basketball Championship. The opportunity to attend such an event is limited to one per institution and is available for all coaching staff members. Institutional staff members are precluded from in-person face-to-face contacts with prospective student-athletes during such events. The following criteria must be met by each event in order to be certified: *(Revised: 1/12/04 effective 8/1/04, 4/28/05, 11/1/07, 7/30/10)*

- (a) Division I coaches may not be employed by the event;
- (b) The event must include an educational session that contains a review of initial-eligibility standards, and regulations related to gambling, agents and drug use;
- (c) Individuals involved in operating or managing the event must have been approved in accordance with guidelines established by the NCAA basketball certification staff; *(Adopted: 10/30/08)*
- (d) Individuals involved in the administration of the event may not have been found guilty or pleaded guilty in a court of law for having been involved in sports bribery, point shaving or game fixing;
- (e) All participants must have started classes for the senior year in high school;
- (f) The competition must be conducted during the Final Four weekend (Saturday through Tuesday) and may not occur at the same time of any other intercollegiate competition that occurs in conjunction with the Final Four weekend; *(Revised: 11/1/07)*
- (g) A certified event shall not be conducted in a venue where sports wagering on intercollegiate athletics is permitted or on property sponsored by an establishment that permits sports wagering on intercollegiate athletics or is branded with signage for such an establishment; *(Revised: 11/1/01)*
- (h) No individual or agency involved in the marketing of any individual's athletics reputation or ability (including an employee of an agent or anyone associated with an agent in his or her capacity of marketing any individual's athletics reputation or ability) shall be associated in any capacity with the event (or any team participating in the event); and *(Adopted: 11/1/07)*
- (i) The event operator must provide proof of accident medical insurance coverage for event participants. *(Adopted: 11/1/07, Revised: 2/1/10)*





# Eligibility: Academic and General Requirements

## 14.01 General Principles.

**14.01.1 Institutional Responsibility.** An institution shall not permit a student-athlete to represent it in intercollegiate athletics competition unless the student-athlete meets all applicable eligibility requirements, and the institution has certified the student-athlete's eligibility. A violation of this bylaw in which the institution fails to certify the student-athlete's eligibility prior to allowing him or her to represent the institution in intercollegiate competition shall be considered an institutional violation per Constitution 2.8.1; however, such a violation shall not affect the student-athlete's eligibility, provided all the necessary information to certify the student-athlete's eligibility was available to the institution and the student-athlete otherwise would have been eligible for competition. *(Revised: 1/14/08)*

**14.01.2 Academic Status.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be enrolled in at least a minimum full-time program of studies, be in good academic standing and maintain progress toward a baccalaureate or equivalent degree. *(Revised: 5/29/08)*

**14.01.2.1 Good Academic Standing.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be in good academic standing as determined by the academic authorities who determine the meaning of such phrases for all students of the institution, subject to controlling legislation of the conference(s) or similar association of which the institution is a member. *(Revised: 5/29/08)*

**14.01.2.1.1 Additional Application—Baseball.** In baseball, a student-athlete who fails to meet the requirements of good academic standing at the beginning of an institution's fall term shall not be eligible for competition during the remainder of the academic year. *(Adopted: 4/26/07 effective 8/1/08)*

**14.01.3 Compliance With Other NCAA and Conference Legislation.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be in compliance with all applicable provisions of the constitution and bylaws of the Association and all rules and regulations of the institution and the conference, if any, of which the institution is a member. A violation of this bylaw that relates only to a violation of a conference rule shall be considered an institutional violation per Constitution 2.8.1; however, such a violation shall not affect the student-athlete's eligibility. *(Revised: 10/27/06, 5/29/08)*

**14.01.4 Purpose of the Academic Performance Program.** The central purpose of the academic performance program is to ensure that the Division I membership is dedicated to providing student-athletes with exemplary educational and intercollegiate-athletics experiences in an environment that recognizes and supports the primacy of the academic mission of its member institutions, while enhancing the ability of male and female student-athletes to earn a four-year degree. *(Adopted: 4/29/04, Revised: 7/31/13)*

**14.01.5 Nature of Reward and Penalty Structure -- Academic Performance Program.** The Division I membership is committed to providing higher education for a diverse body of male and female student-athletes within the context of an institution's academic and admissions standards for all students through a system that rewards those institutions and teams that demonstrate commitment toward the academic progress, retention and graduation of student-athletes and penalizes those that do not. *(Adopted: 4/29/04, Revised: 7/31/13)*

### 14.01.6 Disclosure Requirements -- Academic Performance Program.

**14.01.6.1 Academic Progress Rate -- Disclosure.** An institution shall not be eligible to enter a team or individual competitor in postseason competition (including NCAA championships and bowl games) unless it has submitted, by the applicable deadline, its academic progress rate (APR) in a form approved and administered by the Committee on Academic Performance. *(Adopted: 4/29/04, Revised: 9/14/07, 7/31/13)*

**14.01.6.2 Academic Performance Census -- Disclosure.** An institution shall not be eligible to enter a team or individual competitor in postseason competition (including NCAA championships and bowl games) unless it has submitted, by the applicable deadline, its academic performance census (APC) in a form administered by the Committee on Academic Performance. *(Adopted: 4/29/04, Revised: 9/14/07, 7/31/13)*

**14.01.6.3 Graduation Success Rate -- Disclosure.** An institution shall not be eligible to enter a team or individual competitor in a postseason competition (including NCAA championships and bowl games) unless it has submitted, by the applicable deadline, its graduation success rate (GSR) in a form approved and administered by the Committee on Academic Performance. *(Adopted: 4/29/04, Revised: 9/14/07, 7/31/13)*



## 14.02 Definitions and Applications.

**14.02.1 Academic Progress Rate.** The Committee on Academic Performance shall have the authority to determine the minimum acceptable academic progress rate (APR), which shall include a calculation that accounts for currently enrolled student-athletes. The rate shall account for the institution's success in retaining and graduating all such student-athletes. Further, the rate shall account for the academic eligibility of the student-athletes, including all applicable NCAA, conference and institutional academic eligibility requirements. The committee shall publish an explanation of the APR calculation to the membership annually. (*Adopted: 4/29/04, Revised: 8/6/09, 7/31/13*)

**14.02.2 Branch School.** A branch school is an educational institution that usually offers two years of college work, does not award degrees independently, and is wholly controlled and operated by a four-year, degree-granting parent institution.

**14.02.3 Business Day.** A business day is any weekday that is not recognized as a national holiday, including any weekday during which an institution is closed for other reasons (e.g., holiday break). (*Adopted: 4/29/10 effective 8/1/10*)

**14.02.4 Collegiate Institution.** A collegiate institution (for purposes of NCAA legislation) is an institution of higher education that:

- (a) Is accredited at the college level by an agency or association recognized by the secretary of the Department of Education and legally authorized to offer at least a one-year program of study creditable toward a degree; or (*Revised: 1/10/90, 8/8/02*)
- (b) Conducts an intercollegiate athletics program, even though the institution is not accredited at the college level and authorized to offer at least a one-year program of study creditable toward a degree; or (*Revised: 8/8/02*)
- (c) Is located in a foreign country.

**14.02.5 Education-Impacting Disability.** An education-impacting disability is a current impairment that has a substantial educational impact on a student's academic performance and requires accommodation. (*Adopted: 8/8/08*)

**14.02.6 Exception.** An exception is the granting of relief from the application of a specific regulation (e.g., the residence requirement for a transfer student to become eligible for competition). The action granting the exception may be taken solely by the certifying institution, based on evidence that the conditions on which the exception is authorized have been met (see Bylaw 14.02.17). (*Revised: 11/1/07 effective 8/1/08*)

**14.02.7 Good Academic Standing and Progress Toward Degree.** The phrases "good academic standing" and "progress toward degree" are to be interpreted at each member institution by the academic officials who determine the meaning and application of such phrases for all students, subject to the controlling regulations of the institution; the conference(s) (or similar associations), if any, of which the institution is a member; and applicable NCAA legislation (see Bylaw 14.4).

**14.02.8 Graduation Success Rate.** The Committee on Academic Performance shall determine the minimum acceptable graduation success rate (GSR). The Committee on Academic Performance shall publish an explanation of the GSR calculation to the membership annually. (*Adopted: 4/29/04, Revised: 7/31/13*)

**14.02.9 Intercollegiate Competition.** Intercollegiate competition occurs when a student-athlete in either a two-year or a four-year collegiate institution: (*Revised: 1/10/95*)

- (a) Represents the institution in any contest against outside competition, regardless of how the competition is classified (e.g., scrimmage, exhibition or joint practice session with another institution's team) or whether the student is enrolled in a minimum full-time program of studies; (*Revised: 1/10/91*)
- (b) Competes in the uniform of the institution or, during the academic year, uses any apparel (excluding apparel no longer used by the institution) received from the institution that includes institutional identification; or (*Revised: 1/16/93, 1/11/94, 1/9/06*)
- (c) Competes and receives expenses (e.g., transportation, meals, room or entry fees) from the institution for the competition.

**14.02.9.1 Exempted Events.** Participation in events listed in Bylaw 16.8.1.1 is exempted from the application of this legislation. (*Revised: 1/10/92*)

**14.02.9.2 Participation on an Institution's Club Team.** Participation on a collegiate institution's club team is exempted from the application of this legislation, provided the institution did not sponsor the sport on the varsity intercollegiate level at the time of participation. (*Adopted: 6/24/09*)

**14.02.10 National Team.** A national team is one selected, organized and sponsored by the appropriate national governing bodies of the U.S. Olympic Committee (or, for student-athletes representing another nation, the equivalent organization of that nation, or, for student-athletes competing in a non-Olympic sport, the equivalent organization of that sport). The selection for such a team shall be made on a national qualification basis, either through a defined selective process or by actual tryouts, publicly announced in advance. In addition, the international competition in question shall require that the entrants officially represent their respective nations, although it is not necessary to require team scoring by nation.

**14.02.11 Organized Competition.** Athletics competition shall be considered organized if any of the following conditions exists: *(Revised: 4/29/10)*

- (a) Competition is scheduled and publicized in advance;
- (b) Official score is kept;
- (c) Individual or team standings are maintained;
- (d) Official timer or game officials are used;
- (e) Admission is charged;
- (f) Teams are regularly formed or team rosters are predetermined;
- (g) Team uniforms are used;
- (h) A team is privately or commercially sponsored; or
- (i) The competition is either directly or indirectly sponsored, promoted or administered by an individual, an organization or any other agency.

**14.02.12 Participation in Intercollegiate Athletics.** Participation in intercollegiate athletics occurs when a student-athlete either practices in a sport (see Bylaw 17.02.1) or competes in a sport, as defined in Bylaw 14.02.9. Eligibility rules for competition may differ from those for practice.

**14.02.13 Qualification Status.**

**14.02.13.1 Qualifier.** A qualifier is a student who, for purposes of determining eligibility for financial aid, practice and competition, has met all of the following requirements (see Bylaw 14.3):

- (a) Graduation from high school;
- (b) Successful completion of a required core curriculum consisting of a minimum number of courses in specified subjects;
- (c) Specified minimum grade-point average in the core curriculum; and
- (d) Specified minimum SAT or ACT score.

**14.02.13.2 Nonqualifier.** A nonqualifier is a student who has not graduated from high school or who, at the time specified in the regulation (see Bylaw 14.3), has not successfully completed the required core-curriculum or has not presented the required minimum core-curriculum grade-point average and/or the corresponding SAT/ACT score required for a qualifier.

**Delayed effective date. See specific date below.**

**14.02.13.2 Academic Redshirt.** An academic redshirt is defined as one who is a high school graduate and who presented the same academic qualifications applicable to qualifiers (see Bylaw 14.3.1.1) except for the following: *(Adopted: 10/27/11, Revised: 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16)*

- (a) The required minimum cumulative grade-point average and minimum combined score on the SAT critical reading and math sections or a minimum sum score on the ACT as specified in Bylaw 14.3.1.2.1; and
- (b) Bylaw 14.3.1.1-(c) shall not apply.

**14.02.13.3 Nonqualifier.** A nonqualifier is a student who has not graduated from high school or who, at the time specified in the regulation (see Bylaw 14.3), has not successfully completed the required core-curriculum or has not presented the required minimum core-curriculum grade-point average and/or the corresponding SAT/ACT score required for a qualifier or academic redshirt. *(Revised: 10/27/11, 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16)*

**14.02.14 Religious Mission, Official.** An official religious mission is one that is established by the religious organization of which the individual is a member and that results in the individual being unable to attend a collegiate institution during the period of the mission. *(Revised: 1/9/06, 4/2/10)*

**14.02.15 Residence.** Residence is enrollment in a full-time academic program (as defined by the institution) at a collegiate institution during a regular term of an academic year. A summer term may not be used to satisfy an academic term of residence. Any student-athlete (e.g., qualifier, nonqualifier, transfer student) admitted after the 12th class day may not use that semester or quarter for the purpose of satisfying an academic term or year of residence. *(Revised: 4/14/10)*

**14.02.15.1 Academic Year of Residence.** To satisfy an academic year of residence, a student shall: *(Revised: 4/14/10, 5/14/13)*

- (a) Be enrolled in and complete a minimum full-time program of studies for two full semesters or three full quarters; or
- (b) Be enrolled in a minimum full-time program of studies for two semesters or three quarters and pass a number of hours (including hours earned at the certifying institution during a summer term) that is at least equal to the sum total of the minimum load of each of the required terms.

**14.02.16 Transfer Student.** A transfer student, in the application of NCAA eligibility requirements, is a student who transfers from any collegiate institution after having met any one of the conditions set forth in Bylaw 14.5.2.

**14.02.17 Waiver.** A waiver is an action exempting an individual or institution from the application of a specific regulation. A waiver requires formal approval (e.g., an NCAA committee or a conference, as specified in the legislation) based on evidence of compliance with the specified conditions or criteria under which the waiver is authorized or extenuating circumstances (see Bylaw 14.02.6). (*Revised: 11/1/07 effective 8/1/08*)

## **14.1 General Eligibility Requirements.**

**14.1.1 Postseason and Regular-Season Competition.** To be eligible for regular-season competition, NCAA championships, and for postseason football bowl games, the student-athlete shall meet all general eligibility requirements.

**14.1.2 Validity of Academic Credentials.** As a condition and obligation of membership, it is the responsibility of a member institution to determine the validity of the information on which the eligibility of a student-athlete is based. Therefore, it is the responsibility of a member institution to determine whether a transcript is valid for purposes of applying appropriate NCAA legislation to the eligibility of a student-athlete when the institution receives notification, or otherwise has cause to believe, that a student-athlete's high school, preparatory school or two-year college transcript is not valid.

**14.1.2.1 Invalidation of Academic Credentials After Initial-Eligibility Certification.** If a student-athlete's academic credentials (e.g., transcript, test scores) are invalidated after his or her initial eligibility has been certified, the institution must report any violation that may have occurred as a result of the student-athlete's receipt of financial aid or participation in practice or competition and declare the student-athlete ineligible for competition. If such a violation occurred, the student-athlete remains ineligible for intercollegiate competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement regardless of whether an initial-eligibility waiver is granted after the invalidation of the academic credentials. (*Adopted: 3/3/11*)

**14.1.2.2 High School Review Committee.** The High School Review Committee shall have the authority to establish policies and procedures related to the academic review of high schools and to determine the validity of a high school (e.g., core courses, curriculum, grades) for the purpose of meeting initial-eligibility requirements. A review may result in a determination that a high school shall not be used for the purpose of meeting initial-eligibility requirements. The policies and procedures for the review and determination of the validity of a high school shall be approved by the Academic Cabinet. (See Bylaw 21.6.4.) (*Adopted: 1/8/07, Revised: 11/1/07 effective 8/1/08*)

**14.1.2.3 Student Records Review Committee.** The Student Records Review Committee shall have the authority to establish policies and procedures related to the review of a prospective student-athlete's academic credentials and to determine the validity of a prospective student-athlete's academic credentials for the purpose of meeting initial-eligibility requirements. A review may result in a determination that a prospective student-athlete's academic credentials shall not be used for the purpose of meeting initial-eligibility requirements. The policies and procedures for the review of academic credentials and the determination of the validity of such credentials shall be approved by the Academic Cabinet. (See Bylaw 21.6.5.) (*Adopted: 1/8/07, Revised: 11/1/07 effective 8/1/08*)

**14.1.2.4 NCAA Eligibility Center.** An institution shall use the NCAA Eligibility Center approved by the Executive Committee to determine the initial eligibility of a student-athlete. (*Adopted: 1/19/93 effective 8/1/94, Revised: 1/10/95, 3/10/04, 4/27/06, 1/8/07, 5/9/07*)

**14.1.2.4.1 Institutional Responsibility.** An institution is responsible for promptly reporting all discrepancies in information used in a student-athlete's initial-eligibility certification to the NCAA Eligibility Center. Discrepancies in information include, but are not limited to, corrections, additions, potential academic misconduct with regard to high schools attended, grades, completion of coursework or test scores. (*Adopted: 4/27/06, Revised: 5/9/07*)

### **14.1.3 Student-Athlete Statement.**

**14.1.3.1 Content and Purpose.** Prior to participation in intercollegiate competition each academic year, a student-athlete shall sign a statement in a form prescribed by the Legislative Council in which the student-athlete submits information related to eligibility, recruitment, financial aid, amateur status, previous positive-drug tests administered by any other athletics organization and involvement in organized gambling activities related to intercollegiate or professional athletics competition under the Association's governing legislation. Failure to complete and sign the statement shall result in the student-athlete's ineligibility for participation in all intercollegiate competition. Violations of this bylaw do not affect a student-athlete's eligibility if the violation occurred due to an institutional administrative error or oversight, and the student-athlete subsequently signs the form; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (*Revised: 1/10/92 effective 8/1/92, 1/14/97, 2/19/97, 4/24/03, 11/1/07 effective 8/1/08*)

**14.1.3.2 Administration.** The following procedures shall be used in administering the form: *(Revised: 8/4/89, 1/9/06 effective 8/1/06, 7/30/10)*

- (a) The statement shall be administered individually to each student-athlete by the athletics director or the athletics director's designee prior to the student's participation in intercollegiate competition each academic year; and
- (b) The statement shall be kept on file by the athletics director and shall be available for examination upon request by an authorized representative of the NCAA.

**14.1.3.3 Institutional Responsibility—Notification of Positive Test.** The institution shall promptly notify in writing the NCAA vice president for student-athlete affairs regarding a student-athlete's disclosure of a previous positive test for banned substances administered by any other athletics organization. *(Adopted: 1/14/97 effective 8/1/97)*

#### **14.1.4 Drug-Testing Consent Form.**

**14.1.4.1 Content and Purpose.** Each academic year, a student-athlete shall sign a form maintained by the Committee on Competitive Safeguards and Medical Aspects of Sports and approved by the Legislative Council in which the student consents to be tested for the use of drugs prohibited by NCAA legislation. Failure to complete and sign the consent form prior to practice or competition, or before the Monday of the fourth week of classes (whichever occurs first) shall result in the student-athlete's ineligibility for participation (practice and competition) in all intercollegiate athletics. *(Adopted: 1/10/92 effective 8/1/92, Revised: 1/16/93, 1/10/95 effective 8/1/95, 1/14/97, 4/24/03, 8/5/04, 11/1/07 effective 8/1/08, 7/30/10)*

**14.1.4.2 Administration.** The following procedures shall be used in administering the form (see Constitution 3.2.4.7): *(Adopted: 1/10/92 effective 8/1/92, Revised: 7/30/10)*

- (a) The consent form shall be administered individually to each student-athlete by the athletics director or the athletics director's designee each academic year;
- (b) The athletics director or the athletics director's designee shall disseminate the list of banned drug classes to all student-athletes and educate them about products that might contain banned drugs. All student-athletes are to be notified that the list may change during the academic year, that updates may be found on the NCAA website ([www.ncaa.org](http://www.ncaa.org)) and informed of the appropriate athletics department procedures for disseminating updates to the list; and *(Adopted: 4/27/00)*
- (c) The consent form shall be kept on file by the athletics director and shall be available for examination upon request by an authorized representative of the NCAA. *(Revised: 4/27/00)*

**14.1.4.3 Exception—14-Day Grace Period.** A student-athlete who is "trying out" for a team is not required to complete the form until 14 days from the first date the student-athlete engages in countable athletically related activities or before the student-athlete participates in a competition, whichever occurs earlier. *(Adopted: 4/27/06 effective 8/1/06)*

**14.1.4.4 Effect of Violation.** A violation of Bylaw 14.1.4 or its subsections shall be considered institutional violations per Constitution 2.8.1; however, a violation shall not affect the student-athlete's eligibility, provided the student-athlete signs the consent form. *(Revised: 4/28/05 effective 8/1/05, 7/30/10)*

#### **14.1.5 Student-Athlete Health Insurance Portability and Accountability Act (HIPAA) Authorization/Buckley Amendment Consent Form—Disclosure of Protected Health Information.**

**14.1.5.1 Content and Purpose.** Each academic year, a student-athlete may voluntarily sign a statement in a form maintained by the Committee on Competitive Safeguards and Medical Aspects of Sports and approved by the Legislative Council in which the student-athlete authorizes/consents to the institution's physicians, athletics directors and health care personnel to disclose the student-athlete's injury/illness and participation information associated with the student-athlete's training and participation in intercollegiate athletics to the NCAA and to its Injury Surveillance Program (ISP), agents and employees for the purpose of conducting research into the reduction of athletics injuries. The authorization/consent by the student-athlete is voluntary and is not required for the student-athlete to be eligible to participate. **[D]** *(Adopted: 4/24/03, Revised: 8/7/03 effective 8/1/04, 11/1/07 effective 8/1/08)*

**14.1.5.2 Administration.** The following procedures shall be used in administering the form: **[D]** *(Adopted: 4/24/03, Revised: 8/7/03 effective 8/1/04, 7/30/10)*

- (a) The authorization/consent form shall be administered individually to each student-athlete by the athletics director or the athletics director's designee before the student-athlete's participation in intercollegiate athletics each academic year.
- (b) Signing the authorization/consent shall be voluntary and is not required by the student-athlete's institution for medical treatment, payment for treatment, enrollment in a health plan or for any benefits (if applicable) and is not required for the student-athlete to be eligible to participate.
- (c) Any signed authorization/consent forms shall be kept on file by the athletics director.



## 14.1.6 Admission and Enrollment.

**14.1.6.1 Admission.** A student-athlete shall not represent an institution in intercollegiate athletics competition unless the student has been admitted as a regularly enrolled, degree-seeking student in accordance with the regular, published entrance requirements of that institution.

**14.1.6.1.1 Special Admission.** A student-athlete may be admitted under a special exception to the institution's normal entrance requirements if the discretionary authority of the president or chancellor (or designated admissions officer or committee) to grant such exceptions is set forth in an official document published by the university (e.g., official catalog) that describes the institution's admissions requirements. *(Revised: 3/8/06)*

## 14.1.7 Full-Time Enrollment.

**14.1.7.1 Requirement for Practice.** To be eligible to participate in organized practice sessions, a student-athlete shall be enrolled in a minimum full-time program of studies leading to a baccalaureate or equivalent degree as defined by the regulations of the certifying institution. **[D]** *(Revised: 1/10/92, 10/28/99)*

**14.1.7.1.1 Practice Prior to Initial Enrollment.** A student-athlete may practice during the official vacation period immediately preceding initial enrollment, provided the student has been accepted by the institution for enrollment in a regular, full-time program of studies at the time of the individual's initial participation; is no longer enrolled in the previous educational institution; and is eligible under all institutional and NCAA requirements.

**14.1.7.1.1.1 Prohibited Practice Activities.** A prospective student-athlete shall not engage in any practice activities (e.g., review of playbook, chalk talk, film review) with a coaching staff member prior to his or her enrollment. A prospective student-athlete who has signed a National Letter of Intent or the institution's written offer of admission and/or financial aid, or has submitted a financial deposit to the institution in response to the institution's offer of admission shall not observe an institution's off-field or off-court practice session (e.g., meeting, film review) that is closed to the general public. A prospective student-athlete may observe an institution's on-field or on-court practice session (including a session that is closed to the general public), regardless of whether he or she has signed a National Letter of Intent or the institution's written offer of admission and/or financial aid, or has submitted a financial deposit to the institution in response to the institution's offer of admission. *(Revised: 5/30/13)*

**14.1.7.1.2 Drop/Add Course.** A student-athlete no longer shall be considered enrolled in a minimum full-time program of studies (after dropping a course that places the student below full-time status) when the dropped course becomes official in accordance with procedures determined by the institution for all students. A student who is adding a course to reach full-time status shall become eligible for practice and competition once the course has been approved by the appropriate department head (or designated representative) and submitted to the registrar. *(Adopted: 1/10/92)*

**14.1.7.1.3 Exception—Final Semester/Quarter.** A student-athlete with athletics eligibility remaining may participate in organized practice sessions while enrolled in less than a minimum full-time program of studies, provided the student is enrolled in the final semester or quarter of the baccalaureate program and the institution certifies that the student is carrying (for credit) the courses necessary to complete the degree requirements, as determined by the faculty of the institution.

**14.1.7.1.4 Withdrawal or Dismissal.** A student-athlete who withdraws or is dismissed from an institution is considered to be a prospective student-athlete eligible for recruitment by other NCAA member institutions and may not continue to practice with the original institution's team.

**14.1.7.1.5 Exception—Practice During First Week of Class.** A student-athlete may practice, but may not compete, during the institution's first five days of classes if the student-athlete is enrolled in less than a minimum full-time program of studies, provided the student is otherwise eligible under all institutional, conference and NCAA requirements. *(Adopted: 1/10/95 effective 8/1/95)*

**14.1.7.1.6 Exception—Former Student Participating in Practice on an Occasional Basis.** A former student at the certifying institution (e.g., former student-athlete) may participate in an organized practice session on an occasional basis, provided the institution does not publicize the participation of the former student at any time before the practice session. *(Adopted: 3/3/11)*

**14.1.7.2 Requirement for Competition.** To be eligible for competition, a student-athlete shall be enrolled in at least a minimum full-time program of studies leading to a baccalaureate or equivalent degree, which shall not be less than 12 semester or quarter hours. *(Revised: 6/1/07)*

**14.1.7.2.1 Exceptions.** The following exceptions to the minimum 12-semester or 12-quarter-hour enrollment requirement are permitted:

**14.1.7.2.1.1 Competition Prior to Initial Enrollment.** A student-athlete may compete during the official vacation period immediately preceding initial enrollment, provided the student has been accepted by the institution for enrollment in a regular, full-time program of studies at the time of the individual's initial participation; is no longer enrolled in the previous educational institution; and is eligible under all institutional and NCAA requirements. (See Bylaw 16.8.2.1.)

**14.1.7.2.1.2 Eligibility Between Terms.** To be eligible for competition that takes place between terms, the student-athlete shall:

- (a) Have been registered for the required minimum full-time load (see Bylaw 14.1.7.2) at the conclusion of the term immediately preceding the date of competition, if the student is continuing enrollment; or
- (b) Be accepted for enrollment as a regular full-time student for the regular term immediately following if the student is either continuing enrollment or beginning enrollment (see Bylaw 14.1.9). (*Revised: 1/11/89*)

**14.1.7.2.1.3 Final Semester/Quarter.** A student-athlete may compete while enrolled in less than a minimum full-time program of studies, provided the student is enrolled in the final semester or quarter of the baccalaureate program and the institution certifies that the student is carrying (for credit) the courses necessary to complete degree requirements. The student granted eligibility under this provision shall be eligible for any postseason event that begins within 60 days following said semester or quarter, provided the student has not exhausted the five years for completion of the individual's maximum permissible number of seasons of eligibility (see Bylaw 14.2). Thereafter, the student shall forfeit eligibility in all sports, unless the student completes all degree requirements during that semester or quarter and is eligible to receive the baccalaureate diploma on the institution's next degree-granting date. (*Revised: 1/10/92, 1/16/93, 1/10/95, 2/1/05, 11/1/07 effective 8/1/08, 1/14/12, 8/21/12*)

**14.1.7.2.1.3.1 Exception—Quarter Institutions.** A student-athlete who attends a quarter-system institution and who graduates at the end of the winter quarter may compete in an NCAA championship or a National Invitation Tournament that occurs during the same academic year following the completion of the winter quarter. (*Adopted: 4/29/04 effective 8/1/04*)

**14.1.7.2.1.4 Graduate Program.** A student may compete while enrolled in a full-time graduate program as defined by the institution (see Bylaw 14.1.8). (*Revised: 1/9/06 effective 8/1/06*)

**14.1.7.2.2 Noncredit Work.** Hours of noncredit work (including remedial courses) may be counted toward fulfilling the minimum full-time enrollment requirement, provided the noncredit work is given the same academic load value and is considered by the institution to be a requirement for the degree currently being pursued by the student.

**14.1.7.2.3 Concurrent Courses at Two Institutions.** Courses taken concurrently at a second institution may be counted toward meeting the minimum 12-hour enrollment requirement, provided:

- (a) The certifying institution officially recognizes the student's combined hours as full-time enrollment for a minimum of 12 hours; and
- (b) Courses taken at the second institution will be included on the student's transcript at the institution where the student is seeking the degree.

**14.1.7.2.4 Cooperative Educational Exchange Program.** A student-athlete may represent the certifying institution in intercollegiate athletics even though at the time of competition the student is enrolled in another institution in a cooperative educational exchange program, provided:

- (a) The certifying institution considers the student to be regularly enrolled in a minimum full-time program of studies; and
- (b) All work is placed on the student's transcript and accepted toward his or her undergraduate degree at the certifying institution.

**14.1.7.2.5 Cooperative Educational Work Experience Program.** A student-athlete may represent the certifying institution in intercollegiate athletics while he or she is enrolled in a cooperative educational work experience program (e.g., co-op, internship, practicum, student-teaching) offered by the institution, provided the student is considered to be enrolled in a full-time program of studies, regardless of the credit value of the program. However, a student who participates in a cooperative educational work experience program that is not a required element of his or her degree program must be enrolled in a minimum of six credit hours, which may include the credit value of the cooperative educational work experience program, at the certifying institution during the same term. (*Adopted: 10/28/10*)

**14.1.7.2.6 Nontraditional Courses.** Enrollment in a nontraditional course (e.g., distance-learning, correspondence, extension, Internet/virtual courses, independent study or any other course or credit that is not earned in a face-to-face classroom environment with regular interaction between the instructor and the student) offered by the certifying institution may be used to satisfy the full-time enrollment requirement for competition, provided the following conditions are met: (*Adopted: 4/28/11 effective 8/1/11*)

- (a) The course is available to any student at the institution;
- (b) The student-athlete enrolls in the course in the same manner as is available to any student;
- (c) Enrollment in the course occurs within the institution's regular enrollment periods (pre-registration or drop-add period) in accordance with the institution's academic calendar and applicable policies and procedures; and
- (d) The course is conducted during the institution's regular academic schedule (term time) in accordance with the institution's academic calendar and applicable policies and procedures.



**14.1.7.3 Waivers.** Waivers may be granted to the minimum 12-semester or 12-quarter hour enrollment requirement as follows: *(Revised: 1/16/10 effective 5/1/10)*

**14.1.7.3.1 Nontraditional Academic Calendars.** A student-athlete at an institution that determines enrollment hours on a basis other than traditional semester or quarter hours or that conducts a cooperative educational work experience program (e.g., co-op, internship, practicum, student-teaching) may practice and compete, if the student is enrolled for a comparable minimum academic load as determined by the Progress-Toward-Degree Waivers Committee (see Bylaw 21.7.5.1.3.2). *(Revised: 11/1/07 effective 8/1/08, 1/16/10 effective 5/1/10, 10/8/10)*

**14.1.7.3.2 U.S. Olympic Committee/National Governing Body—Practice.** A student with eligibility remaining who is not enrolled or who is enrolled in less than a minimum full-time program of studies, or a former student-athlete may participate on a regular basis in organized practice sessions, provided the following conditions are met: *(Adopted: 1/9/96, Revised: 1/14/97 effective 8/1/97, 1/16/10 effective 5/1/10)*

- (a) The practice sessions take place at the institution the individual previously attended as an undergraduate or currently attends or previously attended as a graduate student, except that a former student-athlete who has graduated and has no eligibility remaining may participate in practice sessions at an institution other than the one he or she previously attended; *(Revised: 4/29/10 effective 8/1/10)*
- (b) In the case of a former student-athlete, the practice sessions involve an individual sport or women's rowing; *(Revised: 4/28/05 effective 8/1/05, 1/17/09 effective 8/1/09)*
- (c) In the case of a current student-athlete with eligibility remaining, the practice sessions involve an individual or team sport; *(Revised: 4/28/05 effective 8/1/05)*
- (d) The U.S. Olympic Committee or national governing body in the sport has recommended the individual's participation;
- (e) The individual does not participate in any coaching activities unless the institution designates the individual in the appropriate coaching limits;
- (f) In the case of a student-athlete with NCAA eligibility remaining in the sport, such participation occurs only during the academic year immediately preceding the Olympic Games; and
- (g) In the case of a former student-athlete, such participation shall be limited to the number of years that allows the individual to practice with the institution's team in preparation for two consecutive Olympic Games following exhaustion of eligibility or completion of degree, whichever is earlier. A student-athlete who has not graduated must be enrolled (full or part time) and making progress toward a degree. *(Adopted: 4/25/02 effective 8/1/02, Revised: 4/29/10 effective 8/1/10)*

**14.1.7.3.2.1 Administration.** This waiver shall be approved by the conference members of the Association or, in the case of independent institutions, by the Progress-Toward-Degree Waivers Committee. A member institution shall submit a waiver request that includes documentation that demonstrates that the conditions of Bylaw 14.1.7.3.2 have been met for each individual who wishes to participate in the institution's practice sessions. *(Adopted: 1/16/10 effective 5/1/10)*

**14.1.7.3.3 Olympic Games, Pan American Games, World Championships, World Cup, World University Games, World University Championships or World Youth Championships—Competition.** The Progress-Toward-Degree Waivers Committee (see Bylaw 21.7.5.1.3.2) may waive the minimum full-time enrollment requirement for any participant in the Olympic Games, Pan American Games, World Championships, World Cup, World University Games, World University Championships or World Youth Championships (including junior levels of such events) who, because of such participation, may lose eligibility for practice and competition in any sports. *(Revised: 1/10/91, 1/9/96, 9/12/03, 12/13/05, 11/1/07 effective 8/1/08, 1/16/10 effective 5/1/10, 1/14/12)*

**14.1.7.3.4 Student-Athletes With Education-Impacting Disabilities.** The Progress-Toward-Degree Waivers Committee (see Bylaw 21.7.5.1.3.2) may waive the 12-hour requirement for a student-athlete when objective evidence demonstrates that an institution defines full-time enrollment for that student-athlete to be less than 12 hours to accommodate for the education-impacting disability. *(Adopted: 1/10/95, Revised: 11/1/07 effective 8/1/08, 8/7/08, 1/16/10 effective 5/1/10)*

**14.1.7.3.5 Full-Time Enrollment—Practice and Competition.** Unless otherwise specified under this bylaw, the Progress-Toward-Degree Waivers Committee (see Bylaw 21.7.5.1.3.2) may waive the 12-hour requirement for practice and competition. *(Adopted: 8/4/05, Revised: 11/1/07 effective 5/1/08, 1/16/10 effective 5/1/10)*

**14.1.8 Graduate Student/Postbaccalaureate Participation.** A student-athlete who is enrolled in a graduate or professional school of the same institution from which he or she previously received a baccalaureate degree, a student-athlete who is enrolled and seeking a second baccalaureate or equivalent degree at the same institution, or a student-athlete who has graduated and is continuing as a full-time student at the same institution while taking course work that would lead to the equivalent of another major or degree as defined and documented by the institution, may participate in intercollegiate athletics, provided the student has eligibility remaining and such participation occurs within the applicable five-year period set forth in Bylaw 14.2 (see Bylaw 14.1.7.2.1.4). *(Revised: 1/10/90, 1/16/93 effective 8/1/93)*

**14.1.8.1 One-Time Transfer Exception.** A graduate student who is enrolled in a graduate or professional school of an institution other than the institution from which he or she previously received a baccalaureate degree may participate in intercollegiate athletics if the student fulfills the conditions of the one-time transfer exception set forth in Bylaw 14.5.5.2.10 and has eligibility remaining per Bylaw 14.2. A graduate student who does not meet the one-time transfer exception due to the restrictions of Bylaw 14.5.5.2.10-(a) shall qualify for this exception, provided: (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/27/06, 1/6/07 effective 8/1/07, 4/28/11 effective 8/1/11*)

- (a) The student fulfills the remaining conditions of Bylaw 14.5.5.2.10;
- (b) The student has at least one season of competition remaining; and
- (c) The student's previous institution did not renew his or her athletically related financial aid for the following academic year.

**14.1.8.2 International Student Exception.** The remaining eligibility of a student who has received a foreign postsecondary degree that is identified as a "baccalaureate" but is not equivalent to a U.S. baccalaureate and who is entering an undergraduate program must be reviewed on a case-by-case basis by the International-Student Records Committee. (*Adopted: 1/16/93, Revised: 11/1/07 effective 8/1/08*)

**14.1.8.3 Postseason Event Following Last Term of Eligibility.** A student-athlete who is eligible during the term in which degree work is completed (or is eligible as a graduate, per Bylaw 14.1.8) remains eligible for any postseason event that begins within 60 days after the end of the term in which the student completes the requirements for the degree (or graduate eligibility). (*Revised: 1/16/93, 1/10/95, 2/1/05, 11/1/07 effective 8/1/08, 1/14/12, 8/21/12*)

**14.1.9 Change in Eligibility Status.** If a student-athlete's academic eligibility changes at the end of a quarter or semester, the student-athlete shall become eligible or ineligible to compete on the date his or her eligibility officially is certified by the appropriate institutional authority. In a case in which the student becomes eligible at the end of the term, the earliest date on which the student can become eligible to compete is the day after the date of the last scheduled examination listed in the institution's official calendar for the term that is ending. In a case in which the student becomes ineligible, the ineligibility shall become effective not later than the first day of classes of the following semester or quarter. In any case, if the student-athlete is academically eligible to compete at the time of the student-athlete's or the institution's first participation in an NCAA championship, he or she shall remain eligible for the remainder of the championship.

#### **14.1.9.1 Exception.**

**14.1.9.1.1 Institutions With Official Posting Date for Grades.** An institution whose official posting date for grades falls within three days of the first day of classes for the following academic term shall have three business days from the official date on which grades must be posted to certify that student-athletes have satisfactorily completed at least six semester or six quarter hours of academic credit during the preceding regular academic term (see Bylaw 14.4.3.1) and to certify that student-athletes have satisfied the grade-point average requirements per Bylaw 14.4.3.3. For those institutions that post grades on a rolling basis, the three-business-day period shall begin within the first week of classes. (*Adopted: 4/28/05 effective 8/1/05*)

**14.1.9.1.2 Institutions With no Official Posting Date for Grades.** An institution whose official submission date for grades falls on or after the first day of classes for the following academic term shall have five business days from the date on which grades are submitted to certify that student-athletes have satisfactorily completed at least six semester or six quarter hours of academic credit during the preceding regular academic term (see Bylaw 14.4.3.1) and to certify that student-athletes have maintained grade-point average requirements per Bylaw 14.4.3.3. (*Adopted: 4/28/05 effective 8/1/05*)

**14.1.9.1.3 Certification of Eligibility.** During the three-business-day or five-business-day period, a student-athlete who is otherwise eligible under NCAA, conference and institutional requirements, shall be permitted to compete. If during the exception period, a student-athlete is certified ineligible, the student-athlete shall be declared immediately ineligible for competition. If the three-business-day or five-business-day period concludes prior to the certification of eligibility for any student-athlete, the student-athlete shall be ineligible until such time the student-athlete is declared eligible for competition. (*Adopted: 4/28/05 effective 8/1/05*)

**14.1.9.1.4 Notification to NCAA National Office.** As a condition of the exception, an institution shall provide to the NCAA national office, the number of student-athletes who were ultimately declared ineligible for the academic term but competed during the exception period. (*Adopted: 4/28/05 effective 8/1/05*)

**14.1.9.2 Eligibility for Postseason Competition—Between Terms.** To be eligible to compete in a postseason event (e.g., conference tournament, bowl game, National Invitation Tournament, NCAA championship) that occurs between regular terms (including summer) a student-athlete shall have satisfactorily completed six semester or six quarter hours of academic credit during the preceding regular academic term of full-time enrollment (see Bylaw 14.4.3.1). (*Adopted: 4/28/05 effective 8/1/05, Revised: 12/12/06, 1/8/07 effective 8/1/07, 4/26/07 effective 8/1/07*)

**14.1.9.2.1 Less Than Full-Time Enrollment.** A student-athlete who was enrolled in less than a minimum full-time program of studies pursuant to an exception or waiver during the term immediately prior to the postseason event is subject to the following requirements: *(Adopted: 1/8/07 effective 8/1/07)*

- (a) If the student-athlete was enrolled in at least six credit hours, he or she shall have satisfactorily completed six semester or six quarter hours of academic credit; or
- (b) If the student-athlete was enrolled in less than six credit hours, he or she shall have satisfactorily completed the number of semester or quarter hours of academic credit in which he or she was enrolled.

**14.1.9.2.2 Timing of Certification.** A student-athlete's eligibility under this provision shall be determined within 14 business days (see Bylaw 14.02.3) (regardless of the date in which grades are posted or submitted) after the date of the last scheduled examination listed in the institution's official calendar for the term that is ending. If the postseason event occurs prior to the conclusion of the 14 business days, eligibility shall be determined by using grades from the immediately preceding regular academic term (e.g., fall term if certifying for a bowl game), provided a sufficient number of grades from the term have been posted. All grades posted in accordance with institutional procedures by 5 p.m. local time (where the institution is located) on the day prior to the postseason event must be considered. If the postseason event occurs prior to the conclusion of the 14 business days and an insufficient number of grades from the immediately preceding term have been posted, eligibility shall be determined by using grades from the most recent regular academic term for which a sufficient number of grades have been posted. *(Adopted: 1/8/07 effective 8/1/07)*

**14.1.9.3 Eligibility for Postseason Competition—Postseason Football Bowl Game During an Institution's Second Academic Term. [FBS]** If an institution is selected to participate in a postseason bowl game that will occur during the institution's second regular term (e.g., winter quarter, spring semester) of the academic year, a student-athlete's eligibility to compete in the bowl game shall be certified consistent with the standards applicable to postseason competition that occurs between terms (see Bylaws 14.1.9 and 14.1.9.2). However, a student-athlete must meet full-time enrollment requirements applicable to term-time competition (see Bylaw 14.1.7.2). *(Adopted: 4/26/07)*

**14.1.10 Eligibility Requirements for Male Students to Practice With Women's Teams.** It is permissible for male students to engage in practice sessions with women's teams under the following conditions: *(Revised: 5/12/05, 5/29/08)*

- (a) Male students who practice with an institution's women's team on an occasional basis must be verified as eligible for practice in accordance with Bylaw 14.1.7.1 and must have eligibility remaining under the five-year rule (Bylaw 14.2.1);
- (b) Male students who practice with an institution's women's teams on a regular basis must be certified as eligible for practice in accordance with all applicable NCAA eligibility regulations (e.g., must be enrolled in a minimum full-time program of studies, must sign a drug-testing consent form, must be included on the institution's squad list);
- (c) It is not permissible for an institution to provide male students financial assistance (room and board, tuition and fees, and books) in return for practicing with a women's team. A male student who is receiving financial aid or any compensation for serving in any position in the athletics department may not practice with a women's team. A male student-athlete who is a counter in a men's sport may not engage in practice sessions with an institution's women's team in any sport;
- (d) It is not permissible for an institution to provide male students room and board to remain on campus during a vacation period to participate in practice sessions with a women's team;
- (e) It is not permissible for a male student-athlete who is serving an academic year of residence as a nonqualifier to participate in practice sessions with a women's team; and
- (f) It is permissible for an institution to provide practice apparel to male students for the purpose of practicing with a women's team.

## **14.2 Seasons of Competition: Five-Year Rule.**

A student-athlete shall not engage in more than four seasons of intercollegiate competition in any one sport (see Bylaws 14.02.9 and 14.3.3). An institution shall not permit a student-athlete to represent it in intercollegiate competition unless the individual completes all of his or her seasons of participation in all sports within the time periods specified below:

**14.2.1 Five-Year Rule.** A student-athlete shall complete his or her seasons of participation within five calendar years from the beginning of the semester or quarter in which the student-athlete first registered for a minimum full-time program of studies in a collegiate institution, with time spent in the armed services, on official religious missions or with recognized foreign aid services of the U.S. government being excepted. For international students, service in the armed forces or on an official religious mission of the student's home country is considered equivalent to such service in the United States. *(Revised: 4/2/10)*

**14.2.1.1 Determining the Start of the Five-Year Period.** For purposes of starting the count of time under the five-year rule, a student-athlete shall be considered registered at a collegiate institution (domestic or foreign; see Bylaw 14.02.4) when the student-athlete initially registers in a regular term (semester or quarter) of an academic year for a minimum full-time program of studies, as determined by the institution, and attends the student's first day of classes for that term (see Bylaw 14.2.2).

**14.2.1.2 Service Exceptions to the Five-Year Rule.** Time spent in the armed services, on official religious missions or with recognized foreign aid services of the U.S. government is excepted from the application of the five-year rule. Among such services that qualify a student-athlete for an extension of the five-year rule are: (*Revised: 4/2/10*)

- (a) Military Sea Transport Service;
- (b) Peace Corps; or
- (c) Service as a conscientious objector ordered by the Selective Service Commission (or the equivalent authority in a foreign nation) in lieu of active military duty.

**14.2.1.2.1 Elapsed Time/Service to Enrollment.** If a student-athlete enrolls in a regular term of a collegiate institution at the first opportunity following completion of any one of the commitments described in the exceptions to this bylaw, the elapsed time (the exact number of calendar days) between completion of the commitment and the first opportunity for enrollment may be added to the exact number of days served on active duty in the armed services, with foreign aid services or on official religious missions and will not count toward the student-athlete's five years of eligibility. It is not permissible to extend the five-year period by any additional time beyond the first opportunity to enroll (the opening day of classes of the first regular term at the institution in which the student-athlete enrolls as a regular student immediately following the termination of the active-duty commitment). (*Revised: 4/2/10*)

**14.2.1.2.2 Collegiate Enrollment Concurrent With Service Assignment.** Any time in which a student-athlete is enrolled for a minimum full-time load as a regular student in a collegiate institution while simultaneously on active duty in the U.S. military, on an official religious mission or with a recognized foreign aid service of the U.S. government shall count against the five calendar years in which the student-athlete's seasons of eligibility must be completed. (*Revised: 4/2/10*)

**14.2.1.3 Pregnancy Exception.** A member institution may approve a one-year extension of the five-year period of eligibility for a female student-athlete for reasons of pregnancy.

**14.2.1.4 Athletics Activities Waiver.** The Committee on Student-Athlete Reinstatement, or a committee designated by it, shall have the authority to waive this provision by a two-thirds majority of its members present and voting to permit student-athletes to participate in:

- (a) Official Pan American, World Championships, World Cup, World University Games, World University Championships and Olympic training, tryouts and competition; (*Revised: 1/10/91, 1/9/96, 1/14/12*)
- (b) Officially recognized training and competition directly qualifying participants for final Olympic tryouts; or
- (c) Official tryouts and competition involving national teams sponsored by the appropriate national governing bodies of the U.S. Olympic Committee (or, for student-athletes representing another nation, the equivalent organization of that nation, or, for student-athletes competing in a non-Olympic sport, the equivalent organization of that sport).

**14.2.1.4.1 Athletics Activity Waiver Criteria.** Extensions of the five-year period of eligibility for student-athletes by the Committee on Student-Athlete Reinstatement, or its designated committee, shall be based on the following criteria: The member institution in which the student-athlete is enrolled must establish to the satisfaction of the Committee on Student-Athlete Reinstatement (by objective evidence) that the student-athlete was unable to participate in intercollegiate athletics as a result of participation in one of the activities listed in the above legislation for a specific period of time. Further, such an extension shall be limited to one time and for a period not to exceed one year per student-athlete, per sport. (*Revised: 8/11/98*)

**14.2.1.5 Five-Year Rule Waiver.** The Committee on Student-Athlete Reinstatement, or its designated committee, by a two-thirds majority of its members present and voting, may approve waivers of the five-year rule as it deems appropriate. (*Revised: 7/30/10*)

**14.2.1.5.1 Waiver Criteria.** A waiver of the five-year period of eligibility is designed to provide a student-athlete with the opportunity to participate in four seasons of intercollegiate competition within a five-year period. This waiver may be granted, based upon objective evidence, for reasons that are beyond the control of the student-athlete or the institution, which deprive the student-athlete of the opportunity to participate for more than one season in his/her sport within the five-year period. The Committee on Student-Athlete Reinstatement reserves the right to review requests that do not meet the more-than-one-year criteria detailed in this bylaw for circumstances of extraordinary or extreme hardship. A student-athlete who has exhausted his or her five years of eligibility may continue to practice (but not compete) for a maximum of 30 consecutive calendar days, provided the student-athlete's institution has submitted a waiver request. The student-athlete may not commence practice until the institution has filed such a request. Further, if such a



request is denied prior to exhausting the 30-day practice period, the student-athlete must cease all practice activities upon the institution's notification of the denial. (*Revised: 4/17/91, 1/11/94, 8/10/94, 10/12/95, 4/27/00, 7/30/10*)

**14.2.1.5.1.1 Circumstances Beyond Control.** Circumstances considered to be beyond the control of the student-athlete or the institution and do not cause a participation opportunity to be used shall include, but are not limited to, the following: (*Adopted: 8/10/94, Revised: 10/12/95, 7/30/10*)

- (a) Situations clearly supported by contemporaneous medical documentation, which states that a student-athlete is unable to participate in intercollegiate competition as a result of incapacitating physical or mental circumstances;
- (b) The student-athlete is unable to participate in intercollegiate athletics as a result of a life-threatening or incapacitating injury or illness suffered by a member of the student-athlete's immediate family, which clearly is supported by contemporaneous medical documentation; (*Revised: 1/9/06*)
- (c) Reliance by the student-athlete upon written, contemporaneous, clearly erroneous academic advice provided to the student-athlete from a specific academic authority from a collegiate institution regarding the academic status of the student-athlete or prospective student-athlete, which directly leads to that individual not being eligible to participate and, but for the clearly erroneous advice, the student-athlete would have established eligibility for intercollegiate competition; (*Revised: 10/9/96*)
- (d) Natural disasters (e.g., earthquake, flood); and
- (e) Extreme financial difficulties as a result of a specific event (e.g., layoff, death in the family) experienced by the student-athlete or by an individual upon whom the student-athlete is legally dependent, which prohibit the student-athlete from participating in intercollegiate athletics. These circumstances must be clearly supported by objective documentation (e.g., decree of bankruptcy, proof of termination) and must be beyond the control of the student-athlete or the individual upon whom the student-athlete is legally dependent. (*Adopted: 10/12/95, Revised: 8/12/97*)

**14.2.1.5.1.2 Circumstances Within Control.** Circumstances that are considered to be within the control of the student-athlete or the institution and cause a participation opportunity to be used include, but are not limited to, the following: (*Adopted: 8/10/94, Revised: 10/12/95, 7/30/10*)

- (a) A student-athlete's decision to attend an institution that does not sponsor his/her sport, or decides not to participate at an institution that does sponsor his/her sport;
- (b) An inability to participate due to failure to meet institutional/conference or NCAA academic requirements, or disciplinary reasons or incarceration culminating in or resulting from a conviction; (*Revised: 10/12/95*)
- (c) Reliance by a student-athlete upon misinformation from a coaching staff member;
- (d) Redshirt year;
- (e) An inability to participate as a result of a transfer year in residence or fulfilling a condition for restoration of eligibility; and
- (f) A student-athlete's lack of understanding regarding the specific starting date of his or her five-year period of eligibility. (*Adopted: 10/9/96*)

## **14.2.2 Additional Applications of the Five-Year Rule.**

**14.2.2.1 Athletics Competition.** Even though a student is enrolled for less than a minimum full-time program of studies at a collegiate institution, the student's five-year period of eligibility begins if the individual represents the institution in intercollegiate athletics.

**14.2.2.2 Nonrecognized College.** Enrollment in a postsecondary, noncollegiate institution (e.g., technical school, seminary or business college) in the United States that is not accredited at the college level by an agency or association recognized by the secretary of the Department of Education and legally authorized to offer at least a one-year program of study creditable toward a degree, constitutes enrollment in the application of the five-year rule only if: (*Revised: 1/10/90, 8/8/02*)

- (a) The student is enrolled in a minimum full-time program of studies at such an institution that conducts an intercollegiate athletics program; or
- (b) The student, whether enrolled for a minimum full-time program of studies or not, represents the institution in intercollegiate athletics.

**14.2.2.3 Joint College/High School Program.** A student-athlete's eligibility under the five-year rule does not begin while a student is enrolled in a collegiate institution in a joint high school/college academic program for high school students in which the courses count as both high school graduation credit and college credit, provided the student has not officially graduated from high school and does not participate in intercollegiate athletics while enrolled in the joint program. (*Revised: 11/1/01 effective 8/1/02*)

**14.2.2.4 Vocational Program.** A student-athlete's eligibility under the five-year rule does not begin while the student is enrolled in a minimum full-time program of studies as a part of a special vocational program that combines enrollment in regular college courses and participation in vocational training courses, provided the student is not considered to be regularly matriculated by the institution, does not go through the customary registration and testing procedures required of all regular entering students and is not eligible for the institution's extracurricular activities, including athletics.

**14.2.2.5 Eligibility for Practice.** A student-athlete receiving institutional financial aid after having engaged in four seasons of intercollegiate competition in a sport may continue to take part in organized, institutional practice sessions in that sport without being a counter (see Bylaw 15.02.3), provided the individual has eligibility remaining under the five-year rule. **[D]** (Revised: 8/5/04)

### 14.2.3 Criteria for Determining Season of Competition.

**14.2.3.1 Minimum Amount of Competition.** Any competition, regardless of time, during a season in an intercollegiate sport shall be counted as a season of competition in that sport, except as provided in Bylaws 14.2.3.1.1, 14.2.3.1.2, 14.2.3.1.3 and 14.2.3.1.4. This provision is applicable to intercollegiate athletics competition conducted by a two-year or four-year collegiate institution at the varsity or subvarsity level. (Revised: 1/11/94, 4/28/05 effective 8/1/05, 5/9/06, 1/16/10 effective 8/1/10)

**14.2.3.1.1 Two-Year College Scrimmages.** A two-year college prospective student-athlete may compete in a scrimmage as a member of a two-year college team without counting such competition as a season of competition, provided the competition meets all of the following conditions: (Adopted: 1/11/94, Revised: 5/9/06)

- (a) The scrimmage is approved by the two-year college;
- (b) No official score is kept;
- (c) No admission is charged;
- (d) No official time is kept;
- (e) The scrimmage is played prior to the two-year college's first regularly scheduled outside competition; and
- (f) The prospective student-athlete participates in not more than two such scrimmages or dates of competition per academic year.

**14.2.3.1.2 Exception—Nonchampionship Segment Competition—Field Hockey, Men's Soccer, Women's Soccer, Women's Volleyball and Men's Water Polo.** In field hockey, men's soccer, women's soccer, women's volleyball and men's water polo, a student-athlete may engage in outside competition during the segment of the playing season that does not conclude with the NCAA championship without using a season of competition, provided the student-athlete was academically eligible during the segment that concludes with the NCAA championship. (Adopted: 11/1/01, Revised: 8/8/02, 10/31/02, 5/9/06)

**14.2.3.1.3 Preseason Exhibitions/Preseason Practice Scrimmages During Initial Year.** During a student-athlete's initial year of enrollment at the certifying institution, he or she may compete in preseason exhibition contests and preseason practice scrimmages (as permitted in the particular sport per Bylaw 17) without counting such competition as a season of competition. (Revised: 5/9/06)

**14.2.3.1.4 Alumni Game, Fundraising Activity or Celebrity Sports Activity.** A student-athlete may engage in outside competition in either one alumni game, one fundraising activity or one celebrity sports activity during a season without counting such competition as a season of competition, provided the event is exempted from the institution's maximum number of contests or dates of competition as permitted in the particular sport per Bylaw 17. (Adopted: 1/16/10 effective 8/1/10)

### 14.2.3.2 Delayed Enrollment—Seasons of Competition.

**14.2.3.2.1 Sports Other Than Men's Ice Hockey, Skiing and Tennis.** In sports other than men's ice hockey, skiing and tennis, a student-athlete who does not enroll in a collegiate institution as a full-time student in a regular academic term during a one-year time period after his or her high school graduation date or the graduation date of his or her class (as determined by the first year of high school enrollment or the international equivalent as specified in the NCAA Guide to International Academic Standards for Athletics Eligibility and based on the prescribed educational path in the student-athlete's country), whichever occurs earlier, shall be subject to the following: (Adopted: 1/9/96 effective 8/1/97, Revised: 4/29/04 effective 8/1/04, 4/20/09, 4/29/10 effective 8/1/12; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/12)

- (a) The student-athlete shall be charged with a season of intercollegiate eligibility for each calendar year after the one-year time period (the next opportunity to enroll after one calendar year has elapsed) and prior to full-time collegiate enrollment during which the student-athlete has participated in organized competition per Bylaw 14.02.11. (Revised: 4/29/04 effective 8/1/04)
- (b) After the one-year time period, if the student-athlete has engaged in competition per Bylaw 14.02.11, on matriculation at the certifying institution, the student-athlete must fulfill an academic year in



residence before being eligible to represent the institution in intercollegiate competition. (*Revised: 4/29/04 effective 8/1/04*)

**14.2.3.2.1.1 Exception—National/International Competition.** For a maximum of one year after a prospective student-athlete's first opportunity to enroll full time in a collegiate institution following the one-year time period after his or her high school graduation date or the graduation date of his or her class, whichever occurs earlier, participation in the following organized national/international competition is exempt from application of Bylaw 14.2.3.2.1: (*Adopted: 1/15/11 effective 8/1/11; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/11*)

- (a) Official Olympic Games, Pan American Games, World Championships, World Cup, World University Games and World University Championships competition; (*Revised: 1/14/12*)
- (b) Officially recognized competition from which participants may directly qualify for final tryouts for a national team that will participate in the Olympic Games, Pan American Games, World Championships, World Cup, World University Games or World University Championships and final tryout competition from which participants are selected for such teams; or (*Revised: 1/14/12*)
- (c) Official competition involving a national team sponsored by the appropriate national governing body of the U.S. Olympic Committee (or, for student-athletes representing another nation, the equivalent organization of that nation).

**14.2.3.2.1.1 Junior Level Competition.** The national/international competition exception does not apply to junior level national/international competition (e.g., Youth Olympic Games, U20 World Cup, Junior National Teams) that may be associated with the events specified in Bylaw 14.2.3.2.1.1. (*Adopted: 8/11/11*)

**14.2.3.2.1.2 Track and Field and Cross Country.** A student-athlete who has participated in organized competition after the one-year time period (the next opportunity to enroll after one calendar year has elapsed) and prior to full-time collegiate enrollment during a cross country, indoor track and field, or outdoor track and field season (as opposed to general road racing events) shall be charged with a season of competition in the sport in which the student has participated for each calendar year after the one-year time period in which he or she participated in organized competition. (*Adopted: 4/29/10 effective 8/1/11; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/11*)

**14.2.3.2.1.3 Road Racing.** A student-athlete who has participated in road racing activities after the one-year time period (the next opportunity to enroll after one calendar year has elapsed) and prior to full-time collegiate enrollment shall be charged with a season of competition in each of the sports of cross country, indoor track and field, and outdoor track and field for each calendar year after the one-year time period in which he or she participate in organized competition. (*Adopted: 4/29/10 effective 8/1/11; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/11*)

**14.2.3.2.1.4 Volleyball and Sand Volleyball.** Volleyball and sand volleyball are considered the same sport for purposes of Bylaw 14.2.3.2. (*Adopted: 8/26/10*)

**14.2.3.2.2 Tennis.** In tennis, a student-athlete who does not enroll in a collegiate institution as a full-time student in a regular academic term within six months (or the first opportunity to enroll after six months have elapsed) after his or her high school graduation date or the graduation date of his or her class (as determined by the first year of high school enrollment or the international equivalent as specified in the NCAA Guide to International Academic Standards for Athletics Eligibility and based on the prescribed educational path in the student-athlete's country), whichever occurs earlier, shall be subject to the following: (*Adopted: 4/29/10 effective 8/1/12; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/12*)

- (a) The student-athlete shall be charged with a season of intercollegiate eligibility for each calendar year after the six-month period has elapsed (or the next opportunity to enroll) and prior to full-time collegiate enrollment during which the student-athlete has participated in organized competition per Bylaw 14.02.11.
- (b) After the six-month period, if the student-athlete has engaged in organized competition per Bylaw 14.02.11, on matriculation at the certifying institution, the student-athlete must fulfill an academic year in residence before being eligible to represent the institution in intercollegiate competition.

**14.2.3.2.2.1 Exception—National/International Competition.** For a maximum of one year after a prospective student-athlete's first opportunity to enroll full time in a collegiate institution following the six-month time period after his or her high school graduation date or the graduation date of his or her class, whichever occurs earlier, participation in the following organized national/international competition is exempt from application of Bylaw 14.2.3.2.2: (*Adopted: 1/15/11 effective 8/1/12; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/12*)

- (a) Official Olympic Games, Pan American Games, World Championships, World Cup, World University Games and World University Championships competition; (*Revised: 1/14/12*)

- (b) Officially recognized competition from which participants may directly qualify for final tryouts for a national team that will participate in the Olympic Games, Pan American Games, World Championships, World Cup, World University Games or World University Championships and final tryout competition from which participants are selected for such teams; or (*Revised: 1/14/12*)
- (c) Official competition involving a national team sponsored by the appropriate national governing body of the U.S. Olympic Committee (or, for student-athletes representing another nation, the equivalent organization of that nation.)

**14.2.3.2.2.1.1 Junior Level Competition.** The national/international competition exception does not apply to junior level national/international competition (e.g., Youth Olympic Games, U20 World Cup, Junior National Teams) that may be associated with the events specified in Bylaw 14.2.3.2.2.1. (*Adopted: 8/11/11*)

**14.2.3.2.2.2 Matriculation After 20th Birthday—Tennis.** In tennis, a student who is eligible under Bylaw 14.2.3.2.1, but who participates in organized tennis events after his or her 20th birthday and before full-time enrollment at the certifying institution shall be subject to the following: (*Adopted: 4/29/04 effective 8/1/04 for competition occurring after 8/1/04, Revised: 6/10/04*)

- (a) The student will be charged with one season of intercollegiate tennis competition for each calendar year after his or her 20th birthday and prior to full-time enrollment at the certifying institution during which the student-athlete has participated in organized tennis competition per Bylaw 14.02.11. [Note: This includes participation in intercollegiate tennis while enrolled full-time in another two-year or four-year institution; however, this provision replaces the season of competition counted in Bylaw 14.2 (only one season is used in any one year).]
- (b) Upon matriculation at the certifying institution, the student-athlete must fulfill an academic year in residence before being eligible to represent the institution in intercollegiate tennis, unless the student transfers to the certifying institution with a minimum of 24 semester hours (or equivalent) of transferable degree credit. (Note: All other NCAA transfer and academic eligibility requirements apply.)

**14.2.3.2.2.2.1 Exception—Olympic Games, Pan American Games, World Championships, World Cup, World University Games and World University Championships Participation.** Participation in the Olympic Games, Pan American Games, World Championships, World Cup, World University Games and World University Championships is exempt from the application of Bylaw 14.2.3.2.2.2. (*Adopted: 4/26/07 effective 8/1/07, Revised: 1/14/12*)

**14.2.3.2.2.2.1.1 Junior Level Competition.** The national/international competition exception does not apply to junior level national/international competition (e.g., Youth Olympic Games, U20 World Cup, Junior National Teams) that may be associated with the events specified in Bylaw 14.2.3.2.2.2.1. (*Adopted: 8/11/11*)

**14.2.3.3 Track and Field and Cross Country.** Cross country, indoor track and field, and outdoor track and field shall be considered separate sports. (*Revised: 1/10/90*)

**14.2.3.4 Intercollegiate Competition.** A student-athlete is considered to have engaged in a season of intercollegiate competition when he or she competes in an athletics event involving any one of the conditions characterizing intercollegiate competition (per Bylaw 14.02.9).

**14.2.3.5 Participation After 21st Birthday—Men's Ice Hockey and Skiing.** In men's ice hockey and skiing, any participation as an individual or a team representative in organized sports competition by a student during each 12-month period after the student's 21st birthday and prior to initial full-time enrollment in a collegiate institution shall count as one year of varsity competition in that sport. Participation in organized competition during time spent in the U.S. armed services shall be excepted. (*Revised: 1/10/90, 1/16/93, 1/10/95 effective 8/1/95, 1/9/96 effective 8/1/96, 6/10/04, 1/17/09 effective 8/1/10, 4/13/10 effective 8/1/11; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/11*)

**14.2.3.6 Foreign-Tour Competition.** A student-athlete who did not compete during the institution's season just completed and who represents the institution in a certified foreign tour after that intercollegiate season and prior to the start of the next academic year shall not be charged with a season of eligibility (see Bylaw 17.28.1.4). (*Revised: 8/11/98*)

**14.2.3.7 Participation on an Institution's Club Team.** An individual is charged with a season of competition for participation in intercollegiate competition (see Bylaw 14.02.9) as a member of an institution's club team if that institution sponsored the sport as a varsity intercollegiate sport and as a club sport at the time of participation. (*Adopted: 6/24/09*)

**14.2.4 Hardship Waiver.** A student-athlete may be granted an additional year of competition by the conference or the Committee on Student-Athlete Reinstatement for reasons of "hardship." Hardship is defined as an incapacity resulting from an injury or illness that has occurred under all of the following conditions: (*Revised: 8/8/02, 11/1/07 effective 8/1/08*)

- (a) The incapacitating injury or illness occurs in one of the four seasons of intercollegiate competition at any two-year or four-year collegiate institutions or occurs after the first day of classes in the student-athlete's senior year in high school; (*Revised: 1/10/92 effective 8/1/92, 11/1/01, 8/8/02*)
- (b) The injury or illness occurs prior to the first competition of the second half of the playing season that concludes with the NCAA championship in that sport (see Bylaw 14.2.4.3.4) and results in incapacity to compete for the remainder of that playing season; (*Revised: 1/14/97 effective 8/1/97, 4/26/01 effective 8/1/01, 4/3/02, 4/24/08*)
- (c) In team sports, the injury or illness occurs when the student-athlete has not participated in more than three contests or dates of competition (whichever is applicable to that sport) or 30 percent (whichever number is greater) of the institution's scheduled or completed contests or dates of competition in his or her sport. Only scheduled or completed competition against outside participants during the playing season that concludes with the NCAA championship, or, if so designated, during the official NCAA championship playing season in that sport (e.g., spring baseball, fall soccer), shall be countable under this limitation in calculating both the number of contests or dates of competition in which the student-athlete has participated and the number of scheduled or completed contests or dates of competition during that season in the sport. Dates of competition that are exempted (e.g., alumni contests, foreign team in the United States) from the maximum permissible number of contests or dates of competition shall count toward the number of contests or dates in which the student-athlete has participated and the number of scheduled or completed contests or dates of competition in the season, except for scrimmages and exhibition contests that are specifically identified as such in the sport's Bylaw 17 playing and practice season regulations. Scrimmages and exhibition contests that are not exempted from the maximum permissible number of contests or dates of competition may be excluded from the calculation only if they are identified as such in the sport's Bylaw 17 playing and practice season regulations; and (*Revised: 1/10/92, 1/14/97 effective 8/1/97, 4/26/01 effective 8/1/01, 3/10/04, 5/11/05, 8/4/05, 4/26/07, 9/18/07, 4/24/08*)
- (d) In individual sports, the injury or illness occurs when the student-athlete has not participated in more than three dates of competition or 30 percent (whichever number is greater) of the maximum permissible number of dates of competition as set forth in Bylaw 17 plus one date for a conference championship (e.g., gymnastics: 13+1=14, wrestling: 16+1=17), regardless of whether the team participates in the conference championship, provided the institution is a member of a conference and the conference holds a championship event in the applicable sport. Dates of competition that are exempted per Bylaw 17 (e.g., alumni contests, foreign team in the United States) from the maximum permissible number of dates of competition do not count toward the number of dates in which the student-athlete has participated. (*Adopted: 4/24/08*)

**14.2.4.1 Additional Criteria—Two-Year College Hardship.** Institutions shall apply the following additional conditions if the injury or illness occurred at a two-year college: (*Adopted: 1/10/92 effective 8/1/92, Revised: 1/11/94*)

- (a) The appropriate two-year college athletics association (e.g., National Junior College Athletic Association, Community College League of California) shall review and approve each hardship waiver request;
- (b) After approval by the appropriate two-year college athletics association, the request shall be reviewed and approved by the appropriate NCAA member conference or, in the case of an independent member institution, by the Committee on Student-Athlete Reinstatement, to ensure compliance with the provisions of NCAA legislation;
- (c) Waiver requests shall be submitted only from two-year college organizations that apply the same or more stringent rules related to the administration of hardship waivers (compliance with all of the regulations of Bylaw 14.2.4); and
- (d) Each waiver request must be accompanied by a signed statement by the physician who treated the student-athlete, indicating the reason(s) why the injury or illness was incapacitating.

**14.2.4.2 Administration of Hardship Waiver.** The hardship waiver shall be administered by the member conferences of the Association or, in the case of an independent member institution, by the Committee on Student-Athlete Reinstatement. (*Revised: 10/28/04, 4/20/09*)

**14.2.4.2.1 Review of Denied Waiver.** A conference that denies an institution's hardship waiver may submit the waiver to the Committee on Student-Athlete Reinstatement. The committee shall have the authority to review and determine whether to approve the waiver based on circumstances that may warrant relief from the application of the legislated waiver criteria. (*Adopted: 4/20/09*)

**14.2.4.3 Criteria for Administration of Hardship Waiver.**

**14.2.4.3.1 Use of Scheduled or Completed Contests.** An institution may use scheduled or completed contests or dates of competition, but it is not permissible to combine the two methods of calculation. (*Adopted: 8/4/05*)

**14.2.4.3.2 Nature of Injury/Illness.** It is not necessary for the incapacitating injury or illness to be the direct result of the student's participation in the institution's organized practice or game competition. (*Revised: 11/1/01*)

**14.2.4.3.3 Medical Documentation.** Contemporaneous or other appropriate medical documentation, from a physician (a medical doctor) who administered care at the time of the injury or illness, that establishes the student-athlete's inability to compete as a result of that injury or illness shall be submitted with any hardship-waiver request. (*Adopted: 4/20/99, Revised: 2/22/01*)

**14.2.4.3.4 First-Half-of-Season Calculation.**

**14.2.4.3.4.1 Team Sports.** In team sports, the first half of the season is measured by the number of scheduled contests or dates of competition not exceeding the maximum limitations in each sport as set forth in Bylaw 17 as set prior to the first scheduled contest or date of competition of the designated official NCAA championship playing season in the applicable sport or the number of completed contests or dates of competition. In determining if an injury or illness occurs prior to the first competition of the second half of the season that concludes with the NCAA championship in a sport with an odd number of scheduled or completed contests or dates of competition, the injury or illness must have occurred prior to the beginning of the scheduled or completed varsity contest or date of competition that starts the second half of the season that concludes with the NCAA championship (e.g., an injury or illness occurring at any time after the beginning of the scheduled sixth game of an 11-game schedule would be considered to be after the first half of the institution's season and would not qualify the student-athlete for a hardship waiver). (*Revised: 1/14/97 effective 8/1/97, 4/26/01 effective 8/1/01, 8/4/05, 4/24/08*)

**14.2.4.3.4.1.1 Contests or Dates of Competition Based on Championship Selection.** In sports in which the playing season may be divided into two segments, but championship selection is based on competition throughout the season (e.g., ice hockey), the first half of the season shall be measured by the institution's number of scheduled or completed varsity contests or dates of competition for the entire season (e.g., nonchampionship and championship segments). In sports in which the playing season may be divided into two segments, but championship selection is based on competition during only one segment of the season (e.g., spring baseball, fall soccer), the first half of the season shall be measured by the institution's number of scheduled or completed varsity contests or dates of competition for only the championship segment. (*Adopted: 4/23/08*)

**14.2.4.3.4.2 Individual Sports.** In individual sports, the first-half-of-the-season calculation is based on the number of days in the season that concludes with the NCAA championship, as declared by the institution, between the first date of competition used by any individual on the team and the last date of competition used by any individual on the team at the end of the declared playing season, including a conference championship and any regular-season competition scheduled after the conference championship (e.g., "last chance" meet). Any break in the declared season shall not be counted (e.g., institutional vacation period in which no practice or competition occurs). The total number of days in the championship season shall be divided by two in order to determine the first half of the season. A fractional portion of a day shall be rounded up to the next whole number. (*Adopted: 4/24/08*)

**14.2.4.3.4.2.1 Championship Selection Based on Entire Season.** In sports in which the playing season may be divided into two segments, but championship selection is based on competition throughout the season (e.g., golf, tennis), the first half of the season is based on the number of days in the entire season (e.g., nonchampionship and championship segments), as declared by the institution between the first date of competition used by any individual on the team and the last date of competition used by any individual on the team at the end of the declared playing season, including a conference championship and any regular-season competition scheduled after the conference championship (e.g., "last chance" meet). (*Revised: 9/2/10*)

**14.2.4.3.5 Reinjury in Second Half of Season.** A student-athlete who suffers an injury in the first half of the season that concludes with the NCAA championship or who suffers an injury after the first day of classes in his or her senior year of high school, attempts to return to competition during the second half of that season and then is unable to participate further as a result of aggravating the original injury does not qualify for the hardship waiver. (*Revised: 11/01/01, 8/8/02*)

**14.2.4.3.6 Percent Calculation.** The following requirements are to be met in determining the percent calculation under this waiver provision: (Note: The percent calculation requirements set forth in Bylaws 14.2.4 and 14.2.4.3.6 apply only to the waiver provisions of this section and do not apply to the maximum-and minimum-contests requirements in Bylaws 17 and 20.)

**14.2.4.3.6.1 Denominator in Percent Computation.**

**14.2.4.3.6.1.1 Team Sports.** The denominator in the institution's percent calculation shall be based on the institution's number of scheduled or completed varsity contests or dates of competition [see Bylaw 14.2.4-(c)] as computed for playing and practice season purposes in Bylaw 17 for the applicable sport. [Note: Exempted events in Bylaw 17 are included in the percent calculation, except as provided in Bylaw 14.2.4-(c).] An institution participating in a single-elimination event may only count the actual contests in which the institution participates (as opposed to the number of contests scheduled in the event) in determining the number of scheduled or completed contests



in the denominator. (*Revised: 1/14/97 effective 8/1/97, 2/11/98, 4/26/01 effective 8/1/01, 6/21/01, 8/4/05, 4/24/08*)

**14.2.4.3.6.1.1 Conference Championships.** A conference championship shall be counted as one contest or date of competition in determining the institution's scheduled or completed contests or dates of competition in that sport, regardless of the number of days or games involved in the championship. However, for purposes of this regulation, the calculation of scheduled or completed contests or dates of competition in a particular season does not include postseason competition conducted after the completion of the institution's regular-season schedule and conference tournament. (*Revised: 1/14/97 effective 8/1/97, 4/26/01 effective 8/1/01, 8/4/05*)

**14.2.4.3.6.1.1.2 Contests or Dates of Competition Based on Championship Selection.** In sports in which the playing season may be divided into two segments, but championship selection is based on competition throughout the season (e.g., ice hockey), the denominator shall be based on the institution's number of scheduled or completed varsity contests or dates of competition for the entire season (e.g., nonchampionship and championship segments). In sports in which the playing season may be divided into two segments, but championship selection is based on competition during only one segment of the season (e.g., spring baseball, fall soccer), the denominator shall be based on the institution's number of scheduled or completed varsity contests or dates of competition for only the championship segment. (*Adopted: 4/23/08*)

**14.2.4.3.6.1.2 Individual Sports.** The denominator in the institution's percent calculation shall be the maximum permissible number of dates of competition as set forth in Bylaw 17 plus one date for a conference championship (e.g., gymnastics: 13+1=14, wrestling: 16+1=17), regardless of whether the team participates in the conference championship, provided the institution is a member of a conference and the conference sponsors a championship in the applicable sport. For institutions that sponsor both indoor and outdoor track and field, the denominator for indoor track and field shall be nine, plus one date for a conference championship, if applicable, and the denominator for outdoor track and field shall be nine, plus one date for a conference championship, if applicable. (*Adopted: 4/24/08*)

**14.2.4.3.6.2 Fraction in Percent Computation.** Any computation of the percent limitation that results in a fractional portion of a contest or date of competition shall be rounded to the next whole number (e.g., 30 percent of a 29-game basketball schedule—8.7 games—shall be considered nine games). (*Revised: 1/14/97 effective 8/1/97, 4/26/07*)

**14.2.4.3.7 Transfer Student-Athletes.** The application of the hardship legislation for a transfer student-athlete may be based on the method that would be most beneficial to the student-athlete (the rule applicable to the division in which the injury or illness occurred or the Division I rule). The application of a particular division's legislation must include all the applicable elements of that division's legislation. It is not permissible to use selected elements of the legislation of more than one division. (*Adopted: 11/12/97, Revised: 4/26/01 effective 8/1/01, 9/17/09*)

**14.2.4.3.8 Foreign-Tour Competition.** A student-athlete who qualifies for a hardship for the previous academic year would not use a season of competition if the student-athlete represents the institution on a certified foreign tour during the summer-vacation period at the conclusion of that academic year. (*Adopted: 1/10/92, Revised: 4/26/01*)

**14.2.5 Season-of-Competition Waiver—Competition While Ineligible.** In conjunction with a request for restoration of eligibility and any conditions imposed thereon per Bylaw 14.11, a student-athlete may be granted an additional season of competition by the Committee on Student-Athlete Reinstatement when the student-athlete participated in a limited amount of competition as a result of a good-faith, erroneous formal declaration of eligibility by the institution's appropriate certifying authority; or the student-athlete's good-faith, erroneous reliance on a coaching staff member's decision to put the student-athlete into competition prior to the coaching staff member receiving a formal declaration of the student-athlete's eligibility from the institution's appropriate certifying authority. The competition must have occurred under all of the following conditions: (*Adopted: 1/16/93, Revised: 4/20/99, 4/25/02 effective 8/1/02*)

- (a) The competition occurred while the student-athlete was representing an NCAA member institution;
- (b) The competition occurred within 60 days of the date the student-athlete first reported for athletics participation;
- (c) The student-athlete did not participate in more than two events or 10 percent (whichever number is greater) of the institution's scheduled or completed events in his or her sport. All competition (including a scrimmage) against outside participants shall be countable under this limitation in calculating both the number of events in which the student-athlete participated and the number of completed events during that season (both segments) in the sport; (*Revised: 1/14/97 effective 8/1/97, 8/4/05*)

- (d) The student-athlete was involved innocently and inadvertently in the erroneous determination or declaration of eligibility, which permitted the student-athlete to compete while ineligible; and *(Revised: 4/20/99)*
- (e) In the case of a coaching staff member's erroneous decision, the student-athlete had reason to believe he or she would be eligible to participate, and the student-athlete did not contribute to the coaching staff member's erroneous decision to allow the student-athlete to participate. *(Adopted: 4/20/99)*

**14.2.5.1 Administrative Criteria.** The following criteria shall be employed in the administration of the season-of-competition waiver: *(Adopted: 1/16/93)*

**14.2.5.1.1 Application—Use of Scheduled or Completed Contests.** An institution may use scheduled or completed contests or dates of competition, but it is not permissible to combine the two methods of calculation. *(Adopted: 8/4/05)*

**14.2.5.1.2 Ten-Percent Calculation.** The requirements specified in Bylaw 14.2.4.3.6 shall apply to the 10-percent calculation specified in this waiver. *(Adopted: 1/16/93)*

**14.2.6 Season-of-Competition Waiver—Competition While Eligible.** A student-athlete may be granted an additional season of competition by the Committee on Student-Athlete Reinstatement in a case in which the student-athlete participated in a limited amount of competition while eligible due to a coach's documented misunderstanding of the legislation or other extenuating circumstances. In cases in which a student-athlete does not meet the criteria of this waiver, the Committee on Student-Athlete Reinstatement shall have authority to review and grant a waiver based on additional documented extenuating circumstances. *(Adopted: 4/25/02 effective 8/1/02, Revised: 10/28/04)*

**14.2.6.1 Application—Use of Scheduled or Completed Contests.** An institution may use scheduled or completed contests or dates of competition, but it is not permissible to combine the two methods of calculation. *(Adopted: 8/4/05)*

**14.2.6.2 Coach's Documented Misunderstanding.** The student-athlete participated as a result of good faith, reliance on a coaching staff member's decision to put the student-athlete in an alumni contest, exhibition contests, scrimmages, or nonchampionship segment contests based on the coach's documented misunderstanding of NCAA legislation and the competition occurred under the following conditions: *(Adopted: 10/28/04)*

- (a) The competition occurred while the student-athlete was representing an NCAA institution;
- (b) The competition occurred prior to the completion of the first 20 percent of the championship segment of the institution's declared playing and practice season; and
- (c) The student-athlete did not compete in more than two events or 10 percent (whichever number is greater) of the institution's scheduled or completed events in his or her sport. All competition (including a scrimmage) against outside participants shall be countable under this limitation in calculating both the number of events in which the student-athlete participated and the number of scheduled or completed events during that season (both segments) in the sport. *(Revised: 8/4/05)*

**14.2.6.2.1 Penalty.** A student-athlete who is granted a waiver pursuant to Bylaw 14.2.6.2 shall be withheld from two contests of intercollegiate competition for each contest in which he or she competed. *(Adopted: 10/28/04)*

**14.2.6.2.2 Percent Calculation.** The requirements specified in Bylaw 14.2.4.3.6 shall apply to the percent calculation specified in this waiver. *(Adopted: 10/20/04)*

**14.2.6.3 Extenuating Circumstances.** Extenuating circumstances include, but are not limited to, the following: *(Adopted: 4/25/02 effective 8/1/02)*

- (a) The student-athlete failed to complete the entire season of competition at the institution as a result of a life-threatening injury or illness suffered by a member of the student-athlete's immediate family, that clearly is supported by contemporaneous medical documentation; *(Adopted: 4/25/02 effective 8/1/02, Revised: 10/28/04)*
- (b) The student-athlete failed to complete the entire season of competition at the institution as a result of extreme financial difficulties as a result of a specific event (e.g., layoff, death in family) experienced by the student-athlete or an individual upon whom the student-athlete is legally dependent and prohibited the student-athlete from participating in intercollegiate athletics. These circumstances must be clearly supported by objective documentation (e.g., decree of bankruptcy, proof of termination) and must be beyond the control of the student-athlete or the individual upon whom the student-athlete is legally dependent; *(Adopted: 4/25/02 effective 8/1/02, Revised: 10/28/04)*
- (c) The student-athlete's institution dropped the sport (in which the student practiced or competed) from its intercollegiate program. *(Adopted: 4/25/02 effective 8/1/02)*

**14.2.6.3.1 Conditions of Competition.** The competition must have occurred under all of the following conditions: *(Adopted: 10/28/04)*

- (a) The competition occurred prior to the completion of the first half of the championship segment of the institution's declared playing and practice season; and



- (b) The student-athlete did not compete in more than three events or 30 percent (whichever number is greater) of the institution's scheduled or completed events in his or her sport. All competition (including a scrimmage) against outside participants shall be countable under this limitation in calculating both the number of events in which the student-athlete participated and the number of scheduled or completed events during that season (both segments) in the sport. *(Revised: 8/4/05, 1/14/08 applicable to student-athletes who initially enrolled full time in a collegiate institution on or after 8/1/04)*

**14.2.6.3.1.1 Percent Calculation.** The requirements specified in Bylaw 14.2.4.3.6 shall apply to the percent calculation specified in this waiver. *(Adopted: 4/25/02 effective 8/1/02, Revised: 10/28/04, 1/14/08)*

## 14.3 Freshman Academic Requirements.

**14.3.1 Eligibility for Financial Aid, Practice and Competition.** A student-athlete who enrolls in a member institution as an entering freshman with no previous full-time college attendance shall meet the following academic requirements, as certified by the NCAA Eligibility Center, as approved by the Executive Committee, and any applicable institutional and conference regulations, to be considered a qualifier and thus be eligible for financial aid, practice and competition during the first academic year in residence. *(Revised: 1/16/93 effective 8/1/94, 1/9/96 effective 8/1/97, 3/22/06, 5/9/07)*

**Delayed effective date. See specific date below.**

**14.3.1 Eligibility for Financial Aid, Practice and Competition.** A student-athlete who enrolls in a member institution as an entering freshman with no previous full-time college attendance shall meet the following academic requirements, as certified by the NCAA Eligibility Center, as approved by the Executive Committee, and any applicable institutional and conference regulations, to be considered a qualifier or an academic redshirt. *(Revised: 1/16/93 effective 8/1/94, 1/9/96 effective 8/1/97, 3/22/06, 5/9/07, 10/27/11, 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16)*

**14.3.1.1 Qualifier.** A qualifier is defined as one who is a high school graduate and who presented the following academic qualifications: *(Revised: 1/10/92 effective 8/1/95)*

- (a) A minimum cumulative grade-point average as specified in Bylaw 14.3.1.1.2 (based on a maximum 4.000) in a successfully completed core curriculum of at least 16 academic courses per Bylaw 14.3.1.2, including the following: *(Revised: 1/16/93 effective 8/1/90, 1/14/94 effective 8/1/96, 1/11/00 effective 8/1/00, 11/1/01 effective 8/1/05, 10/31/02 effective 8/1/03 and 8/1/05, 4/24/03 effective 8/1/08 for those student-athletes first entering a collegiate institution on or after 8/1/08)*

English	4 years
Mathematics (Three years of mathematics courses at the level of Algebra I or higher). (Computer science courses containing significant programming elements that meet graduation requirements in the area of mathematics also may be accepted.)	3 years
Natural or physical science (including at least one laboratory course if offered by the high school). (Computer science courses containing significant programming elements that meet graduation requirements in the area of natural or physical science also may be accepted.)	2 years
Additional courses in English, mathematics, or natural or physical science	1 year
Social science	2 years
Additional academic courses [in any of the above areas or foreign language, philosophy or non-doctrinal religion (e.g., comparative religion) courses]	4 years
The record of the above courses and course grades must be certified by the NCAA Eligibility Center using either an official high school transcript forwarded directly from the high school or a high school transcript forwarded by an institution's admissions office; and <i>(Revised: 2/9/95, 4/27/06, 5/9/07)</i>	

- (b) A minimum combined score on the SAT critical reading and math sections or a minimum sum score on the ACT as specified in Bylaw 14.3.1.1.2. The required SAT or ACT score must be achieved under national testing conditions on a national testing date [no residual (campus) testing or regional testing dates] except that a state-administered ACT may be used to meet the test-score requirement. *(Revised: 1/10/90, 1/10/92, 1/16/93, 5/31/06)*

**Delayed effective date. See specific date below.**

**14.3.1.1 Qualifier.** A qualifier shall be eligible for financial aid, practice and competition during the first academic year in residence. A qualifier is defined as one who is a high school graduate and who presented the following academic qualifications: *(Revised: 1/10/92 effective 8/1/95, 10/27/11, 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16)*

- (a) A minimum cumulative grade-point average as specified in Bylaw 14.3.1.1.2 (based on a maximum 4.000) in a successfully completed core curriculum of at least 16 academic courses per Bylaw 14.3.1.2, including the following: *(Revised: 1/16/93 effective 8/1/90, 1/14/94 effective 8/1/96, 1/11/00 effective 8/1/00, 11/1/01 effective 8/1/05, 10/31/02 effective 8/1/03 and 8/1/05, 4/24/03 effective 8/1/08)*

English	4 years
Mathematics (Three years of mathematics courses at the level of Algebra I or higher). (Computer science courses containing significant programming elements that meet graduation requirements in the area of mathematics also may be accepted.)	3 years
Natural or physical science (including at least one laboratory course if offered by the high school). (Computer science courses containing significant programming elements that meet graduation requirements in the area of natural or physical science also may be accepted.)	2 years
Additional courses in English, mathematics, or natural or physical science	1 year
Social science	2 years
Additional academic courses [in any of the above areas or foreign language, philosophy or non-doctrinal religion (e.g., comparative religion) courses]	4 years

The record of the above courses and course grades must be certified by the NCAA Eligibility Center using either an official high school transcript forwarded directly from the high school or a high school transcript forwarded by an institution's admissions office; and *(Revised: 2/9/95, 4/27/06, 5/9/07)*

- (b) A minimum combined score on the SAT critical reading and math sections or a minimum sum score on the ACT as specified in Bylaw 14.3.1.1.2. The required SAT or ACT score must be achieved under national testing conditions on a national testing date [no residual (campus) testing or regional testing dates] except that a state-administered ACT may be used to meet the test-score requirement; *(Revised: 1/10/90, 1/10/92, 1/16/93, 5/31/06)*
- (c) Completion of 10 of the required 16 core courses before the start of his or her seventh semester (or the equivalent) of high school. Seven of the 10 core courses must include English, mathematics and natural or physical science. The 10 core courses used to fulfill this requirement and the grades achieved in such courses shall be used in determining the student-athlete's eligibility for financial aid, practice and competition during his or her first academic year in residence and shall not be replaced by courses or grades achieved in subsequently completed core courses, including courses completed after the core-curriculum time limitation pursuant to Bylaws 14.3.1.2.1.1 or 14.3.1.2.1.2. (See Bylaw 14.3.1.2.6.) *(Adopted: 10/27/11, Revised: 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16)*

**14.3.1.1.1 Exception—Early Academic Certification.** A student-athlete shall be certified as a qualifier, provided he or she has achieved the following academic criteria: *(Adopted: 1/14/08 effective 8/1/08, Revised: 10/27/11, 4/26/12, 8/2/12 effective 8/1/13; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/13)*

- (a) A minimum combined score on the SAT critical reading and math sections of 900 or a minimum sum score on the ACT of 75, per the requirements of Bylaw 14.3.1.3; and
- (b) A core-course grade-point average of 3.000 or higher (based on a maximum of 4.000) in a minimum of 14 core courses on completion of six semesters (or the equivalent). The 14 core courses shall include three core courses in English, two in mathematics, two in natural or physical science (including at least one laboratory course, if offered), two additional core courses in English, mathematics, or natural or physical science and five additional core courses in any NCAA core area. The record of the courses and course grades must be certified by the NCAA Eligibility Center using either an official high school transcript forwarded directly from the high school or a high school transcript forwarded by an institution's admissions office.

**14.3.1.1.1.1 Submission of Final Transcript.** If a student-athlete is certified as a qualifier pursuant to the early academic certification exception and his or her initial full-time collegiate enrollment occurs at the certifying institution during the first term of the academic year, the institution shall ensure submission of his or her final high school transcript (official or unofficial) to the NCAA Eligibility

Center by February 1 following the student-athlete's initial full-time enrollment. If a student-athlete is certified as a qualifier pursuant to the early academic certification exception and his or her initial full-time collegiate enrollment occurs at the certifying institution after the conclusion of the first term of the academic year, the institution shall ensure submission of his or her final high school transcript (official or unofficial) to the NCAA Eligibility Center by February 1 of the following academic year. **[D]** (Adopted: 4/30/09 effective 8/1/09)

**14.3.1.1.1.1 Waiver.** The Academic Cabinet may waive the requirements of this legislation based on objective evidence that demonstrates circumstances for which a waiver is warranted. The cabinet shall establish the process for reviewing such waiver requests. (Adopted: 4/30/09 effective 8/1/09)

**Delayed effective date. See specific date below.**

**14.3.1.1.1 Exception—International Prospective Student-Athletes.** The provisions of Bylaw 14.3.1.1-(c) do not apply to a prospective student-athlete whose initial-eligibility certification is based entirely on international credentials. (Adopted: 4/26/12 effective 8/1/16, for students initially enrolling full time in a collegiate institution on or after 8/1/16)

**14.3.1.1.2 Initial-Eligibility Index.** Freshmen may establish eligibility using the following eligibility index: (Adopted: 1/10/92 effective 8/1/95, Revised: 1/10/95 effective 8/1/96, 1/9/96 effective 8/1/96, 10/31/02 effective 8/1/03 and 8/1/05)

Core GPA	SAT	Sum ACT	Core GPA	SAT	Sum ACT	Core GPA	SAT	Sum ACT
3.550 &			3.050	600	50	2.500	820	68
above	400	37	3.025	610	51	2.475	830	69
3.525	410	38	3.000	620	52	2.450	840-850	70
3.500	420	39	2.975	630	52	2.425	860	70
3.475	430	40	2.950	640	53	2.400	860	71
3.450	440	41	2.925	650	53	2.375	870	72
3.425	450	41	2.900	660	54	2.350	880	73
3.400	460	42	2.875	670	55	2.325	890	74
3.375	470	42	2.850	680	56	2.300	900	75
3.350	480	43	2.825	690	56	2.275	910	76
3.325	490	44	2.800	700	57	2.250	920	77
3.300	500	44	2.775	710	58	2.225	930	78
3.275	510	45	2.750	720	59	2.200	940	79
3.250	520	46	2.725	730	59	2.175	950	80
3.225	530	46	2.700	730	60	2.150	960	80
3.200	540	47	2.675	740-750	61	2.125	960	81
3.175	550	47	2.650	760	62	2.100	970	82
3.150	560	48	2.625	770	63	2.075	980	83
3.125	570	49	2.600	780	64	2.050	990	84
3.100	580	49	2.575	790	65	2.025	1000	85
3.075	590	50	2.550	800	66	2.000	1010	86
			2.525	810	67			

**Delayed effective date. See specific date below.**

**14.3.1.1.2 Initial-Eligibility Index for Qualifiers.** A student-athlete must meet the requirements of the following eligibility index to be certified as a qualifier: (Adopted: 1/10/92 effective 8/1/95, Revised: 1/10/95 effective 8/1/96, 1/9/96 effective 8/1/96, 10/31/02 effective 8/1/03 and 8/1/05, 10/27/11, 4/26/12, 5/2/13 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16)

Core GPA	SAT	Sum ACT	Core GPA	Sum SAT	ACT	Core GPA	SAT	Sum ACT
3.550			3.325	490	44	3.075	590	50
& above	400	37	3.300	500	44	3.050	600	50
3.525	410	38	3.275	510	45	3.025	610	51
3.500	420	39	3.250	520	46	3.000	620	52
3.475	430	40	3.225	530	46	2.975	630	52
3.450	440	41	3.200	540	47	2.950	640	53
3.425	450	41	3.175	550	47	2.925	650	53
3.400	460	42	3.150	560	48	2.900	660	54
3.375	470	42	3.125	570	49	2.875	670	55
3.350	480	43	3.100	580	49	2.850	680	56

Core GPA	SAT	Sum ACT	Core GPA	Sum SAT	ACT	Core GPA	SAT	Sum ACT
2.825	690	56	2.625	770	63	2.425	850	70
2.800	700	57	2.600	780	64	2.400	860	71
2.775	710	58	2.575	790	65	2.375	870	72
2.750	720	59	2.550	800	66	2.350	880	73
2.725	730	60	2.525	810	67	2.325	890	74
2.700	740	61	2.500	820	68	2.300	900	75
2.675	750	61	2.475	830	69			
2.650	760	62	2.450	840	70			

**14.3.1.2 Core-Curriculum Requirements. [#]** For purposes of meeting the core-curriculum requirement to establish eligibility at a member institution, a “core course” must meet all of the following criteria: *(Revised: 1/11/00 effective 8/1/00, 1/15/11 effective 1/14/12)*

- (a) A course must be a recognized academic course and qualify for high school graduation credit in one or a combination of the following areas: English, mathematics, natural/physical science, social science, foreign language or nondoctrinal religion/philosophy; *(Revised: 11/1/01 effective 8/1/05 for those students first entering a collegiate institution on or after 8/1/05)*
- (b) A course must be considered college preparatory by the high school. College preparatory is defined for these purposes as any course that prepares a student academically to enter a four-year collegiate institution upon graduation from high school;
- (c) A mathematics course must be at the level of Algebra I or a higher-level mathematics course;
- (d) A course must be taught by a qualified instructor as defined by the appropriate academic authority (e.g., high school, school district or state agency with authority of such matters); and
- (e) A course must be taught at or above the high school’s regular academic level (remedial, special education or compensatory courses shall not be considered core courses). However, the prohibition against the use of remedial or compensatory courses is not applicable to courses designed for students with education-impacting disabilities (see Bylaw 14.3.1.2.1.2). *(Revised: 8/7/08)*

**Delayed effective date. See specific date below.**

**14.3.1.2 Academic Redshirt.** An academic redshirt may receive institutional athletically related financial aid but may not compete during the first academic year in residence. An academic redshirt may practice only on campus or at the institution’s regular practice facility during the first regular academic term in residence. An academic redshirt must successfully complete nine semester or eight quarter hours of academic credit in each applicable regular academic term in order to be eligible for practice in the immediately subsequent term of the first academic year (see Bylaw 14.4.2.1). An academic redshirt is defined as one who is a high school graduate and who presented the same academic qualifications applicable to qualifiers (see Bylaw 14.3.1.1) except for the following: *(Adopted: 10/27/11, Revised: 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16)*

- (a) The required minimum cumulative grade-point average and minimum combined score on the SAT critical reading and math sections or a minimum sum score on the ACT as specified in Bylaw 14.3.1.2.1;
- (b) Bylaw 14.3.1.1-(c) shall not apply.

**14.3.1.2.1 Core-Curriculum Time Limitation.** A prospective student-athlete must complete his or her core-curriculum requirements not later than the high school graduation date of the prospective student-athlete’s class [as determined by the first year of enrollment in high school (ninth grade) or the international equivalent as specified in the NCAA Guide to International Academic Standards for Athletics Eligibility]. Graduation from high school or secondary school shall be based on the prospective student-athlete’s prescribed educational path in his or her country. The eligibility of an international student-athlete whose prescribed educational path culminates with a leaving examination (e.g., General Certificate of Secondary Education, Baccalaureat, Arbitur) shall be determined based on the leaving examination, regardless of a delay in graduation or completion of the leaving examination. *(Revised: 1/10/90, 9/15/97, 11/1/01 effective 8/1/02, 4/26/07 effective 8/1/07, 1/15/11 effective 8/1/12; applicable to student-athletes who initially enroll full time in a collegiate institution on or after 8/1/12)*

**Delayed effective date. See specific date below.**

**14.3.1.2.1 Initial-Eligibility Index for Academic Redshirts.** A student-athlete must meet the requirements of the following eligibility index to be certified as an academic redshirt: *(Adopted: 10/27/11, Revised: 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16)*



Core GPA	SAT	Sum ACT	Core GPA	SAT	Sum ACT	Core GPA	SAT	Sum ACT
3.550	400	37	3.025	610	51	2.500	820	68
3.525	410	38	3.000	620	52	2.475	830	69
3.500	420	39	2.975	630	52	2.450	840	70
3.475	430	40	2.950	640	53	2.425	850	70
3.450	440	41	2.925	650	53	2.400	860	71
3.425	450	41	2.900	660	54	2.375	870	72
3.400	460	42	2.875	670	55	2.350	880	73
3.375	470	42	2.850	680	56	2.325	890	74
3.350	480	43	2.825	690	56	2.300	900	75
3.325	490	44	2.800	700	57	2.275	910	76
3.300	500	44	2.775	710	58	2.250	920	77
3.275	510	45	2.750	720	59	2.225	930	78
3.250	520	46	2.725	730	60	2.200	940	79
3.225	530	46	2.700	740	61	2.175	950	80
3.200	540	47	2.675	750	61	2.150	960	81
3.175	550	47	2.650	760	62	2.125	970	82
3.150	560	48	2.625	770	63	2.100	980	83
3.125	570	49	2.600	780	64	2.075	990	84
3.100	580	49	2.575	790	65	2.050	1000	85
3.075	590	50	2.550	800	66	2.025	1010	86
3.050	600	50	2.525	810	67	2.000	1020	86

**14.3.1.2.1.1 Exception—One Core Course after High School Graduation.** If a prospective student-athlete graduates from high school within the core-curriculum time limitation, he or she may use one core course, completed in the year after graduation (summer or academic year), but not later than the end of the academic year immediately after the high school graduation date of the prospective student-athlete's class, to satisfy the core-curriculum or minimum grade-point average requirements or both. The prospective student-athlete may complete the core course at a location other than the high school from which he or she graduated and may initially enroll full time at a collegiate institution at any time after completion of the core course. *(Adopted: 4/26/07 effective 8/1/07, Revised: 9/24/09)*

**14.3.1.2.1.1.1 Receipt of Athletically Related Financial Aid While Enrolled in Core Courses.** A prospective student-athlete may not use a core course completed after high school graduation to satisfy initial-eligibility requirements if the prospective student-athlete is enrolled in the core course while concurrently receiving athletically related financial aid to attend an institution's summer term. A prospective student-athlete may use a core course completed after high school graduation, provided the prospective student-athlete either completes the core course prior to receiving athletically related financial aid to attend an institution's summer term or does not enroll in the core course until after he or she completes the institution's summer term for which he or she is receiving athletically related financial aid (see Bylaw 15.2.8.1.4). *(Adopted: 9/24/09)*

**14.3.1.2.1.2 Exception—Students with Education-Impacting Disabilities.** If a prospective student-athlete with a diagnosed education-impacting disability graduates from high school within the core-curriculum time limitation, he or she may use up to three core courses completed after high school graduation to satisfy the core-curriculum or minimum grade-point average requirements or both. The prospective student-athlete may complete the core courses at a location other than the high school from which he or she graduated and may initially enroll full time at a collegiate institution at any time after completion of the core courses. A prospective student-athlete may not use a core course completed after graduation if he or she receives institutional financial aid while enrolled in a course during the summer after the high school graduation date of his or her class (see Bylaw 15.2.8.1.4). *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/24/03 effective 8/1/03, 11/1/07 effective 8/1/08, 4/17/08, 8/7/08, 4/30/09 effective 8/1/10 for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/10)*

**14.3.1.2.2 Nontraditional Courses. [#]** Courses taught via the Internet, distance learning, independent study, individualized instruction, correspondence, and courses taught by similar means may be used to satisfy NCAA core-course requirements if all of the following conditions are satisfied: *(Adopted: 1/11/00 effective 8/1/00, Revised: 1/15/11 effective 1/14/12)*

- (a) The course meets all requirements for a core course as defined in Bylaw 14.3.1.2;
- (b) The instructor and the student have ongoing access to one another for purposes of teaching, evaluating and providing assistance to the student throughout the duration of the course; *(Revised: 1/16/10 effective 8/1/10; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/10)*

- (c) The instructor and the student have regular interaction with one another for purposes of teaching, evaluating and providing assistance to the student throughout the duration of the course; (*Adopted: 1/16/10 effective 8/1/10; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/10*)
- (d) The student's work (e.g., exams, papers, assignments) is available for evaluation and validation; (*Adopted: 1/16/10 effective 8/1/10; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/10*)
- (e) Evaluation of the student's work is conducted by the appropriate academic authorities in accordance with the high school's established academic policies;
- (f) The course includes a defined time period for completion; and (*Adopted: 1/16/10 effective 8/1/10; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/10*)
- (g) The course is acceptable for any student and is placed on the high school transcript.

**Delayed effective date. See specific date below.**

**14.3.1.2.2 Outside Competition—Academic Redshirt.** An academic redshirt may participate in the institution's intramural program (provided the intramural team is not coached by a member of the institution's athletics department staff), but during the first year of enrollment, such an individual is not permitted to practice or compete on an institutional club team or on an outside sports team. (*Adopted: 10/27/11, Revised: 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16*)

**14.3.1.2.3 College Courses. [#]** College courses may be used to satisfy core-curriculum requirements if accepted by the high school, provided the courses are accepted for any other student, meet all other requirements for core courses and are placed on the student's high school transcript. (*Revised: 4/26/12*)

**14.3.1.2.4 English as a Second Language Course. [#]** It is permissible to use an advanced-level English as a Second Language (ESL) course to satisfy core-curriculum requirements, provided it is reviewed through the NCAA Initial-Eligibility Waiver process. Other ESL courses taught in disciplines other than English (e.g., social studies) may satisfy a core-course requirement, provided they are qualitatively and quantitatively the same as the comparison course in the regular-course offering. (*Adopted: 11/17/98, Revised: 1/15/11 effective 1/14/12*)

**14.3.1.2.5 Courses for Students With Education-Impacting Disabilities. [#]** High school courses for students with education-impacting disabilities may be used to fulfill the core-curriculum requirements, even if such courses appear to be taught at a level below the high school's regular academic instructional level (e.g., special education courses), if the high school principal submits a written statement to the NCAA Eligibility Center indicating that the courses are substantially comparable, quantitatively and qualitatively, to similar core course offerings in that academic discipline and the courses appear on the high school's list of approved core courses. Students with education-impacting disabilities still must complete the required core courses and achieve the minimum required grade-point average in the core curriculum. The fact that the title of a course includes a designation such as "remedial," "special education," "special needs," or other similar titles used for courses designed for students with education-impacting disabilities does not, in and of itself, disqualify a course from satisfying core-curriculum requirements. (*Revised: 1/14/97 effective 8/1/97, 2/11/98, 4/24/03 effective 8/1/03, 8/7/08, 1/15/11 effective 1/14/12*)

**14.3.1.2.6 Grade Value of Core Courses. [#]** The following grade values are to be used in determining a student's grade-point average in the core courses: A = 4 quality points, B = 3 quality points, C = 2 quality points, D = 1 quality point. In determining the core-curriculum grade-point average, each grade earned in a course (including all numerical grades) must be converted to this 4.000 scale on an individual-course basis. Pluses or minuses within a grade level shall not receive greater or lesser quality points. A school's normal practice of weighting honors or advanced courses may be used to compute the quality points awarded in those courses and the cumulative grade-point average, provided a written statement verifying the grading policy accompanies the prospective student-athlete's official grade transcript. An honors or advanced course shall receive no greater than 1.000 additional quality point (e.g., A=5.000). In calculating the grade in a weighted honors or advanced course, if a high school does not assign quality points to its courses, quality points shall be added to each course before calculating the student's grade-point average and not added to a student's cumulative core-course grade-point average. The core-curriculum grade-point average may be calculated using the student's 16 best grades from courses that meet the distribution requirements of the core curriculum. Additional core courses (beyond the 16 required) may be used to meet the core-curriculum grade-point average, provided the distribution requirements are met. (*Revised: 1/10/92 effective 8/1/95, 1/14/97, 4/15/98, 1/13/03 effective 8/1/05, 4/24/03 effective 8/1/08, 1/15/11 effective 1/14/12*)

**Delayed effective date. See specific date below.**

**14.3.1.2.6 Grade Value of Core Courses.** The following grade values are to be used in determining a student's grade-point average in the core courses: A = 4 quality points, B = 3 quality points, C = 2 quality



points, D = 1 quality point. In determining the core-curriculum grade-point average, each grade earned in a course (including all numerical grades) must be converted to this 4.000 scale on an individual-course basis. Pluses or minuses within a grade level shall not receive greater or lesser quality points. A school's normal practice of weighting honors or advanced courses may be used to compute the quality points awarded in those courses and the cumulative grade-point average, provided a written statement verifying the grading policy accompanies the prospective student-athlete's official grade transcript. An honors or advanced course shall receive no greater than 1.000 additional quality point (e.g., A=5.000). In calculating the grade in a weighted honors or advanced course, if a high school does not assign quality points to its courses, quality points shall be added to each course before calculating the student's grade-point average and not added to a student's cumulative core-course grade-point average. The core-curriculum grade-point average may be calculated using the student's 16 best grades from courses that meet the distribution requirements of the core curriculum. *(Revised: 1/10/92 effective 8/1/95, 1/14/97, 4/15/98, 1/13/03 effective 8/1/05, 4/24/03 effective 8/1/08, 1/15/11 effective 1/14/12, 5/2/13 effective 8/1/16; for student-athletes initially enrolling full time in a college institution on or after 8/1/16)*

**14.3.1.2.7 Pass-Fail Grades. [#]** Courses that are awarded pass-fail grades may be used to satisfy core-curriculum requirements. The NCAA Eligibility Center shall assign the course the lowest passing grade that the high school assigns for a pass-fail course. *(Revised: 1/14/97 effective 8/1/97, 4/15/97, 5/9/07, 1/15/11 effective 1/14/12)*

**14.3.1.2.8 Repeat Courses. [#]** A repeated course may be used only once to satisfy core-curriculum requirements. The best grade in that course may be used to calculate the grade-point average in the core curriculum. *(Revised: 1/15/11 effective 1/14/12)*

**14.3.1.2.9 Multiple High School Attendance. [#]** For a student-athlete who attends more than one high school, a Form 48-H (core-course form) and an official transcript from each high school the student-athlete attended must be used. However, the NCAA Eligibility Center may receive the official transcript from either the student-athlete's original high school or the high school from which the student-athlete graduated, or an institution's admissions office. *(Adopted: 1/10/92, Revised: 4/22/98 effective 8/1/98, 3/30/06, 5/9/07, 4/26/12)*

**14.3.1.3 Test-Score Requirements. [#]** The minimum required SAT or ACT score (see Bylaw 14.3.1.1) must be achieved under national testing conditions on a national testing date [no residual (campus) testing, or regional testing dates] except that a state-administered ACT may be used to meet the test-score requirement. *(Adopted: 1/9/06 effective 8/1/06, Revised: 1/15/11 effective 1/14/12)*

**14.3.1.3.1 Test-Score Time Limitation.** The minimum required SAT or ACT score shall be achieved prior to registering for a minimum full-time program of studies (as determined by the institution) and attending classes in a regular term (e.g., semester or quarter) of an academic year at a collegiate institution. *(Revised: 1/11/89, 1/16/93, 1/10/95, 6/10/04)*

**14.3.1.3.2 Combined Test Scores. [#]** For students using the SAT examination, the highest scores achieved on the critical reading and mathematics sections of the SAT from two different national testing dates may be combined in determining whether the student has met the minimum test-score requirements. For students using the ACT examination, the highest scores achieved on the individual subtests of the ACT from more than one national testing date or state-administered examination may be combined in determining whether the student's sum score has met the minimum test-score requirement. *(Revised: 1/9/06 effective 8/1/06, 1/15/11 effective 1/14/12)*

**14.3.1.3.3 Nonstandard Test Administration. [#]** Students with education-impacting disabilities may use scores achieved during a nonstandard administration of the SAT or ACT. A student who takes a nonstandard SAT or ACT still must achieve the minimum required test score; however, the test is not required to be administered on a national testing date. *(Revised: 4/24/03 effective 8/1/03, 8/7/08, 1/15/11 effective 1/14/12)*

**14.3.1.3.4 Test-Score Report. [#]** The minimum SAT or ACT score(s) used for initial-eligibility purposes must be provided to the NCAA Eligibility Center by the appropriate testing agency through an official test-score report. *(Adopted: 4/27/06 effective 8/1/07, Revised: 5/9/07, 1/15/11 effective 1/14/12)*

**14.3.1.4 Initial-Eligibility Waivers.** The Initial-Eligibility Waivers Committee (see Bylaw 21.7.5.1.3.1) shall have the authority to waive the initial-eligibility requirements based on objective evidence that demonstrates circumstances in which a student's overall academic record warrants a waiver of the normal application of this regulation. The committee shall oversee the process for reviewing such waivers and shall report annually to the Academic Cabinet and to the membership the actions taken in summary, aggregate form. *(Revised: 11/1/07 effective 8/1/08, 1/16/10 effective 5/1/10)*

## **14.3.2 Eligibility for Financial Aid, Practice and Competition—Nonqualifier.**

**14.3.2.1 Nonqualifier.** A nonqualifier is a student who has not graduated from high school or who, at the time specified in the regulation (see Bylaw 14.3), did not present the core-curriculum grade-point average and/or SAT/ACT score required for a qualifier.

**Delayed effective date. See specific date below.**

**14.3.2.1 Nonqualifier.** A nonqualifier is a student who has not graduated from high school or who, at the time specified in the regulation (see Bylaw 14.3), did not present the core-curriculum grade-point average and/or SAT/ACT score required for a qualifier or an academic redshirt. *(Revised: 10/27/11, Revised: 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16)*

**14.3.2.1.1 Eligibility for Aid, Practice and Competition.** An entering freshman with no previous college attendance who was a nonqualifier at the time of enrollment in a Division I institution shall not be eligible for regular-season competition or practice during the first academic year of residence. However, such a student shall be eligible for nonathletics institutional financial aid that is not from an athletics source and is based on financial need only, consistent with institutional and conference regulations. *(Revised: 1/10/95 effective 8/1/96)*

**14.3.2.2 Practice-Session Attendance.** A student-athlete who is a nonqualifier and who, therefore, is not eligible for practice, may not attend any practice sessions in any capacity, nor may the student-athlete attend any meeting characterized as practice (see Bylaw 17.02.1). *(Revised: 1/10/95 effective 8/1/96, 1/9/96 effective 8/1/96)*

**14.3.2.3 Outside Competition—Nonqualifier.** A nonqualifier may participate in the institution's intramural program (provided the intramural team is not coached by a member of the institution's athletics department staff), but during the first year of enrollment [including an institution's term-time official vacation periods (e.g., midterm break, Labor Day weekend) and between terms when classes are not in session (e.g., winter break)], such an individual is not permitted to practice or compete on an institutional club team or on an outside sports team, including a national team. *(Revised: 1/10/13)*

**14.3.3 Seasons of Competition—Nonqualifier.** Nonqualifiers, recruited or nonrecruited, shall not engage in more than three seasons of competition in any one sport. A student who transfers to a Division I member institution from another collegiate institution shall not engage in more than four seasons of competition with not more than three of those seasons in Division I.

**14.3.3.1 Fourth Season of Competition—Not a Qualifier.** A fourth season of intercollegiate competition shall be granted to a student-athlete who is not a qualifier, provided that at the beginning of the fifth academic year following the student-athlete's initial, full-time collegiate enrollment, the student-athlete has completed at least 80 percent of his or her designated degree program. *(Revised: 4/28/05 effective 8/1/05, 1/3/06)*

**Delayed effective date. See specific date below.**

**14.3.3.1 Fourth Season of Competition—Nonqualifiers.** A fourth season of intercollegiate competition shall be granted to a student-athlete who is a nonqualifier, provided that at the beginning of the fifth academic year following the student-athlete's initial, full-time collegiate enrollment, the student-athlete has completed at least 80 percent of his or her designated degree program. *(Revised: 4/28/05 effective 8/1/05, 1/3/06, 10/27/11, 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16)*

**14.3.3.1.1 Waiver.** The Progress-Toward-Degree Waivers Committee (see Bylaw 21.7.5.1.3.2) shall have the authority to grant a fourth season of intercollegiate competition to a student-athlete who is not a qualifier based on objective evidence of extraordinary circumstances that warrant a waiver of the normal application of this regulation. *(Adopted: 1/13/98 effective 8/1/98, Revised: 4/27/00 effective 8/1/00, 8/4/05, 1/3/06, 11/1/07 effective 8/1/08, 1/16/10 effective 5/1/10)*

**Delayed effective date. See specific date below.**

**14.3.3.1.1 Waiver.** The Progress-Toward-Degree Waivers Committee (see Bylaw 21.7.5.1.3.2) shall have the authority to grant a fourth season of intercollegiate competition to a student-athlete who is a nonqualifier based on objective evidence of extraordinary circumstances that warrant a waiver of the normal application of this regulation. *(Adopted: 1/13/98 effective 8/1/98, Revised: 4/27/00 effective 8/1/00, 8/4/05, 1/3/06, 11/1/07 effective 8/1/08, 1/16/10 effective 5/1/10, 10/27/11, 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16)*

**14.3.4 Residence Requirement—Nonqualifier.** A nonqualifier must fulfill an academic year of residence in order to be eligible for practice, competition and athletically related financial aid (see Bylaw 14.3.2.1.1). *(Revised: 1/10/90 effective 8/1/90, 1/10/95 effective 8/1/96, 1/3/06, 4/14/10)*

**Delayed effective date. See specific date below.**

**14.3.4 Residence Requirement—Academic Redshirts and Nonqualifiers.** An academic redshirt must fulfill an academic year of residence in order to be eligible to compete and to practice away from the institution. A nonqualifier must fulfill an academic year of residence in order to be eligible for practice, competition and athletically related financial aid (see Bylaw 14.3.2.1.1). *(Revised: 1/10/90 effective 8/1/90, 1/10/95 effective 8/1/96, 1/3/06, 4/14/10, 10/27/11, 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16)*

### 14.3.5 Determination of Freshman Eligibility.

**14.3.5.1 Participation Prior to Certification.** If a student-athlete reports for athletics participation before his or her qualification status has been certified, the student may practice, but not compete, during a 45-day period, provided the student meets all other requirements to be eligible to practice. An institution shall not provide athletically related financial aid to the student during this period. After the 45-day period, the student shall have established minimum requirements (as certified by the NCAA Eligibility Center) to continue practicing or to compete and receive athletically related financial aid. (*Revised: 1/11/89, 10/7/05, 5/9/07, 9/18/07, 1/15/11 effective 8/1/11, 1/19/13 effective 8/1/13*)

**14.3.5.2 High School Graduate.** In order to be considered a high school graduate, a prospective student-athlete shall meet all graduation requirements, including academic and nonacademic (e.g., state exit exams, community service, senior project) requirements, as defined for all students by his or her high school or secondary school. (*Adopted: 4/23/08*)

**14.3.5.3 GED Test/Equivalency Diploma.** A prospective student-athlete who does not graduate from high school but who subsequently completes the General Educational Development (GED) test and obtains a state high school equivalency diploma may satisfy the graduation requirement of Bylaw 14.3, but not the core-curriculum or test-score requirement, if the following conditions are met:

- (a) Only scores from a GED test taken by the prospective student-athlete on or after the date the prospective student-athlete's high school class would normally have graduated from high school (the last class of which the student was a member while enrolled in high school) shall be used; (*Revised: 1/10/05 effective 8/1/05*)
- (b) The prospective student-athlete must present the state high school equivalency diploma prior to initial enrollment as a full-time, regularly matriculated student in a collegiate institution; and
- (c) To qualify for financial aid, practice and competition, the prospective student-athlete must meet the core-curriculum grade-point average and test-score requirements (see Bylaw 14.3.1.1) in addition to presenting a minimum average score of 450 on the five-part GED test. (*Revised: 6/16/04*)

**14.3.5.4 Advanced Placement.** If the student-athlete is admitted with a minimum of 24-semester hours or a minimum of 36-quarter hours of advanced placement from a College Entrance Examination Board (CEEB) examination (or from a similar proficiency examination) and/or concurrent high school/college credit without previous enrollment at a collegiate institution, the student-athlete shall be immediately eligible. Credits earned from extension or summer-session courses may not be counted in satisfaction of this requirement. A "similar proficiency examination" must be an advanced or higher level, nationally administered proficiency exam with a uniform grading scale that is taken after high school graduation. (*Revised: 1/14/12 effective 8/1/12*)

**14.3.5.4.1 International Certification.** An institution shall use the NCAA Eligibility Center to determine whether a "similar proficiency examination" taken by an international student-athlete is an advanced or higher level, nationally administered proficiency exam with a uniform grading scale that is taken after high school graduation. In addition, the Eligibility Center shall certify the eligibility of an international student-athlete based on the number of advanced placement hours accepted by the certifying institution. (*Adopted: 1/14/12 effective 8/1/12*)

**14.3.5.5 International Academic Standards.** A student from a foreign country shall satisfy both the requirements outlined in the NCAA Guide to International Academic Standards for Athletics Eligibility and the test-score requirements set forth in Bylaw 14.3.1.1-(b).

### 14.4 Progress-Toward-Degree Requirements.

**14.4.1 Progress-Toward-Degree Requirements.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall maintain progress toward a baccalaureate or equivalent degree at that institution as determined by the regulations of that institution subject to controlling legislation of the conference(s) or similar association of which the institution is a member and applicable NCAA legislation. (See Constitution 3.2.4.13 regarding the obligations of members to publish their progress-toward-degree requirements for student-athletes.) (*Revised: 5/29/08, 4/15/09*)

**14.4.1.1 Bona Fide International Exchange Student.** The eligibility for competition of a bona fide international exchange student, as defined in Bylaw 14.5.1.5.1, shall be based on satisfactory completion of at least: (*Revised: 1/14/08 effective 8/1/08*)

- (a) Six-semester or six-quarter hours of academic credit during the preceding regular academic term in which the student has enrolled full time at the certifying institution; (*Revised: 4/14/09*)
- (b) Eighteen-semester or 27-quarter hours of academic credit since the beginning of the previous fall term or since the beginning of the certifying institution's preceding regular two semesters or three quarters (hours earned during the summer may not be used to fulfill this requirement) (see Bylaw 14.4.3.1.4); and (*Revised: 4/14/09*)
- (c) A minimum grade-point average per academic term of enrollment as set forth in Bylaw 14.4.3.3.1.

**14.4.1.2 Temporary Student.** A student-athlete having the status of temporary, transient or exchange student shall not represent an institution in intercollegiate athletics competition unless such status is specifically allowed and governed by provisions adopted by the membership.

**14.4.2 Eligibility for Financial Aid and Practice.** Eligibility for institutional financial aid and practice during each academic year after a student-athlete's initial year in residence or after the student-athlete has used one season of eligibility in a sport shall be based upon the rules of the institution and the conference(s), if any, of which the institution is a member.

**Delayed effective date. See specific date below.**

**14.4.2.1 Eligibility for Practice During Initial Year of Residence—Academic Redshirt.** An academic redshirt must successfully complete nine semester or eight quarter hours of academic credit during each applicable regular academic term of his or her initial year of residence in order to be eligible for practice in the immediately subsequent term of his or her initial year in residence. (*Adopted: 10/27/11, Revised: 4/26/12 effective 8/1/16; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/16*)

### 14.4.3 Eligibility for Competition.

**14.4.3.1 Fulfillment of Credit-Hour Requirements.** Eligibility for competition shall be determined based on satisfactory completion of at least: (*Revised: 1/10/92, 10/31/02 effective 8/1/03, 3/10/04, 4/28/05*)

- (a) Twenty-four-semester or 36-quarter hours of academic credit prior to the start of the student-athlete's second year of collegiate enrollment (third semester, fourth quarter); (*Revised: 10/31/02 effective 8/1/03*)
- (b) Eighteen-semester or 27-quarter hours of academic credit since the beginning of the previous fall term or since the beginning of the certifying institution's preceding regular two semesters or three quarters (hours earned during the summer may not be used to fulfill this requirement) (see Bylaw 14.4.3.1.4); and (*Revised: 10/31/02 effective 8/1/03*)
- (c) Six-semester or six-quarter hours of academic credit during the preceding regular academic term (e.g., fall semester, winter quarter) in which the student-athlete has been enrolled full time at any collegiate institution (see Bylaw 14.1.9 for postseason certification). (*Adopted: 10/31/02 effective 8/1/03, Revised: 3/10/04, 4/28/05*)

**14.4.3.1.1 Regular Academic Year.** For purposes of Bylaw 14.4.3.1, the regular academic year shall be defined as the time beginning with the opening of the institution's fall term and concluding with the institution's spring commencement exercises. (*Adopted: 4/2/03 effective 8/1/03, Revised: 6/1/06*)

**14.4.3.1.2 Transfer.** To be eligible for competition, a transfer student-athlete must meet the following credit-hour requirements based on attendance at the previous institution(s) for the specified time and may use any hours of academic credit earned at any collegiate institution: (*Adopted: 10/31/02 effective 8/1/03, Revised: 5/12/05*)

- (a) Equivalent of one semester/one quarter: six-semester or six-quarter hours of academic credit;
- (b) Equivalent of one academic year (e.g., two semesters/three quarters): 24-semester or 36-quarter hours of academic credit;
- (c) Equivalent of three semesters/four quarters: 30-semester or 42-quarter hours of academic credit; or
- (d) Equivalent of four semesters/six quarters and thereafter: six-semester or six-quarter hours of academic credit during the previous term of full-time enrollment, if applicable (see Bylaw 14.4.3.1.2.1).

**14.4.3.1.2.1 Six-Hour Requirement for Transfer.** A transfer student-athlete from a domestic two-year or four-year collegiate institution must complete six hours of academic credit during the previous term of full-time enrollment regardless of when the student-athlete enrolls in the certifying institution. A transfer student-athlete from a foreign collegiate institution is not required to complete six hours of academic credit in the previous term of full-time enrollment. (*Adopted: 5/12/05*)

**14.4.3.1.3 Regaining Eligibility.** For purposes of Bylaw 14.4.3.1-(a), a student-athlete who does not meet the 24-semester or 36-quarter credit-hour requirement prior to the start of his or her second year of collegiate enrollment (third semester, fourth quarter), may become eligible at the beginning of the next academic term by successfully completing 24-semester or 36-quarter hours during the previous two semesters or three quarters. (*Adopted: 4/14/03 effective 8/1/03, Revised: 4/28/05*)

**14.4.3.1.3.1 Exception—Baseball.** In baseball, a student-athlete who is subject to the 24-semester or 36-quarter credit-hour requirement at the beginning of an institution's fall term and fails to meet the requirement based on the student-athlete's academic record in existence at that time shall not be eligible during the remainder of the academic year. (*Adopted: 4/26/07 effective 8/1/08*)

**14.4.3.1.4 Application of Rule.** For purposes of Bylaw 14.4.3.1-(b), once a student-athlete initially enrolls as a full-time student [including a midyear enrollee (freshman or transfer)], he or she must meet the 18-semester/27-quarter hour requirement prior to the start of the institution's third semester or fourth quarter following the student-athlete's initial full-time enrollment. Further, the 18-semester/27-quarter hour requirement applies to each academic year in which a student-athlete is enrolled as a full-time student dur-



ing any term of that academic year. Credits earned during a term in which a student-athlete is enrolled in less than a full-time program of studies may be used to satisfy the 18-semester/27-quarter hour requirement only if such credits are combined with credits earned during a term that immediately precedes or immediately follows a term in which the student-athlete is enrolled as a full-time student. Credits earned during a part-time term may be completed at an institution other than the certifying institution. (*Adopted: 4/14/03 effective 8/1/03, Revised: 9/18/07, 4/8/09*)

**14.4.3.1.4.1 Application to a Midyear Enrollee.** After a midyear enrollee's initial certification, (prior to the start of the institution's third semester or fourth quarter following the student-athlete's initial full-time enrollment), the student-athlete's completion of the 18-semester/27-quarter hour requirement shall be certified prior to the start of each academic year based on the student-athlete's record since the beginning of the previous fall term. (*Adopted: 4/8/09*)

**14.4.3.1.4.2 Additional Application—Baseball.** In baseball, a student-athlete who is subject to the 18-semester/27-quarter hour requirement at the beginning of an institution's fall term and fails to meet the requirement based on the student-athlete's academic record in existence at that time shall not be eligible during the remainder of the academic year. (*Adopted: 4/26/07 effective 8/1/08*)

**14.4.3.1.5 Additional Application of Six-Hour and Transfer Rules—Baseball.** In baseball, a student-athlete who fails to meet the requirements of Bylaws 14.4.3.1-(c) or 14.4.3.1.2, or both, to be eligible for an institution's fall term shall not be eligible during the remainder of the academic year. (*Adopted: 4/26/07 effective 8/1/08*)

**14.4.3.1.6 Additional Requirements—Football. [FBS/FCS]** In football, a student-athlete who is a member of the institution's football team and who does not successfully complete at least nine-semester hours or eight-quarter hours of academic credit during the fall term or does not earn the Academic Progress Rate eligibility point for the fall term (or does not successfully complete either requirement) shall not be eligible to compete in the first four contests against outside competition in the following playing season. (*Adopted: 4/28/11 effective 8/1/11, Revised: 5/28/13*)

**14.4.3.1.6.1 Regaining Eligibility for Two Contests. [FBS/FCS]** A student-athlete who is ineligible, pursuant to Bylaw 14.4.3.1.6, to compete in the first four contests of a playing season against outside competition may regain eligibility to compete in the third and fourth contests of that season, provided he or she successfully completes at least 27-semester hours or 40-quarter hours of academic credit before the beginning of the next fall term. A student-athlete in his or her initial year of full-time collegiate enrollment may use credit hours earned at the certifying institution during the summer prior to initial full-time enrollment and credit hours earned during the summer following the regular academic year to satisfy the 27-semester/40-quarter credit-hour requirement. (*Adopted: 4/28/11 effective 8/1/11, Revised: 2/6/12*)

**14.4.3.1.6.2 Regaining Full Eligibility—One-Time Exception. [FBS/FCS]** One time during a student-athlete's five-year period of eligibility, a student-athlete who is ineligible, pursuant to Bylaw 14.4.3.1.6, to compete in the first four contests of a playing season against outside competition may regain eligibility to compete in the first four contests of that season, provided he or she successfully completes at least 27-semester hours or 40-quarter hours of academic credit before the beginning of the next fall term. A student-athlete in his or her initial year of full-time collegiate enrollment may use credit hours earned at the certifying institution during the summer prior to initial full-time enrollment and credit hours earned during the summer following the regular academic year to satisfy the 27-semester/40-quarter credit-hour requirement. (*Adopted: 4/28/11 effective 8/1/11, Revised: 2/6/12, 5/28/13*)

**14.4.3.1.7 Hours Earned or Accepted for Degree Credit.** The provision that the calculation of credit hours under the progress-toward-degree regulation shall be based on hours earned or accepted for degree credit at the certifying institution in a student-athlete's specific baccalaureate degree program (see Bylaw 14.4.3.1) shall be met as follows:

- (a) During the first two years of enrollment, a student-athlete may use credits acceptable toward any of the institution's degree programs; (*Revised: 1/9/06 effective 8/1/06*)
- (b) By the beginning of the third year of enrollment (fifth semester or seventh quarter), a student-athlete shall be required to have designated a program of studies leading toward a specific baccalaureate degree. From that point, the credits used to meet the progress-toward-degree requirements must be degree credit toward the student's designated degree program;
- (c) A student-athlete who changes his or her designated degree program may comply with the progress-toward-degree requirements if:
  - (1) The change in programs is documented appropriately by the institution's academic authorities;
  - (2) The credits earned prior to the change are acceptable toward the degree previously sought; and
  - (3) The credits earned from the time of the change are acceptable toward the new desired degree.



- (d) Once a student-athlete has begun his or her third year of enrollment (fifth semester or seventh quarter), a course may not be used to fulfill the credit-hour requirements for meeting progress toward degree if the student ultimately must repeat the course to fulfill the requirements of the student's major, even if the course fulfills an elective component of the student-athlete's degree program. (*Adopted: 1/14/97, Revised: 3/12/12*)

**14.4.3.1.7.1 Exception—Final Academic Year of Degree Program—Six-Hour Requirement.** A student-athlete who is in the final academic year (final two semesters or three quarters) of his or her designated degree program may use credit hours acceptable toward any of the institution's degree programs to satisfy the six-hour requirement stated in Bylaw 14.4.3.1, provided the institution certifies that the student is enrolled in courses necessary to complete degree requirements at the end of the two semesters or three quarters. Thereafter, the student shall forfeit eligibility in all sports, unless the student completes all degree requirements during the final two semesters or three quarters and is eligible to receive the baccalaureate diploma on the institution's next degree-granting date. (*Adopted: 8/5/04*)

**14.4.3.1.7.2 Hours Earned or Accepted Toward a Minor.** Credit hours earned or accepted toward a voluntary or optional minor (a minor that is not a required element of the original baccalaureate degree program for all students) may not be used to satisfy the credit-hour requirements after the first two years of enrollment even if the student-athlete must complete the requirements of the voluntary or optional minor to graduate. A student-athlete may use credits earned in a minor only if the minor is a required element for all students to obtain the original baccalaureate degree. (*Adopted: 9/24/09*)

**14.4.3.2 Fulfillment of Percentage of Degree Requirements.** A student-athlete who is entering his or her third year of collegiate enrollment shall have completed successfully at least 40 percent of the course requirements in the student's specific degree program. A student-athlete who is entering his or her fourth year of collegiate enrollment shall have completed successfully at least 60 percent of the course requirements in the student's specific degree program. A student-athlete who is entering his or her fifth year of collegiate enrollment shall have completed successfully at least 80 percent of the course requirements in the student's specific degree program. The course requirements must be in the student's specific degree program (as opposed to the student's major). (*Adopted: 1/10/92 effective 8/1/92, Revised: 1/9/96, 10/31/02 effective 8/1/03*)

**14.4.3.2.1 Five-Year Degree Program.** If the student-athlete's degree is identified in the institution's official catalog as a five-year program or otherwise requires the completion of a minimum of 150-semester or 225-quarter hours, the student-athlete who is entering his or her third year of collegiate enrollment shall have completed successfully 33 percent of the course requirements in the student's specific degree program. A student-athlete who is entering his or her fourth year of collegiate enrollment shall have completed successfully 50 percent of the course requirements in the student's specific degree program. A student-athlete who is entering his or her fifth year of collegiate enrollment shall have completed successfully 67 percent of the course requirements in the student's specific degree program. (*Adopted: 1/14/97, Revised: 10/31/02 effective 8/1/03*)

**14.4.3.2.2 Application of Rule to Transfer Student.** The provisions of Bylaw 14.4.3.2 shall be applicable to the eligibility of a transfer student from a two-year or four-year collegiate institution, even if the student has not yet completed an academic year in residence or used a season of eligibility in a sport at the certifying institution. (*Adopted: 1/10/92 effective 8/1/92*)

**14.4.3.2.2.1 Exception—National Service Academies.** The provisions of Bylaw 14.4.3.2 shall only apply to the eligibility of a student-athlete for those years in which the student-athlete is enrolled at a national service academy. (*Adopted: 4/24/03*)

**14.4.3.2.3 Timing of Certification.** A student-athlete's eligibility under this provision shall be determined on the basis of the student's academic record in existence at the beginning of that student's third or later academic year (fifth semester or seventh quarter) of full-time enrollment. If the student-athlete is ineligible under the provisions of the progress-toward-degree legislation at the beginning of that term, eligibility may be reinstated at the beginning of any other regular term of that student's specific academic year, based on the student's later fulfillment of the necessary degree requirements. (*Adopted: 1/11/94, Revised: 1/10/95*)

**14.4.3.2.3.1 Exception—Baseball.** In baseball, a student-athlete who is ineligible under this provision at the beginning of an institution's fall term shall not be eligible during the remainder of the academic year. (*Adopted: 4/26/07 effective 8/1/08*)

**14.4.3.2.4 Hours Earned or Accepted Toward a Minor.** Credit hours earned or accepted toward a voluntary or optional minor (a minor that is not a required element of the original baccalaureate degree program for all students) may not be used to satisfy percentage-of-degree requirements even if the student-athlete must complete the requirements of the voluntary or optional minor to graduate. A student-athlete may use credits earned in a minor only if the minor is a required element for all students to obtain the original baccalaureate degree. (*Adopted: 9/24/09*)

**14.4.3.3 Fulfillment of Minimum Grade-Point Average Requirements.** A student-athlete who is entering his or her second year of collegiate enrollment shall present a cumulative minimum grade-point average (based on a maximum 4.000) that equals at least 90 percent of the institution's overall cumulative grade-point

average required for graduation. A student-athlete who is entering his or her third year of collegiate enrollment shall present a cumulative minimum grade-point average (based on a maximum of 4.000) that equals 95 percent of the institution's overall cumulative minimum grade-point average required for graduation. A student-athlete who is entering his or her fourth or later year of collegiate enrollment shall present a cumulative minimum grade-point average (based on a maximum of 4.000) that equals 100 percent of the institution's overall cumulative grade-point average required for graduation. If the institution does not have an overall grade-point average required for graduation, it is permissible to use the lowest grade-point average required for any of the institution's degree programs in determining the cumulative minimum grade-point average. The minimum grade-point average must be computed pursuant to institutional policies applicable to all students. (*Adopted: 1/10/92 effective 8/1/92, Revised: 10/31/02 effective 8/1/03, 4/15/09*)

**14.4.3.3.1 Application of Rule to Transfer Student.** The provisions of Bylaw 14.4.3.3 shall be applicable to the eligibility of a transfer student from a two-year or four-year collegiate institution who has completed an academic term in residence at the certifying institution. A student-athlete who attends the certifying institution as a full-time student, transfers to another institution and later returns to the original institution is immediately subject to the provisions of Bylaw 14.4.3.3 on re-enrollment. (*Adopted: 1/10/92 effective 8/1/92, Revised: 1/16/93, 1/9/06 effective 8/1/06, 4/15/09, 9/24/09*)

**14.4.3.3.2 Timing of Certification.** A student-athlete's eligibility under this provision shall be certified by the first date of competition or contest of each regular term of an academic year beginning with that student's second or later academic year (third semester or fourth quarter) of full-time enrollment. The certification shall be based on the student-athlete's academic record in existence at the beginning of the applicable term. (*Adopted: 1/11/94, Revised: 1/10/95, 4/24/03 effective 8/1/03, 5/9/08, 4/15/09*)

**14.4.3.3.2.1 Exception—Baseball.** In baseball, a student-athlete who is ineligible under this provision at the beginning of an institution's fall term shall not be eligible during the remainder of the academic year. (*Adopted: 4/26/07 effective 8/1/08*)

#### **14.4.3.4 Regulations for Administration of Progress Toward Degree.**

**14.4.3.4.1 Nontraditional Terms.** An institution that determines registration other than on a traditional semester- or quarter-hour basis shall submit a statement describing the continuing-eligibility requirements applicable to its student-athletes for approval by the Academic Cabinet. (*Revised: 11/1/07 effective 8/1/08*)

**14.4.3.4.2 Advanced-Placement Tests/Credit by Examination.** Credit received through advanced-placement tests or by examination may be used by the student to meet the minimum progress-toward-degree requirement, provided the subject for which the examination is an alternative is offered by the institution as acceptable degree credit.

**14.4.3.4.3 Nontraditional Courses From Another Institution.** Nontraditional courses (e.g., distance-learning, correspondence, extension, Internet/virtual courses, independent study or any other course or credit that is not earned in a face-to-face classroom environment with regular interaction between the instructor and the student) completed at an institution other than the certifying institution, may be used to meet credit-hour and percentage-of-degree requirements, provided the following conditions are met: (*Revised: 4/28/11 effective 8/1/11*)

- (a) The course is available to any student at the certifying institution;
- (b) The student-athlete enrolls in the course in the same manner as is available to any student; and
- (c) Enrollment in the course occurs within the offering institution's regular enrollment periods (pre-registration or drop-add period) in accordance with the institution's academic calendar and applicable policies and procedures.

**14.4.3.4.4 Remedial, Tutorial or Noncredit Courses.** Remedial, tutorial or noncredit courses may be used by the student to satisfy the minimum academic progress requirement of Bylaw 14.4.3.1 only if they meet all of the following conditions:

- (a) The courses must be considered by the institution to be prerequisites for specific courses acceptable for any degree program;
- (b) The courses must be given the same weight as others in the institution in determining the student's status for full-time enrollment;
- (c) Noncredit courses may not exceed the maximum institutional limit for such courses in any baccalaureate degree program (or the student's specific baccalaureate degree program once a program has been designated); and
- (d) Credit in such courses shall not exceed six-semester or nine-quarter hours, and the courses must be taken during the student's first academic year of collegiate enrollment. (*Revised: 10/31/02 effective 8/1/03*)

**14.4.3.4.5 Incomplete Grades.** A student who receives an incomplete grade in a course may use the course in question to fulfill the minimum progress-toward-degree requirements, subject to the following conditions:

- (a) The incomplete grade must have been removed in accordance with the institution's regulations applicable to all students;
- (b) Such a course may be counted only once after a grade has been achieved that is acceptable to the institution for determining progress toward degree; and
- (c) The course with the acceptable grade shall be counted either during the term in which the student initially enrolled in the course or during the term in which the incomplete grade was removed and acceptable credit was awarded.

**14.4.3.4.6 Repeated Courses.** Credit for courses that are repeated may be used by a student to satisfy the minimum academic progress requirements only under the following conditions:

- (a) A course repeated due to an unsatisfactory initial grade may be used only once, and only after it has been satisfactorily completed;
- (b) Credit for a course that may be taken several times (e.g., a physical education activities course) shall be limited by institutional regulations; and
- (c) Credits earned in courses that may be taken several times may not exceed the maximum institutional limit for credits of that type for any baccalaureate degree program (or for the student's specific baccalaureate degree program once a program has been designated).

**14.4.3.4.7 Credit From Other Institutions.** Credit hours earned at another institution while enrolled as a full-time student may be used to satisfy academic progress requirements specified in Bylaws 14.4.3.1 and 14.4.3.2 (see Bylaw 14.4.3.4.3). Credit hours earned prior to initial full-time enrollment may be used to fulfill the 24-semester or 36-quarter hours requirement specified in Bylaw 14.4.3.1-(a) and the percentage-of-degree requirements specified in Bylaw 14.4.3.2. (*Revised: 1/16/93, 10/31/02 effective 8/1/03, 4/5/06*)

**14.4.3.4.8 Cooperative Educational Work Experience and Study-Abroad Programs.** A student-athlete shall not be required to complete six-semester or six-quarter hours of academic credit during a regular academic term or terms of full-time enrollment in a cooperative educational work experience program (e.g., co-op, internship, practicum, student-teaching) or an institutionally approved study-abroad program. (*Adopted: 4/29/04, Revised: 10/8/10*)

#### **14.4.3.5 Exceptions to Progress-Toward-Degree Rule.**

- (a) **Missed Term.** One time during a student-athlete's entire period of collegiate enrollment, the provisions of Bylaw 14.4.3.1-(b) may be prorated at nine hours per term of actual attendance if the student-athlete misses a complete term or consecutive terms during an academic year, subject to the following conditions: (*Adopted: 10/31/02 effective 8/1/03, Revised: 4/29/04, 4/28/05*)
  - (1) The student-athlete engaged in no outside competition in the sport during the academic term or terms in which the student was not in attendance; and (*Adopted: 10/31/02 effective 8/1/03*)
  - (2) At the time of certification, the student has fulfilled the progress-toward-degree requirements (per Bylaw 14.4.3.1) for the terms in which the student was in attendance. (*Adopted: 10/31/02 effective 8/1/03*)
  - (3) A transfer student from a two-year college is not eligible to use this one-time exception during the first academic year of residence at the certifying institution in order to maintain eligibility during the second year in residence. Hours earned while enrolled as a part-time student during the "missed term" may be used to satisfy the 24-/36-hour [see Bylaw 14.4.3.1-(a)], percentage-of-degree (see Bylaw 14.4.3.2) and grade-point average requirements (see Bylaw 14.4.3.3). (*Adopted: 10/31/02 effective 8/1/03, Revised: 1/10/90, 4/28/05 effective 8/1/05*)
- (b) **Nonrecruited, Nonparticipant.** A student-athlete may qualify for an exception to the application of the progress-toward-degree regulation for the initial season of eligibility if the student was not recruited; has not received athletically related financial assistance; has never practiced or participated in intercollegiate athletics, except that a student may have participated in limited preseason tryouts; and is otherwise eligible under all institutional, conference and NCAA rules. The student-athlete's eligibility in following seasons would be governed by the provisions of the progress-toward-degree rule, which would be applied from the beginning of the first term the student began participation. This exception shall not apply to the percentage-of-degree (see Bylaw 14.4.3.2) and minimum grade-point average (see Bylaw 14.4.3.3) requirements. (*Revised: 1/10/90, 1/10/92, 1/9/96, 10/31/02 effective 8/1/03, 1/9/06 effective 8/1/06*)
- (c) **Graduate Student/Postbaccalaureate Exception.** A graduate student-athlete or a student-athlete who graduates and returns for a second baccalaureate degree or who is taking course work that would lead to the equivalent of another major or degree who is otherwise eligible for regular-season competition shall be exempt from the provisions of this regulation, except the student-athlete shall successfully complete a minimum of six-semester or -quarter hours of academic credit during each regular academic

term in which the student is enrolled full time as a graduate student at any collegiate institution or as a student who has graduated and is seeking a second baccalaureate or taking course work that would lead to the equivalent of another major degree at the same institution from which he or she previously received a baccalaureate degree (see Bylaw 14.1.8). *(Revised: 10/31/02 effective 8/1/03, 4/24/03 effective after the 2003 fall term, 8/25/04, 5/28/09)*

**14.4.3.6 Waivers of Progress-Toward-Degree Rule.** The Division I Progress-Toward-Degree Waivers Committee shall establish appropriate criteria for waivers of this legislation. The following waivers shall be administered by the conference members of the Association or, in the case of an independent institution, by the Division I Progress-Toward-Degree Waivers Committee. *(Revised: 10/28/97, 4/27/00, 10/31/02 effective 8/1/03)*

- (a) **Medical Absence.** The credit hours required under the progress-toward-degree regulation of Bylaws 14.4.3.1-(b), 14.4.3.1-(c), 14.4.3.1.6 and 14.4.3.5-(c) may be prorated at nine hours per term of actual attendance during an academic year in which a student misses a term or is unable to complete a term as a full-time student as a result of an injury or illness. Such an exception may be granted only when circumstances clearly supported by appropriate medical documentation establish that a student-athlete is unable to attend a collegiate institution as a full-time student as a result of an incapacitating physical injury or illness involving the student-athlete or a member of the student-athlete's immediate family. Credits earned by the student during the term to which the waiver applies may be used to satisfy the 24-/36-hour [see Bylaw 14.4.3.1-(a)], percentage-of-degree (see Bylaw 14.4.3.2) and grade-point average requirements (see Bylaw 14.4.3.3). *(Revised: 10/31/02 effective 8/1/03, 3/10/04, 4/28/05, 5/22/13)*
- (b) **International Competition.** The credit hours required under the progress-toward-degree regulation of Bylaws 14.4.3.1 and 14.4.3.5-(c) may be prorated at nine hours per term of actual attendance during an academic year in which a student is not enrolled for a term or terms or is unable to complete a term as a full-time student as a result of participation in the Pan American Games, Olympic Games, World Championships, World Cup, FIFA U-20 World Cup, World University Games or World University Championships (including final Olympic tryouts and the officially recognized training program that directly qualifies participants for those tryouts). This waiver provision may be applied to not more than two semesters or three quarters. Credits earned by the student during the term or terms to which the waiver applies may be used to satisfy the 24-/36-hour [see Bylaw 14.4.3.1-(a)], percentage-of-degree (see Bylaw 14.4.3.2) and grade-point average requirements (see Bylaw 14.4.3.3). *(Revised: 1/9/96, 10/31/02 effective 8/1/03, 3/10/04, 1/17/09 effective 8/1/09, 1/14/12)*

**14.4.3.7 Waiver—Olympic Games.** The Division I Progress-Toward-Degree Waivers Committee may waive this general progress-toward-degree requirement for any participant in the Olympic Games, who because of such participation, may lose eligibility for practice and competition in any sport. *(Adopted: 10/31/02 effective 8/1/03)*

**14.4.3.8 Waiver—Student-Athletes With Education-Impacting Disabilities.** The Division I Progress-Toward-Degree Waivers Committee may waive the general progress-toward-degree requirements for a student-athlete when objective evidence demonstrates that the institution has defined full-time enrollment for that student-athlete to be less than 12 hours to accommodate for the student's education-impacting disability. *(Adopted: 10/31/02 effective 8/1/03, Revised: 8/7/08)*

**14.4.3.9 Additional Progress-Toward-Degree Waivers.** The Division I Progress-Toward-Degree Waivers Committee (see Bylaw 21.7.5.1.3.2) shall have the authority to waive all other progress-toward-degree requirements based on objective evidence that demonstrates circumstances that warrant the waiver of the normal application of those regulations. The committee shall establish the process for granting such waivers and shall report annually to the Academic Cabinet and to the membership the actions taken in summary, aggregate form. *(Adopted: 1/9/96 effective 8/1/96, Revised: 10/28/97, 11/1/07 effective 8/1/08, 1/16/10 effective 5/1/10)*

## 14.5 Transfer Regulations.

**14.5.1 Residence Requirement—General Principle.** A student who transfers (see Bylaw 14.5.2) to a member institution from any collegiate institution is required to complete one full academic year of residence (see Bylaw 14.02.15) at the certifying institution before being eligible to compete for or to receive travel expenses from the member institution (see Bylaw 16.8.1), unless the student satisfies the applicable transfer requirements or qualifies for an exception as set forth in this bylaw. *(Revised: 1/10/91 effective 8/1/91, 4/27/00 effective 8/1/01, 5/19/08, 6/24/09, 4/14/10)*

**14.5.1.1 Fulfillment of Residence Requirement in Night School.** When a student transfers to a member institution and is required to fulfill a residence requirement before being eligible to participate in competition, it is permissible for the transfer student to meet the requirement by attending an institution's night school, provided the following conditions are met:

- (a) The night school has regular terms (semesters or quarters) that are the same as the institution's day school;
- (b) The student is enrolled in a minimum full-time program of studies during each night term counted; and
- (c) The student is considered by the institution to be a regularly matriculated student in each term.



**14.5.1.2 Disciplinary Suspension.** A student who transfers to any NCAA institution from a collegiate institution while the student is disqualified or suspended from the previous institution for disciplinary reasons (as opposed to academic reasons) must complete one calendar year of residence at the certifying institution. (*Revised: 1/14/97 effective 8/1/97*)

**14.5.1.3 Outside Competition—Not a Qualifier.** A two-year college transfer student who is not a qualifier and does not meet the applicable transfer requirements may participate in the institution's intramural program (provided the intramural team is not coached by a member of the institution's athletics department staff), but such an individual is not permitted to practice or compete on an institution's club team or an outside sports team during the first academic year in residence. A four-year college transfer student who is not a qualifier and who has not completed an academic year in residence may participate in the institution's intramural program (provided the intramural team is not coached by a member of the institution's athletics department staff), but such an individual is not permitted to practice or compete on an institution's club team or an outside sports team during the first academic year in residence. (*Adopted: 1/14/97, Revised: 1/3/06*)

**14.5.1.4 Eligibility for Championship in Progress.** A transfer student shall be eligible for any NCAA championship that is in progress after a full calendar year has elapsed and at the time he or she has completed two full semesters or three full quarters of academic work.

**14.5.1.5 Foreign Institution Transfers.** A transfer student from a foreign collegiate institution (college, university or two-year college), except one entering as a bona fide exchange student, shall comply with the one-year residence requirement set forth in Bylaw 14.5.4.1.

**14.5.1.5.1 Bona Fide International Exchange Student Exception.** A bona fide international exchange student is an individual who is sponsored by his or her nation's government, or is sponsored by the U.S. Department of State, Rotary International, the Ford Foundation, the Institute of International Education or a similar organization. For a student to be considered a bona fide exchange student, the sponsoring organization shall identify the student prior to the student's departure from his or her home country and make the necessary arrangements to finance the student's education under the international exchange program. If these arrangements have not been completed before the student's enrollment at the certifying institution, the student is considered to be a transfer student and may not represent the institution in competition until the individual has met the required residence requirement.

**14.5.2 Conditions Affecting Transfer Status.** A transfer student is an individual who transfers from a collegiate institution after having met any one of the following conditions at that institution:

- (a) The student was officially registered and enrolled in a minimum, full-time program of studies in any quarter or semester of an academic year, as certified by the registrar or admissions office, provided the student was present at the institution on the opening day of classes;
- (b) The student attended a class or classes in any quarter or semester in which the student was enrolled in a minimum full-time program of studies, even if the enrollment was on a provisional basis and the student was later determined by the institution not to be admissible;
- (c) The student is or was enrolled in an institution in a minimum full-time program of studies in a night school that is considered to have regular terms (semesters or quarters) the same as the institution's day school, and the student is or was considered by the institution to be a regularly matriculated student;
- (d) The student attended a branch school that does not conduct an intercollegiate athletics program, but the student had been enrolled in another collegiate institution prior to attendance at the branch school;
- (e) The student attended a branch school that conducted an intercollegiate athletics program and transfers to an institution other than the parent institution;
- (f) The student reported for a regular squad practice (including practice or conditioning activities that occur prior to certification per Bylaws 14.3.5.1 and 14.5.4.5.7), announced by the institution through any member of its athletics department staff, prior to the beginning of any quarter or semester, as certified by the athletics director. Participation only in picture-day activities would not constitute "regular practice";
- (g) The student participated in practice or competed in a given sport even though the student was enrolled in less than a minimum full-time program of studies; or
- (h) The student received institutional financial aid while attending a summer term, summer school or summer-orientation program (see Bylaws 15.2.8.1.3 and 15.2.8.1.4). A recruited student who receives institutional aid pursuant to Bylaw 15.2.8.1.4 is subject to the transfer provisions, except that a prospective student-athlete (recruited or nonrecruited) who is denied admission to the institution for full-time enrollment shall be permitted to enroll at another institution without being considered a transfer student. (*Adopted: 1/10/90, Revised: 4/26/01, 4/14/03*)

**14.5.3 Conditions Not Constituting Transfer Status.** Unless otherwise covered by conditions set forth in Bylaw 14.5.2, a student-athlete is not considered a transfer under the following enrollment conditions:

**14.5.3.1 Summer School, Extension Courses or Night School.** The student has been enrolled in or attended classes only in a summer school, extension course or night school, unless the night school is considered by the institution to be a regular term (semester or quarter) the same as its day school, the student is enrolled



for a minimum full-time load in this regular night term, and the student is considered by the institution to be a regularly enrolled student.

**14.5.3.2 Prospective Student-Athlete Attending Summer School Prior to Initial Full-Time Enrollment.** A prospective student-athlete receiving financial aid to attend summer school prior to initial full-time enrollment who is denied admission to the institution for full-time enrollment. (*Adopted: 4/26/01*)

**14.5.3.3 Branch School.** The student has been enrolled in or attended classes only in a branch school, provided the branch school does not conduct an intercollegiate athletics program. If the branch school conducts an intercollegiate athletics program, the student shall not be considered a transfer only upon enrollment at the parent institution directly from the branch school. (For definition of “branch school,” see Bylaw 14.02.2.)

**14.5.3.4 Second Campus of Institution.** The student is in residence at an institution’s campus that is not in the same city as the institution’s main campus, provided the campus at which the student is in residence does not conduct an intercollegiate athletics program, classes on the campus are taught by the same instructors who teach classes on the main campus, the credits received by all class enrollees are considered as regular credits by the institution’s main campus, and the degrees awarded to all students come from the institution’s main campus.

**14.5.3.5 Academic Exchange Program.** The student participates in a regular academic exchange program between two four-year institutions that requires a participant to complete a specified period of time at each institution, and the program provides for the student-athlete to receive at least two baccalaureate or equivalent degrees at the conclusion of this joint academic program.

**14.5.4 Two-Year College Transfers.** A student who transfers to a member institution from a two-year college or from a branch school that conducts an intercollegiate athletics program must complete an academic year of residence unless the student meets the following eligibility requirements. (*Revised: 1/10/92, 4/14/10*)

**The following bylaw applies to student-athletes who initially enrolled full time in a collegiate institution before August 1, 2012.**

**14.5.4.1 Qualifier.** A transfer student from a two-year college who was a qualifier (per Bylaw 14.3.1.1) is eligible for competition in the first academic year in residence only if the student: (*Revised: 4/24/03 effective 8/1/03*)

- (a) Has spent at least one full-time semester or one full-time quarter in residence at the two-year college (excluding summer sessions);
- (b) Has presented a minimum grade-point average of 2.000 (see Bylaw 14.5.4.5.3.2); and
- (c) Has satisfactorily completed an average of at least 12-semester or quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution for each full-time academic term of attendance at the two-year college.

**The following bylaw applies to student-athletes who initially enrolled full time in a collegiate institution on or after August 1, 2012.**

**14.5.4.1 Qualifier.** A transfer student from a two-year college who was a qualifier (per Bylaw 14.3.1.1) is eligible for competition in the first academic year in residence only if the student: (*Revised: 4/24/03 effective 8/1/03, 10/27/11 effective 8/1/12; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/12*)

- (a) Has spent at least one full-time semester or one full-time quarter in residence at the two-year college (excluding summer sessions);
- (b) Has presented a minimum grade-point average of 2.500 (see Bylaw 14.5.4.5.3.2); and
- (c) Has satisfactorily completed an average of at least 12-semester or quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution for each full-time academic term of attendance at the two-year college.

**14.5.4.1.1 Baseball and Basketball—Midyear Enrollee.** In baseball and basketball, a qualifier who satisfies the provisions of Bylaw 14.5.4.1, but initially enrolls at the certifying institution as a full-time student after the conclusion of the institution’s first term of the academic year, shall not be eligible for competition until the ensuing academic year. (*Adopted: 4/27/00 effective 8/1/01, Revised: 3/10/04, 4/26/07 effective 8/1/08*)

**The following bylaw applies to student-athletes who initially enrolled full time in a collegiate institution before August 1, 2012.**

**14.5.4.2 Not a Qualifier.** A transfer student from a two-year college who was not a qualifier (per Bylaw 14.3.1.1) is eligible for institutional financial aid, practice and competition the first academic year in residence only if the student: (*Revised: 1/10/90 effective 8/1/90, 1/9/96 effective 8/1/96, 4/24/03 effective 8/1/03, 6/1/06, 4/24/08 effective 8/1/09 for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/09*)

- (a) Has graduated from the two-year college;

- (b) Has completed satisfactorily a minimum of 48-semester or 72-quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution, including six-semester or eight-quarter hours of transferable English credit and three-semester or four-quarter hours of transferable math credit;
- (c) Has attended a two-year college as a full-time student for at least three semesters or four quarters (excluding summer terms); and
- (d) Has achieved a cumulative grade-point average of 2.000 (see Bylaw 14.5.4.5.3.2).

**The following bylaws apply to student-athletes who initially enroll full time in a collegiate institution on or after August 1, 2012.**

**14.5.4.2 Not a Qualifier.**

**14.5.4.2.1 Eligibility for Financial Aid, Practice and Competition.** A transfer student from a two-year college who was not a qualifier (per Bylaw 14.3.1.1) is eligible for institutional financial aid, practice and competition the first academic year in residence only if the student: *(Revised: 1/10/90 effective 8/1/90, 1/9/96 effective 8/1/96, 4/24/03 effective 8/1/03, 6/1/06, 4/24/08 effective 8/1/09 for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/09, 10/27/11 effective 8/1/12; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/12)*

- (a) Has graduated from the two-year college;
- (b) Has completed satisfactorily a minimum of 48-semester or 72-quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution, including six-semester or eight-quarter hours of transferable English credit, three semester or four quarter hours of transferable math credit and three semester or four quarter hours of transferable natural/physical science credit;
- (c) Has attended a two-year college as a full-time student for at least three semesters or four quarters (excluding summer terms); and
- (d) Has achieved a cumulative grade-point average of 2.500 (see Bylaw 14.5.4.5.3.2).

**14.5.4.2.2 Eligibility for Financial Aid and Practice.** A transfer student from a two-year college who was not a qualifier (per Bylaw 14.3.1.1) is eligible for institutional financial aid and practice the first academic year in residence only if the student: *(Adopted: 10/27/11 effective 8/1/12; for those student-athletes initially enrolling full time in a collegiate institution on or after 8/1/12)*

- (a) Has graduated from the two-year college;
- (b) Has completed satisfactorily a minimum of 48 semester or 72 quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution, including six semester or eight quarter hours of transferable English credit, three semester or four quarter hours of transferable math credit and three semester or four quarter hours of transferable natural/physical science credit;
- (c) Has attended a two-year college as a full-time student for at least three semesters or four quarters (excluding summer terms); and
- (d) Has achieved a minimum cumulative grade-point average of 2.000 (see Bylaw 14.5.4.5.3.2).

**Delayed effective date. See specific date below.**

**14.5.4.2 Nonqualifier.**

**14.5.4.2.1 Eligibility for Financial Aid, Practice and Competition.** A transfer student from a two-year college who was a nonqualifier (per Bylaw 14.3.2.1) is eligible for institutional financial aid, practice and competition the first academic year in residence only if the student: *(Revised: 8/2/12 effective 8/1/16, for students initially enrolling full time in a collegiate institution on or after 8/1/16)*

- (a) Has graduated from the two-year college;
- (b) Has completed satisfactorily a minimum of 48 semester or 72 quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution, including six semester or eight quarter hours of transferable English credit, three semester or four quarter hours of transferable math credit and three semester or four quarter hours of transferable natural/physical science credit;
- (c) Has attended a two-year college as a full-time student for at least three semesters or four quarters (excluding summer terms); and
- (d) Has achieved a cumulative grade-point average of 2.500 (see Bylaw 14.5.4.5.3.2).

**14.5.4.2.2 Eligibility for Financial Aid and Practice.** A transfer student from a two-year college who was a nonqualifier (per Bylaw 14.3.2.1) is eligible for institutional financial aid and practice the first academic year in residence only if the student: *(Adopted: 10/27/11 effective 8/1/12; for those student-athletes initially enrolling full time in a collegiate institution on or after 8/1/12, Revised: 8/2/12 effective 8/1/16, for students initially enrolling full time in a collegiate institution on or after 8/1/16)*

- (a) Has graduated from the two-year college;
- (b) Has completed satisfactorily a minimum of 48 semester or 72 quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution, including six semester or eight quarter hours of transferable English credit, three semester or four quarter hours of transferable math credit and three semester or four quarter hours of transferable natural/physical science credit;
- (c) Has attended a two-year college as a full-time student for at least three semesters or four quarters (excluding summer terms); and
- (d) Has achieved a minimum cumulative grade-point average of 2.000 (see Bylaw 14.5.4.5.3.2).

**14.5.4.2.3 Use of Hours Earned During Summer Term.** Not more than a total of 18-semester or 27-quarter hours of the transferable-degree credit may be earned during summer terms, and not more than nine-semester or 13.5-quarter hours of the transferable-degree credit may be earned during the summer term(s) immediately prior to the transfer. *(Adopted: 1/9/96 effective 8/1/97)*

**14.5.4.2.4 Three-Semester/Four-Quarter Attendance Requirement.** A student-athlete is not permitted to satisfy the three-semester/four-quarter attendance requirement during one academic year. *(Adopted: 6/8/99)*

**14.5.4.2.5 Baseball and Basketball—Midyear Enrollee.** In baseball and basketball, a student who was not a qualifier (per Bylaw 14.3.1.1) who satisfies the provisions of Bylaw 14.5.4.2, but initially enrolls at a certifying institution as a full-time student after the conclusion of the institution's first term of the academic year, shall not be eligible for competition until the ensuing academic year. *(Adopted: 4/27/00 effective 8/1/01, Revised: 3/10/04, 6/1/06, 4/26/07 effective 8/1/08)*

**14.5.4.3 Status as Qualifier or Nonqualifier.** A prospective student-athlete who does not graduate from high school before enrolling as a regular student in a two-year college may not transfer work back to the high school, graduate from the high school and establish initial eligibility at a member institution on the basis of the revised high school record. Such a student is considered to be a two-year college transfer who was a nonqualifier.

**Delayed effective date. See specific date below.**

**14.5.4.3 Academic Redshirt.** A transfer student from a two-year college who was an academic redshirt (per Bylaw 14.3.1.2) is eligible for competition in the first academic year in residence only if the student: *(Adopted: 8/1/12 effective 8/1/16, for students initially enrolling full time in a collegiate institution on or after 8/1/16)*

- (a) Has graduated from the two-year college;
- (b) Has completed satisfactorily a minimum of 48-semester or 72-quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution, including six-semester or eight-quarter hours of transferable English credit, three semester or four quarter hours of transferable math credit and three semester or four quarter hours of transferable natural/physical science credit;
- (c) Has attended a two-year college as a full-time student for at least three semesters or four quarters (excluding summer terms); and
- (d) Has achieved a cumulative grade-point average of 2.500 (see Bylaw 14.5.4.5.3.2).

**14.5.4.4 Status of Nonqualifier, Nonrecruited.** A two-year college student-athlete who transferred to a Division I institution without meeting the requirements of Bylaw 14.5.4.2 shall not be eligible for regular-season competition and practice during the first academic year in residence. However, such a student who was not recruited per Bylaw 13.02.13 and for whom admission and financial aid were granted without regard to athletics ability shall be eligible for nonathletics institutional financial aid, provided there is on file in the office of the athletics director certification by the faculty athletics representative, the admissions officer and the chair of the financial aid committee that admission and financial aid were so granted.

**14.5.4.5 Two-Year College Transfer Regulations.** The following regulations shall be applied in administering the eligibility requirements for two-year college transfers.

**14.5.4.5.1 Multiple Two-Year Colleges.** When a student-athlete has been in residence at two or more two-year colleges, the terms of residence at all two-year colleges may be combined in order to satisfy the residence requirement. All grades and all course credits that are transferable from the two-year colleges to the member institution shall be considered in determining the student-athlete's eligibility under Bylaw 14.5 (see also Bylaw 14.5.6). In addition, at least 25 percent of the credit hours used to fulfill the student's academic degree requirements must be earned at the two-year college that awards the degree per Bylaw 14.5. *(Revised: 1/11/94)*

**14.5.4.5.2 Credit Earned at Four-Year Institution.** If a student-athlete attends a two-year college and, prior to regular enrollment at a member institution, attains additional credits as a part-time student in a four-year collegiate institution, the hours accumulated at the four-year institution may be used by the member institution in determining the student-athlete's eligibility under the two-year college transfer provisions, provided:

- (a) These hours are accepted by the two-year college and are placed on the transcript or other official document by the two-year college from which the student-athlete transfers prior to the date of initial regular enrollment at the NCAA member institution; and
- (b) Any official document (other than the student's transcript) used by the two-year college for this purpose includes the official seal of the two-year college, is signed by the appropriate academic official of the two-year college and is forwarded directly from the two-year college to the appropriate admissions official of the certifying institution.

**14.5.4.5.3 Determination of Transferable Degree.** For the purpose of determining transferable degree credit, the institution may count those courses accepted as degree credit in any of its colleges, schools or departments.

**14.5.4.5.3.1 Transferable Credit Unacceptable Grade.** Credit hours for courses with grades not considered acceptable for transferable degree credit for all students at an institution shall not be counted in determining whether the transfer requirement for total number of hours is satisfied.

**14.5.4.5.3.2 Calculation of Grade-Point Average for Transferable Credit.** Grades earned in all courses that are normally transferable to an institution shall be considered in determining the qualitative grade-point average for meeting transfer requirements, regardless of the grade earned or whether such grade makes the course unacceptable for transferable degree credit. Only the last grade earned in a course that has been repeated shall be included in the grade-point-average calculation.

**14.5.4.5.4 Use of Physical Education Activity Courses.** Not more than two credit hours of physical education activity courses may be used to fulfill the transferable-degree credit and grade-point average requirements. However, a student-athlete enrolling in a physical education degree program or a degree program in education that requires physical education activity courses may use up to the minimum number of credits of physical education activity courses that are required for the specific degree program to fulfill the transferable-degree credit and grade-point average requirements. Additional credit hours of physical education activity courses may not be used to fulfill elective requirements. *(Adopted: 1/16/10 effective 8/1/10, Revised: 4/13/10, 10/27/11 effective 8/1/12; for sports other than men's basketball, applicable to student-athletes initially enrolling full time in a collegiate institution on or after 8/1/12)*

**14.5.4.5.5 Degree Requirement.** In order to satisfy the two-year-college graduation requirement for eligibility immediately upon transfer from a two-year college to a member institution, a student-athlete must receive an associate or equivalent degree in an academic or technical, rather than a vocational, curriculum. The Progress-Toward-Degree Waivers Committee (see Bylaw 21.7.5.1.3.2) shall have the authority to determine whether a two-year college degree is academic or technical, rather than vocational, in nature. *(Revised: 1/10/95, 1/12/99 effective 8/1/99, 11/1/07 effective 8/1/08, 1/16/10 effective 5/1/10)*

**14.5.4.5.6 Transfer to Four-Year College Prior to Completion of Requirements.** The requirements set forth in Bylaw 14.5.4 must be met prior to a student-athlete's transfer to the certifying institution. Thus, if a two-year college student transfers (as defined in Bylaw 14.5.2) to a member institution prior to the completion of applicable transfer requirements, the student is subject to the one-year residence requirement at the certifying institution, even though the student transfers back to the two-year college and completes the necessary requirements.

**14.5.4.5.7 Participation Prior to Certification.** If a two-year college transfer student reports for athletics participation before the student's high school or two-year college academic record has been certified, the student may practice, but not compete, during a 45-day period, provided the student meets all other requirements to be eligible to practice. An institution shall not provide athletically related financial aid to the student during this period unless he or she has established the minimum requirements to receive such aid. After the 45-day period, the student shall have established minimum requirements as a transfer student to continue practicing or to compete and receive athletically related financial aid. *(Adopted: 1/10/90, Revised: 9/18/07, 1/19/13 effective 8/1/13)*

**14.5.4.5.8 Competition in Year of Transfer.** A transfer student from a two-year institution, who has met the two-year transfer eligibility requirements per Bylaw 14.5.4, is not eligible to compete at the certifying institution during the segment that concludes with the NCAA championship if the student-athlete has competed at the two-year college during that segment of the same academic year in that sport. *(Revised: 1/11/94, 4/29/04 effective 8/1/04)*

**14.5.4.6 Exceptions for Transfers from Two-Year Colleges.** A transfer student from a two-year college or from a branch school that conducts an intercollegiate athletics program is not subject to the residence requirement at the certifying institution if any one of the following conditions is met. An individual who is not a qualifier shall not be permitted to use the exceptions under this bylaw. *(Revised: 4/27/06)*



**The following bylaw applies to student-athletes who initially enrolled full time in a collegiate institution before August 1, 2012.**

**14.5.4.6.1 Discontinued/Nonsponsored Sport Exception.** The student changed institutions in order to continue participation in a sport because the student's original two-year college dropped the sport from its intercollegiate program (even though it may subsequently establish that sport on a club basis) or never sponsored the sport on the intercollegiate level while the student was in attendance at that institution, provided the student never attended any other collegiate institution that offered intercollegiate competition in that sport and the student earned at least a minimum 2.000 grade-point average (see Bylaw 14.5.4.5.3.2) at the two-year college. *(Revised: 1/11/89, 1/10/90)*

**The following bylaw applies to student-athletes who initially enrolled full time in a collegiate institution on or after August 1, 2012.**

**14.5.4.6.1 Discontinued/Nonsponsored Sport Exception.** The student changed institutions in order to continue participation in a sport because the student's original two-year college dropped the sport from its intercollegiate program (even though it may subsequently establish that sport on a club basis) or never sponsored the sport on the intercollegiate level while the student was in attendance at that institution, provided the student never attended any other collegiate institution that offered intercollegiate competition in that sport and the student earned at least a minimum 2.500 grade-point average (see Bylaw 14.5.4.5.3.2) at the two-year college. *(Revised: 1/11/89, 1/10/90, 10/27/11 effective 8/1/12; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/12)*

**14.5.4.6.1.1 Original Collegiate Institution.** In applying this provision for an exception to the residence requirement, the original collegiate institution shall be the two-year college in which the student was enrolled immediately prior to the transfer to the certifying institution, provided that, if the student is transferring from a two-year college that never sponsored the sport on the intercollegiate level, the student never shall have attended any other collegiate institution that offered intercollegiate competition in that sport.

**14.5.4.6.2 Two-Year Nonparticipation or Minimal Participation Exception.** The student transfers to the certifying institution from a two-year college and, for a consecutive two-year period immediately before the date on which the student begins participation (practice and/or competition), the student has neither engaged in intercollegiate competition nor engaged in other countable athletically related activities in the involved sport in intercollegiate athletics beyond a 14-consecutive-day period, and has neither practiced with a noncollegiate amateur team nor engaged in organized noncollegiate amateur competition while enrolled as a full-time student in a collegiate institution. The 14-consecutive-day period begins with the date on which the student-athlete first engages in any countable athletically related activity (see Bylaw 17.02.1). The two-year period does not include any period of time before the student's initial collegiate enrollment. *(Adopted: 1/9/06 effective 8/1/06, Revised: 5/9/06)*

**14.5.4.7 Submission of Information Necessary to Determine Academic Initial-Eligibility Status.** An institution shall ensure submission of all necessary information (e.g., high school transcripts, test scores) to the NCAA Eligibility Center to determine the academic initial-eligibility status of a two-year college transfer student-athlete prior to the end of the student-athlete's first regular academic term of full-time enrollment at the institution. If a two-year college transfer student-athlete is added to an institution's squad list after the end of his or her first regular academic term of full-time enrollment at the institution, the institution shall ensure submission of all necessary information (e.g., high school transcripts, test scores) to the NCAA Eligibility Center to determine his or her academic initial-eligibility status prior to end of the academic year in which the student-athlete is added to the squad list. The necessary information may be submitted through official or unofficial transcripts or test-score reports. **[D]** *(Adopted: 4/30/09 effective 8/1/10 for two-year college transfer student-athletes who initially enroll full time at the certifying institution on or after 8/1/10)*

**14.5.4.7.1 Waiver.** The Academic Cabinet may waive the requirements of this legislation based on objective evidence that demonstrates circumstances for which a waiver is warranted. The cabinet shall establish the process for reviewing such waiver requests. *(Adopted: 4/30/09 effective 8/1/10 for two-year college transfer student-athletes who initially enroll full time at the certifying institution on or after 8/1/10)*

**14.5.4.8 Waivers.** The Division I Progress-Toward-Degree Waivers Committee (see Bylaw 21.7.5.1.3.2) shall have the authority to waive all two-year college transfer requirements based on objective evidence that demonstrates circumstances that warrant the waiver of the normal application of those regulations. The committee shall establish the process for granting such waivers and shall report annually to the Academic Cabinet and to the membership the actions taken in summary aggregate form. *(Adopted: 10/27/11 effective 4/1/12)*

**14.5.5 Four-Year College Transfers.** See Bylaw 13.1.1.3 for the prohibition against contacting student-athletes of another four-year collegiate institution without permission of that institution's athletics director.

**14.5.5.1 General Rule.** A transfer student from a four-year institution shall not be eligible for intercollegiate competition at a member institution until the student has fulfilled a residence requirement of one full academic



year (two full semesters or three full quarters) at the certifying institution. *(Revised: 1/10/91 effective 8/1/91, 4/14/10)*

**14.5.5.1.1 Attendance for One Academic Year.** A transfer student from a four-year institution who attended a four-year institution at least one academic year shall be eligible for financial aid (see Bylaw 14.5.5.4) and practice at a member institution under the rules of the institution and the conference of which the institution is a member, regardless of the student's qualification status (per Bylaw 14.3.1.1) at the time of initial enrollment. *(Revised: 1/9/06 effective 8/1/07)*

**14.5.5.1.2 Attendance for Less Than One Academic Year.** A transfer student from a four-year institution who was not a qualifier (as defined in Bylaw 14.02.13.2) and who attended a four-year institution less than one full academic year shall not be eligible for competition during the first academic year of attendance at the certifying institution. Participation in practice sessions and the receipt of financial aid during the first academic year of attendance at the certifying institution by such students is governed by the provisions of Bylaw 14.3.2.1 (see Bylaw 14.5.5.4). *(Revised: 1/3/06, 1/9/06 effective 8/1/07)*

**14.5.5.2 Exceptions for Transfers From Four-Year Colleges.** A transfer student (other than one under disciplinary suspension per Bylaw 14.5.1.2) from a four-year collegiate institution is not subject to the residence requirement for intercollegiate competition, provided the student does not have an unfulfilled residence requirement at the institution from which he or she is transferring (except for the return to original institution without participation or with minimal participation exception) and any of the following exceptions is satisfied. During the student-athlete's first academic year of full-time collegiate enrollment, such conditions may serve as a basis for an exception to the residence requirement only for transfer students who, at the time of initial collegiate enrollment, met the requirements for qualifiers (set forth in Bylaw 14.3.1) in Division I. *(Revised: 1/10/90, 1/10/91 effective 8/1/91, 4/27/00 effective 8/1/01, 3/10/04, 5/19/08, 6/24/09)*

**14.5.5.2.1 Educational Exchange Exception.** The student returns to his or her original institution under any of the following conditions:

- (a) After participation in a cooperative educational exchange program, provided the student is to receive a baccalaureate degree from the institution from which the student transferred to participate in the exchange program;
- (b) After one semester or quarter of attendance at another institution for purposes of taking academic courses not available at the original institution, regardless of whether they are required in the degree program the student-athlete is pursuing at the first institution. In such an instance, the student also may take additional courses that were available at the first institution; or
- (c) After one academic year of attendance at another collegiate institution, in accordance with the program recommended by the appropriate academic officer at the original institution, provided the student was in good academic standing at the time the student left the original institution.

**14.5.5.2.2 Exchange Student Exception.** The student is enrolled in the certifying institution for a specified period of time as a bona fide exchange student participating in a formal educational exchange program that is an established requirement of the student-athlete's curriculum. *(Revised: 1/11/89, 1/10/92)*

**14.5.5.2.3 Discontinued Academic Program Exception.** The student changed institutions in order to continue a major course of study because the original institution discontinued the academic program in the student's major. *(Revised: 4/27/00)*

**14.5.5.2.4 International Student Program Exception.** The individual is an international student who is required to transfer (one or more times) because of a study program predetermined by the government of the student's nation or the sponsoring educational organization.

**14.5.5.2.5 Military Service Exception.** The student returns from at least 12 months of active service in the armed forces of the United States. *(Revised: 1/9/06, 1/17/09 effective 8/1/09)*

**14.5.5.2.5.1 Collegiate Enrollment Concurrent With Military Service.** The amount of time that an individual is enrolled as a regular student in a collegiate institution while concurrently on active military duty may not be counted as a part of the 12-month active-duty period that qualifies a student for an exception to the transfer-residence requirement. *(Revised: 1/9/06, 1/17/09 effective 8/1/09)*

**14.5.5.2.6 Discontinued/Non-sponsored Sport Exception.** In a particular sport when the student transfers at any time to the certifying institution and participates in the sport on the intercollegiate level after any of the following conditions has occurred:

- (a) The student's original four-year collegiate institution dropped (or has publicly announced it will drop) the sport (in which the student has practiced or competed at that institution in intercollegiate competition) from its intercollegiate program; or *(Revised: 3/10/04)*
- (b) The student's original four-year collegiate institution reclassified (or has publicly announced it will reclassify) the sport (in which the student has practiced or competed at that institution in intercol-

legiate competition) from Division I to Division III status, and the student subsequently had not competed in that sport on the Division III level; or (*Revised: 3/10/04*)

- (c) The student's original four-year collegiate institution never sponsored the sport on the intercollegiate level while the student was in attendance at the institution, provided the student had never transferred from any other collegiate institution that offered intercollegiate competition in that particular sport.

**14.5.5.2.6.1 Original Institution.** In applying this provision for an exception to the residence requirement, the original collegiate institution shall be the one in which the student was enrolled immediately prior to transfer to the certifying institution, it being understood that, if the student is transferring from an institution that never sponsored the sport on the intercollegiate level, the student never shall have attended any other collegiate institution that offered intercollegiate competition in that sport.

**14.5.5.2.7 Two-Year Nonparticipation or Minimal Participation Exception.** The student transfers to the certifying institution from another four-year college and, for a consecutive two-year period immediately before the date on which the student begins participation (practice and/or competition), the student has neither engaged in intercollegiate competition nor engaged in other countable athletically related activities in the involved sport in intercollegiate athletics beyond a 14-consecutive-day period, and has neither practiced with a noncollegiate amateur team nor engaged in organized noncollegiate amateur competition while enrolled as a full-time student in a collegiate institution. The 14-consecutive-day period begins with the date on which the student-athlete first engages in any countable athletically related activity (see Bylaw 17.02.1). The two-year period does not include any period of time before the student's initial collegiate enrollment. (*Revised: 1/10/95, 1/9/06*)

**14.5.5.2.8 Return to Original Institution Without Participation or With Minimal Participation Exception.** The student transfers to a second four-year collegiate institution, does not compete at the second institution and does not engage in other countable athletically related activities in the involved sport at the second institution beyond a 14-consecutive-day period and returns to the original institution. The 14-consecutive-day period begins with the date on which the student-athlete first engages in any countable athletically related activity (see Bylaw 17.02.1). A student may use this exception even if he or she has an unfulfilled residence requirement at the institution from which he or she is transferring. (*Revised: 3/10/04, 1/9/06*)

**14.5.5.2.9 Nonrecruited Student Exception.** The student transfers to the certifying institution, and the following conditions are met:

- (a) The student-athlete was not recruited by the certifying institution (per Bylaw 13.02.13.1);
- (b) No athletically related financial assistance has been received by the student-athlete; and
- (c) The student-athlete has not competed for any previous institution and has not engaged in other countable athletically related activities in intercollegiate athletics beyond a 14-consecutive-day period at any previous institution. The 14-consecutive-day period begins with the date on which the student-athlete first engages in any countable athletically related activity (see Bylaw 17.02.1). (*Revised: 1/9/06*)

**14.5.5.2.10 One-Time Transfer Exception.** The student transfers to the certifying institution from another four-year collegiate institution, and all of the following conditions are met (for graduate students, see Bylaw 14.1.8.1): (*Revised: 4/28/05 effective 8/1/05*)

- (a) The student is a participant in a sport other than baseball, basketball, bowl subdivision football or men's ice hockey at the institution to which the student is transferring. A participant in championship subdivision football at the institution to which the student is transferring may use this exception only if the participant transferred to the certifying institution from an institution that sponsors bowl subdivision football and has two or more seasons of competition remaining in football or the participant transfers from a Football Championship Subdivision institution that offers athletically related financial aid in football to a Football Championship Subdivision institution that does not offer athletically related financial aid in football; (*Revised: 1/16/93 effective 8/1/93, 1/11/94, 1/10/95, 1/11/00 effective 8/1/01, 4/27/06 effective 10/15/06, 12/15/06, 4/27/07 effective 8/1/08 applicable to student-athletes who transfer on or after 8/1/08*)
- (b) The student has not transferred previously from one four-year institution unless, in the previous transfer, the student-athlete received an exception per Bylaw 14.5.5.2.6 (discontinued/nonsponsored sport exception); (*Revised: 1/11/94, 1/11/97*)
- (c) At the time of transfer to the certifying institution (see Bylaw 14.5.2), the student would have been academically eligible had he or she remained at the institution from which the student transferred, except that he or she is not required to have fulfilled the necessary percentage-of-degree requirements at the previous institution; and (*Revised: 1/10/95, 1/9/96, 4/22/11*)

- (d) If the student is transferring from an NCAA or NAIA member institution, the student's previous institution shall certify in writing that it has no objection to the student being granted an exception to the transfer-residence requirement. If an institution receives a written request for a release from a student-athlete, the institution shall grant or deny the request within seven business days. If the institution fails to respond to the student-athlete's written request within seven business days, the release shall be granted by default and the institution shall provide a written release to the student-athlete. *(Revised: 1/11/94, 4/26/01, 4/29/10 effective 8/1/10)*

**14.5.5.2.10.1 Hearing Opportunity.** If the student's previous institution denies his or her written request for the release, the institution shall inform the student-athlete in writing that he or she, upon written request, shall be provided a hearing conducted by an institutional entity or committee outside of the athletics department (e.g., the office of student affairs; office of the dean of students; or a committee composed of the faculty athletics representative, student-athletes and nonathletics faculty/staff members). The institution shall conduct the hearing and provide written results of the hearing to the student-athlete within 15 business days (see Bylaw 14.02.3) of receipt of the student-athlete's written request for a hearing. The student-athlete shall be provided the opportunity to actively participate (e.g., in person, via telephone) in the hearing. If the institution fails to conduct the hearing or provide the written results to the student-athlete within 15 business days, the release shall be granted by default and the institution shall provide a written release to the student-athlete. *(Revised: 1/11/94, 4/26/01, 4/29/10 effective 8/1/10)*

**14.5.5.2.10.2 Nonrecruited Student.** A student-athlete who does not qualify for the exception due to Bylaw 14.5.5.2.10-(a) may use the one-time transfer exception, provided he or she was not recruited by the original four-year institution and has never received institutional athletically related financial aid from any four-year institution. *(Adopted: 1/9/06 effective 8/1/06)*

**14.5.5.3 Competition in Year of Transfer.** A transfer student from a four-year institution, who has received a waiver of or qualifies for an exception to the transfer residence requirement (per Bylaw 14.5.5.2), is not eligible to compete at the certifying institution during the segment that concludes with the NCAA championship if the student-athlete has competed during that segment of the same academic year in that sport at the previous four-year institution. *(Adopted: 1/10/95 effective 8/1/95, Revised: 1/14/97 effective 8/1/97, 4/29/04 effective 8/1/04)*

**14.5.5.3.1 Competition or Receipt of Athletically Related Financial Aid in Year of Transfer—Tennis** In tennis, a transfer student from a four-year institution who enrolls at the certifying institution as a full-time student after the conclusion of the first term of the academic year and qualifies for an exception to the one-year residence requirement shall not be eligible for competition until the following academic year if he or she has competed during the same academic year or received athletically related financial aid during the same academic year from the previous four-year institution. *(Adopted: 1/17/09 effective 8/1/10, Revised: 1/16/10 effective 8/1/10)*

**14.5.5.4 Eligibility for Institutional Athletically Related Financial Aid.** A transfer student from a four-year institution may receive institutional athletically related financial aid during his or her first academic year at the certifying institution only if he or she would have been academically eligible to compete during the next regular academic term had the student-athlete remained at the previous institution. A student-athlete who transfers from a four-year institution to a two-year institution and then to the certifying institution shall complete at least one regular academic term of enrollment at the two-year institution to be eligible for institutional athletically related financial aid at the certifying institution. *(Adopted: 1/9/06 effective 8/1/07, Revised: 1/8/07 effective 8/1/07)*

**14.5.5.4.1 Exceptions.** A four-year transfer student-athlete may receive institutional athletically related financial aid during his or her first academic year at the certifying institution, provided: *(Adopted: 9/18/07)*

- (a) The student-athlete transferred from a four-year institution that did not sponsor the student-athlete's sport on the intercollegiate level while he or she was in attendance and the student did not previously transfer from any other collegiate institution that offered intercollegiate competition in the sport; or
- (b) The student-athlete has not participated in intercollegiate competition and has not engaged in other countable athletically related activities in the sport beyond a 14-consecutive-day period.

**14.5.5.5 Baseball and Basketball—Midyear Enrollee.** In baseball and basketball, a student-athlete who initially enrolls at the certifying institution as a full-time student after the conclusion of the first term of the academic year and qualifies for an exception to the one-year residence requirement shall not be eligible for competition until the ensuing academic year. *(Adopted: 6/24/09)*

**14.5.5.5.1 Return to Original Institution—Baseball.** In baseball, a midyear four-year college transfer student who qualifies for the return-to-original institution exception (see Bylaw 14.5.5.2.8) to the transfer residence requirement is not eligible for competition until the ensuing academic year. *(Adopted: 6/24/09)*

**14.5.5.5.2 Return to Original Institution—Basketball.** In basketball, a midyear four-year college transfer student who qualifies for the return-to-the original institution exception (see Bylaw 14.5.5.2.8) to the transfer residence requirement is not subject to the restriction in Bylaw 14.5.5.5. *(Adopted: 6/24/09)*

**The following bylaws apply to student-athletes who initially enrolled full time in a collegiate institution before August 1, 2012.**

**14.5.6 4-2-4 College Transfers.** A student who transfers from a four-year college to a two-year college and then to the certifying institution shall complete one academic year of residence at the certifying institution prior to engaging in intercollegiate competition, unless: *(Revised: 1/9/06 effective 8/1/06)*

- (a) The student has completed an average of at least 12-semester or -quarter hours of transferable-degree credit, with a cumulative minimum grade-point average of 2.000, acceptable toward any baccalaureate degree program at the certifying institution for each term of full-time attendance at the two-year college following transfer from the four-year college most recently attended; *(Revised: 1/9/06 effective 8/1/06)*
- (b) One calendar year has elapsed since the student's departure from the previous four-year college (one year since the date that the student-athlete takes formal action with the appropriate institutional authorities required for all students to indicate that the student-athlete is leaving the previous four-year institution and no longer will be attending classes); and *(Revised: 1/9/06 effective 8/1/06)*
- (c) The student has graduated from the two-year college (see Bylaws 14.5.4.5.1 and 14.5.4.5.3.2). *(Revised: 1/10/90, 2/16/00, 1/9/06 effective 8/1/06)*

**14.5.6.1 Exceptions.** A "4-2-4" transfer student who does not meet the requirements of Bylaw 14.5.6 is not subject to the residence requirement for intercollegiate competition if any of the following conditions are met:

- (a) The student returns to the four-year college from which he or she transferred to the two-year college, provided the student did not have an unfulfilled residence requirement at the time of the transfer from the four-year college. The amount of time originally spent in residence at the first four-year college may be used by the student in completing the unfulfilled residence requirement at that institution;
- (b) The student initially was enrolled in a four-year collegiate institution that never sponsored the student's sport on the intercollegiate level while the student was in attendance at the institution (provided the student never had attended any other four-year collegiate institution that offered intercollegiate competition in that particular sport) and provided the student was a qualifier and satisfactorily completed an average of at least 12-semester or -quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution during each academic term of attendance with a cumulative minimum grade-point average of 2.000 (see Bylaw 14.5.4.5.3.2), and spent at least two semesters or three quarters in residence at the two-year college (excluding summer sessions); or *(Adopted: 1/11/89)*
- (c) The student qualifies for an exception to the two-year college transfer requirements (see Bylaw 14.5.4.6) and qualifies for the same exception to the four-year college transfer residence requirement (see Bylaw 14.5.5.2). *(Adopted: 9/18/07)*

**The following bylaws apply to student-athletes who initially enrolled full time in a collegiate institution on or after August 1, 2012.**

**14.5.6 4-2-4 College Transfers.** A student who transfers from a four-year college to a two-year college and then to the certifying institution shall complete one academic year of residence at the certifying institution prior to engaging in intercollegiate competition, unless: *(Revised: 1/9/06 effective 8/1/06)*

- (a) The student has completed an average of at least 12-semester or -quarter hours of transferable-degree credit, with a cumulative minimum grade-point average of 2.500, acceptable toward any baccalaureate degree program at the certifying institution for each term of full-time attendance at the two-year college following transfer from the four-year college most recently attended; *(Revised: 1/9/06 effective 8/1/06, 10/27/11 effective 8/1/12; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/12)*
- (b) One calendar year has elapsed since the student's departure from the previous four-year college (one year since the date that the student-athlete takes formal action with the appropriate institutional authorities required for all students to indicate that the student-athlete is leaving the previous four-year institution and no longer will be attending classes); and *(Revised: 1/9/06 effective 8/1/06)*
- (c) The student has graduated from the two-year college (see Bylaws 14.5.4.5.1 and 14.5.4.5.3.2). *(Revised: 1/10/90, 2/16/00, 1/9/06 effective 8/1/06)*

**14.5.6.1 Exceptions.** A "4-2-4" transfer student who does not meet the requirements of Bylaw 14.5.6 is not subject to the residence requirement for intercollegiate competition if any of the following conditions are met:

- (a) The student returns to the four-year college from which he or she transferred to the two-year college, provided the student did not have an unfulfilled residence requirement at the time of the transfer from the four-year college. The amount of time originally spent in residence at the first four-year college may be used by the student in completing the unfulfilled residence requirement at that institution;



- (b) The student initially was enrolled in a four-year collegiate institution that never sponsored the student's sport on the intercollegiate level while the student was in attendance at the institution (provided the student never had attended any other four-year collegiate institution that offered intercollegiate competition in that particular sport) and provided the student was a qualifier and satisfactorily completed an average of at least 12-semester or -quarter hours of transferable-degree credit acceptable toward any baccalaureate degree program at the certifying institution during each academic term of attendance with a cumulative minimum grade-point average of 2.500 (see Bylaw 14.5.4.5.3.2), and spent at least two semesters or three quarters in residence at the two-year college (excluding summer sessions); or *(Adopted: 1/11/89, Revised: 10/27/11 effective 8/1/12; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/12)*
- (c) The student qualifies for an exception to the two-year college transfer requirements (see Bylaw 14.5.4.6) and qualifies for the same exception to the four-year college transfer residence requirement (see Bylaw 14.5.5.2). *(Adopted: 9/18/07)*

**14.5.6.2 Additional Transferable Degree Credit Requirements for Nonqualifiers.** A student who was not a qualifier shall have satisfactorily completed a minimum of six semester or eight quarter hours of transferable English credit, three semester or four quarter hours of transferable math credit and three semester or four quarter hours of transferable natural/physical science credit. Remedial English, math and natural/physical science courses may not be used to satisfy this requirement. The student may use transferable English, math and natural/physical science credits earned while enrolled at a previous four-year college to meet these requirements. *(Adopted: 10/27/11 effective 8/1/12; for student-athletes initially enrolling full time in a collegiate institution on or after 8/1/12)*

**14.5.6.3 Use of Physical Education Activity Courses.** Not more than two credit hours of physical education activity courses may be used to fulfill the transferable-degree credit and grade-point average requirements. However, a student-athlete enrolling in a physical education degree program or a degree program in education that requires physical education activity courses may use up to the minimum number of credits of physical education activity courses that are required for the specific degree program to fulfill the transferable-degree credit and grade-point average requirements. Additional credit hours of physical education activity courses may not be used to fulfill elective requirements. *(Adopted: 1/16/10 effective 8/1/10; applicable to 4-2-4 college transfer student-athletes who enroll full time as transfer students at a Division I institution on or after 8/1/10, Revised: 4/13/10, 10/27/11 effective 8/1/12; for sports other than men's basketball, applicable to student-athletes initially enrolling full time in a collegiate institution on or after 8/1/12)*

**14.5.6.4 Baseball and Basketball—Midyear Enrollee.** In baseball and basketball, a student who satisfies the provisions of Bylaw 14.5.5, but initially enrolls at a certifying institution as a full-time student after the conclusion of the institution's first term of the academic year, shall not be eligible for competition until the ensuing academic year. *(Revised: 3/10/04, 4/26/07 effective 8/1/08)*

**14.5.6.4.1 Return to Original Institution—Baseball.** In baseball, a midyear 4-2-4 transfer student who qualifies for the return-to-original institution exception [see Bylaw 14.5.6.1-(a)] to the transfer residence requirement is not eligible for competition until the ensuing academic year. *(Adopted: 6/24/09)*

**14.5.6.4.2 Return to Original Institution—Basketball.** In basketball, a midyear 4-2-4 transfer student who qualifies for the return-to-original institution exception [see Bylaw 14.5.6.1-(a)] to the transfer residence requirement is not subject to the restriction in Bylaw 14.5.6.4. *(Adopted: 6/24/09)*

**14.5.6.5 Calendar-Year Time Lapse.** It is not required that the calendar year specified in Bylaw 14.5.6 elapse prior to the student's initial enrollment as a regular student in the second four-year institution, but the calendar year must elapse before the student represents the certifying institution in intercollegiate competition. However, the student-athlete shall be eligible on the first day of classes or on the date of the first scheduled intercollegiate contest that falls earlier than the first day of classes in the regular academic term in which the student would become eligible, provided the student has fulfilled the one-academic-year requirement.

**14.5.6.6 Transfer Prior to Completion of Requirements.** All transfer requirements set forth in Bylaw 14.5.6, except the calendar-year time-lapse requirement (see Bylaw 14.5.6.5), must be met prior to the student-athlete's transfer to the certifying institution. Thus, if a student-athlete transfers from a four-year institution to a two-year college and, prior to completing the applicable transfer requirements, transfers to a member institution, the student-athlete shall be subject to the one-year residence requirement, even though during the course of that one-year residence at the certifying institution, the student-athlete may complete the necessary requirements.

**14.5.6.7 Eligibility for Institutional Athletically Related Financial Aid.** A student-athlete who transfers from a four-year institution to a two-year institution and then to the certifying institution shall complete at least one regular academic term of enrollment at the two-year institution to be eligible for institutional athletically related financial aid at the certifying institution. (See Bylaw 14.5.5.4.) *(Adopted: 1/8/07 effective 8/1/07)*

**14.5.6.8 Submission of Information Necessary to Determine Academic Initial-Eligibility Status.** An institution shall ensure submission of all necessary information (e.g., high school transcripts, test scores) to the NCAA Eligibility Center to determine the academic initial-eligibility status of a 4-2-4 transfer student-



athlete prior to the end of the student-athlete's first regular academic term of full-time enrollment at the institution. If a 4-2-4 transfer student-athlete is added to an institution's squad list after the end of his or her first regular academic term of full-time enrollment at the institution, the institution shall ensure submission of all necessary information (e.g., high school transcripts, test scores) to the NCAA Eligibility Center to determine his or her academic initial-eligibility status prior to the end of the academic year in which the student-athlete is added to the squad list. The necessary information may be submitted through official or unofficial transcripts or test-score reports. **[D]** *(Adopted: 4/30/09 effective 8/1/10 for 4-2-4 transfer student-athletes who initially enroll full time at the certifying institution on or after 8/1/10)*

**14.5.6.8.1 Waiver.** The Academic Cabinet may waive the requirements of this legislation based on objective evidence that demonstrates circumstances for which a waiver is warranted. The cabinet shall establish the process for reviewing such waiver requests. *(Adopted: 4/30/09 effective 8/1/10 for 4-2-4 transfer student-athletes who initially enroll full time at the certifying institution on or after 8/1/10)*

**14.5.6.9 Waivers.** The Division I Progress-Toward-Degree Waivers Committee (see Bylaw 21.7.5.1.3.2) shall have the authority to waive all two-year college transfer requirements based on objective evidence that demonstrates circumstances that warrant the waiver of the normal application of those regulations. The committee shall establish the process for granting such waivers and shall report annually to the Academic Cabinet and to the membership the actions taken in summary aggregate form. *(Adopted: 10/27/11 effective 4/1/12)*

## **14.6 Outside Competition, Effects on Eligibility.**

The eligibility of a student-athlete who engages in outside competition (see Bylaw 17.02.9) is affected as set forth in the following regulations.

**14.6.1 Outside Competition—Sports Other Than Basketball.** A student-athlete in any sport other than basketball who participates during the academic year as a member of any outside team in any noncollegiate, amateur competition becomes ineligible for intercollegiate competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement. A student-athlete is permitted to practice on such a team. *(Adopted: 1/10/91 effective 8/1/91, Revised: 1/16/93, 1/11/94, 1/10/05)*

**14.6.1.1 Exception—Sports Other Than Wrestling.** In sports other than wrestling, a student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed the applicable limits set forth in Bylaw 17.28.2. *(Adopted: 1/11/94 effective 8/1/94, Revised: 1/15/11 effective 8/1/11)*

**14.6.1.2 Exception—Wrestling.** In wrestling, a student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog, except a vacation period that occurs between the beginning of the institution's academic year and November 1. The number of student-athletes from any one institution shall not exceed the applicable limits set forth in Bylaw 17.28.2. *(Adopted: 1/15/11 effective 8/1/11)*

**14.6.1.3 Exception—Soccer, Women's Volleyball, Field Hockey and Men's Water Polo.** In soccer, women's volleyball, field hockey and men's water polo, a student-athlete may compete outside of the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition, provided: *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/22/98 effective 8/1/98, 1/12/99 effective 5/1/99)*

- (a) Such participation occurs not earlier than May 1;
- (b) In soccer, women's volleyball and field hockey, the number of student-athletes from any one institution does not exceed the applicable limits set forth in Bylaw 17.28.2; *(Revised: 1/12/99 effective 5/1/99)*
- (c) The competition is approved by the institution's director of athletics;
- (d) No class time is missed for practice activities or for competition; and
- (e) In women's volleyball, all practice and competition is confined to doubles tournaments in outdoor volleyball, either on sand or grass.

**14.6.1.4 Exception—Conference All-Star Competition Against U.S. National Team—Women's Ice Hockey.** In women's ice hockey, a student-athlete may compete during the academic year as a member of a conference all-star team in up to two contests against the U.S. Women's National Ice Hockey Team in the 12 months prior to the Winter Olympic Games, provided the student-athlete is academically eligible for competition at the time of the competition. *(Adopted: 1/17/09)*

**14.6.1.5 Exception—USA Fencing National Championships.** In fencing, a student-athlete may compete during the academic year as a member of a USA Fencing member club team in the USA Fencing National Championships. *(Adopted: 1/14/12)*

**14.6.1.6 Exception—Preseason Tryouts.** A student-athlete who fails to make an institution's team during a given year after participating in limited preseason tryouts shall not be subject to the provision of Bylaw 14.6.1. *(Revised: 4/5/06)*

**14.6.1.7 Competition as Individual/Not Representing Institution.** It is permissible for a student-athlete to participate in outside competition as an individual during the academic year in the student-athlete's sport, as long as the student-athlete represents only himself or herself in the competition and does not engage in such competition as a member of or receive expenses from an outside team.

**14.6.1.7.1 Exception—No Competition Before November 1—Wrestling.** In wrestling, a student-athlete shall not participate in outside competition as an individual between the beginning of the institution's academic year and November 1. (*Adopted: 1/15/11 effective 8/1/11*)

**14.6.1.8 Exempt Teams.** In individual sports (see Bylaw 17.02.12.2), such units as "pro-am" golf teams, doubles tennis teams and relay teams in track and field are not considered to be outside teams for purposes of this legislation.

**14.6.1.9 Volleyball and Sand Volleyball.** Volleyball and sand volleyball are considered the same sport for purposes of Bylaw 14.6. (*Adopted: 8/26/10*)

**14.6.2 Outside Competition—Basketball.** A student-athlete who participates in any organized basketball competition except while representing the institution in intercollegiate competition in accordance with the permissible playing season specified in Bylaw 17.3 becomes ineligible for any further intercollegiate competition in basketball (see Bylaws 14.6.3 and 14.6.4 for exceptions and waivers).

**14.6.2.1 Organized Basketball Competition.** Outside basketball competition, including competition involving teams with fewer than five players, shall be considered "organized" if any one of the following conditions exists:

- (a) Teams are regularly formed or team rosters are predetermined;
- (b) Competition is scheduled and publicized in advance;
- (c) Official score is kept;
- (d) Individual or team standings are maintained;
- (e) Official timer or game officials are used;
- (f) Team uniforms are used;
- (g) Admission is charged;
- (h) A team is privately or commercially sponsored; or
- (i) Competition is either directly or indirectly sponsored, promoted or administered by an individual, an organization or any other agency.

**14.6.2.2 Individual Subject to Outside-Competition Regulations.** Once a student-athlete becomes a candidate for the institution's basketball team (has reported for the squad), or if the student was recruited by a member institution in part for basketball ability and subsequently enrolls in the institution, this prohibition against outside organized basketball competition remains applicable until the student-athlete's intercollegiate basketball eligibility has been exhausted. This includes time while the student is officially withdrawn from college, fulfilling a residence requirement after transfer to another college, awaiting transfer or enrolled in a non-member collegiate institution.

**14.6.2.3 Nonrecruited, Nonparticipant.** Participation by a student-athlete in organized basketball competition while enrolled in a member institution and having participated in a sport other than basketball would not jeopardize the student's eligibility in basketball if the individual had not been recruited in basketball and had not participated on or been a candidate for the institution's intercollegiate basketball team.

**14.6.3 Exceptions—All Sports.** The following exceptions to the outside-competition regulations are permitted: (*Revised: 7/30/10*)

- (a) **High School Alumni Game.** A student-athlete may compete in one game a year involving participants from the student-athlete's former high school and its alumni team. In sports other than basketball, this game must take place during an official vacation period of the institution's academic year.
- (b) **Olympic Games.** A student-athlete may participate in the official Olympic Games, in final tryouts that directly qualify competitors for the Olympic Games, and in officially recognized competition directly qualifying participants for final Olympic Games tryouts. (*Revised: 1/14/97 effective 8/1/97*)
- (c) **Official Pan American Games Tryouts and Competition.** A student-athlete may participate in official Pan American Games tryouts and competition. (*Adopted: 1/14/97 effective 8/1/97*)
- (d) **U.S. National Teams.** A student-athlete may participate in official tryouts and competition involving national teams and junior national teams sponsored by the appropriate national governing bodies of the U.S. Olympic Committee (or, for the student-athletes representing another nation, the equivalent organization of that nation or, for student-athletes competing in a non-Olympic sport, the equivalent organization of that sport). (*Adopted: 1/14/97 effective 8/1/97*)
- (e) **Official World Championships, World University Games, World University Championships and World Cup Tryouts and Competition.** A student-athlete may participate in official World Championships, World University Games, World University Championships and World Cup tryouts and competition. (*Adopted: 1/14/97 effective 8/1/97, Revised: 8/9/01, 1/14/12*)

- (f) **Multisport Events.** A student-athlete may participate in officially recognized state and national multisport events. (*Adopted: 1/14/97 effective 8/1/97*)

**14.6.3.1 National-Team Criteria.** In applying the regulation permitting exceptions for student-athletes to participate during the institution's intercollegiate season in international competition involving national teams represented in the competition, a national team is defined as one that meets the following criteria: (*Revised: 7/30/10, 4/12/13*)

- (a) It is designated by the U.S. Olympic Committee, national governing body or other organizations recognized by the U.S. Olympic Committee (or, for student-athletes representing another nation, the equivalent organization of that nation, or, for student-athletes competing in a non-Olympic sport, the equivalent organization in that sport);
- (b) Selection for such a team is made on a national qualification basis either through a defined selective process or actual tryouts, publicly announced; and
- (c) The international competition in question requires that the entries officially represent their respective nations, although it is not necessary to require team scoring by nation.

#### **14.6.4 Exceptions—Basketball.**

- (a) **Summer League.** A student-athlete may compete during the period between June 15 and August 31 or the institution's opening day of classes (see Bylaw 17.02.11.3), whichever comes earlier, on a team in a league certified per Bylaw 14.6.4.1, provided the student-athlete has received written permission from the institution's athletics director (or the director's official representative) prior to participation in the league. If the student-athlete is transferring and has been officially accepted for enrollment in a second institution, and if the previous institution certifies that the student has withdrawn and does not intend to return to that institution for the next term, this written permission is to be obtained from the member institution to which the student-athlete is transferring. Under such circumstances, the student-athlete does not count on the summer-league roster as a representative of either institution unless he or she has enrolled and attended classes during the certifying institution's summer term. (*Revised: 1/11/94, 1/14/97 effective 8/1/97, 10/28/99 effective 8/1/00, 2/24/03, 4/14/03, 7/30/10, 1/19/13 effective 8/1/13*)
- (b) **Puerto Rico Superior Basketball League.** A student-athlete who is a resident of Puerto Rico may participate in the Superior Basketball League of Puerto Rico (see Bylaw 12.02.8).
- (c) **United States vs. U.S. National Teams.** A student-athlete may participate in the United States against U.S. national teams. (*Adopted: 1/14/97 effective 8/1/97*)
- (d) **Outside-Team Tours.** A student-athlete may participate in an outside team summer foreign basketball tour (see Bylaw 17.28.2).
- (e) **Basketball Draft Combine.** A student-athlete using the draft exception set forth in Bylaw 12.2.4.2.1 may participate in a draft combine sponsored by a professional sports organization (during the summer or academic year), provided the student-athlete has received written permission from the institution's director of athletics. (*Adopted: 4/24/03*)

**14.6.4.1 Summer Basketball Leagues.** In order for a summer basketball league to be certified, a certification application form must be submitted each year to the NCAA national office. To be certified, the following criteria must be met by each league: (*Revised: 1/13/03, 4/14/03, 4/29/04, 7/30/10*)

- (a) **All-Star Games Prohibition.** No all-star game of any kind shall be permitted;
- (b) **Geographical Limitation.** League play shall be within 100 air miles of the city limits of the student-athlete's official residence at the end of the previous academic year or the institution the student-athlete last attended as a regular student. If a league does not exist within 100 air miles of the student-athlete's residence, a student-athlete may participate in the summer league located closest to the student's official residence; (*Revised: 8/2/91*)
- (c) **Payment Prohibition.** No member team shall make any payments for play or expenses directly or indirectly to any player;
- (d) **Postseason Competition.** Postseason play-offs or tournaments shall be permitted, provided they involve intraleague competition and are completed by August 31;
- (e) **Player Limitations.**
  - (1) **Number From Any One College.** Each team shall include on its roster not more than two players with intercollegiate basketball eligibility remaining from any two-year or four-year college (other than a Division II or Division III member institution); (*Revised: 1/10/91, 4/27/00*)
  - (2) **Replacement of Student-Athlete Who Withdraws.** A student-athlete who is listed on the roster of a team and withdraws or is injured and will not continue to practice or compete may be replaced for the remainder of the season by another basketball student-athlete from the same institution. The institution is permitted only one replacement per team; and (*Adopted: 1/11/94*)
  - (3) **One Team, One League.** All Division I student-athletes must limit their competition to one team in one league; (*Revised: 10/18/89*)

- (f) **Revenue.** No admission shall be charged for any game, no fee shall be charged for parking to attend any game, no revenue shall be realized at any game from raffles or similar activities, and no revenue shall be realized from over-the-air or cable television or radio rights fees for any game;
- (g) **Staff Limitations.**
  - (1) Neither the league nor any member team shall have on its staff or as a participant any person associated in any employment capacity with any two-year or four-year college, except that institutional employees who are not athletics department staff members and do not have responsibilities directly related to the athletics department may serve as game officials. *(Revised: 8/6/93)*
  - (2) Neither the league nor any member team shall have on its staff or as a participant any individual who has been found guilty or pleaded guilty in a court of law for having been involved in sports bribery, point shaving or game fixing; *(Adopted: 4/20/99, Revised: 1/13/03)*
- (h) **Venue.** A certified league shall not be conducted in a venue where sports wagering on intercollegiate athletics is permitted or on property sponsored by an establishment that permits sports wagering on intercollegiate athletics or is branded with signage for such an establishment; *(Revised: 11/1/01, 1/13/03)*
- (i) **Involvement of Agents.** No individual or agency involved in the marketing of any individual's athletics reputation or ability (including an employee of an agent or anyone associated with an agent in his or her capacity of marketing any individual's athletics reputation or ability) shall be associated in any capacity with the league (or any team participating in the league); *(Adopted: 1/13/03, Revised: 11/1/07)*
- (j) **Awards.** League participants may receive an award, provided the cost of the award is included in the participant's entry fee; *(Adopted: 1/13/03)*
- (k) **Accident Medical Insurance.** The league operator must provide proof of accident medical insurance coverage for league participants; and *(Adopted: 11/1/07, Revised: 2/1/10)*
- (l) **Approval of League Operator or Manager.** Individuals involved in operating or managing a league must be approved in accordance with guidelines established by the NCAA basketball certification staff. *(Adopted: 10/30/08)*

**14.6.5 Collegiate All-Star Contests.** A student-athlete who competes as a member of a squad in any college all-star football or basketball contest shall be denied further intercollegiate eligibility in that sport.

## 14.7 Additional Waivers for Eligibility Requirements.

Conditions under which exceptions are permitted or waivers may be granted to specific eligibility requirements in this bylaw are noted in other sections of this bylaw. *(Revised: 11/1/07 effective 8/1/08)*

**14.7.1 Academic and General Requirements Waivers.** The Academic Cabinet may waive academic and general eligibility requirements under the following conditions or circumstances: *(Revised: 11/1/07 effective 8/1/08)*

- (a) For student-athletes in times of national emergency;
- (b) For member institutions that have instituted a trimester or other accelerated academic program, provided any member institution applying for a waiver shall demonstrate a reasonable need for such waiver. Further, no waiver shall be granted that permits a student-athlete to compete in more than the maximum permissible number of seasons of intercollegiate competition (see Bylaw 14.2). Under the waiver allowed, if a student in an accelerated academic program completes the requirements for a degree before completing eligibility, the student may participate in competition that begins within 90 days after completion of the requirements for the degree; and *(Revised: 11/1/07 effective 8/1/08)*
- (c) For institutions that have suffered extraordinary personnel losses from one or more of their intercollegiate athletics teams due to accident or illness of a disastrous nature.

**14.7.2 Residence Requirement Waivers.** The Legislative Council Subcommittee for Legislative Relief may waive the one-year residence requirement for student-athletes under the following conditions or circumstances: *(Revised: 11/1/07 effective 8/1/08)*

- (a) For a student-athlete who transfers to a member institution for reasons of health. Such request for waiver shall be initiated by the member institution from which the student-athlete is transferring and shall be supported by medical recommendations of that institution's team physician and/or the student-athlete's personal physician;
- (b) For a student-athlete who transfers to a member institution after loss of eligibility due to a violation of the regulation prohibiting pay for participation in intercollegiate athletics (see Bylaw 12.1.2); a violation of recruiting regulations (see Bylaw 13.01.1), or for a student-athlete who transfers to a Division I institution after loss of eligibility due to involvement in a violation of the freshman or transfer eligibility requirements for financial aid, practice and competition set forth in Bylaws 14.3.1, 14.5.4, 14.5.5 and 14.5.6. These requirements may be waived only on a determination of the innocence or inadvertent involvement of the student-athlete in the violation; *(Revised: 11/1/07 effective 8/1/08)*



- (c) On the recommendation of the Committee on Infractions, for a student-athlete who transfers to a member institution to continue the student-athlete's opportunity for full participation in a sport because the student-athlete's original institution was placed on probation by the NCAA with sanctions that would preclude the institution's team in that sport from participating in postseason competition during all of the remaining seasons of the student-athlete's eligibility (see also Bylaw 13.1.1.3.3); *(Revised: 1/10/92)*
- (d) On the recommendation of the Committee on Institutional Performance for a student-athlete who transfers to a member institution to continue the student-athlete's opportunity for full participation in a sport because the student-athlete's original institution, per Bylaw 22.3.3, is placed in a membership category that would preclude the institution's team in that sport from participating in postseason competition during all of the remaining seasons of the student-athlete's eligibility; and *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*
- (e) On the recommendation of the Committee on Academic Performance, for a student-athlete who transfers to a member institution to continue the student-athlete's opportunity for full participation in a sport because the student-athlete's original institution is ineligible for postseason competition, pursuant to the Academic Performance Program, that would preclude the institution's team in that sport from participating in postseason competition during all of the remaining seasons of the student-athlete's eligibility, provided the student-athlete would have been academically eligible had he or she remained at his or her original institution (see also Bylaw 13.1.1.3.4). *(Adopted: 1/9/06 effective 8/1/06)*

## **14.8 U.S. Service Academy Exceptions, Special Eligibility Provisions.**

**14.8.1 Five-Year Rule.** The Committee on Student-Athlete Reinstatement, by a two-thirds majority of its members present and voting, may approve waivers to the five-year rule (see Bylaw 14.2) for student-athletes of the national service academies who have exhausted eligibility in one sport but wish to compete in another sport or sports in which they have eligibility remaining. *(Revised: 4/24/03 effective 8/1/03)*

**14.8.2 Transfer Status.** A student who has attended as a freshman (plebe) only in the official summer-enrollment program of one of the four national service academies is not considered a transfer in the application of the transfer regulations of Bylaw 14.5.

## **14.9 Certification of Eligibility.**

**14.9.1 Institutional Responsibility for Eligibility Certification.** The president or chancellor is responsible for approving the procedures for certifying the eligibility of an institution's student-athletes under NCAA legislation. The president or chancellor may designate an individual on the institution's staff to administer proper certification of eligibility. Certification of eligibility must occur prior to allowing a student-athlete to represent the institution in intercollegiate competition (see Bylaw 14.01.1). A violation of this bylaw in which the institution fails to certify a student-athlete's eligibility prior to allowing him or her to represent the institution in intercollegiate competition shall be considered an institutional violation per Constitution 2.8.1; however, such a violation shall not affect the student-athlete's eligibility, provided all the necessary information to certify the student-athlete's eligibility was available to the institution and the student-athlete otherwise would have been eligible for competition. *(Revised: 3/8/06, 1/14/08)*

**14.9.2 Squad-List Form.** The institution's athletics director shall compile on a form maintained by the Awards, Benefits, Expenses and Financial Aid Cabinet and approved by the Legislative Council a list of the squad members in each sport on the first day of competition and shall indicate thereon the status of each member in the designated categories. A student-athlete's name must be on the official institutional form in order for the student to be eligible to represent the institution in intercollegiate competition. Violations of this bylaw do not affect a student-athlete's eligibility if the violation occurred due to an institutional administrative error or oversight and the student-athlete is subsequently added to the form; however, the violation shall be considered an institutional violation per Constitution 2.8.1. (See Bylaw 15.5.11 for details about the administration of the squad list.) *(Revised: 1/14/97, 11/1/07 effective 8/1/08)*

## **14.10 Ineligibility.**

**14.10.1 Obligation of Member Institution to Withhold Student-Athlete From Competition.** If a student-athlete is ineligible under the provisions of the constitution, bylaws or other regulations of the Association, the institution shall be obligated to apply immediately the applicable rule and to withhold the student-athlete from all intercollegiate competition. The institution may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete's eligibility as provided in Bylaw 14.11 if it concludes that the circumstances warrant restoration.

**14.10.2 Ineligibility Resulting From Recruiting Violation.** An institution shall not enter a student-athlete (as an individual or as a member of a team) in any intercollegiate competition if it is acknowledged by the institution or established through the Association's enforcement procedures that the institution or representative(s) of its athletics interests violated the Association's legislation in the recruiting of the student-athlete. The institution



may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete's eligibility as provided in Bylaw 14.11 if it concludes that circumstances warrant restoration.

**14.10.2.1 Payment of Legal Fees During Appeal.** A member institution may provide actual and necessary expenses for a prospective student-athlete to attend proceedings conducted by the institution, its athletics conference or the NCAA that relate to the prospective student-athlete's eligibility to participate in intercollegiate athletics, provided the prospective student-athlete either has signed a National Letter of Intent with the institution or (if the institution is not a subscribing member of the National Letter of Intent program) the prospective student-athlete has been accepted for enrollment by the institution and has provided written confirmation of his or her intent to enroll at the institution. The cost of legal representation in such proceedings also may be provided by the institution (or a representative of its athletics interests).

**14.10.3 Application of Ineligibility Ruling Pending Appeal.** Once an interpretation (per Constitution 5.4.1.2) applicable to a member institution has been issued and results in the ineligibility of a student-athlete, it is necessary for the institution to apply the rule to the eligibility of the student-athlete, even if review of the interpretation (per Constitution 5.4.1.2.1.1 or 5.4.1.2.2) at the request of the institution is pending. Failure to withhold such a student-athlete from competition is a violation of the conditions and obligations of membership. *(Revised: 12/22/08)*

#### **14.10.4 Ineligible Participation.**

**14.10.4.1 Loss of Eligibility.** A student-athlete shall be denied eligibility for intercollegiate competition in a sport if he or she participates in intercollegiate competition in that sport while ineligible under this bylaw or other applicable NCAA legislation. The certifying institution may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete's eligibility if it concludes that the circumstances warrant restoration (see Bylaw 14.11).

**14.10.4.2 Penalty for Ineligible Participation or Receipt of Improper Aid.** A student-athlete who practices or competes in intercollegiate athletics and/or receives institutional financial assistance (based in some degree on athletics ability) while ineligible for such aid, practice or competition per Bylaw 14.3 shall be charged with the loss of one year of eligibility for practice and varsity competition for each year gained improperly. The student-athlete shall be declared ineligible at the time it is determined that eligibility was gained improperly. Prior to declaring the student-athlete ineligible, the institution may provide the student-athlete an opportunity for a hearing. The institution may appeal to the Committee on Student-Athlete Reinstatement for a reduction in the period of ineligibility. *(Revised: 4/26/01)*

### **14.11 Restoration of Eligibility.**

**14.11.1 Basis for Appeal.** When a student-athlete is determined to be ineligible under any applicable provision of the constitution, bylaws or other regulations of the Association, the member institution, having applied the applicable rule and having withheld the student-athlete from all intercollegiate competition, may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student's eligibility, provided the institution concludes that the circumstances warrant restoration of eligibility.

**14.11.2 Participation in Appeal Hearing.** Any appeal to restore a student-athlete's eligibility shall be submitted in the name of the institution by the president or chancellor (or an individual designated by the president or chancellor), faculty athletics representative, senior woman administrator or athletics director (for the men's or women's program). At least one of those individuals must participate in any hearing of the appeal that involves direct participation by the student-athlete or other individuals representing the institution or the student. *(Revised: 1/11/94, 3/8/06)*

**14.11.3 Student Responsibility, Relationship to Restoration of Eligibility.** A student-athlete is responsible for his or her involvement in a violation of NCAA regulations (as defined in Bylaw 19), and the Committee on Student-Athlete Reinstatement may restore the eligibility of a student involved in any violation only when circumstances clearly warrant restoration. The eligibility of a student-athlete involved in a major violation shall not be restored other than through an exception authorized by the Committee on Student-Athlete Reinstatement in a unique case on the basis of specifically stated reasons.

### **14.12 Academic Performance Program.**

#### **14.12.1 Penalties, Rewards and Access to Postseason Competition.**

**14.12.1.1 Penalties.** The Committee on Academic Performance shall notify an institution or team when it fails to satisfy the appropriate academic standards as outlined in the academic performance program. The institution shall then apply the applicable penalty pursuant to the policies of the academic performance program. *(Adopted: 4/29/04 effective 8/1/04, Revised: 7/31/13)*

**14.12.1.1.1 Determination of Penalties.** The Committee on Academic Performance shall apply multiple levels of analysis when identifying those institutions or teams that fail to satisfy the academic performance program. The Committee on Academic Performance shall publish annually to the Division I membership the standards for determining unsatisfactory performance under the academic performance

program. An institution or team may be required to apply penalties for failing to meet the identified review factors, as determined by the committee's policies and procedures. *(Adopted: 4/29/04 effective 8/1/04, Revised: 8/6/09, 7/31/13)*

**14.12.1.1.2 Progression of Penalties.** Any institution or team that fails to satisfy the academic performance program may be required to apply the following penalties as specified in the policies and procedures of the academic performance program. *(Adopted: 4/29/04 effective 8/1/04, Revised: 7/31/13)*

**14.12.1.1.2.1 Level One Penalties.** On the first occasion that an institution or team fails to satisfy the academic performance program, an institution or team must apply restrictions in the area of playing and practice seasons based on the policies and procedures of the academic performance program and a monitoring period shall begin. *(Adopted: 4/29/04 effective 8/1/04, Revised: 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, 7/31/13)*

**14.12.1.1.2.1.1 Appeal Opportunity.** An institution or team may appeal the application of Level One penalties to the Committee on Academic Performance, as specified by the committee's policies and procedures. *(Adopted: 1/9/06 effective 8/1/06, Revised: 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, 7/31/13)*

**14.12.1.1.2.1.2 Monitoring Period.** An institution or team that receives Level One penalties under the above must satisfy the identified review factors, as determined by the committee's policies and procedures, for three consecutive years before it is released from eligibility for the next level of penalties. *(Adopted: 4/29/04 effective 8/1/04, Revised: 8/6/09, 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, 7/31/13)*

**14.12.1.1.2.2 Level Two Penalties.** On the second occasion that an institution or team fails to satisfy the academic performance program, it must apply additional restrictions in playing and practice seasons based on the policies and procedures of the academic performance program. *(Adopted: 4/29/04 effective 8/1/04, Revised: 1/9/06 effective 8/1/07, 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, 7/31/13)*

**14.12.1.1.2.2.1 Appeal Opportunity.** An institution or team may appeal the application of Level Two penalties to the Committee on Academic Performance, as specified by the committee's policies and procedures. *(Adopted: 4/29/04 effective 8/1/04, Revised: 1/9/06 effective 8/1/07, 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, 7/31/13)*

**14.12.1.1.2.2.2 Monitoring Period.** An institution or team that receives Level Two penalties under the above must satisfy the identified review factors, as determined by the committee's policies and procedures, for three consecutive years before it is released from being subject to Level Three penalties. *(Adopted: 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, Revised: 7/31/13)*

**14.12.1.1.2.3 Level Three Penalties.** On the third occasion that an institution or team fails to satisfy the academic performance program, the institution shall apply penalties as specified in the policies and procedures of the academic performance program and subject to the approval by the Committee on Academic Performance. *(Adopted: 4/29/04 effective 8/1/04, Revised: 1/8/07 effective 8/1/07, 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, 7/31/13)*

**14.12.1.1.2.3.1 Appeal Opportunity.** An institution or team may appeal the application of Level Three penalties to the Committee on Academic Performance, as specified by the committee's policies and procedures. *(Adopted: 4/29/04 effective 8/1/04, Revised: 1/8/07 effective 8/1/07, 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, 7/31/13)*

**14.12.1.1.2.3.2 Monitoring Period.** An institution or team that receives Level Three penalties under the above must satisfy the identified review factors, as determined by the committee's policies and procedures, for three consecutive years before it is released from being subject to additional Level Three penalties. *(Adopted: 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, Revised: 7/31/13)*

**14.12.1.2 Access to Postseason Competition.** An institution or team that fails to satisfy the academic performance program shall be ineligible for any postseason competition, as specified in the policies and procedures of the academic performance program. *(Adopted: 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, Revised: 7/31/13)*

**14.12.1.2.1 Determining Access to Postseason Competition.** The Committee on Academic Performance shall apply multiple levels of analysis to identify institutions or teams that are ineligible for postseason competition. The Committee on Academic Performance shall publish annually to the Division I membership the standards for determining ineligibility for postseason competition under the academic performance program. *(Adopted: 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, Revised: 7/31/13)*

**14.12.1.2.2 Individual Sports.** A student-athlete who is a member of an individual sport team (see Bylaw 17.02.12.2) that is ineligible for postseason competition pursuant to Bylaw 14.12.1.2 shall not partici-

pate in postseason competition, including NCAA championships, as an individual (see Bylaw 14.4). (*Adopted: 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, Revised: 7/31/13*)

**14.12.1.2.3 Appeal Opportunity.** An institution or team may appeal the application of postseason ineligibility to the Committee on Academic Performance, as specified in the committee's policies and procedures. (*Adopted: 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, Revised: 7/31/13*)

**14.12.2 Appeal Procedures.** An institution or team subject to penalty (or penalties) due to its failure to satisfy the appropriate standards of the academic performance program may appeal the application of such penalty (or penalties) to the Committee on Academic Performance, as specified by the committee's policies and procedures. (*Adopted: 4/29/04, Revised: 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, 7/31/13*)

**14.12.2.1 Authority and Duties of Committee.** The Committee on Academic Performance shall act on appeals from institutions or teams subject to penalty (or penalties) pursuant to the legislation and the standards and procedures of the academic performance program published to the membership on an annual basis. The committee's determination shall be final, binding and conclusive and shall not be subject to further review by any other authority unless otherwise specified in this bylaw (see Bylaw 14.12.2.2). (*Adopted: 4/29/04, Revised: 7/31/13*)

**14.12.2.2 Appeal of Committee on Academic Performance Decision.** An institution may appeal a decision issued by the Committee on Academic Performance to the designated subcommittee of the Board of Directors under the following conditions: (*Adopted: 4/29/04, Revised: 7/31/13*)

- (a) The penalty (or penalties) at issue in the appeal involves Level Three penalties; and (*Revised: 1/15/11 effective 8/1/11; 10/27/11; applicable to penalties assessed for the 2012-13 academic year and beyond, 7/31/13*)
- (b) An institution or team appealing a decision of the Committee on Academic Performance must demonstrate that the committee abused its discretion in applying the legislation and/or policies and procedures of the academic performance program. An institution may not seek an appeal for substitution of the committee's judgment.

### **14.12.3 Establishment and Revision of Academic Performance Policies and Procedures.**

**14.12.3.1 Amendment of Policies and Procedures.** The Committee on Academic Performance may establish or amend the policies and procedures of the academic performance program. The policies and procedures governing the administration of the academic performance program are subject to review and approval by the Board of Directors. (*Adopted: 4/29/04, Revised: 11/1/07 effective 8/1/08, 7/31/13*)

**14.12.3.1.1 Notification to Membership.** The Committee on Academic Performance shall notify the membership of any changes to the policies and procedures of the academic performance program. (*Adopted: 4/29/04, Revised: 7/31/13*)

## FIGURE 14-1 Initial Eligibility

How NCAA legislation (Bylaw 14.3) affects student-athletes during their initial year of college attendance.

Are they eligible for...	Qualifier	Nonqualifier
Academic/tutoring services	Yes	Yes
Competition	Yes	No
Complimentary admissions		
One for self; all regular-season home athletics contests	Yes	Yes
Four complimentary admissions; home or away contests in the student-athlete's sport	Yes	No
Conditioning program (including workout apparel)	Yes	Yes (supervised only by institution's strength coach or trainer for safety purposes)
Drug-rehabilitation expenses	Yes	Yes
Financial aid		
Regular term	Yes	Yes (aid must be based on financial need and may not be from an athletics source)
Summer-orientation program (subject to the conditions of Bylaws 15.2.8.1.3 and 15.2.8.1.4)	Yes	Yes
Summer school prior to initial year (subject to the conditions of Bylaws 15.2.8.1.3 and 15.2.8.1.4)	Yes	Yes
Training table	Yes	No (unless student-athlete pays full cost)
Institutional awards		
Banquets—expenses/meals	Yes	No
Insurance, athletics medical	Yes	Yes
Occasional home meal	Yes	Yes
Outside competition (basketball)		
During the season	No (except intramurals)	No (except intramurals)
During remainder of the academic year (other than during the season)	No (except intramurals)	No (except intramurals)
Summer after initial academic year	Yes (only in approved summer league)	Yes (only in approved summer league)

<b>Are they eligible for...</b>	<b>Qualifier</b>	<b>Nonqualifier</b>
Outside competition (sports other than basketball)		
During the season	No (except intramurals and individual competition)	No (except intramurals and individual competition)
During remainder of the academic year (other than during the season)	No (except intramurals and individual competition)	No (except intramurals and individual competition)
Summer after initial academic year	Yes	Yes
Tryouts for outside teams	Yes	Yes
Practice	Yes	No
Promotional activities that do not involve countable athletically related activities	Yes	Yes
Promotional activities that involve countable athletically related activities (e.g., midnight madness)	Yes	No
Promotional materials, inclusion of photos in media guide, game programs, brochures, etc.	Yes	Yes
Rehabilitation expenses (postsurgical) for injury unrelated to athletics participation	Yes	Yes
Student host	Yes	No
Surgical expenses for injury during voluntary workout	Yes	Yes
Team manager	Yes	No
Team travel	Yes	No
Training-room facilities (in conjunction with weight-training program)	Yes	Yes
Weight training	Yes	Yes (supervised only by institution's strength coach or trainer for safety purposes)





# Financial Aid

## 15.01 General Principles.

**15.01.1 Institutional Financial Aid Permitted.** A student-athlete may receive scholarships or educational grants-in-aid administered by (see Bylaw 15.02.1) an educational institution that do not conflict with the governing legislation of this Association. (See Bylaws 15.01.6.1, 16.3, 16.4 and 16.12.) *(Revised: 5/26/09)*

**15.01.1.1 Financial Aid to Attend Another Institution.** An institution may not provide financial aid to a student-athlete to attend another institution, except as specifically authorized by NCAA legislation. *(Adopted: 1/16/93)*

**15.01.2 Improper Financial Aid.** Any student-athlete who receives financial aid other than that permitted by the Association shall not be eligible for intercollegiate athletics.

**15.01.3 Financial Aid Not Administered by Institution.** Any student who receives financial aid other than that administered by the student-athlete's institution shall not be eligible for intercollegiate athletics competition, unless it is specifically approved under the Association's rules of amateurism (see Bylaw 12) or the aid is: *(Revised: 1/15/11 effective 8/1/11)*

- (a) Received from one upon whom the student-athlete is naturally or legally dependent; or
- (b) Awarded solely on bases having no relationship to athletics ability; or
- (c) Awarded through an established and continuing program to aid students under the conditions listed in Bylaw 15.2.6.3.

**15.01.4 Contributions by Donor.** An individual may contribute funds to finance a scholarship or grant-in-aid for a particular sport, but the decision as to how such funds are to be allocated in the sport shall rest exclusively with the institution. It is not permissible for a donor to contribute funds to finance a scholarship or grant-in-aid for a particular student-athlete.

**15.01.5 Eligibility of Student-Athletes for Institutional Financial Aid.** A student-athlete must meet applicable NCAA (see Bylaw 14), conference and institutional regulations to be eligible for institutional financial aid. If these regulations are met, the student-athlete may be awarded institutional financial aid during any term in which a student-athlete is in regular attendance [was enrolled initially in a minimum full-time program of studies as defined by the certifying institution during that term (see Bylaw 14.1.7.2.1.3 for final term exception and Bylaw 15.2.8 for summer-term exception)] under the following circumstances: *(Revised: 6/8/99, 10/27/11)*

- (a) The student-athlete is an undergraduate with eligibility remaining under Bylaw 14.2 (five-year rule); or
- (b) The student-athlete is a graduate student eligible under Bylaw 14.1.8.

[Note: See Bylaw 13.1.1.3 for the financial aid implications in the prohibition against contacting student-athletes of another four-year collegiate institution without permission of that institution's athletics director. See Bylaw 14.5.5.4 for financial aid implications related to the academic eligibility of four-year college transfers.] *(Revised: 1/9/06 effective 8/1/07)*

**15.01.5.1 Exception—Part-Time Enrollment After Exhausted Eligibility.** An institution may provide financial aid to a student-athlete who has exhausted eligibility in his or her sport and is enrolled in less than a minimum full-time program of studies, provided: *(Adopted: 1/15/11 effective 8/1/11)*

- (a) The student-athlete is carrying for credit the courses necessary to complete degree requirements; or
- (b) The student-athlete is carrying for credit all the degree-applicable courses necessary to complete his or her degree requirements that are offered by the institution during that term.

**15.01.5.2 Exception—Former Student-Athletes.** Institutional financial aid may be awarded to a former student-athlete for any term during which he or she is enrolled (full time or part time). *(Adopted: 10/27/11)*

**15.01.5.3 Effect of Violation of Conference Rule.** A violation of Bylaw 15.01.5 that relates only to a conference rule shall be considered an institutional violation per Constitution 2.8.1; however, such a violation shall not affect the student-athlete's eligibility. *(Adopted: 10/27/06)*

**15.01.6 Maximum Institutional Financial Aid to Individual.** An institution shall not award financial aid to a student-athlete that exceeds the cost of attendance that normally is incurred by students enrolled in a comparable program at that institution (see Bylaw 15.1). (*Revised: 4/29/04 effective 8/1/04*)

**15.01.6.1 Student Assistance Fund.** The receipt of money from the NCAA Student Assistance Fund for student-athletes is not included in determining the permissible amount of financial aid that a member institution may award to a student-athlete. Member institutions and conferences shall not use money received from the fund to finance salaries, grants-in-aid (other than summer school) for student-athletes with remaining eligibility, capital improvements, stipends and outside athletics development opportunities for student-athletes (e.g., participation in a sports camp or clinic, private sports-related instruction, greens fees, batting cage rental, outside foreign tour expenses). (*Adopted: 4/24/03, Revised: 1/8/07, 2/24/12*)

**15.01.7 Sport-by-Sport Financial Aid Limitations.** Division I may establish limitations on the number of financial aid awards a member institution may provide to countable student-athletes (counters) (see Bylaw 15.5).

**15.01.8 Additional Financial Aid Limitations.** The Committee on Academic Performance shall have the authority to determine the circumstances that would require an institution or team(s) that fails to satisfy the academic performance program to apply additional financial aid limitations. The Committee on Academic Performance shall establish and annually publish to the membership such circumstances (see Bylaw 14.12). (*Adopted: 4/29/04 effective 8/1/04*)

## **15.02 Definitions and Applications.**

**15.02.1 Administered By.** Financial aid is administered by an institution if the institution, through its regular committee or other agency for the awarding of financial aid to students generally, makes the final determination of the student-athlete who is to receive the award and of its value.

**15.02.2 Cost of Attendance.** The “cost of attendance” is an amount calculated by an institutional financial aid office, using federal regulations, that includes the total cost of tuition and fees, room and board, books and supplies, transportation, and other expenses related to attendance at the institution. (*Adopted: 1/11/94*)

**15.02.2.1 Calculation of Cost of Attendance.** An institution must calculate the cost of attendance for student-athletes in accordance with the cost-of-attendance policies and procedures that are used for students in general. Accordingly, if an institution’s policy allows for students’ direct and indirect costs (e.g., tuition, fees, room and board, books, supplies, transportation, child care, cost related to a disability and miscellaneous personal expenses) to be adjusted on an individual basis from the institution’s standard cost figure, it is permissible to make the same adjustment for student-athletes, provided the adjustment is documented and is available on an equitable basis to all students with similar circumstances who request an adjustment. (*Adopted: 1/11/94*)

**15.02.3 Counter.** A “counter” is an individual who is receiving institutional financial aid that is countable against the aid limitations in a sport.

**15.02.3.1 Initial Counter. [FBS/FCS]** An “initial counter” is a counter who is receiving countable financial aid in a sport for the first time. (See Bylaw 15.5.6.3 in football for instances in which the institution is permitted to defer the counting of such financial aid until the following academic year.)

**15.02.4 Financial Aid.** “Financial aid” is funds provided to student-athletes from various sources to pay or assist in paying their cost of education at the institution. As used in NCAA legislation, “financial aid” includes all institutional financial aid and other permissible financial aid as set forth below. (See Bylaws 15.01.6.1, 16.2, 16.3 and 16.4.) (*Revised: 5/26/09*)

**15.02.4.1 Institutional Financial Aid.** The following sources of financial aid are considered to be institutional financial aid: (*Revised: 1/15/11 effective 8/1/11*)

(a) All funds administered by the institution, which include but are not limited to the following: (*Revised: 1/11/94 effective 8/1/94, 1/14/97 effective 8/1/97*)

- (1) Scholarships;
- (2) Grants;
- (3) Tuition waivers;
- (4) Employee dependent tuition benefits, unless the parent or the legal guardian of a student-athlete has been employed as a full-time faculty/staff member for a minimum of five years; and (*Revised: 4/26/01 effective 8/1/01, 10/31/02 effective 8/1/03*)
- (5) Loans. (*Revised: 10/31/02 effective 8/1/03*)

(b) Aid from government or private sources for which the institution is responsible for selecting the recipient or determining the amount of aid, or providing matching or supplementary funds for a previously determined recipient. (*Revised: 10/31/02 effective 8/1/03*)

**15.02.4.2 Other Permissible Financial Aid.** The following sources of financial aid are also permitted:

- (a) Financial aid received from anyone upon whom the student-athlete is naturally or legally dependent;
- (b) Financial aid awarded solely on bases having no relationship to athletics ability;

- (c) Financial aid awarded through an established and continuing outside program as outlined in Bylaw 15.2.6.3; and *(Revised: 4/29/04 effective 8/1/04, 1/15/11 effective 8/1/11)*
- (d) Educational expenses awarded by the U.S. Olympic Committee, which count against an institution's sport-by-sport financial aid limitations and against the individual's full-grant-in-aid limit. *(Adopted: 1/10/95 effective 8/1/95)*

**15.02.4.3 Exempted Institutional Financial Aid.** The following institutional financial aid is exempt and is not counted in determining the institution's financial aid limitations: *(Revised: 4/29/04 effective 8/1/04)*

- (a) An honorary award for outstanding academic achievement or an established institutional research grant that meets the criteria set forth in Bylaw 15.02.6 (and must be included in determining if the student-athlete's cost of attendance has been met); *(Revised: 1/10/91, 1/10/92, 10/31/02 effective 8/1/03)*
- (b) A postgraduate scholarship awarded by an institution in accordance with Bylaw 16.1.4.1.1; *(Adopted: 4/25/02)*
- (c) Federal government grants awarded based on a student's demonstrated financial need [e.g., Supplemental Educational Opportunities Grant (SEOG)], regardless of whether the institution is responsible for selecting the recipient or determining the amount of aid, or providing matching or supplementary funds for a previously determined recipient; *(Adopted: 1/15/11 effective 8/1/11)*
- (d) State government grants awarded based on a student's demonstrated financial need, regardless of whether the institution is responsible for selecting the recipient or determining the amount of aid, or providing matching or supplementary funds for a previously determined recipient, provided the aid is administered in accordance with the federal methodology for determining a student's financial need and has no relationship to athletics ability. However, such aid is not exempt for purposes of determining a football or basketball student-athlete's counter status pursuant to Bylaw 15.5.1.2; *(Adopted: 1/15/11 effective 8/1/11)*
- (e) State government merit-based grants, regardless of whether the institution is responsible for selecting the recipient or determining the amount of aid, or providing matching or supplementary funds for a previously determined recipient, provided the aid is awarded consistent with the criteria of Bylaws 15.5.3.2.2.1, 15.5.3.2.2.2 or 15.5.3.2.2.3 and has no relationship to athletics ability. However, such aid is not exempt for purposes of determining a football or basketball student-athlete's counter status pursuant to Bylaw 15.5.1.2; and *(Adopted: 1/15/11 effective 8/1/11)*
- (f) Matching payments made by the Department of Veterans Affairs pursuant to the Yellow Ribbon G.I. Education Enhancement Program [see Bylaw 15.2.5.1-(e)]. *(Adopted: 1/15/11 effective 8/1/11)*

**15.02.4.4 Exempted Government Grants.** Government grants listed in Bylaw 15.2.5.1 shall not be included when determining the cost of attendance for a student-athlete. *(Revised: 1/11/89, 4/29/04 effective 8/1/04)*

**15.02.4.5 Operation Gold Grant.** Funds administered by the U.S. Olympic Committee pursuant to its Operation Gold Grant program shall not be included when determining the cost of attendance for a student-athlete. *(Revised: 4/29/04 effective 8/1/04)*

**15.02.5 Full Grant-in-Aid.** A full grant-in-aid is financial aid that consists of tuition and fees, room and board, and required course-related books.

**15.02.6 Honorary Academic Award/Research Grant.** An honorary academic award for outstanding academic achievement or a research grant is an award that meets the following criteria:

- (a) The award or grant is a standing scholarship award or an established research grant; *(Revised: 10/28/04)*
- (b) The basis for the award or grant shall be the candidate's academic record at the awarding institution;
- (c) The award or grant shall be determined by competition among the students of a particular class or college of the institution. Competition for the award or grant may include additional objective criteria unrelated to athletics abilities (e.g., gender, race and ethnicity, financial need); and *(Revised: 1/14/08 effective 8/1/08)*
- (d) The award or grant is included in determining if the student-athlete's cost-of-attendance limitation has been met. *(Revised: 1/10/91, 1/10/92)*

**15.02.7 Period of Award.** The period of award begins when the student-athlete receives any benefits as a part of the student's grant-in-aid on the first day of classes for a particular academic term, or the first day of practice, whichever is earlier, until the conclusion of the period set forth in the financial aid agreement. An athletics grant-in-aid shall not be awarded in excess of the student-athlete's five-year period of eligibility. *(Revised: 10/27/11)*

**15.02.8 Recruited Student-Athlete.** For purposes of Bylaw 15, a recruited student-athlete is a student-athlete who, as a prospective student-athlete: *(Adopted: 1/15/11 effective 8/1/11)*

- (a) Was provided an official visit to the institution's campus;
- (b) Had an arranged, in-person, off-campus encounter with a member of the institution's coaching staff (including a coach's arranged, in-person, off-campus encounter with the prospective student-athlete or the prospective student-athlete's parents, relatives or legal guardians); or
- (c) Was issued a National Letter of Intent or a written offer of athletically related financial aid by the institution for a regular academic term.

**15.02.9 Signature.** For purposes of Bylaw 15, a signature includes an electronic authorization (e.g., electronic signature). *(Adopted: 3/5/12)*

## 15.1 Maximum Limit on Financial Aid—Individual.

A student-athlete shall not be eligible to participate in intercollegiate athletics if he or she receives financial aid that exceeds the value of the cost of attendance as defined in Bylaw 15.02.2. A student-athlete may receive institutional financial aid based on athletics ability (per Bylaw 15.02.4.1) and educational expenses awarded per Bylaw 15.2.6.4 up to the value of a full grant-in-aid, plus any other financial aid up to the cost of attendance. (See Bylaws 15.01.6.1, 16.3, 16.4 and 16.12.) *(Revised: 4/29/04 effective 8/1/04, 5/26/09, 1/15/11 effective 8/1/11)*

**15.1.1 Exception for Pell Grant.** A student-athlete who receives a Pell Grant may receive financial aid equivalent to the limitation set forth in Bylaw 15.1 or the value of a full grant-in-aid plus the Pell Grant, whichever is greater. *(Adopted: 4/29/04 effective 8/1/04)*

**15.1.2 Types of Aid Included in Limit.** In determining whether a student-athlete's financial aid exceeds the cost of attendance, all institutional financial aid (per Bylaw 15.02.4.1) and all funds received from the following and similar sources shall be included (see Bylaws 15.02.4.3, 15.02.4.4 and 15.02.4.5 for types of financial aid that are exempt from a student-athlete's individual limit): *(Revised: 10/31/02 effective 8/1/03, 4/29/04 effective 8/1/04)*

- (a) **Government Grants.** Government grants for educational purposes, except for those listed in Bylaw 15.2.5;
- (b) **Other Scholarships and Grants.** Other institutional or outside scholarships or grants-in-aid; *(Revised: 1/10/95 effective 8/1/95, 4/29/04 effective 8/1/04)*
- (c) **Gifts.** The value of gifts given to a student-athlete following completion of eligibility in appreciation for or recognition of the student-athlete's athletics accomplishments;
- (d) **Professional Sports Stipend.** Any bonus or salary (no matter when received or contracted for) from a professional sports organization;
- (e) **Athletics Participation Compensation.** Any other income (no matter when received or contracted for) from participation in an athletics event (except funds that are administered by the U.S. Olympic Committee pursuant to its Operation Gold Grant Program) unless eligibility has been exhausted in that sport; and *(Revised: 1/11/94 effective 8/1/94)*
- (f) **Loans.** Loans, except legitimate loans that are based upon a regular repayment schedule, available to all students and administered on the same basis for all students.

**15.1.3 Recruited Student-Athlete Receiving Institutional Financial Aid.** Unearned financial aid (excluding loans) administered by an institution to an athletically recruited student (per Bylaw 15.02.8) is subject to the limitations set forth in Bylaw 15.1. Such aid is considered to be athletically related financial aid unless the faculty athletics representative and the director of financial aid certify that the aid was granted without regard in any degree to athletics ability (see Bylaw 15.5.1.1). *(Revised: 5/30/08, 5/28/09, 1/15/11 effective 8/1/11)*

**15.1.4 Reduction When Excess Aid Is Awarded.** In the event that a student-athlete's financial aid from the sources listed in Bylaw 15.1.2, which includes institutional financial aid, will exceed the cost of attendance for the balance of the academic year, the institution shall reduce institutional financial aid so as not to exceed the cost of attendance. Payments credited to a student-athlete's account that are not refundable by the institution to the scholarship office or other appropriate institutional agency shall not become the student's obligation. *(Revised: 4/29/04 effective 8/1/04)*

## 15.2 Elements of Financial Aid.

**15.2.1 Tuition and Fees.** An institution may provide a student-athlete financial aid that includes the actual cost of tuition and required institutional fees.

**15.2.1.1 Permissible Fees.** A student-athlete may be awarded financial aid that covers the payment of fees for a course in which the student-athlete is enrolled, if the course is part of the institution's regular curriculum (included in the institution's catalog of classes), and the institution pays these same fees for other students enrolled in the course who receive fees as a part of a grant-in-aid or scholarship.

**15.2.1.2 Optional Fees.** An institution may not pay fees for services offered on an optional basis to the student body in general.

**15.2.1.3 Noninstitutional Fees and Expenses.** Fees paid by an institution are confined to required institutional fees and do not include noninstitutional fees or expenses (e.g., the cost of typing reports for student-athletes).

**15.2.1.4 Fees and Related Expenses for Prospective Student-Athletes.** An institution shall not waive, pay in advance or guarantee payment of the following expenses for a prospective student-athlete, unless such benefits generally conform to institutional policy as it applies to other prospective student-grantees:

- (a) The institution's processing fee required prior to the admissions office's evaluation of the prospective student-athlete's application;
- (b) The orientation-counseling tests fee required of all incoming freshmen;
- (c) The preadmission academic testing fee;



- (d) Advance tuition payment or room deposit;
- (e) Damage deposits for dormitory rooms;
- (f) ROTC deposits for military equipment; or
- (g) Any other pre-enrollment fees required of prospective student-grantees.

**15.2.1.4.1 Fees Rebate.** If the prospective student-athlete enrolls and is awarded financial aid covering institutional fees, the fees described in Bylaw 15.2.1.4-(a) through Bylaw 15.2.1.4-(d) may be rebated as a part of the institution's regular fees.

**15.2.2 Room and Board.** An institution may provide a student-athlete financial aid that includes the cost of room and board, based on the official allowance for a room as listed in the institution's official publication (e.g., catalog) and a board allowance that consists of three meals per day, even if the institution's maximum permissible award allowance for all students represents a lesser cost figure. *(Revised: 10/28/99 effective 8/1/00)*

**15.2.2.1 Off-Campus Room and Board Stipend.** If a student-athlete lives and eats in noninstitutional facilities, the institution may provide the student-athlete an amount equal to the institution's official on-campus room allowance as listed in its catalog, the average of the room costs of all of its students living on campus or the cost of room as calculated based on its policies and procedures for calculating the cost of attendance for all students. The institution also may provide the student-athlete an amount that is equivalent to an on-campus seven-day or 21-meal board plan or the cost of meals as calculated based on its policies and procedures for calculating the cost of attendance for all students, excluding those meals provided as part of the training table. Meals provided on the training table shall be deducted at the regular cost figure from such a student-athlete's board allowance. *(Revised: 1/10/92, 11/12/97, 10/28/99 effective 8/1/00, 4/27/06 effective 8/1/06)*

**15.2.2.1.1 Determination of Off-Campus Room Rates.** An institution with several official on-campus room rates listed in its catalog may use the average of the room cost for all students living on campus (based on a weighted average for all students who reside in on-campus facilities) or the cost of room as calculated based on its policies and procedures for calculating the cost of attendance for all students. *(Revised: 11/12/97, 4/27/06 effective 8/1/06)*

**15.2.2.1.2 Determination of Off-Campus Board Costs.** An institution with several on-campus board plans (e.g., five-day, seven-day, 14-meal, 17-meal or 21-meal plans) may provide a student-athlete living in noninstitutional facilities with the equivalent of a seven-day or 21-meal plan at that institution or the cost of meals as calculated based on its policies and procedures for calculating the cost of attendance for all students. *(Adopted: 1/10/92, Revised: 11/12/97, 10/28/99 effective 8/1/00, 4/27/06 effective 8/1/06)*

**15.2.2.1.3 Institution With No On-Campus Room and Board Facilities.** If an institution does not provide an official dollar amount for room and board in its catalog and does not have on-campus student room and board facilities, the figure provided to student-athletes for off-campus student room and board shall be the amount determined by the institution's office of financial aid as being commensurate with the average cost a student at that institution normally would incur living and eating in off-campus facilities. *(Revised: 11/12/97)*

**15.2.2.1.4 Married Student Housing.** Married student-athletes who live in noninstitutional housing are permitted to receive the same room and board allowance that is provided to married students with on-campus housing. If the institution does not provide on-campus room and board facilities for married students but has other on-campus dormitory facilities, it must use the provisions of Bylaw 15.2.2.1 in determining the amount of room-and-board expenses a married student-athlete who lives in noninstitutional facilities may receive. *(Adopted: 1/10/92)*

**15.2.2.1.5 Cost-Free Apartment.** It is permissible for the institution to arrange for a cost-free, off-campus apartment rather than to give a student-athlete an amount equal to the institution's official room allowance (as listed in its catalog) or the cost of a room as calculated based on its policies and procedures for calculating the cost of attendance for all students, provided the apartment is not rented by the institution at a reduced rate. However, if the actual rental rate is more than the institutional room allowance, the student-athlete shall pay the additional amount from the student-athlete's own resources. *(Revised: 4/27/06 effective 8/1/06)*

**15.2.2.1.6 Training-Table Meals.** The cost of meals provided on the institution's training table shall be deducted from a student-athlete's board allowance, even if the student-athlete is not receiving a full grant-in-aid. In determining the cost figure to be deducted, the institution may use the actual meal costs listed in the institution's catalog or the average meal costs of its student-athletes living on campus.

**15.2.2.1.7 Game-Related Meals.** The cost of meals provided for away-from-home practices and contests and meals at home contests need not be deducted from a student-athlete's board allowance. Such meals also may be received by a student-athlete who is not receiving athletically related financial aid inasmuch as they constitute a benefit incidental to athletics participation [see Bylaw 16.5.2-(d)].

**15.2.2.2 Facility Designated by Institution.** It is permissible for the institution to require a grant-in-aid recipient to obtain room and board in a facility designated by the institution, provided the requirement is con-

tained in the written statement outlining the amount, duration, conditions and terms of the financial aid agreement (see Bylaw 15.3.2.2).

**15.2.2.3 Summer Dormitory Rentals.** An institution may rent dormitory space to a prospective or enrolled student-athlete during the summer months at the regular institutional rate, if it is the institution's policy to make such dormitory space available on the same basis to all prospective or enrolled students.

**15.2.2.4 Sunday Evening Meals.** If the regular eating facility used by a student-athlete who is receiving an award that covers the cost of meals is not available for a given meal (e.g., Sunday evening meal), the institution may provide actual expenses to cover the cost of the meal, provided the meal is similar to those served at the university's regular dining facilities and is not excessive in nature.

**15.2.2.5 Food Stamps.** A grant-in-aid recipient who lives and eats off campus may use the money provided for his or her board to obtain governmental food stamps, provided the stamps are available to the student body in general. Additionally, the student-athlete must be eligible for such stamps without any special arrangements on the part of athletics department personnel or representatives of the institution's athletics interests.

**15.2.3 Books.** A member institution may provide a student-athlete financial aid that covers the actual cost of required course-related books. **[R]** (*Revised: 4/24/03 effective 8/1/03*)

**15.2.3.1 Dollar Limit.** There is no dollar limit for books a student-athlete may receive, provided each book is required for a course in which the student-athlete is enrolled. The institution may provide the student-athlete with cash to purchase books, as long as the amount of cash provided is equal to the actual cost of the books purchased. **[R]** (*Revised: 4/24/03 effective 8/1/03*)

**15.2.4 Other Expenses Related to Attendance.** An institution may provide a student-athlete financial aid that covers other expenses related to attendance in combination with other permissible elements of financial aid (per Bylaw 15.2) up to the cost of attendance (see Bylaws 15.02.2 and 15.1). (See Bylaws 15.01.6.1, 16.3, 16.4 and 16.12.) (*Adopted: 4/29/04 effective 8/1/04, Revised: 5/26/09*)

**15.2.5 Government Grants.** Government grants for educational purposes shall be included when determining the permissible amount of the cost of attendance for a student-athlete, except for those listed in Bylaw 15.2.5.1. (*Revised: 1/11/89, 4/29/04 effective 8/1/04, 4/21/05*)

**15.2.5.1 Exempted Government Grants.** The following government grants for educational purposes shall not be included when determining the permissible amount of the cost of attendance of a student-athlete: (*Adopted: 1/11/89, Revised: 4/29/04 effective 8/1/04, 1/10/05*)

- (a) **AmeriCorps Program.** Benefits received by student-athletes under the AmeriCorps Program; (*Adopted: 1/9/96 effective 8/1/96*)
- (b) **Disabled Veterans.** State government awards to disabled veterans; (*Adopted: 4/28/05*)
- (c) **Military Reserve Training Programs.** Payments to student-athletes for participation in military reserve training programs;
- (d) **Montgomery G.I. Bill.** Benefits received by student-athletes under the Montgomery Bill—Active Duty and the Montgomery G.I. Bill—Selected Reserve;
- (e) **Post-9/11 G.I. Bill.** Benefits received under the Post-9/11 G.I. Bill, including matching payments made by the Department of Veterans Affairs pursuant to the Yellow Ribbon G.I. Education Enhancement Program. [Contributions made by the institution pursuant to the Yellow Ribbon Program are institutional financial aid (see Bylaws 15.02.4.1 and 15.02.4.3)]; (*Adopted: 1/15/11 effective 8/1/11*)
- (f) **Special U.S. Government Entitlement Programs.** Payments by the U.S. government under the terms of the Dependents Education Assistance Program (DEAP), Social Security Insurance Program [including the Reinstated Entitlement Program for Survivors (REPS)] or Non-Service-Connected Veteran's Death Pension Program;
- (g) **U.S. Military Annuitant Pay.** United States Military Annuitant Pay or other family member service-related death benefits received by student-athletes from the United States Military. (*Adopted: 1/10/05*)
- (h) **U.S. Navy Nuclear Propulsion Officer Candidate Program.** Benefits received by student-athletes under the U.S. Nuclear Propulsion Officer Candidate Program (NUPOC); (*Adopted: 4/26/01*)
- (i) **Veterans Educational Assistance Program (VEAP).** Benefits received by student-athletes under the VEAP;
- (j) **Vocational Rehabilitation for Service-Disabled Veterans Program.** Benefits received by student-athletes under the Vocational Rehabilitation for Service-Disabled Veterans Program; or
- (k) **Welfare Benefits.** Welfare benefits received from a state or federal government. (*Adopted: 1/14/97 effective 8/1/97*)

**15.2.6 Financial Aid From Outside Sources.**

**15.2.6.1 Parents and Legal Guardians.** A student-athlete may receive financial aid from anyone upon whom the student-athlete is naturally or legally dependent.

**15.2.6.1.1 Prepaid College Tuition Plans.** A state-sponsored or private prepaid college tuition plan, purchased by a family member and paid to an institution on behalf of a student-athlete, is not considered aid from an outside source. Such aid is considered financial aid from someone upon whom the student-athlete is naturally or legally dependent. *(Revised: 6/10/04)*

**15.2.6.2 No Relationship to Athletics Ability.** A student-athlete may receive financial aid awarded solely on bases having no relationship to athletics ability.

**15.2.6.3 Financial Aid From an Established and Continuing Program.** A student-athlete may receive financial aid through an established and continuing program to aid students, provided: *(Adopted: 1/15/11 effective 8/1/11)*

- (a) The recipient's choice of institutions is not restricted by the donor of the aid; and
- (b) There is no direct connection between the donor and the student-athlete's institution.

**15.2.6.4 Educational Expenses—U.S. Olympic Committee or U.S. National Governing Body.** A student-athlete may receive educational expenses awarded by the U.S. Olympic Committee or a U.S. national governing body (or, for international student-athletes, expenses awarded by the equivalent organization of a foreign country). The amount of the financial assistance shall be subject to the following limitations: *(Adopted: 1/10/95 effective 8/1/95, Revised: 10/28/97 effective 8/1/98, 11/1/00)*

- (a) Disbursement of the aid shall be through the member institution for the recipient's educational expenses while attending that institution;
- (b) The recipient's choice of institutions shall not be restricted by the U.S. Olympic Committee or a U.S. national governing body (or, for international student-athletes, expenses awarded by the equivalent organization of a foreign country); *(Revised: 4/22/98, 11/1/00)*
- (c) The value of the award alone or in combination with other aid per Bylaw 15.1.2 shall not exceed the value of a full grant-in-aid; and
- (d) The recipient shall be considered a counter per Bylaw 15.5.1, and the amount shall be applied to the maximum awards limitation of Bylaw 15.5 for the sport in question.

**15.2.7 Employment.** Earnings from a student-athlete's on- or off-campus employment that occurs at any time is exempt and is not counted in determining a student-athlete's cost of attendance or in the institution's financial aid limitations, provided: *(Revised: 10/31/02 effective 8/1/03, 4/29/04 effective 8/1/04)*

- (a) The student-athlete's compensation does not include any remuneration for value or utility that the student-athlete may have for the employer because of the publicity, reputation, fame or personal following that he or she has obtained because of athletics ability;
- (b) The student-athlete is compensated only for work actually performed; and
- (c) The student-athlete is compensated at a rate commensurate with the going rate in that locality for similar services (see Bylaw 12.4).

**15.2.8 Summer Financial Aid.** Summer financial aid may be awarded only to attend the awarding institution's summer term, summer school or summer-orientation program, provided the following conditions are met: *(Revised: 1/10/90)*

- (a) The student has been in residence a minimum of one term during the regular academic year;
- (b) The student is attending a summer term, summer school or summer-orientation program and financial aid is administered pursuant to Bylaw 15.2.8.1.2, 15.2.8.1.3 or 15.2.8.1.4; or *(Revised: 1/10/90, 1/10/92)*
- (c) The student is a two-year or a four-year college transfer student and is receiving aid to attend the awarding institution's summer-orientation program. *(Adopted: 1/10/92)*

**15.2.8.1 General Stipulations.** A student-athlete who is eligible for institutional financial aid during the summer is not required to be enrolled in a minimum full-time program of studies. However, the student-athlete may not receive financial aid that exceeds the cost of attendance in that summer term. A student-athlete may receive institutional financial aid based on athletics ability (per Bylaw 15.02.4.1) and educational expenses awarded (per Bylaw 15.2.6.4) up to the value of a full grant-in-aid, plus any other financial aid up to the cost of attendance. (See Bylaws 15.01.6.1, 16.3, 16.4 and 16.12.) *(Revised: 4/29/04 effective 8/1/04, 5/26/09, 1/15/11 effective 8/1/11)*

**15.2.8.1.1 Exception for Pell Grant.** A student-athlete who receives a Pell Grant may receive financial aid equivalent to the limitation set forth in Bylaw 15.2.8.1 or the value of a full grant-in-aid plus the Pell Grant, whichever is greater. *(Adopted: 4/29/04 effective 8/1/04)*

**15.2.8.1.2 Enrolled Student-Athletes.** After initial full-time enrollment during a regular academic year, a student-athlete shall not receive athletically related financial aid to attend the certifying institution's summer term or summer school unless the student-athlete received such athletically related aid from the certifying institution during the student-athlete's previous academic year at that institution. Further, such aid may be awarded only in proportion to the amount of athletically related financial aid received by the student-athlete during the student-athlete's previous academic year at the certifying institution. The proportionality restriction shall not apply to a student-athlete who has exhausted his or her eligibility and is

enrolled in course work acceptable toward his or her degree requirements. (*Adopted: 1/10/90 effective 8/1/90, Revised: 1/10/91, 1/10/92, 11/12/97, 4/26/12*)

**15.2.8.1.2.1 Attendance During Only One Term of Previous Academic Year.** A student-athlete who attended the institution on a full-time basis for only one regular term during the previous academic year may receive the same percentage of financial aid during the following summer term that the student-athlete received during the term in which the student-athlete was enrolled on a full-time basis. (*Adopted: 1/10/92*)

**15.2.8.1.2.2 Multiple Summer Sessions.** An institution that conducts multiple summer sessions may not award athletically related financial aid to attend any one session that exceeds the proportion of the amount of athletically related financial aid received by the student-athlete during the previous academic year. (*Adopted: 1/10/92*)

**15.2.8.1.2.3 Effect of Reduction When Excess Aid is Awarded.** If an institution provides a student-athlete with a full athletics grant during the academic year but is required to reduce the grant in accordance with Bylaw 15.1.4 (reduction when excess aid is awarded), the institution may provide the student-athlete full athletically related financial aid to attend the institution's summer term.

**15.2.8.1.2.4 Exception for Nonqualifiers.** A nonqualifier may receive athletically related financial aid to attend an institution's summer term or summer school after the first academic year in residence under the following conditions: (*Adopted: 1/10/92, Revised: 1/14/97 effective 8/1/97*)

- (a) The student-athlete has satisfied progress-toward-degree requirements and, thus, would be eligible for competition for the succeeding year (the student-athlete must have successfully satisfied the applicable requirements of Bylaw 14.4.3 and be in good academic standing at the institution);
- (b) The student-athlete has been awarded athletically related financial aid for the succeeding academic year; and
- (c) The student-athlete receives athletically related financial aid to attend the institution's summer term or summer school only in proportion to the amount of athletically related financial aid the student will receive for the succeeding year.

**15.2.8.1.2.5 Exception for First-Time Recipient in the Next Academic Year.** A student-athlete who has not received athletically related aid from the certifying institution during a previous academic year may receive athletically related financial aid to attend the institution's summer term or summer school under the following conditions: (*Adopted: 1/15/11*)

- (a) The student-athlete has been awarded athletically related financial aid for the following academic year; and
- (b) The aid is awarded only in proportion to the amount of athletically related financial aid the student will receive for the following academic year.

**15.2.8.1.3 Prior to Initial, Full-Time Collegiate Enrollment—Institutional Nonathletics Aid.** The following conditions apply to the awarding of institutional nonathletics financial aid to a prospective student-athlete to attend an institution in the summer prior to the prospective student-athlete's initial, full-time collegiate enrollment: **[D]** (*Revised: 1/10/90, 1/10/92, 4/29/04, 1/10/05 effective 5/1/05*)

- (a) The recipient shall be admitted to the awarding member institution in accordance with regular, published entrance requirements; (*Adopted: 1/10/90*)
- (b) The recipient, if recruited (per Bylaw 15.02.8), is subject to NCAA transfer provisions pursuant to Bylaw 14.5.2-(h); (*Adopted: 1/10/90, Revised: 1/15/11 effective 8/1/11*)
- (c) During the summer term or orientation period, the recipient shall not engage in any countable athletically related activities except for those activities specifically permitted in Bylaws 13 and 17 (see Bylaws 13.11.3.9, 17.1.1 and 17.1.1.1); and (*Adopted: 1/10/90, Revised: 3/14/05, 1/14/12*)
- (d) The awarding institution certifies in writing that the student's financial aid was granted without regard in any degree to athletics ability. The written certification shall be on file in the office of the director of athletics and shall be signed, or electronically authorized (e.g., electronic signature), by the faculty athletics representative and the director of financial aid. (*Adopted: 1/10/90, Revised: 4/26/01, 3/10/04*)

**15.2.8.1.4 Prior to Initial Full-Time Enrollment at the Certifying Institution—Athletics Aid.** The following conditions apply to the awarding of athletically related financial aid to a prospective student-athlete (including a prospective student-athlete not certified by the NCAA Eligibility Center as a qualifier) to attend an institution in the summer prior to the prospective student's initial, full-time enrollment at the certifying institution (see also Bylaw 13.02.12.1): (*Adopted: 4/27/00 effective 8/1/00, Revised: 4/29/04, 1/10/05 effective 5/1/05, 5/9/07*)

- (a) The recipient shall be admitted to the awarding member institution in accordance with regular, published entrance requirements;



- (b) The recipient is enrolled in a minimum of six hours of academic course work (other than physical education activity courses) that is acceptable degree credit toward any of the institution's degree programs. Remedial, tutorial and noncredit courses may be used to satisfy the minimum six-hour requirement, provided the courses are considered by the institution to be prerequisites for specific courses acceptable for any degree program and are given the same academic weight as other courses offered by the institution; *(Revised: 9/6/00)*
- (c) The recipient, if recruited (per Bylaw 15.02.8), is subject to NCAA transfer provisions pursuant to Bylaw 14.5.2-(h), unless admission to the institution as a full-time student is denied; *(Revised: 4/26/01, 1/15/11 effective 8/1/11)*
- (d) During the summer term or orientation period, the recipient shall not engage in any countable athletically related activities except for those activities specifically permitted in Bylaws 13 and 17 (see Bylaws 13.11.3.9, 17.1.1 and 17.1.1.1); and *(Revised: 3/14/05, 1/14/12)*
- (e) Summer coursework is not used for the purpose of completing initial-eligibility or continuing-eligibility (transfer eligibility, progress-toward-degree) requirements. However, the hours earned during the summer prior to initial full-time enrollment at the certifying institution may be used to satisfy the applicable progress-toward-degree requirements in following years (see Bylaw 14.4.3). *(Revised: 6/21/01, 3/10/04)*

**15.2.8.2 Branch School.** An institution may not provide a student-athlete with financial aid to attend a summer session at a branch campus of the institution.

## 15.3 Terms and Conditions of Awarding Institutional Financial Aid.

**15.3.1 Eligibility of Student-Athletes for Financial Aid.** Institutional financial aid may be awarded for any term during which a student-athlete is in regular attendance as an undergraduate with eligibility remaining under Bylaw 14.2, or as a graduate eligible under Bylaw 14.1.8. *(Revised: 10/27/11)*

**15.3.1.1 Applicable Requirements.** A student-athlete must meet applicable NCAA (see Bylaw 14), conference and institutional regulations to be eligible for institutional financial aid (see Bylaws 15.01.5 and 15.01.6). A violation of this bylaw that relates only to a violation of a conference rule shall be considered an institutional violation per Constitution 2.8.1; however, such a violation shall not affect the student-athlete's eligibility. *(Revised: 10/27/06)*

**15.3.1.2 Withdrawal From Institution.** A student-athlete who withdraws from the institution may not receive financial aid during the remainder of the term.

**15.3.1.3 Retroactive Financial Aid.** Institutional financial aid awarded to an enrolled student-athlete after the first day of classes in any term may not exceed the remaining room and board charges and educational expenses for that term and may not be made retroactive to the beginning of that term.

**15.3.1.4 Institutional Financial Aid to Professional Athlete.** It is permissible to award institutional financial aid to a student-athlete who is under contract to or currently receiving compensation from a professional sports organization in the same sport. A professional athlete in one sport may represent a member institution in a different sport and may receive institutional financial assistance in the second sport. *(Revised: 8/11/98, 4/26/01, 4/27/06 effective 8/1/06, 10/27/11)*

### 15.3.2 Terms of Institutional Financial Aid Award.

**15.3.2.1 Physical Condition of Student-Athlete.** Financial aid awarded to a prospective student-athlete may not be conditioned on the recipient reporting in satisfactory physical condition. If a student-athlete has been accepted for admission and awarded financial aid, the institution shall be committed for the term of the original award, even if the student-athlete's physical condition prevents him or her from participating in intercollegiate athletics.

**15.3.2.2 Written Statement Requirement.** The institutional agency making a financial aid award for a regular academic year or multiple regular academic years shall give the recipient a written statement of the amount, duration, conditions and terms of the award. The chair of the regular committee or other agency for the awarding of financial aid to students generally, or the chair's official designee, shall sign or electronically authorize (e.g., electronic signature) the written statement. The signature of the athletics director, attesting to the committee's award, does not satisfy this requirement. *(Revised: 3/10/04, 7/26/12)*

**15.3.2.3 Hearing Opportunity.** The institution's regular financial aid authority shall notify the student-athlete in writing of the opportunity for a hearing when institutional financial aid based in any degree on athletics ability is to be reduced or canceled during the period of the award, or is reduced or not renewed for the following academic year. The institution shall have established reasonable procedures for promptly hearing such a request and shall not delegate the responsibility for conducting the hearing to the university's athletics department or its faculty athletics committee. The written notification of the opportunity for a hearing shall include a copy of the institution's established policies and procedures for conducting the required hearing, including the deadline by which a student-athlete must request such a hearing. *(Revised: 1/9/06 effective 8/1/06, 4/3/07, 4/23/08)*



**15.3.2.3.1 Reduction of a Multiyear Award.** A reduction of a multiyear award shall occur if the renewal period is for fewer years than the original agreement, unless the renewal includes the remaining years of the student-athlete's eligibility in all sports (e.g., five-year period of eligibility) or if the average amount of aid provided per year in the renewal is less than the average amount of aid provided per year in the original agreement, including any increases during the period of the original award. *(Adopted: 10/27/11 effective 8/1/12; awards may be executed before 8/1/12)*

**15.3.2.3.2 Athletics Department Staff as Member of Committee.** An institution's athletics department staff member may be a member of a committee (other than an athletics department or faculty athletics committee) that conducts hearings related to the nonrenewal or reduction of a student-athlete's financial aid. Under such circumstances, the athletics department staff member must be a standing member of the committee and may not serve as a member of a committee only for a specific student-athlete's hearing. *(Adopted: 4/3/07)*

### **15.3.3 Period of Institutional Financial Aid Award.**

**15.3.3.1 Period of Award.** If a student's athletics ability is considered in any degree in awarding financial aid, such aid shall neither be awarded for a period less than one academic year nor for a period that would exceed the student's five-year period of eligibility (see Bylaws 14.2 and 15.01.5). One-year grants-in-aid shall be awarded (as set forth in the written statement per Bylaw 15.3.2.2) in equal amounts for each term of the academic year. *(Revised: 4/27/06 effective 8/1/06, 10/27/11 effective 8/1/12; awards may be executed before 8/1/12)*

**15.3.3.1.1 One-Year Period.** An institution may award athletically related financial aid to a student-athlete for a period of less than one academic year only under the following circumstances: *(Adopted: 4/27/06 effective 8/1/06)*

- (a) **Midyear Enrollment.** A student-athlete whose first full-time attendance at the certifying institution during a particular academic year occurs at midyear (e.g., the beginning of the second semester or second or third quarter of an academic year) may receive a financial aid award for the remainder of that academic year. *(Revised: 5/9/06)*
- (b) **Final Semester/Quarter.** A student-athlete may receive athletically related financial aid for less than one academic year, provided the student is in the final semester or final two quarters of his or her degree program and the institution certifies that the student is carrying (for credit) the courses necessary to complete the degree requirements.
- (c) **Graduated During Previous Academic Year and Will Exhaust Eligibility During the Following Fall Term.** A student-athlete who graduated during the previous academic year (including summer) and will exhaust his or her athletics eligibility during the following fall term may be awarded athletically related financial aid for less than one academic year. *(Adopted: 1/15/11 effective 8/1/11)*
- (d) **One-Time Exception.** One time during a student-athlete's enrollment at the certifying institution he or she may be awarded athletics aid for less than a full academic year, provided the student-athlete has been enrolled full time at the certifying institution for at least one regular academic term and has not previously received athletically related financial aid from the certifying institution. *(Revised: 5/19/09)*
- (e) **Eligibility Exhausted/Medical Noncounter.** A student-athlete who has exhausted eligibility and is exempt from counting (per Bylaw 15.5.1.6) in the institution's financial aid limit, or a student-athlete who is exempt from counting (per Bylaw 15.5.1.3) due to an injury or illness may receive athletically related financial aid for less than one academic year. If an institution awards aid under this provision, the institutional financial aid agreement shall include specific nonathletically related conditions (e.g., academic requirements) the student-athlete must satisfy in order for the aid to be renewed for the next academic term or terms. If the student-athlete satisfies the specified conditions, the institution shall award financial aid at the same amount for the next term or terms of the academic year. If the student-athlete does not satisfy the specified conditions, he or she must be provided a hearing opportunity per Bylaw 15.3.2.3. *(Adopted: 4/24/08 effective 8/1/08)*

**15.3.3.1.2 Effect of Violation.** A violation of Bylaw 15.3.3.1 in which financial aid is awarded for a period of less than one academic year shall be considered an institutional violation per Constitution 2.8.1; however, the prospective student-athlete or student-athlete's eligibility shall not be affected. *(Adopted: 10/29/09)*

**15.3.3.2 Regular Academic Year vs. Summer Term.** An institution may award financial aid to a student-athlete for one or more academic years or, pursuant to the exceptions set forth in Bylaw 15.3.3.1.1, part of one academic year. An institution also may award financial aid for a summer term or summer-orientation period, provided the conditions of Bylaw 15.2.8 have been met. *(Revised: 4/27/06 effective 8/1/06, 10/27/11 effective 8/1/12; awards may be executed before 8/1/12)*

**15.3.3.2.1 Summer Term as Additional Award.** It is necessary to make an additional award for a summer term; however, an institution is not required to provide the recipient with a written statement of the amount, duration, conditions or terms of the award. *(Revised: 10/27/11 effective 8/1/12, 7/26/12)*

### 15.3.4 Reduction or Cancellation During Period of Award.

**15.3.4.1 Increase Permitted.** Institutional financial aid may be increased for any reason at any time. (*Adopted: 1/11/94, Revised: 2/26/03, 4/23/08, 10/27/11 effective 8/1/12; awards may be executed before 8/1/12*)

**15.3.4.2 Reduction or Cancellation Permitted.** Institutional financial aid based in any degree on athletics ability may be reduced or canceled during the period of the award if the recipient: (*Revised: 1/11/94, 1/10/95*)

- (a) Renders himself or herself ineligible for intercollegiate competition;
- (b) Fraudulently misrepresents any information on an application, letter of intent or financial aid agreement (see Bylaw 15.3.4.2.3);
- (c) Engages in serious misconduct warranting substantial disciplinary penalty (see Bylaw 15.3.4.2.4); or
- (d) Voluntarily (on his or her own initiative) withdraws from a sport at any time for personal reasons; however, the recipient's financial aid may not be awarded to another student-athlete in the academic term in which the aid was reduced or canceled. A student-athlete's request for written permission to contact another four-year collegiate institution regarding a possible transfer does not constitute a voluntary withdrawal. (*Revised: 1/10/92, 1/11/94, 1/10/95, 1/9/96, 12/13/05, 9/11/07*)

**15.3.4.2.1 Timing of Reduction or Cancellation.** Any reduction or cancellation of aid during the period of the award may occur only after the student-athlete has been provided an opportunity for a hearing per Bylaw 15.3.2.3. (*Adopted: 5/15/07, Revised: 4/23/08*)

**15.3.4.2.2 Nonathletically Related Conditions.** An institutional financial aid agreement may include nonathletically related conditions (e.g., compliance with academics policies or standards, compliance with athletics department rules or policies) by which the aid may be reduced or canceled during the period of the award. (*Adopted: 4/23/08*)

**15.3.4.2.3 Fraudulent Misrepresentation.** If a student-athlete is awarded institutional financial aid on the basis of declaring intention to participate in a particular sport by signing a letter of intent, application or tender, action on the part of the grantee not to participate (either by not reporting for practice or after making only token appearances as determined by the institution) would constitute fraudulent misrepresentation of information on the grantee's application, letter of intent or financial aid agreement and would permit the institution to cancel or reduce the financial aid. (*Revised: 1/11/94*)

**15.3.4.2.4 Misconduct.** An institution may cancel or reduce the financial aid of a student-athlete who is found to have engaged in misconduct by the university's regular student disciplinary authority, even if the loss-of-aid requirement does not apply to the student body in general. (*Revised: 1/11/94*)

**15.3.4.2.5 Release of Obligation to Provide Athletically Related Financial Aid—One-Year Award.** Before becoming a counter for an academic year pursuant to a one-year grant-in-aid, if a prospective student-athlete or student-athlete is awarded institutional financial aid unrelated to athletics that is of equal or greater value than his or her signed award of athletically related financial aid, the prospective student-athlete or student-athlete may, on his or her initiative, release the institution of its obligation to provide the athletically related financial aid. (*Adopted: 1/15/11 effective 8/1/11, Revised: 10/27/11 effective 8/1/12; awards may be executed before 8/1/12*)

**15.3.4.3 Reduction or Cancellation Not Permitted.** Institutional financial aid based in any degree on athletics ability may not be reduced or canceled during the period of its award: (*Adopted: 1/16/93, Revised: 1/11/94, 12/11/07*)

- (a) On the basis of a student-athlete's athletics ability, performance or contribution to a team's success;
- (b) Because of an injury, illness, or physical or mental medical condition (except as permitted pursuant to Bylaw 15.3.4.2); or (*Revised: 1/14/08*)
- (c) For any other athletics reason.

**15.3.4.3.1 Athletically Related Condition Prohibition.** An institution may not set forth an athletically related condition (e.g., financial aid contingent upon specified performance or playing a specific position) that would permit the institution to reduce or cancel the student-athlete's financial aid during the period of the award if the conditions are not satisfied. (*Adopted: 1/16/93, Revised: 1/11/94*)

**15.3.4.3.2 Decrease Not Permitted.** An institution may not decrease a prospective student-athlete's or a student-athlete's financial aid from the time the prospective student-athlete or student-athlete signs the financial aid award letter until the conclusion of the period set forth in the financial aid agreement, except under the conditions set forth in Bylaw 15.3.4.2. (*Adopted: 1/11/94, Revised: 4/2/03 effective 8/1/03*)

### 15.3.5 Renewals and Nonrenewals.

**15.3.5.1 Institutional Obligation.** The renewal of institutional financial aid based in any degree on athletics ability shall be made on or before July 1 prior to the academic year in which it is to be effective. The institution shall promptly notify in writing each student-athlete who received an award the previous academic year and who has eligibility remaining in the sport in which financial aid was awarded the previous academic year (under Bylaw 14.2) whether the grant has been renewed or not renewed for the ensuing academic year. Notification of

financial aid renewals and nonrenewals must come from the institution's regular financial aid authority and not from the institution's athletics department. *(Revised: 1/10/95)*

**15.3.5.2 Reconsideration of Nonrenewal.** It is permissible for an institution that has notified a student-athlete that he or she will not be provided institutional financial aid for the next academic year subsequently to award financial aid to that student-athlete.

## **15.5 Maximum Institutional Grant-in-Aid Limitations by Sport.**

**15.5.1 Counters.** A student-athlete shall be a counter and included in the maximum awards limitations set forth in this bylaw under the following conditions: *(Revised: 6/10/04, 1/15/11 effective 8/1/11)*

- (a) **Athletics Aid.** A student-athlete who receives financial aid based in any degree on athletics ability shall become a counter for the year during which the student-athlete receives the financial aid; or
- (b) **Educational Expenses—Olympic Committee/National Governing Body.** A student-athlete who receives educational expenses awarded by the U.S. Olympic Committee or a U.S. national governing body (or, for international student-athletes, expenses awarded by the equivalent organization of a foreign country) per Bylaw 15.2.6.4 shall become a counter for the year during which the student-athlete receives the aid.

**15.5.1.1 No Athletics Aid—Certification Required.** A student-athlete [except for a recruited football or basketball student-athlete (per Bylaw 15.02.8)] who does not receive athletically related financial aid per Bylaw 15.5.1-(a) or 15.5.1-(b) but receives institutional financial aid (as set forth in Bylaw 15.02.4.1) shall not be a counter if the faculty athletics representative and the director of financial aid have certified that the student-athlete's financial aid was granted without regard in any degree to athletics ability. The certification shall be kept on file in the office of the athletics director. *(Revised: 1/15/11 effective 8/1/11)*

**15.5.1.1.1 Certification of Institutional Aid Unrelated to Athletics Ability.** An institution is not permitted to certify that a student-athlete's institutional financial aid was granted without regard in any degree to athletics ability if athletics participation or achievements were criteria specifically requested as part of the application process for such aid. An institution may certify that a student-athlete's aid was granted without regard in any degree to athletics ability (even if the student-athlete listed athletics participation or achievements as part of his or her extracurricular activities), provided such factors were not specifically requested as part of the application process and were not taken into consideration in awarding the institutional aid to the student-athlete. *(Adopted: 3/8/12)*

**15.5.1.2 Football or Basketball, Varsity Competition.** In football or basketball, a student-athlete who was recruited (see Bylaw 15.02.8) by the awarding institution and who receives institutional financial aid (as set forth in Bylaw 15.02.4.1) granted without regard in any degree to athletics ability does not have to be counted until the student-athlete engages in varsity intercollegiate competition (as opposed to freshman, B-team, sub-varsity, intramural or club competition) in those sports. For this provision to be applicable, there shall be on file in the office of the athletics director certification by the faculty athletics representative, the admissions officer and the chair of the financial aid committee that the student's admission and financial aid were granted without regard in any degree to athletics ability. *(Revised: 1/16/93 effective 8/1/93, 1/11/94, 6/20/04, 1/15/11 effective 8/1/11)*

**15.5.1.2.1 Exception—Receipt of Institutional Academic Aid Only.** In football or basketball, a student-athlete who was recruited (see Bylaw 15.02.8) by the awarding institution and whose only source of institutional financial aid is academic aid based solely on the recipient's academic record at the certifying institution, awarded independently of athletics interests and in amounts consistent with the pattern of all such awards made by the institution, may compete without counting in the institution's financial aid team limits, provided he or she has completed at least one academic year of full-time enrollment at the certifying institution and has achieved a cumulative grade-point average of at least 3.000 (on a 4.000 scale) at the certifying institution. *(Adopted: 10/27/05 effective 8/1/06, Revised: 1/15/11 effective 8/1/11)*

**15.5.1.3 Counter Who Becomes Injured or Ill.** A counter who becomes injured or ill to the point that he or she apparently never again will be able to participate in intercollegiate athletics shall not be considered a counter beginning with the academic year following the incapacitating injury or illness.

**15.5.1.3.1 Incapacitating Injury or Illness.** If an incapacitating injury or illness occurs prior to a prospective student-athlete's or a student-athlete's participation in athletically related activities and results in the student-athlete's inability to compete ever again, the student-athlete shall not be counted within the institution's maximum financial aid award limitations for the current, as well as later, academic years. However, if the incapacitating injury or illness occurs on or after the student-athlete's participation in countable athletically related activities in the sport, the student-athlete shall be counted in the institution's maximum financial aid limitations for the current academic year but need not be counted in later academic years. *(Adopted: 1/10/91, Revised: 3/26/04, 9/18/07)*

**15.5.1.3.2 Change in Circumstances.** If circumstances change and the student-athlete subsequently practices or competes at the institution at which the incapacitating injury or illness occurred, the student-athlete again shall become a counter, and the institution shall be required to count that financial aid under

the limitations of this bylaw in the sport in question during each academic year in which the financial aid was received. (*Revised: 4/26/01 effective 8/1/01*)

**15.5.1.4 Aid Not Renewed, Successful Appeal.** If an institution does not renew financial aid for a counter in a following year, and a hearing before the institution's regular financial aid authority results in a successful appeal for restoration of aid, the student-athlete shall continue to be a counter if the individual continues to receive athletically related financial aid. However, the student-athlete shall not be a counter if he or she receives institutionally arranged or awarded, nonathletically related financial aid available to all students, provided such financial aid was granted or arranged without regard in any degree to athletics ability. If the student-athlete ever participates again in intercollegiate athletics at that institution, he or she will be considered to have been a counter during each year the financial aid was received.

**15.5.1.5 Cancellation of Aid.** Once an individual becomes a counter in a head-count sport (see Bylaws 15.5.2, 15.5.4, 15.5.5, 15.5.6, 15.5.7 and 15.5.8), the individual normally continues as a counter for the remainder of the academic year. However, if he or she voluntarily withdraws from the team prior to the first day of classes or before the first contest of the season (whichever is earlier) and releases the institution from its obligation to provide financial aid, the individual no longer would be considered a counter (see Bylaws 15.5.2.2 and 15.5.6.4.1).

**15.5.1.6 Eligibility Exhausted.** A student-athlete receiving institutional financial aid after having exhausted his or her eligibility in a sport is not a counter in that sport in later academic years following completion of eligibility in the sport. For this provision to be applicable, the student-athlete is otherwise eligible for the aid and is not permitted to take part in organized, institutional practice sessions in that sport unless the individual has eligibility remaining under the five-year rule. (See Bylaw 15.3.1 for eligibility for financial aid.) (*Revised: 1/10/91*)

**15.5.1.6.1 Cross Country/Track and Field.** A student-athlete who is awarded athletically related financial aid and who has exhausted eligibility in either cross country, indoor track and field, or outdoor track and field, but has eligibility remaining in any of the other sports, is not a counter in the cross country/track and field financial aid limitations, provided the student-athlete subsequently does not practice or compete in any of the sports that he or she has eligibility remaining in during the academic year in which the aid was awarded. (*Adopted: 6/26/01 effective 8/1/01*)

**15.5.1.7 Aid After Departure of Head Coach—Men's Basketball.** In men's basketball, a student-athlete who receives athletically related institutional financial aid in later academic years after the departure of a head coach from the institution is not a counter, provided: (*Adopted: 4/29/10 effective 8/1/10*)

- (a) The student-athlete participated in basketball and received athletically related institutional financial aid during the coach's tenure at the institution; and
- (b) The student-athlete does not participate in basketball during the later academic years at the institution.

**15.5.1.7.1 Later Participation.** If the student-athlete later participates in basketball at the institution, the student-athlete shall become a counter for all years during which athletically related institutional aid was received. (*Adopted: 4/29/10 effective 8/1/10*)

**15.5.1.8 Aid After Student-Athlete Becomes Permanently Ineligible.** A student-athlete receiving institutional financial aid after becoming permanently ineligible due to a violation of NCAA regulations (e.g., amateurism legislation) may receive athletics aid during later academic years without counting in the institution's financial aid limitations, provided the student-athlete is otherwise eligible for the aid and does not practice or compete in intercollegiate athletics again. If circumstances change and the student-athlete practices or competes, the institution is required to count the financial aid received by the student-athlete during each academic year in which the aid was received (see Bylaw 15.3.1.4). (*Adopted: 1/11/94*)

**15.5.1.9 Summer-Term Aid.** Institutional financial aid received during a summer term is not countable in these limitations and does not make a student-athlete a counter.

**15.5.1.9.1 Exception—Football. [FBS/FCS]** In football, a prospective student-athlete who receives athletically related financial aid during a summer term prior to initial full-time enrollment at the certifying institution shall be an initial and overall counter for the ensuing academic year. (See Bylaws 15.02.3 and 15.5.6.) (*Adopted: 1/14/12*)

**15.5.1.10 Offers Exceeding Maximum Allowable Awards.** An institution may offer more than the maximum number of permissible awards in a sport (per Bylaw 15.5) in anticipation that not all of the offers will be accepted, but the institution shall not exceed the awards limitation in the sport in question.

**15.5.1.10.1 Limitation on Number of National Letter of Intent/Offer of Financial Aid Signings—Bowl Subdivision Football. [FBS]** In bowl subdivision football, there shall be an annual limit of 25 on the number of prospective student-athletes who may sign a National Letter of Intent or an institutional offer of financial aid from December 1 through May 31. (*Adopted: 1/16/10 effective 8/1/10, Revised: 1/14/12 effective 8/1/12*)

**15.5.1.10.1.1 Exception—Counter During Same Academic Year. [FBS]** A prospective student-athlete who signs a National Letter of Intent or an institutional offer of financial aid and becomes an initial counter for the same academic year in which the signing occurred (e.g., midyear enrollee) shall not count toward the annual limit on signings. (*Adopted: 1/14/12 effective 8/1/12*)



## 15.5.2 Head-Count Sports Other Than Football and Basketball.

**15.5.2.1 Maximum Limits.** An institution shall be limited in any academic year to the total number of counters (head count) in each of the following sports: *(Revised: 1/10/91 effective 8/1/92, 1/9/96 effective 8/1/96)*

Women's Gymnastics.....	12	Women's Volleyball.....	12
Women's Tennis.....	8		

**15.5.2.2 Voluntary Withdrawal.** An institution may replace a counter who voluntarily withdraws from the team in a head-count sport by providing the financial aid to another student who already has enrolled in the institution and is a member of the team. For this replacement to occur, the counter must withdraw prior to the first day of classes or before the first game of the season, whichever is earlier, and release the institution from its obligation to provide financial aid. The institution may not award the financial aid to another student-athlete in the academic term in which the aid was reduced or canceled. Further, if the financial aid is canceled before a regular academic term (e.g., preseason practice period), the aid may not be provided to another student-athlete during the ensuing academic term.

**15.5.2.3 Midyear Replacement—Women's Volleyball.** In women's volleyball, the financial aid of a counter who graduates at midyear or who graduates during the previous academic year (including summer) may be provided to another student-athlete without making the second student-athlete a counter for the remainder of that academic year. *(Adopted: 1/11/89, Revised: 1/16/10 effective 8/1/10)*

**15.5.2.4 Midyear Graduate Replacement—Women's Gymnastics and Women's Tennis.** In women's gymnastics and women's tennis, the financial aid of a counter who graduates at midyear with eligibility remaining and who does not return to the institution for the following academic term may be provided to another student-athlete without making the second student-athlete a counter for the remainder of the academic year. *(Adopted: 4/26/07 effective 8/1/07)*

## 15.5.3 Equivalency Sports.

### 15.5.3.1 Maximum Equivalency Limits.

**15.5.3.1.1 Men's Sports.** There shall be a limit on the value (equivalency) of financial aid awards (per Bylaw 15.02.4.1) that an institution may provide in any academic year to counters in the following men's sports: *(Revised: 1/10/91, 1/10/92, 1/16/93 effective 8/1/93, 4/26/07 effective 8/1/08)*

Cross Country/		Skiing .....	6.3
Track and Field.....	12.6	Soccer .....	9.9
Fencing.....	4.5	Swimming and Diving.....	9.9
Golf.....	4.5	Tennis.....	4.5
Gymnastics .....	6.3	Volleyball.....	4.5
Lacrosse .....	12.6	Water Polo.....	4.5
Rifle.....	3.6	Wrestling .....	9.9

**15.5.3.1.2 Women's Sports.** There shall be a limit on the value (equivalency) of financial aid awards (per Bylaw 15.02.4.1) that an institution may provide in any academic year to counters in the following women's sports: *(Revised: 1/10/91, 1/10/92 effective 8/1/94, 1/16/93, 1/11/94 effective 9/1/94, 1/9/96 effective 8/1/96, 11/1/01 effective 8/1/02, 4/28/05 effective 8/1/05, 4/28/05 effective 8/1/06, 1/17/09 effective 8/1/09, 1/15/11 effective 8/1/11)*

Bowling.....	5	Rowing.....	20
Cross Country/		Rugby.....	12
Track and Field.....	18	Skiing .....	7
Equestrian .....	15	Soccer .....	14
Fencing.....	5	Softball .....	12
Field Hockey .....	12	Swimming and Diving.....	14
Golf.....	6	Water Polo.....	8
Lacrosse .....	12		

**15.5.3.1.3 Maximum Equivalency Limits—Institutions That Sponsor Cross Country but Do Not Sponsor Track and Field.** There shall be a limit of five on the value (equivalency) of financial aid awards (per Bylaw 15.02.4.1) that an institution may provide in any academic year to counters in men's cross country, if the institution does not sponsor indoor or outdoor track and field for men. There shall be a limit of six on the value (equivalency) of financial aid awards (per Bylaw 15.02.4.1) that an institution may provide in any academic year to counters in women's cross country, if the institution does not sponsor indoor or outdoor track and field for women. *(Adopted: 1/10/91 effective 9/1/94, Revised: 1/9/96 effective 8/1/96)*

**15.5.3.2 Equivalency Computations.** In equivalency sports, each institutional financial aid award (per Bylaw 15.02.4.1) to a counter shall be computed as follows:



- (a) Once a student becomes a counter, the institution shall count all institutional aid (per Bylaw 15.02.4.1) received for room, board, tuition and fees, and books up to the value of a full grant-in-aid. Exempted government grants per Bylaw 15.2.5 and exempted institutional aid per Bylaw 15.02.4.3 specifically are excluded from this computation. *(Revised: 1/9/96 effective 8/1/96, 4/29/04 effective 8/1/04, 10/20/08)*
- (b) A fraction shall be created, with the amount received by the student-athlete (up to the value of a full grant-in-aid) as the numerator and the full grant-in-aid value for that student-athlete as the denominator based on the actual cost or average cost of a full grant for all students at that institution. Financial aid unrelated to athletics ability (see Bylaw 15.1) received by the student-athlete in excess of a full grant-in-aid shall not be included in this computation. *(Revised: 1/10/90, 4/29/04 effective 8/1/04)*
- (c) The sum of all fractional and maximum awards received by counters shall not exceed the total limit for the sport in question for the academic year as a whole.

**15.5.3.2.1 Additional Requirements.** The following additional requirements shall apply to equivalency computations: *(Revised: 10/20/08)*

- (a) An institution may use either the actual cost or average cost of any or all of the elements (other than books) of the equivalency calculation (room, board, tuition and fees), provided the same method is used in both the numerator and denominator for each element. Either method (or different combinations of methods among elements) may be used for each student-athlete on the same team or for separate teams generally.
- (b) In computing equivalencies for tuition and fees, it is not permissible to average the value of in-state and out-of-state tuition and fees to determine an average cost for tuition and fees.
- (c) Books shall count for calculation purposes as \$800 in the denominator. If a student-athlete receives any portion of a book allowance for the academic year, the institution must use \$800 in the denominator and numerator for books, regardless of the actual cost of the books. If a student-athlete is enrolled for less than a full academic year (e.g., one semester, one or two quarters) and receives any portion of a book allowance, the institution must use the amount in the numerator that is proportionate to the number of terms of enrollment (\$400 for semester systems, \$534 or \$267 for quarter systems). *(Revised: 1/15/11, 10/18/11, 4/17/12 effective 8/1/12)*

**15.5.3.2.2 Exceptions.**

**15.5.3.2.2.1 Academic Honor Awards—Based on High School Record.** Academic honor awards that are part of an institution's normal arrangements for academic scholarships, based solely on the recipient's high school record and awarded independently of athletics interests and in amounts consistent with the pattern of all such awards made by institutions, are exempt from an institution's equivalency computation, provided the recipient was ranked in the upper 10 percent of the high school graduating class or achieved a cumulative grade-point average of at least 3.500 (based on a maximum of 4.000) or a minimum ACT sum score of 105 or a minimum SAT score of 1200 (critical reading and math). *(Adopted: 1/12/99 effective 8/1/99, Revised: 1/14/08 effective 8/1/08, 1/16/10 effective 8/1/10)*

**15.5.3.2.2.1.1 Additional Requirements.** The following additional requirements shall be met: *(Adopted: 1/12/99 effective 8/1/99)*

- (a) The awards may include additional, nonacademic criteria (e.g., interviews, essays, need analysis), provided the additional criteria are not based on athletics ability, participation or interests, and the awards are consistent with the pattern of all such awards provided to all students;
- (b) No quota of awards shall be designated for student-athletes;
- (c) Athletics participation shall not be required before or after collegiate enrollment;
- (d) No athletics department staff member shall be involved in designating the recipients of such awards;
- (e) Any additional criteria shall not include athletics ability, participation or interests; and
- (f) There must be on file in the office of the director of athletics certification by the financial aid director or the chair of the financial aid committee that such awards are part of the institution's normal arrangements for academic scholarships, awarded independently of athletics ability, participation and interests, and in amounts consistent with the pattern of all such awards made by the institution.

**15.5.3.2.2.1.2 Renewals.** The renewal of an academic honor award (per Bylaw 15.5.3.2.2.1) may be exempted from an institution's equivalency computation regardless of whether the recipient qualified for exemption in his or her initial academic year enrollment, provided: *(Adopted: 1/12/99 effective 8/1/99, Revised: 3/18/10)*

- (a) The recipient achieves a cumulative grade-point average of at least 3.000 (based on a maximum of 4.000) at the certifying institution; and
- (b) The recipient meets all NCAA, conference and institutional progress-toward-degree requirements.

**15.5.3.2.2.2 Academic Honor Awards—Transfer Students.** Academic honor awards that are part of an institution's normal arrangements for academic scholarships, either based solely on the recipient's cumulative academic record from all collegiate institutions previously attended or based on the recipient's high school record and cumulative academic record from all collegiate institutions previously attended, awarded independently of athletics interests and in amounts consistent with the pattern of all such awards made by the institution, may be exempted from a team's equivalency computation, provided the recipient achieved a cumulative transferable grade-point average of at least 3.000 (based on a maximum of 4.000). (*Adopted: 1/16/10 effective 8/1/10, Revised: 1/15/11 effective 8/1/11*)

**15.5.3.2.2.2.1 Calculation of Grade-Point Average.** Grades earned in all courses that are normally transferable to an institution shall be considered in determining the grade-point average for meeting this exception, regardless of the grade earned or whether such grade makes the course unacceptable for transferable-degree credit. (*Adopted: 1/16/10 effective 8/1/10*)

**15.5.3.2.2.2.2 Renewals.** The renewal of an academic honor award (per Bylaw 15.5.3.2.2.2) may be exempted from an institution's equivalency computation, provided: (*Adopted: 1/16/10 effective 8/1/10*)

- (a) The recipient achieves a cumulative grade-point average of at least 3.000 (based on a maximum of 4.000) at the certifying institution; and (*Revised: 1/15/11 effective 8/1/11*)
- (b) The recipient meets all NCAA, conference and institutional progress-toward-degree requirements.

**15.5.3.2.2.3 Institutional Academic Scholarships.** Institutional academic scholarships that are part of an institution's normal arrangements for academic scholarships, based solely on the recipient's academic record at the certifying institution, awarded independently of athletics interests and in amounts consistent with the pattern of all such awards made by the institution, are exempt from an institution's equivalency computation, provided the recipient has completed at least one academic year of full-time enrollment at the certifying institution and has achieved a cumulative grade-point average of at least 3.000 (on a 4.000 scale) at the certifying institution. (*Adopted: 10/27/05 effective 8/1/06, Revised: 1/15/11 effective 8/1/11*)

**15.5.3.2.3 Cancellation of Athletically Related Aid.** If a student-athlete is dismissed from or voluntarily withdraws from a team and his or her athletically related financial aid is canceled (see Bylaws 15.3.2.3 and 15.3.4.2) during an academic term, all other countable financial aid the student-athlete receives during the remainder of the term is countable toward the student-athlete's equivalency for the academic year; however, the institution is not required to count other countable financial aid toward the student-athlete's equivalency during any remaining terms of the academic year. If a student-athlete is dismissed from or voluntarily withdraws from a team and his or her athletically related financial aid is canceled at the end of an academic term, the institution is not required to count other countable financial aid toward the student-athlete's equivalency during any remaining terms of the academic year. (*Adopted: 12/13/05*)

**15.5.4 Baseball Limitations.** There shall be an annual limit of 11.7 on the value of financial aid awards (equivalencies) to counters and an annual limit of 27 on the total number of counters in baseball at each institution. (*Adopted: 4/26/07 effective 8/1/08*)

**15.5.4.1 Minimum Equivalency Value.** An institution shall provide each counter athletically related and other countable financial aid that is equal to or greater than 25 percent of an equivalency. (*Adopted: 4/26/07 effective 8/1/08 for student-athletes who initially enroll full time at any four-year collegiate institution on or after 8/1/08, Revised: 8/9/07*)

**15.5.4.1.1 Exception—Need-Based Athletics Aid Only.** In baseball, an institution that awards athletically related financial aid based solely on demonstrated financial need, as determined for all students by the institution's financial aid office using methodologies that conform to federal, state and written institutional guidelines (including institutional financial aid that is considered athletically related financial aid based on the intervention of athletics department staff), is not subject to the 25 percent minimum equivalency value per counter. (*Adopted: 1/14/08 effective 8/1/08*)

**15.5.4.1.2 Exception—Final Year of Eligibility and Not Previously Aided.** An institution may provide less than 25 percent of an equivalency to a student-athlete, provided the student-athlete is in the final year of eligibility and has not previously received athletically related financial aid in baseball at any collegiate institution. (*Adopted: 4/26/12 effective 8/1/12, 8/20/12*)

**15.5.5 Basketball Limitations.**

**15.5.5.1 Men's Basketball.** There shall be a limit of 13 on the total number of counters in men's basketball at each institution. (*Adopted: 1/10/91 effective 8/1/92, Revised: 4/27/00 effective 8/1/01, 11/01/01, 4/29/04 effective 8/1/04*)

**15.5.5.2 Women's Basketball.** There shall be an annual limit of 15 on the total number of counters in women's basketball at each institution. (*Adopted: 1/10/91 effective 8/1/92, Revised: 1/10/92 effective 8/1/93, 1/16/93*)

## 15.5.6 Football Limitations.

**15.5.6.1 Bowl Subdivision Football. [FBS]** There shall be an annual limit of 25 on the number of initial counters (per Bylaw 15.02.3.1) and an annual limit of 85 on the total number of counters (including initial counters) in football at each institution. (*Revised: 1/10/91 effective 8/1/92, 12/15/06*)

**15.5.6.2 Championship Subdivision Football. [FCS]** There shall be an annual limit of 30 on the number of initial counters (per Bylaw 15.02.3.1), an annual limit of 63 on the value of financial aid awards (equivalencies) to counters, and an annual limit of 85 on the total number of counters (including initial counters) in football at each Football Championship Subdivision institution. (*Revised: 1/10/91 effective 8/1/92, 12/15/06*)

**15.5.6.2.1 Exception—Championship Subdivision. [FCS]** Championship subdivision football programs that meet the following criteria are exempt from the championship subdivision football counter and initial-counter requirements of Bylaws 15.5.1 and 15.5.6, regardless of multi-sport student-athletes who receive athletics aid in a sport(s) other than football: (*Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95, 12/15/06*)

- (a) In football, the institution awards financial aid only to student-athletes who demonstrate financial need, except loans, academic honor awards, nonathletics achievement awards, or certain aid from outside sources may be provided without regard to financial need; (*Revised: 10/31/02 effective 8/1/03*)
- (b) The institution uses methodologies for analyzing need that conform to federal, state and written institutional guidelines. The methodologies used to determine the need of a student-athlete must be consistent with the methodologies used by the institution's financial aid office for all students; and (*Revised: 1/10/95*)
- (c) The composition of the financial aid package offered to football student-athletes is consistent with the policy established for offering financial assistance to all students. The financial aid packages for football student-athletes also shall meet the following criteria:
  - (1) The institution shall not consider athletics ability as a criterion in the formulation of any football student-athlete's financial aid package; and
  - (2) The procedures used to award financial aid to football student-athletes must be the same as the existing financial aid procedures used for all students at the institution.

### 15.5.6.3 Initial Counters—Football (Also see Bylaw 15.02.3.1).

**15.5.6.3.1 Recruited Student-Athlete Entering in Fall Term, Aided in First Year. [FBS/FCS]** A student-athlete recruited (see Bylaw 15.02.8) by the awarding institution who enters in the fall term and receives institutional financial aid (based in any degree on athletics ability) during the first academic year in residence shall be an initial counter for that year in football. Therefore, such aid shall not be awarded if the institution has reached its limit on the number of initial counters prior to the award of institutional financial aid to the student-athlete. (*Revised: 1/15/11 effective 8/1/11*)

**15.5.6.3.2 Recruited Student-Athlete Entering After Fall Term, Aided in First Year. [FBS/FCS]** A student-athlete recruited (per Bylaw 15.02.8) by the awarding institution who enters after the first term of the academic year and immediately receives institutional financial aid (based in any degree on athletics ability) shall be an initial counter for either the current academic year (if the institution's annual limit has not been reached) or the next academic year. The student-athlete shall be included in the institution's total counter limit during the academic year in which the aid was first received. (*Revised: 1/15/11 effective 8/1/11*)

**15.5.6.3.3 Recruited Student-Athlete, Aid Received After First Year. [FBS/FCS]** A recruited student-athlete (per Bylaw 15.02.8) (including a student-athlete who was not a qualifier) who first receives athletically related financial aid after the student-athlete's first academic year in residence shall be an initial counter for that academic year in which the aid is first received, if such aid is received during the fall term. However, such a student-athlete who first receives athletically related financial aid in the second or third term of an academic year may be considered an initial counter during the academic year in which aid was first received or the next academic year. In either case, the student-athlete shall be included in the institution's total counter limit during the academic year in which the aid was first received. (*Revised: 1/3/06, 1/15/11 effective 8/1/11*)

**15.5.6.3.4 Nonrecruited Student-Athlete Receiving Institutional Financial Aid. [FBS/FCS]** A student-athlete not recruited (per Bylaw 15.02.8) by the institution who receives institutional financial aid (based in any degree on athletics ability) after beginning football practice becomes a counter but need not be counted as an initial counter until the next academic year if the institution has reached its initial limit for the year in question. However, the student-athlete shall be considered in the total counter limit for the academic year in which the aid was first received. (*Revised: 1/15/11 effective 8/1/11*)

**15.5.6.3.5 Midyear Replacement. [FBS/FCS]** A counter who graduates at midyear or who graduates during the previous academic year (including summer) may be replaced by an initial counter, who shall be counted against the initial limit either for the year in which the aid is awarded (if the institution's annual limit has not been reached) or for the following academic year, or by a student-athlete who was an initial counter in a previous academic year and is returning to the institution after time spent on active duty in the

armed services or on an official religious mission. In bowl subdivision football, an institution may use the midyear replacement exception only if it previously has provided financial aid during that academic year to the maximum number of overall counters (85 total counters). In championship subdivision football, an institution may use the midyear replacement exception only if it previously has provided financial aid during that academic year that equals the maximum number of overall equivalencies or overall counters. (Revised: 4/20/99 effective 8/1/99, 6/8/99, 4/26/01 effective 8/1/01, 8/2/05, 12/15/06, 1/14/08 effective 8/1/08, 4/2/10, 1/15/11)

**15.5.6.3.6 Aid First Awarded After Second Year. [FBS/FCS]** A student-athlete who has been in residence at the certifying institution for at least two academic years may receive athletically related financial aid for the first time without such aid counting as an initial award, provided the aid falls within the overall grant limitation. (Adopted: 1/11/89, Revised: 1/10/90 effective 8/1/90)

**15.5.6.3.7 Recruited Student-Athlete, Varsity Competition. [FBS/FCS]** In accordance with Bylaw 15.5.1.2, a recruited student-athlete (per Bylaw 15.02.8) receiving institutional financial aid certified as having been granted without regard in any degree to athletics ability becomes an initial counter in the first academic year in which the student-athlete competes on the varsity level. (See Bylaw 15.5.1.2.1.) (Revised: 10/27/05 effective 8/1/06, 1/15/11 effective 8/1/11)

**15.5.6.3.8 Returning Two-Year Transfer. [FBS/FCS]** A student-athlete who previously was an initial counter and who transferred to a two-year college shall not be an initial counter upon return to the original institution.

**15.5.6.3.9 Delayed Initial Counter Who Does Not Return to School. [FBS/FCS]** A student-athlete who receives countable financial aid but whose status as an initial counter under this bylaw is delayed until the following academic year shall be counted against the initial limit the following academic year, regardless of whether the student-athlete reports for participation or attends the institution in that academic year.

#### **15.5.6.4 Exceptions.**

**15.5.6.4.1 Voluntary Withdrawal. [FBS/FCS]** An institution may replace a counter who voluntarily withdraws from the football team by providing the financial aid to another student who already has enrolled in the institution and is a member of the football squad. For this replacement to occur, the counter must withdraw prior to the first day of classes or before the first game of the season, whichever is earlier, and provide the institution with a signed statement releasing the institution from its obligation to provide institutional financial aid and verifying the voluntary nature of the withdrawal. The institution may immediately (beginning with the fall term) award the financial aid to a student-athlete who has been a member of the team for at least one academic year and has not previously received athletically related financial aid. A student-athlete who has not been a member of the team for at least one academic year may not receive the financial aid during the fall term, but may receive it in a following term (e.g., spring semester, winter quarter). (Revised: 4/28/05 effective 8/1/05)

**15.5.6.4.2 Sprint Football. [FBS/FCS]** Participants in sprint football programs who do not participate in the institution's regular varsity intercollegiate program shall not be counted in the institution's financial aid limitations.

**15.5.7 Ice Hockey Limitations.** There shall be an annual limit of 18 on the value of financial aid awards (equivalencies) to counters and an annual limit of 30 on the total number of counters in ice hockey at each institution. (Adopted: 1/16/93 effective 8/1/93)

#### **15.5.8 Women's Sand Volleyball Limitations.**

**15.5.8.1 Institutions That Sponsor Women's Sand Volleyball and Women's Volleyball.** If an institution sponsors women's sand volleyball and women's volleyball, the annual limit on the value of financial aid awards (equivalencies) provided to counters and the annual limit on the total number of counters in women's sand volleyball shall be: (Adopted: 1/15/11 effective 8/1/11)

- (a) During the 2012-13 academic year, four equivalencies and 14 counters;
- (b) During the 2013-14 academic year, five equivalencies and 14 counters; and
- (c) During the 2014-15 academic year and thereafter, six equivalencies and 14 counters.

**15.5.8.2 Institutions That Sponsor Women's Sand Volleyball but Do Not Sponsor Women's Volleyball.** If an institution does not sponsor women's volleyball, there shall be an annual limit of eight on the value of financial aid awards (equivalencies) provided to counters and an annual limit of 14 on the total number of counters in women's sand volleyball. (Adopted: 1/15/11 effective 8/1/11)

#### **15.5.9 Multi-Sport Participants.**

**15.5.9.1 Football. [FBS/FCS]** In football, a counter who was recruited (per Bylaw 15.02.8) and/or offered financial aid to participate in football and who participates (practices or competes) in football and one or more sports (including basketball) shall be counted in football. A counter who was not recruited (per Bylaw 15.02.8) and/or offered financial aid to participate in football and who competes in football and one or more sports (in-



cluding basketball) shall be counted in football. *(Revised: 1/10/95 effective 8/1/95, 1/9/96 effective 8/1/96, 1/15/11 effective 8/1/11)*

**15.5.9.1.1 Initial Counter. [FBS/FCS]** A counter who previously has not been counted in football shall be considered an initial counter even though the student-athlete already has received countable financial aid in another sport.

**15.5.9.1.2 Championship Subdivision Football Exception. [FCS]** A counter who practices or competes in football at a Football Championship Subdivision institution that elects to use the football counter and initial-counter exception set forth in Bylaw 15.5.6.2.1 and who practices or competes in another sport and receives countable financial aid in another sport shall be counted in the institution's financial aid limitations in the other sport. *(Adopted: 1/10/95, Revised: 12/15/06)*

**15.5.9.2 Basketball.** A counter who practices or competes in basketball and one or more other sports (other than football) shall be counted in basketball.

**15.5.9.3 Ice Hockey, Men's.** A counter who practices or competes in men's ice hockey and one or more other sports (other than football or basketball) shall be counted in men's ice hockey. *(Adopted: 1/16/93 effective 8/1/93)*

**15.5.9.4 Swimming and Diving/Water Polo, Men's.** A counter who practices or competes in both men's swimming and diving and men's water polo at a member institution shall be counted in men's swimming and diving, unless he counts in football or basketball.

**15.5.9.5 Volleyball, Women's.** A counter who practices or competes in women's volleyball and one or more other sports (other than basketball) shall be counted in women's volleyball.

**15.5.9.5.1 Participation in Women's Volleyball in Second Year of Enrollment After Counter Status in Women's Sand Volleyball in First Year of Enrollment.** A student-athlete who was a counter in women's sand volleyball during her initial year of full-time enrollment at the certifying institution and participates (practices and competes) in women's volleyball during her second year of full-time enrollment at the certifying institution shall be a counter in women's volleyball for her initial year of full-time enrollment at the certifying institution. *(Adopted: 1/15/11 effective 8/1/11)*

**15.5.9.6 Two-Year Exception.** If an individual has participated in a sport other than basketball, field hockey or women's volleyball for two years or more since the individual's initial collegiate enrollment and would be involved only in basketball, field hockey or women's volleyball practice sessions, such a student would not become a counter in those sports until the student actually competes as a member of that institution's intercollegiate team, at either the varsity or junior varsity level. *(Revised: 1/10/95 effective 8/1/95, 1/9/96 effective 8/1/96)*

**15.5.9.7 Other Sports.** Except as otherwise provided in this section, a counter who participates in two or more sports shall be counted in one of the sports but shall not be counted in the others.

**15.5.9.7.1 Requirement to Qualify as Multi-Sport Athlete. [FBS/FCS]** To be considered a multiple-sport athlete under this section, an individual must meet all of the following requirements:

- (a) The individual shall report and participate fully in regularly organized practice with each squad;
- (b) The individual shall participate where qualified in actual competition in each sport;
- (c) The individual shall be a member of each squad for the entire playing and practice season; and
- (d) If a recruited student-athlete (per Bylaw 15.02.8), the individual shall have been earnestly recruited to participate in the sport in which financial aid is counted (the institution recruiting the student-athlete shall have a reasonable basis to believe that the student-athlete is capable of participating in the institution's varsity intercollegiate program in that sport, including documentation of a record of previous participation in organized competition in the sport that supports the student-athlete's potential to participate in that sport in varsity intercollegiate competition). *(Revised: 1/15/11 effective 8/1/11)*

**15.5.10 Changes in Participation.** If a student-athlete changes sports during an academic year, the student-athlete's financial aid shall be counted in the maximum limitations for the first sport for the remainder of the academic year. If the student-athlete continues to receive financial aid, the award shall be counted the next academic year against the maximum limitations in the second sport. A student-athlete shall be counted as an initial counter in football during the year in which the student-athlete first becomes countable in that sport, regardless of whether countable financial aid was received previously for another sport. *(Revised: 4/3/02)*

#### **15.5.11 Squad List.**

**15.5.11.1 Eligibility Requirement.** To be eligible to represent an institution in intercollegiate athletics competition, a student-athlete shall be included on the institution's squad-list form. **[D]**

**15.5.11.2 Squad-List Form.** The member institution's athletics director shall compile a list, on a form maintained by the Awards, Benefits, Expenses and Financial Aid Cabinet and approved by the Legislative Council, of the squad members in each sport on the first day of competition and shall indicate thereon the status of each member in the categories listed. **[D]** *(Revised: 11/1/07 effective 8/1/08, 7/30/10)*



**15.5.11.2.1 Procedures.** The following procedures shall be used for the squad list: **[D]** (*Revised: 7/30/10*)

- (a) The forms shall be kept on file in the office of the athletics director, and such file shall be available for examination upon request by an authorized representative of another member institution, the NCAA, and, if the institution is a member of a conference, an authorized representative of the conference;
- (b) A supplementary form may be filed to add names of persons not initially on the squad or to indicate a change of status; (*Revised: 1/9/06 effective 8/1/06*)
- (c) A student-athlete's name must be on the official institutional form to qualify to represent the institution in intercollegiate athletics; and
- (d) The athletics director shall sign the form for each sport. The head coach in each sport shall sign the form for the applicable sport. (*Revised: 1/9/06 effective 8/1/06*)

**15.5.11.3 Drug-Testing Consent-Form Requirement.** Any student-athlete who signs a drug-testing consent form must be included on the institution's squad-list form, and any student-athlete who is included on the squad-list form must have signed a drug-testing consent form pursuant to Bylaw 14.1.4. **[D]** (*Adopted: 1/10/92 effective 8/1/92*)

**15.5.11.3.1 Exception—14-Day Grace Period.** An institution is not required to place a student-athlete who is "trying out" for a team on the squad-list form for 14 days from the first date the student engages in countable athletically related activities or until the institution's first competition, whichever occurs earlier. (*Adopted: 4/28/05*)

**FIGURE 15-1**  
**Financial Aid Maximum Limits**

	<b>Citation</b>	<b>Individual Limitation<sup>1</sup></b>	<b>Institutional Sport Limitation</b>
Academic honor award	15.5.3.2.2.1	Yes	No
	15.5.3.2.2.2	Yes	No
Aid based on athletics ability	15.02.4.1-(a)	Yes <sup>2</sup>	Yes
AmeriCorps Program	15.2.5.1-(a)	No	No
Athletics grant	15.02.4.1-(a)	Yes <sup>2</sup>	Yes
Athletics participation compensation	15.1.2-(e)	Yes, if eligibility has not been exhausted <sup>3</sup>	No <sup>3</sup>
Dependents Education Assistance Program	15.2.5.1-(f)	No	No
Disabled Veterans award	15.2.5.1-(b)	No	No
Educational Expenses—USOC or NGB	15.02.4.2-(d)	Yes <sup>2</sup>	Yes <sup>7</sup>
	15.2.6.4	Yes <sup>2</sup>	Yes <sup>7</sup>
Employment	15.2.7	No	No
Gifts following completion of eligibility	15.1.2-(c)	Yes	No
Government grants, institutionally administered	15.02.4.1-(b)	Yes	Yes <sup>4</sup>
	15.02.4.3-(c)	Yes	No
	15.02.4.3-(d)	Yes	No <sup>8</sup>
	15.02.4.3-(e)	Yes	No <sup>8</sup>
	15.1.2-(a)	Yes	Yes <sup>4</sup>
	15.2.5	Yes	Yes <sup>4</sup>
Government grants, not institutionally administered	15.1.2-(a)	Yes	No
	15.2.5	Yes	No
	15.2.5.1	No	No
Honorary academic award	15.02.4.3-(a)	Yes	No
	15.02.6	Yes	No
Institutional Employee Dependent Tuition Benefit	15.02.4.1-(a)	Yes	No <sup>5</sup>
Institutional grant or scholarship	15.02.4.1-(a)	Yes	Yes <sup>4</sup>
	15.5.3.2.2.3	Yes	No
Loan, legitimate, repayment schedule	15.02.4.1-(a)	No	No
	15.1.2-(f)	No	No
Military reserve training program	15.2.5.1-(c)	No	No
Montgomery G.I. Bill-Active Duty and Selected Reserve	15.2.5.1-(d)	No	No
Operation Gold Grant	15.02.4.5	No	No

**Key:**

<sup>1</sup> The limitation is equal to the value of the cost of attendance as defined by Bylaw 15.02.2.

<sup>2</sup> This type of aid is limited to the value of a full grant-in-aid.

<sup>3</sup> However, receipt of such compensation renders a student-athlete ineligible for intercollegiate athletics competition (see Bylaw 12).

<sup>4</sup> If considered a counter per Bylaw 15.5 and not otherwise exempted.

<sup>5</sup> Exempt if the student-athlete's parent or guardian has been employed as a full-time employee or staff member by the institution for at least five years.

<sup>6</sup> Institution is limited to providing the value of a full grant-in-aid during summer.

<sup>7</sup> Aid is countable toward a team's limit up to a full grant-in-aid. Additional aid up to the cost of attendance cannot be athletically related.

<sup>8</sup> Exempt if specific criteria of bylaw are met. Not exempt for purposes of Bylaw 15.5.1.2.

<sup>9</sup> Contributions made by the institution pursuant to the Yellow Ribbon Program are institutional aid.

	<b>Citation</b>	<b>Individual Limitation<sup>1</sup></b>	<b>Institutional Sport Limitation</b>
Outside aid for educational purposes, unrelated to athletics ability	15.02.4.2-(b)	Yes	No
	15.2.6.2	Yes	No
Outside aid, other	15.02.4.2-(c)	Yes	No
	15.2.6.3	Yes	No
Parent/guardian contribution	15.2.6.1	No	No
	15.2.6.1.1		
Pell Grant	15.1.1	Yes	No
Post-9/11 G.I. Bill	15.2.5.1-(e)	No <sup>9</sup>	No <sup>9</sup>
Postgraduate Scholarship	15.02.4.3-(b)	Yes	No
Professional sports stipend	15.1.2-(d)	Yes <sup>3</sup>	No <sup>3</sup>
Research grant	15.02.4.3-(a)	Yes	No
	15.02.6	Yes	No
Social Security Insurance Program (including the Reinstated Entitlement Program for Survivors)	15.2.5.1-(f)	No	No
Summer school financial aid	15.2.8	Yes <sup>6</sup>	No
Supplemental Educational Opportunities Grant	15.02.4.3-(c)	Yes	No
Student-Assistance Fund	15.01.6.1	No	No
Tuition waiver	15.02.4.1-(a)	Yes	Yes <sup>4</sup>
U.S. Nuclear Propulsion Officer Candidate Program	15.2.5.1-(h)	No	No
Veteran's Death Pension Program	15.2.5.1-(f)	No	No
Veterans Educational Assistance Program	15.2.5.1-(i)	No	No
Vocational Rehabilitation for Service-Disabled Veterans Program	15.2.5.1-(j)	No	No
Welfare Benefits	15.2.5.1-(k)	No	No

Key:

<sup>1</sup> The limitation is equal to the value of the cost of attendance as defined by Bylaw 15.02.2.

<sup>2</sup> This type of aid is limited to the value of a full grant-in-aid.

<sup>3</sup> However, receipt of such compensation renders a student-athlete ineligible for intercollegiate athletics competition (see Bylaw 12).

<sup>4</sup> If considered a counter per Bylaw 15.5 and not otherwise exempted.

<sup>5</sup> Exempt if the student-athlete's parent or guardian has been employed as a full-time employee or staff member by the institution for at least five years.

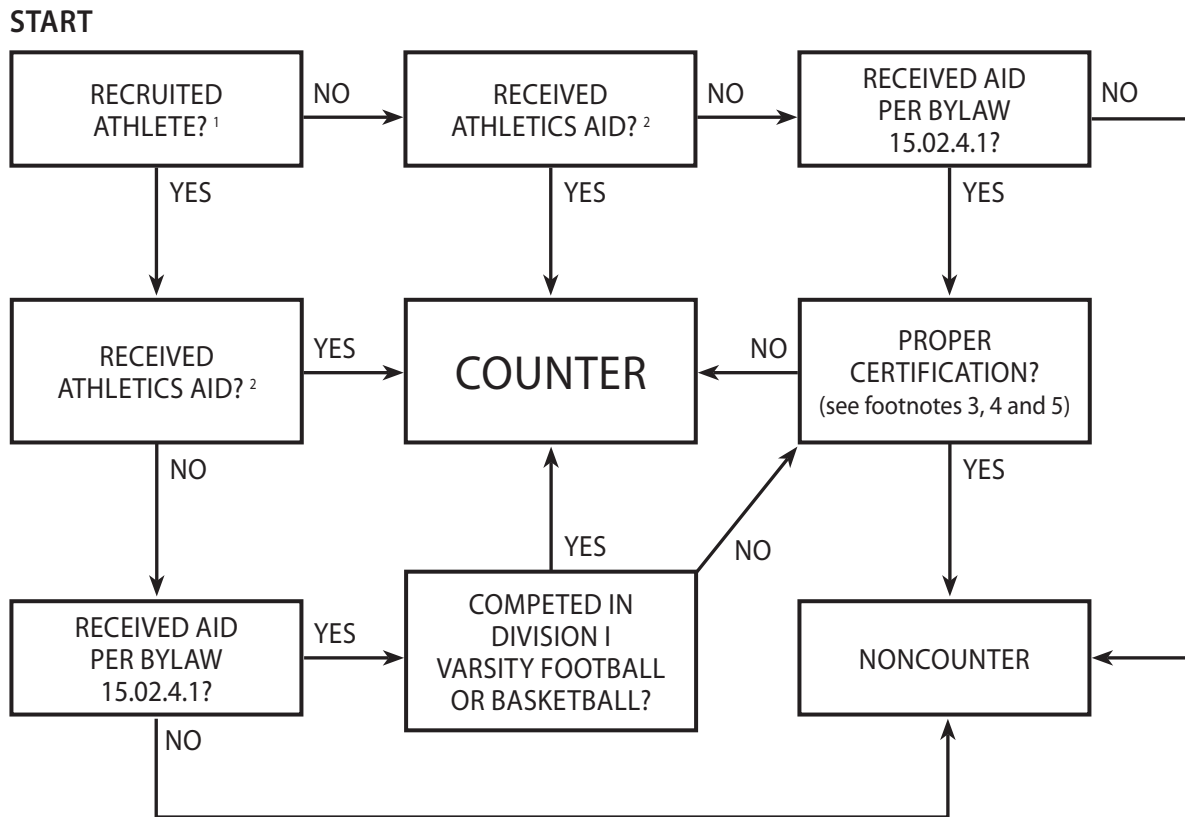
<sup>6</sup> Institution is limited to providing the value of a full grant-in-aid during summer.

<sup>7</sup> Aid is countable toward a team's limit up to a full grant-in-aid. Additional aid up to the cost of attendance cannot be athletically related.

<sup>8</sup> Exempt if specific criteria of bylaw are met. Not exempt for purposes of Bylaw 15.5.1.2.

<sup>9</sup> Contributions made by the institution pursuant to the Yellow Ribbon Program are institutional aid.

**FIGURE 15-2**  
**How to Determine a Counter**



1. Per Bylaw 15.02.8.
2. In football, a prospective student-athlete who receives athletically related financial aid during a summer term prior to initial full-time enrollment at the certifying institution shall be an initial and overall counter for the ensuing academic year.
3. Recruited student-athlete (per Bylaw 15.02.8) who is a varsity football or basketball team member but does not compete: Admission and financial aid must be certified as unrelated to athletics ability (Bylaw 15.5.1.2).
4. Recruited student-athlete (per Bylaw 15.02.8) participating in sport other than football or basketball: Financial aid must be certified as unrelated to athletics ability (Bylaw 15.5.1.1).
5. Nonrecruited student-athlete (per Bylaw 15.02.8) participating in any sport: Financial aid must be certified as unrelated to athletics ability (Bylaw 15.5.1.1).

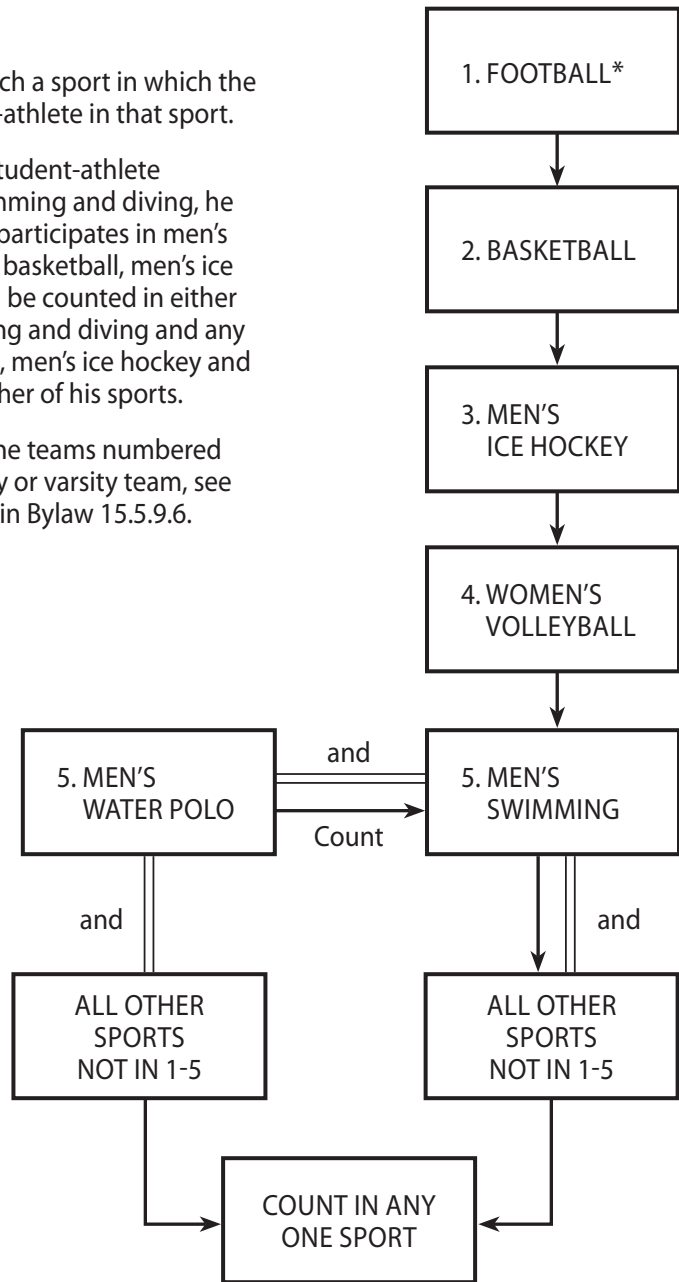
**FIGURE 15-3**  
**Where To Count Student-Athletes Who Participate**  
**in More Than One Intercollegiate Sport**

**DIRECTIONS:**

Start at 1, football, and keep going until you reach a sport in which the student-athlete participates. Count the student-athlete in that sport.

Note the alternatives for men's water polo. If a student-athlete participates in men's water polo and men's swimming and diving, he is counted in men's swimming and diving. If he participates in men's water polo and any sport except football, men's basketball, men's ice hockey and men's swimming and diving, he can be counted in either of his sports. If he participates in men's swimming and diving and any other sport except for football, men's basketball, men's ice hockey and men's water polo, then he can be counted in either of his sports.

For student-athletes who practice with one of the teams numbered 1-2 and 4 but do not compete on a junior varsity or varsity team, see the information on the two-year exception rule in Bylaw 15.5.9.6.



\*In football, a counter who was not recruited (per Bylaw 15.02.8) and/or offered financial aid to participate in football and who competes in football and one or more sports (including basketball) must be counted in the sport of football (see Bylaw 15.5.9.1).



## BYLAW, ARTICLE 16

# Awards, Benefits and Expenses for Enrolled Student-Athletes

## 16.01 General Principles.

**16.01.1 Eligibility Effect of Violation.** A student-athlete shall not receive any extra benefit. Receipt by a student-athlete of an award, benefit or expense allowance not authorized by NCAA legislation renders the student-athlete ineligible for athletics competition in the sport for which the improper award, benefit or expense was received. If the student-athlete receives an extra benefit not authorized by NCAA legislation, the individual is ineligible in all sports.

**16.01.1.1 Restitution for Receipt of Impermissible Benefits.** Unless otherwise noted, for violations of Bylaw 16 in which the value of the benefit is \$100 or less, the eligibility of the student-athlete shall not be affected conditioned upon the student-athlete repaying the value of the benefit to a charity of his or her choice. The student-athlete, however, shall remain ineligible from the time the institution has knowledge of receipt of the impermissible benefit until the student-athlete repays the benefit. For violations of Bylaw 16 in which there is no monetary value to the benefit, violations shall be considered institutional violations per Constitution 2.8.1; however, such violations shall not affect the student-athlete's eligibility. *(Adopted: 11/1/01, Revised: 8/5/04)*

## 16.02 Definitions and Applications.

**16.02.1 Award.** An award is an item given in recognition of athletics participation or performance. Such awards are subject to the limitations set forth in Bylaw 16.1.

**16.02.2 Excessive Expense.** An excessive expense is one not specifically authorized under regulations of the Association concerning awards, benefits and expenses.

**16.02.3 Extra Benefit.** An extra benefit is any special arrangement by an institutional employee or representative of the institution's athletics interests to provide a student-athlete or the student-athlete family member or friend a benefit not expressly authorized by NCAA legislation. Receipt of a benefit by student-athletes or their family members or friends is not a violation of NCAA legislation if it is demonstrated that the same benefit is generally available to the institution's students or their family members or friends or to a particular segment of the student-body (e.g., international students, minority students) determined on a basis unrelated to athletics ability. *(Revised: 1/10/91, 1/19/13 effective 8/1/13)*

**16.02.4 Family Member.** For purposes of Bylaw 16, a family member is an individual with any of the following relationships to a student-athlete: spouse, parent or legal guardian, child, sibling, grandparent, domestic partner or any individual whose close association with the student-athlete is the practical equivalent of a family relationship. *(Adopted: 1/19/13 effective 8/1/13)*

**16.02.5 Pay.** Pay is the receipt of funds, awards or benefits not permitted by governing legislation of the Association for participation in athletics. (See Bylaw 12.1.2.1 for explanation of forms of pay prohibited under the Association's amateur-status regulations.)

## 16.1 Awards.

### 16.1.1 Application of Awards Legislation.

**16.1.1.1 Awards Received for Participation While Not Representing the Institution.** Awards received by an individual for participation in competition while not representing the institution shall conform to the rules of the amateur sports organization that governs the competition, but may not include cash (or cash equivalents) that exceeds actual and necessary expenses (see Bylaw 12.1.2.4). *(Revised: 1/19/13 effective 8/1/13)*

**16.1.1.2 Awards Received for Participation While Representing the Institution.** The awards limitations of Bylaw 16.1 apply to awards received by a student-athlete for participation in competition while representing his or her institution. Such awards may not include cash or cash equivalents, gift certificates or gift cards that are redeemable for cash (original amount or any balance thereof), or a country club or sports club membership. [R] *(Revised: 4/28/10, 1/19/13 effective 8/1/13)*

**16.1.2 Uniformity of Awards.** Awards presented by a member institution, conference or other approved agency must be uniform for all team members receiving the award. [R]

**16.1.3 Transfer of Nonpermissible Award.** Cash or any other award that an individual could not receive under NCAA legislation may not be forwarded in the individual's name to a different individual or agency (e.g., a collegiate institution).

**16.1.4 Types of Awards, Awarding Agencies, Maximum Value and Numbers of Awards.** Athletics awards given to individual student-athletes shall be limited to those approved or administered by the member institution, its conference or an approved agency as specified in the following subsections and shall be limited in value and number as specified in this section. Awards received for intercollegiate athletics participation may not be sold, exchanged or assigned for another item of value, even if the student-athlete's name or picture does not appear on the award. Each of the following subsections is independent of the others so that it is permissible for an individual student-athlete to receive the awards described in all subsections. [R] (Revised: 9/12/03)

**16.1.4.1 Participation Awards.** Awards for participation in intercollegiate athletics may be presented each year, limited in value and number as specified in Figure 16-1. Awards for participation in special events may be provided only to student-athletes eligible to participate in the competition. [R] (Revised: 4/25/02 effective 8/1/02, 3/8/12, 1/19/13 effective 8/1/13)

**16.1.4.1.1 Senior Scholar-Athlete Award.** An institution may provide a maximum of two senior scholar-athlete awards each year to graduating seniors. The award may consist of a tangible item valued at not more than \$175 and a postgraduate scholarship not to exceed \$10,000. The postgraduate scholarship shall be used for graduate studies at the recipient's choice of institution and shall be disbursed directly to that institution. Such a scholarship only may be awarded to a student-athlete who has completed the requirements for a baccalaureate degree. A student-athlete who has received an institutional postgraduate scholarship as part of a senior scholar-athlete award shall no longer be eligible to participate in intercollegiate athletics, except that the student may complete the remainder of any season currently in progress at the time of the award (e.g., postseason competition in the spring sport that occurs after graduation). [R] (Adopted: 4/25/02, Revised: 6/1/06, 1/14/08)

**16.1.4.1.2 Special Event Participation Awards Based on Level of Achievement.** Special event participation awards may include awards that are based on a level of achievement (e.g., all-tournament award, finalist award, place-finish award) in the event, provided the awards are uniform within each level and the combined value of all awards received for participation in the particular type of special event (e.g., conference championship; other established meets, tournaments and featured individual competition) does not exceed the maximum permissible value of such awards (see Figure 16-1). (Adopted: 1/10/13)

**16.1.4.2 Awards for Winning Conference and National Championships.** Awards for winning an individual or team conference or national championship may be presented each year, limited in value and number as specified in Figure 16-2. Awards for winning a conference or national championship in a team sport may be provided only to student-athletes who were eligible to participate in the championship event. The total value of any single award received for a national championship may not exceed \$415. The total value of any single award received for a conference championship may not exceed \$325, and each permissible awarding agency is subject to a separate \$325 limit per award. Each permissible awarding agency may provide only a single award for each championship to each student-athlete. Separate awards may be presented to both the regular-season conference champion and the postseason conference champion (with a separate \$325 limitation), but if the same institution wins both the regular-season and postseason conference championship, the combined value of both awards shall not exceed \$325. [R] (Revised: 4/25/02 effective 8/1/02)

**16.1.4.3 Special Achievement Awards.** Awards may be provided each year to individual student-athletes and teams to recognize special achievements, honors and distinctions, limited in value and number as specified in Figure 16-3. [R] (Revised: 4/25/02 effective 8/1/02)

**16.1.4.4 Local Civic Organization.** A local civic organization (e.g., Rotary Club, Touchdown Club) may provide awards to a member institution's team(s), provided such awards are approved by the institution and are counted in the institution's limit for institutional awards. [R] (Adopted: 1/10/92, Revised: 1/9/96 effective 8/1/96, 6/11/09)

### **16.1.5 Purchase Restrictions.**

**16.1.5.1 Assignment of Normal Retail Value.** Normal retail value shall be assigned as the value of an award when determining whether an award meets specified value limits, even when a member institution receives institutional awards from an athletics representative or organization free of charge or at a special reduced rate. Normal retail value is the cost to the institution that is based solely on volume and is available to all purchasers of a similar volume and that does not involve an obligation to make additional purchases to enable the supplier to recover the costs for the original purchase. (Adopted: 1/16/93)

**16.1.5.2 Supplementary-Purchase Arrangement.** An institution may not enter into a supplementary-purchase arrangement with an awards supplier whereby the supplier agrees to sell an award (e.g., a watch or ring) at a price below the maximum amount specified by NCAA legislation with the understanding that the institution will make additional purchases of other unrelated items to enable the supplier to recover the costs for the original purchase. Such an arrangement would exceed the specific-value limitations placed on permissible awards. [R]

**16.1.5.3 Combining Values.** An institution may not combine the value limits of awards given in a sport during the same season, or given to athletes who participate in more than one sport, to provide an award more expensive than permissible under separate application to some or all of its participating student-athletes. [R]

**16.1.5.4 Student-Athlete Contribution to Purchase.** The value of an award may not exceed specified value limits, and a student-athlete may not contribute to its purchase in order to meet those limits. [R]

**16.1.6 Institutional Awards Banquets.** An institution may conduct awards banquets to commemorate the athletics and/or academic accomplishments of its student-athletes. *(Revised: 1/9/96 effective 8/1/96)*

**16.1.6.1 Booster Club Recognition Banquet.** One time per year, an institution's athletics booster club may finance an intercollegiate team's transportation expenses to a recognition banquet, provided all expenses are paid through the institution's athletics department, the location of the event is not more than 100 miles from the campus and no tangible award is provided to members of the team. [R] *(Revised: 1/9/96 effective 8/1/96)*

**16.1.7 Expenses to Receive Noninstitutional Awards.** A conference, an institution, the U.S. Olympic Committee, a national governing body (or the international equivalents) or the awarding agency may provide actual and necessary expenses for a student-athlete to receive a noninstitutional award or recognition for athletics or academic accomplishments. Actual and necessary expenses may be provided for the student-athlete's family members to attend the recognition event or awards presentation. [R] *(Adopted: 1/19/13 effective 8/1/13)*

## 16.2 Complimentary Admissions and Ticket Benefits.

### 16.2.1 Permissible Procedures.

**16.2.1.1 Institutional Events in the Student-Athlete's Sport.** An institution may provide four complimentary admissions per home or away intercollegiate athletics event to a student-athlete in the sport in which the individual participates (either practices or competes), regardless of whether the student-athlete competes in the contest.

**16.2.1.1.1 Exception—Postseason Events.** An institution may provide each student-athlete who participates in or is a member of a team participating in a postseason event (e.g., conference championship, NCAA championship, National Invitation Tournament, bowl game) with six complimentary admissions to all intercollegiate athletics events at the site at which the student (or team) participates. *(Adopted: 1/9/96 effective 8/1/96, Revised: 11/1/01 effective 8/1/02, 1/17/09 effective 8/1/09)*

**16.2.1.1.2 Tournaments.** Complimentary admissions may be provided to members of the institution's team for all intercollegiate athletics events in a tournament in which the team is participating, rather than only for the games in which the institution's team participates. However, the contests must be at the site at which the institution's team participates.

**16.2.1.2 General Regulations.** Complimentary admissions shall be provided only through a pass list for individuals designated by the student-athlete. "Hard tickets" shall not be issued. A violation of this administrative procedure shall be considered an institutional violation per Constitution 2.8.1; however, such a violation shall not affect the student-athlete's eligibility. The student-athlete's eligibility shall be affected by involvement in action contrary to the provisions of Bylaws 16.2.1.1 and 16.2.2.1 (receipt of more than the permissible four complimentary admissions or the sale or exchange of a complimentary admission for any item of value). *(Revised: 1/11/94 effective 8/1/94, 1/14/08)*

**16.2.1.2.1 Issuance Procedures.** The individual using the complimentary admission must present identification to the person supervising the use of the pass list at the admission gate. The individual then shall be provided a ticket stub or other identification of a specified reserved seat or seating area or treated as a general-admission ticket holder. *(Revised: 11/1/01 effective 8/1/02)*

**16.2.1.3 Institution's Home Events in Other Sports.** An institution may provide admission for each student-athlete to all of the institution's regular-season home intercollegiate athletics events in sports other than that in which the student-athlete is a participant, via a printed student-athlete pass or gate list. Proof of identity shall be required upon admission. [R]

**16.2.1.3.1 Nonqualifier.** A nonqualifier (per Bylaw 14.02.13.2) may receive a complimentary admission to all of the institution's regular-season home intercollegiate athletics events in the first academic year of residence. [R] *(Revised: 1/3/06)*

**16.2.1.3.2 Complimentary Admissions to an Institution's Home Contest Honoring a Student-Athlete.** An institution may provide a maximum of four complimentary admissions to a student-athlete for an institution's game or event during which a student-athlete is being honored but not participating, provided such complimentary admissions are used by the student-athlete's family members. [R] *(Adopted: 4/27/00 effective 8/1/00, Revised: 1/8/07 effective 8/1/07, 1/19/13 effective 8/1/13)*

### 16.2.2 Nonpermissible Procedures.

**16.2.2.1 Sale of Complimentary Admissions.** A student-athlete may not receive payment from any source for his or her complimentary admissions and may not exchange or assign them for any item of value.

**16.2.2.2 Payment to Third Party.** Individuals designated by the student-athlete to receive complimentary admissions are not permitted to receive any type of payment for the admissions or to exchange or assign them for any item of value. Receipt of payment for complimentary admissions by such designated individuals is prohibited and considered an extra benefit. [R]

**16.2.2.3 Student-Athlete Ticket Purchases.** An institution may not provide a special arrangement to sell a student-athlete ticket(s) to an athletics event. Tickets shall be available for purchase by student-athletes according to the same purchasing procedures used for other students. [R]

**16.2.2.4 Sale Above Face Value.** A student-athlete may not purchase tickets for an intercollegiate athletics event from the institution and then sell the tickets at a price greater than their face value. [R]

**16.2.2.5 Professional Sports Tickets.** An institution or any representative of its athletics interests may not purchase or otherwise obtain tickets to a professional sports event and make these tickets available to student-athletes enrolled in an NCAA member institution. Such a gift of tickets would represent an unacceptable extra benefit. Professional sports tickets may be provided as entertainment in conjunction with practice or competition. [R] *(Revised: 1/19/13 effective 8/1/13)*

## 16.3 Academic and Other Support Services.

### 16.3.1 Mandatory.

**16.3.1.1 Academic Counseling/Support Services.** Member institutions shall make general academic counseling and tutoring services available to all student-athletes. Such counseling and tutoring services may be provided by the department of athletics or the institution's nonathletics student support services. In addition, an institution, conference or the NCAA may finance other academic support, career counseling or personal development services that support the success of student-athletes. *(Adopted: 1/10/91 effective 8/1/91, Revised: 4/25/02 effective 8/1/02, 5/9/06, 1/19/13 effective 8/1/13)*

**16.3.1.2 Life Skills Programs.** An institution shall be required to conduct a life skills program on its campus. *(Adopted: 4/27/00 effective 8/1/00, Revised: 10/7/10)*

**16.3.2 Expenses Related to Legal and Other Proceedings.** An institution may provide actual and necessary expenses to attend proceedings conducted by the institution, its athletics conference or the NCAA that relate to the student-athlete's eligibility to participate in intercollegiate athletics or legal proceedings that result from the student-athlete's involvement in athletics practice or competitive events. The cost of legal representation in such proceedings also may be provided by the institution (or a representative of its athletics interests). [R] *(Revised: 5/9/06)*

**16.3.3 Expenses Related to Initial or Transfer-Eligibility Requirements.** An institution shall not provide academic expenses or services (e.g., tutoring, test preparation) to assist a prospective student-athlete or enrolled student-athlete in completing initial-eligibility or transfer-eligibility requirements or in improving his or her academic profile in conjunction with a waiver request. [R] *(Adopted: 4/23/08)*

## 16.4 Medical Expenses.

An institution, conference or the NCAA may provide medical and related expenses and services to a student-athlete. *(Revised: 1/11/89, 9/6/00, 4/29/04, 8/4/08, 1/19/13 effective 8/1/13)*

## 16.5 Housing and Meals.

**16.5.1 General Rule.** An institution is required to apply the same housing policies to student-athletes as it applies to the student body in general. During the academic year, the institution may not house student-athletes in athletics dormitories or athletics blocks within institutional or privately owned dormitories or apartment buildings (when the institution arranges for the housing) on those days when institutional dormitories are open to the general student body. [R] *(Adopted: 10/1/01 effective 8/1/02)*

**16.5.1.1 Athletics Dormitories.** Athletics dormitories shall be defined as institutional dormitories in which at least 50 percent of the residents are student-athletes. *(Adopted: 1/10/91 effective 8/1/96, Revised: 11/1/01 effective 8/1/02)*

**16.5.1.2 Athletics Blocks.** Athletics blocks shall be defined as individual blocks, wings or floors within institutional dormitories or privately owned dormitories or apartment buildings in which at least 50 percent of the residents are student-athletes. *(Adopted: 1/10/91 effective 8/1/96, Revised: 1/10/92, 11/1/01 effective 8/1/02)*

**16.5.1.3 Exception—Nondiscriminatory Housing Policies.** The prohibition against the use of athletics dormitories or blocks does not apply when the institution demonstrates that its housing assignment policies do not differentiate between student-athletes and students generally. *(Adopted: 1/16/93 effective 8/1/96, Revised: 11/1/01 effective 8/1/02)*

**16.5.2 Permissible.** Identified housing and meal benefits incidental to a student's participation in intercollegiate athletics that may be financed by the institution are: [R]



- (a) **Summer-Dormitory Rentals.** An institution may rent, at the regular institutional rate, dormitory space to a prospective or enrolled student-athlete during the summer months if it is the institution's policy to make dormitory space available on the same basis to all prospective or enrolled students (see Bylaw 15.2.2.3 for permissible housing benefits for student-athletes eligible to receive financial aid while attending summer school).
- (b) **Preseason Practice Expenses.** The institution may provide the cost of room and board to student-athletes who report for preseason practice prior to the start of the academic year, it being understood that the student-athlete has been accepted for admission to the institution at the time such benefits are received. Further, an institution, at its discretion, may provide an additional meal (as opposed to the cash equivalent) to student-athletes to meet their nutritional needs as a benefit incidental to participation during the preseason practice period prior to the start of the academic year. *(Revised: 4/24/03, 3/10/04)*
- (c) **Training Table Meals.** An institution may provide only one training table meal per day to a student-athlete during the academic year on those days when regular institutional dining facilities are open (see Bylaw 15.2.2.1.6). A student-athlete who does not receive institutional athletically related financial aid covering the full cost of board, including a walk-on or partial scholarship recipient, may purchase one training table meal per day at the same rate that the institution deducts from the board allowance of student-athletes who receive athletically related financial aid covering board costs pursuant to Bylaw 15.2.2.1.6. *(Adopted: 1/10/91 effective 8/1/96, Revised: 11/1/01 effective 8/1/02, 5/8/06, 4/26/07)*
- (d) **Meals Incidental to Participation.**
- (1) **Missed Meal Due to Practice Activities.** A student-athlete who is not receiving athletically related financial aid (e.g., walk-on) may receive the benefit of a training-table meal during the permissible playing and practice season in those instances in which the student-athlete's schedule is affected by involvement in practice activities, provided the student-athlete previously has paid for the same meal (e.g., dinner) at an institutional dining facility. *(Revised: 5/9/06)*
  - (2) **Meals in Conjunction With Home Competition.** All student-athletes are permitted to receive meals at the institution's discretion beginning with the evening before competition and continuing until they are released by institutional personnel. An institution shall not provide cash to student-athletes in lieu of meals during this time period. An institution, at its discretion, may provide a meal or cash (not to exceed \$15), but not both, to student-athletes at the time of their release by institutional personnel. *(Revised: 4/25/02, 4/29/04, 5/2/05, 5/9/06, 12/12/06, 4/24/08 effective 8/1/08)*
  - (3) **Meals in Conjunction With Away-from-Home Competition.** An institution may provide meals to student-athletes in conjunction with away-from-home competition pursuant to one of the following options:
    - (i) All student-athletes are permitted to receive a pregame or postgame meal as a benefit incidental to participation in addition to regular meals (or meal allowances per institutional policy). An institution, at its discretion, may provide cash, not to exceed \$15, in lieu of a postgame meal; or *(Revised: 5/9/06, 4/24/08 effective 8/1/08)*
    - (ii) All student-athletes are permitted to receive meals at the institution's discretion from the time the team is required to report on call for team travel until the team returns to campus. If a student-athlete does not use team travel to return to campus, he or she may receive meals at the institution's discretion up to the point he or she is released from team-related activities by the appropriate institutional authority. An institution shall not provide cash to student-athletes in lieu of meals under this option before their release. An institution may provide a meal or cash (not to exceed \$15), but not both, to a student-athlete at the time of his or her release by the institutional authority, regardless of whether he or she uses team travel to return to campus. *(Adopted: 4/24/08 effective 8/1/08, Revised: 9/24/09)*
- (e) **Vacation-Period Expenses.** The institution may provide the cost of room and board to student-athletes (during official institutional vacation periods) in the following circumstances. If an institution does not provide a meal to its student-athletes under such circumstances, a cash allowance may be provided (except for the permissible additional meal as described below), not to exceed the amount provided by the institution to institutional staff members on away-from-campus trips: *(Revised: 4/17/07)*
- (1) Student-athletes who are required to remain on the institution's campus for organized practice sessions or competition during the institution's official vacation period. If the student-athlete lives at home during the vacation period, the cost of room and board may not be provided by the institution, other than to permit the student-athlete to participate in team meals incidental to practice sessions; *(Revised: 1/14/97, 10/28/99, 5/26/06)*
  - (2) Student-athletes who return to campus during the institution's official vacation period occurring during a regular academic term (not including vacation periods between terms) from institutional competition. Under such circumstances, room and board expenses may be provided beginning with the student-athlete's arrival on campus until the institution's regular dormitories and dining facilities reopen. If the student-athlete lives at home during the vacation period, the cost of room and board may not be provided by the institution; or *(Adopted: 10/28/99, Revised: 5/26/06)*



- (3) Student-athletes who return to campus during the institution's official vacation period between regular academic terms (e.g., summer-vacation period) from institutional competition that occurs at the end of the institution's playing and practice season or a segment thereof. Under such circumstances, room and board expenses may be provided for not more than a 48-hour period, beginning with the student-athlete's return to campus. If the student-athlete lives at home during the vacation period, the cost of room and board may not be provided by the institution. *(Adopted: 10/28/99, Revised: 5/26/06)*
- (4) An institution, may provide an additional meal (as opposed to the cash equivalent) to student-athletes to meet nutritional needs as a benefit incidental to participation during each day of any vacation period, provided the student-athlete is required to remain on campus to be involved in practice or competition. *(Adopted: 4/29/04, Revised: 1/8/07 effective 8/1/07, 4/17/07)*
- (f) **Meals Related to Institutional Committee Service.** A student-athlete who serves on an institutional committee may receive expenses to cover the cost of a meal missed as a result of a committee meeting that occurs when regular institutional dining facilities are open. *(Adopted: 8/11/98)*
- (g) **Nutritional Supplements.** An institution may provide permissible nutritional supplements to a student-athlete for the purpose of providing additional calories and electrolytes. Permissible nutritional supplements do not contain any NCAA banned substances and are identified according to the following classes: carbohydrate/electrolyte drinks, energy bars, carbohydrate boosters and vitamins and minerals. *(Adopted: 4/27/00 effective 8/1/00, Revised: 11/1/01 effective 8/1/02, 4/14/09)*
- (h) **Fruit, Nuts and Bagels.** An institution may provide fruit, nuts and bagels to a student-athlete at any time. *(Adopted: 4/30/09 effective 8/1/09)*

**16.5.2.1 Effect of Violation—Preseason Practice Expenses.** A violation of Bylaw 16.5.2-(b) due to a miscalculation of the permissible start date for preseason practice shall be considered an institutional violation per Constitution 2.8.1; however, the student-athlete's eligibility shall not be affected. *(Adopted: 10/29/09)*

## 16.6 Expenses for Student-Athlete's Friends and Family Members.

**16.6.1 Permissible.** An institution may not provide any expenses to a student-athlete's family members or friends, except as permitted in Bylaws 16.6.1 and 16.11. **[R]** *(Revised: 1/19/13 effective 8/1/13)*

**16.6.1.1 Expenses for Spouse/Children to Postseason Football Bowl Game or NCAA Championship.** The institution may provide the cost of actual and necessary expenses (e.g., transportation, lodging, meals and expenses associated with team entertainment functions) for the spouse and children of an eligible student-athlete to accompany the student-athlete to a postseason football bowl game or an NCAA football championship in which the student-athlete is a participant, and in other sports, to one round (conducted at the site) of any NCAA championship in which the student-athlete is a participant. **[R]** *(Revised: 2/1/05)*

**16.6.1.2 Family Lodging at Postseason Events.** An institution may reserve or secure lodging at any post-season event (other than a conference event) at a reduced or special rate for the family members of a student-athlete who is a participant. It is not permissible for an institution to cover any portion of the cost of lodging, including any cost associated with reserving or securing lodging. **[R]** *(Adopted: 10/28/99, Revised: 1/19/13 effective 8/1/13)*

**16.6.1.3 Injury or Illness.** The institution may pay transportation, housing and meal expenses for a student-athlete's family members and any other student-athlete to be present in situations in which a student-athlete suffers an injury or illness, or in the event of a student-athlete's death, to provide such expenses in conjunction with funeral arrangements. **[R]** *(Revised: 1/11/89, 4/26/12, 1/19/13 effective 8/1/13)*

**16.6.1.3.1 Family Members of Student-Athletes.** An institution may pay transportation, housing and meal expenses for a student-athlete's family members and any other student-athlete to be present in situations in which a family member of the student-athlete suffers an injury or illness, or in the event of such an individual's death, to provide the student-athlete's family members and any other student-athlete with such expenses in conjunction with funeral arrangements. **[R]** *(Adopted: 1/10/95 effective 8/1/95, Revised: 11/1/01 effective 8/1/02, 4/25/02 effective 8/1/02, 5/22/07, 4/13/09, 4/26/12, 1/19/13 effective 8/1/13)*

**16.6.1.4 National Team Competition—Family Benefits.** A commercial company (other than a professional sports organization) or members of the local community may provide actual and necessary expenses for a student-athlete's family members to attend national team competition in which the student-athlete will participate. In addition, family members of student-athletes may receive nonmonetary benefits provided to the family members of all national team members in conjunction with participation in national team competition. **[R]** *(Adopted: 1/11/94, Revised: 11/1/00, 1/19/13 effective 8/1/13)*

**16.6.1.5 Reasonable Food and Drinks.** An institution may provide the family members of a student-athlete with reasonable food and drinks in conjunction with educational meetings or celebratory events (e.g., senior night) and on an occasional basis for other reasons. **[R]** *(Adopted: 4/27/00 effective 8/1/00, Revised: 4/25/02 effective 8/1/02, 1/16/10, 1/19/13 effective 8/1/13)*

**16.6.1.6 Complimentary Admissions to Institutional Awards Banquets.** An institution may provide complimentary admissions to an institutional awards banquet for the family members of any student-athlete being honored at the banquet. **[R]** *(Adopted: 11/1/00, Revised: 1/19/13 effective 8/1/13)*

## 16.7 Entertainment in Conjunction with Practice or Competition.

An institution, conference, or the NCAA may provide reasonable entertainment (but may not provide cash for such entertainment) to student-athletes in conjunction with practice or competition. [R] (*Revised: 1/19/13 effective 8/1/13*)

## 16.8 Expenses Provided by the Institution for Practice and Competition.

**16.8.1 Permissible.** An institution may provide actual and necessary expenses to a student-athlete to represent the institution in practice and competition (including expenses for activities/travel that are incidental to practice or competition). In order to receive competition-related expenses, the student-athlete must be eligible for competition. (*Revised: 1/19/13 effective 8/1/13*)

**16.8.1.1 Other Competition.** During an academic year in which a student-athlete is eligible to represent an institution in athletics competition (or in the following summer), an institution may provide actual and necessary expenses related to participation in the following activities: [R] (*Revised: 1/10/92, 1/14/97*)

- (a) Established national championship events (including junior national championships);
- (b) Specific competition (e.g., Olympic Trials) from which participants may directly qualify for the Olympic Games, Pan American Games, World Championships, World Cup, World University Games and World University Championships; and (*Revised: 1/9/96 effective 8/1/96, 1/8/07 effective 8/1/07, 1/14/12*)
- (c) National team tryout competition events, including events from which participants are selected for another tier of tryout competition or events from which final selections are made for the national team that will participate in the Olympic Games, Pan American Games, World Championships, World Cup, World University Games or World University Championships. (*Adopted: 1/8/07 effective 8/1/07, Revised: 1/14/12, 4/26/12, 1/19/13 effective 8/1/13*)

### 16.8.2 Nonpermissible.

**16.8.2.1 Expenses for Participation in Postseason Bowl Games—Midyear Enrollee—Bowl Subdivision Football. [FBS]** In bowl subdivision football, an institution may not provide expenses (e.g., travel, room and board, entertainment, incidental expenses, etc.) to a student-athlete who is a midyear enrollee (freshman or transfer) for participation in a postseason bowl game that occurs before or during the student-athlete's initial term of full-time enrollment at the institution. [R] (*Adopted: 4/28/05 effective 8/1/05, Revised: 12/15/06*)

## 16.9 Other Travel Expenses Provided by the Institution.

**16.9.1 Permissible Travel Expenses Not Related to Practice or Competition.** An institution may provide actual and necessary expenses to a student-athlete to represent the institution in noncompetitive events [e.g., goodwill tours, media appearances (see Bylaw 12.5.3), student-athlete advisory committee meetings]. Further, an institution may provide reasonable local transportation to student-athletes on an occasional basis. [R] (*Revised: 1/16/93, 1/11/94, 1/10/95, 4/26/12, 1/19/13 effective 8/1/13*)

## 16.10 Provision of Expenses by Individuals or Organizations Other Than the Institution.

### 16.10.1 Permissible.

**16.10.1.1 National Team Practice and Competition.** A student-athlete may receive actual and necessary expenses and reasonable benefits associated with national team practice and competition (e.g., health insurance, broken-time payments). [R] (*Revised: 1/19/13 effective 8/1/13*)

**16.10.1.2 Service or Religious Organization Encampments.** Nationally recognized service organizations and religious groups may underwrite the actual and necessary expenses of student-athletes attending such encampments. Neither the institution nor an athletically related organization may underwrite such expenses. [R] (*Revised: 4/27/00 effective 8/1/00, 4/2/10*)

**16.10.1.3 Outside Sports Teams.** An amateur outside sports team or organization may provide actual and necessary expenses to team members only if the expenses are: [R]

- (a) A reasonable amount for travel and meal expenses, and apparel and equipment (for individual and team use only from teams or organizations not affiliated with member institutions, including local sports clubs as set forth in Bylaw 13.11.2.4); (*Revised: 1/10/90*)
- (b) For practice and game competition;
- (c) Made on a regular basis; and
- (d) Not an incentive and not based on performance.

**16.10.1.3.1 Practice in Conjunction With Competition.** Practice expenses may be accepted only if such practice is directly related to a competition and is conducted during a continuous time period preceding the competition. [R] (*Adopted: 1/10/92*)

**16.10.1.4 Student-Teaching.** A student-athlete may accept actual and necessary travel expenses from a high school if he or she is student-teaching (even if teaching or coaching a sport) if the high school is located in a city other than the one in which the collegiate institution is located. In order for the student-athlete to accept such expenses: **[R]**

- (a) Receipt of the expenses must be permitted by the established guidelines of the institution for other student-teacher trainees;
- (b) The assigned coaching responsibilities must be a part of the supervised, evaluated teacher-training program in which the student-athlete is enrolled; and
- (c) The high school must provide such expenses for all of its student-teacher trainees.

**16.10.1.5 Luncheon Meeting Expenses.** A student-athlete may accept transportation and meal expenses in conjunction with participation in a luncheon meeting of a booster club or civic organization, provided the meeting occurs within a 30-mile radius of the institution's main campus and no tangible award is provided to the student-athlete. **[R]**

**16.10.1.6 Charitable, Educational or Nonprofit Activities.** A student-athlete may accept legitimate and normal expenses from a charitable or educational agency for participation in activities set forth in Bylaw 12.5. *(Revised: 1/11/89, 4/28/05)*

**16.10.1.7 Recognition by Professional Sports Organization.** A student-athlete may accept complimentary admission to a professional sports contest during which the student-athlete and/or intercollegiate team is being recognized by the professional sports organization for extraordinary achievements. Further, it shall be permissible for the professional sports organization to promote this event to the general public. **[R]** *(Adopted: 1/9/96 effective 8/1/96)*

**16.10.1.8 Draft Combine—Basketball.** In basketball, an enrolled student-athlete using the draft exception set forth in Bylaw 12.2.4.2.1 may accept expenses from a professional sports organization to attend that organization's draft combine.

## **16.10.2 Nonpermissible.**

**16.10.2.1 Unitemized Expenses.** A student-athlete may not accept money for unspecified or unitemized expenses from any organization or individual. **[R]**

**16.10.2.2 Prohibited Expenses.** A student-athlete may not accept money for expenses that are prohibited by the rules governing an amateur noncollegiate event in which the individual participates. **[R]**

**16.10.2.3 Sponsors.** An individual who is not representing an educational institution during participation in athletics competition may not accept any expenses, or any other form of compensation, to participate in the competition from any sponsor other than an individual upon whom the athlete is naturally or legally dependent or the organization (other than a professional sports organization) that is sponsoring the competition. **[R]**

**16.10.2.4 Expenses Based on Place Finish.** Receipt of expenses is prohibited if the amount received is based on the individual's place finish achieved in the competition (e.g., tennis, golf, track and field).

## **16.11 Benefits, Gifts and Services.**

### **16.11.1 Permissible.**

**16.11.1.1 General Rule.** Receipt of a benefit (including otherwise prohibited extra benefits per Bylaw 16.11.2) by student-athletes, their family members or friends is not a violation of NCAA rules if it is demonstrated that the same benefit is generally available to the institution's students and their family members or friends. *(Revised: 1/19/13 effective 8/1/13)*

**16.11.1.2 Deferred Pay-Back Loan.** A student-athlete may receive a loan on a deferred pay-back basis without jeopardizing his or her eligibility, provided: **[R]** *(Adopted: 1/11/94)*

- (a) The loan arrangements are not contrary to the extra-benefit rule; and
- (b) The student-athlete's athletics reputation, skill or pay-back potential as a future professional athlete is not considered by the lending agency in its decision to provide the loan.

**16.11.1.3 Loan From Established Family Friend.** A student-athlete may receive a loan from an established family friend without such arrangement constituting an extra benefit, provided: **[R]** *(Adopted: 1/11/94)*

- (a) The loan is not offered to the student-athlete based in any degree on his or her athletics ability or reputation;
- (b) The individual providing the loan is not considered a representative of the institution's athletics interests; and
- (c) The relationship between the individual providing the loan and the student-athlete existed prior to the initiation of the student-athlete's recruitment by the member institution.

**16.11.1.4 Disabling-Injury Insurance.** A student-athlete may borrow against his or her future earnings potential from an established, accredited commercial lending institution, exclusively for the purpose of purchasing insurance (with no cash surrender value) against a disabling injury that would prevent the individual from

pursuing his or her chosen career, provided a third party (including a representative of an institution's athletics interests) is not involved in arrangements for securing the loan. However, an institution's president or chancellor (or his or her designated representative from outside the athletics department) may designate an institutional staff member (or staff members) (e.g., professional sports counseling panel) to assist a student-athlete with arrangements for securing the loan and insurance. The institution shall retain copies of all documents related to loan transactions and insurance policies, regardless of whether the institution is involved in the arrangements.

**[R]** *(Revised: 1/14/97 effective 8/1/97, 1/16/10)*

**16.11.1.5 Occasional Meals.** A student-athlete or the entire team in a sport may receive an occasional meal in the locale of the institution on infrequent and special occasions from an institutional staff member. An institutional staff member may provide reasonable local transportation to student-athletes to attend such meals. A student-athlete or the entire team in a sport may receive an occasional meal from a representative of athletics interests on infrequent and special occasions under the following conditions: **[R]** *(Revised: 1/10/92, 4/25/02 effective 8/1/02, 4/29/10)*

- (a) The meal may only be provided in an individual's home, on campus or at a facility that is regularly used for home competition and may be catered; and *(Revised: 4/25/02 effective 8/1/02, 4/29/10)*
- (b) A representative of the institution's athletics interests may provide reasonable local transportation to student-athletes to attend the meal function only if the meal function is at the home of that representative. *(Revised: 4/25/02 effective 8/1/02)*

**16.11.1.6 Incidental Benefits—Reasonable Refreshments.** An institution may provide student-athletes with reasonable refreshments (e.g., soft drinks, snacks) for student-athlete educational and business meetings and, on an occasional basis, for celebratory events (e.g., birthdays). **[R]** *(Adopted: 10/28/99)*

**16.11.1.7 Research Studies Involving Only Student-Athletes.**

**16.11.1.7.1 NCAA Research Studies.** A student-athlete may receive compensation from the Association for participating in specified NCAA research studies. Such compensation shall be consistent with the going rate for compensation offered in studies involving nonathlete populations. **[R]** *(Adopted: 10/28/99 effective 8/1/00, Revised: 4/30/09)*

**16.11.1.7.2 Institution-Based Research Studies.** A student-athlete may receive compensation from an institution for participating in a research study involving only student-athletes, provided: **[R]** *(Adopted: 4/30/09)*

- (a) The study is initiated and conducted by a faculty member at a member institution; and
- (b) The study and compensation arrangements are approved by the institutional review board of the faculty member's institution consistent with policies applicable to other institution-based research studies.

**16.11.1.8 Miscellaneous Benefits.** An institution may provide or arrange for the following benefits for a student-athlete: **[R]** *(Adopted: 4/26/01)*

- (a) The use of a return ticket at any time after the conclusion of a foreign tour;
- (b) Receipt of frequent flier points and/or miles earned while traveling to and from intercollegiate practice and/or competition; *(Adopted: 4/24/03 effective 8/1/03)*
- (c) Participation in receptions and festivities associated with championships, conference tournaments or all-star events hosted by and conducted on the institution's campus;
- (d) Occasional meals to team members provided by a student-athlete's family member at any location; *(Revised: 1/19/13 effective 8/1/13)*
- (e) Telephone calls in emergency situations as approved by the director of athletics (or his or her designee);
- (f) Reasonable tokens of support and transportation, housing and meal expenses in the event of injury, illness, or death of a family member or another student-athlete; *(Revised: 4/13/09, 1/19/13 effective 8/1/13)*
- (g) Fundraisers for student-athletes (or their family members) under the following extreme circumstances: *(Revised: 1/19/13 effective 8/1/13)*
  - (1) Extreme circumstances should be extraordinary in the result of events beyond the student-athlete's control (e.g., life-threatening illness, natural disaster);
  - (2) The proceeds must be designated for a specific purpose (e.g., payment of medical bills, purchase of medical equipment, replacement of items lost in a fire, etc.)
  - (3) The proceeds may be given directly to the beneficiaries, with receipt kept on file by the institution, which must include the amount of expenses incurred and the total amount received; and *(Revised: 4/26/12)*
  - (4) The excess proceeds must be given to a not-for-profit organization with the receipt kept on file by the institution.
- (h) The payment of admission costs or a meal for any student-athlete being honored at a nonathletics awards ceremony.



**16.11.1.9 Student Assistance Fund.** A student-athlete may receive money from the NCAA Student Assistance Fund. Member institutions and conferences shall not use money received from the fund to finance salaries, grants-in-aid (other than summer school) for student-athletes with remaining eligibility, capital improvements, stipends and outside athletics development opportunities for student-athletes (e.g., participation in a sports camp or clinic, private sports-related instruction, greens fees, batting cage rental, outside foreign tour expenses). [R] (Adopted: 4/24/03, Revised: 1/8/07, 2/24/12)

**16.11.1.10 Coaching and/or Athletics Administration Career Educational Programs.** An institution or conference may provide actual and necessary expenses to a student-athlete, who has completed his or her third year (sixth semester or ninth quarter) of collegiate enrollment to attend a coaching and/or athletics administration career educational program (e.g., Women's Basketball Coaches Association—So You Want To Be A Coach, Black Women in Sports Foundation—Next Step Program, U.S. Olympic Committee—Minority/Women in Coaching Leadership). [R] (Adopted: 4/28/05 effective 8/1/05, Revised: 1/14/08 effective 8/1/08)

**16.11.1.11 Career Counseling and Internship/Job Placement Services.** A student-athlete may use career counseling and internship/job placement services available exclusively to student-athletes, provided the student-athlete is not placed in a position in which the student-athlete uses his or her athletics ability. (Adopted: 4/28/11)

## **16.11.2 Nonpermissible.**

**16.11.2.1 General Rule.** The student-athlete shall not receive any extra benefit. The term “extra benefit” refers to any special arrangement by an institutional employee or representative of the institution's athletics interests to provide the student-athlete or his or her family members or friends with a benefit not expressly authorized by NCAA legislation. [R] (Revised: 1/19/13 effective 8/1/13)

**16.11.2.2 Other Prohibited Benefits.** An institutional employee or representative of the institution's athletics interests may not provide a student-athlete with extra benefits or services, including, but not limited to: [R]

- (a) A loan of money;
- (b) A guarantee of bond;
- (c) An automobile or the use of an automobile;
- (d) Transportation (e.g., a ride home with a coach), except as permitted in Bylaw 16.9.1, even if the student-athlete reimburses the institution or the staff member for the appropriate amount of the gas or expense; or
- (e) Signing or co-signing a note with an outside agency to arrange a loan.

**16.11.2.3 Camp Concession.** It is not permissible for a member institution or a member of its athletics department staff conducting a sports camp to permit a student-athlete (enrolled in the institution) to operate, at the student-athlete's own expense, a concession selling items related to or associated with the camp to campers or others in attendance (see also Bylaw 13.12.1.7.3.2). [R]

## **16.12 Expense Waivers.**

**16.12.1 Previously Approved Incidental Expenses.** Specific incidental expenses that have been previously approved by the Legislative Council Subcommittee for Legislative Relief may be processed by an institution or conference. Documentation of each approval shall be kept on file at the conference office. (Adopted: 10/28/99, Revised: 11/1/07 effective 8/1/08, 8/21/12)

**16.12.2 Student-Athletes in Financial Need.** A student-athlete may request additional financial aid (with no obligation to repay such aid) from a fund established pursuant to a special financial need program approved by the Leadership Council to assist student-athletes with special financial needs. The institution may provide reasonable local transportation in conjunction with financial assistance approved under this program. (Adopted: 1/10/91, Revised: 1/10/95, 11/1/07 effective 8/1/08)



**FIGURE 16-1**  
**Participation Awards [R]**

Type of Award	Maximum Value of Award	Number of Times Award May Be Received	Permissible Awarding Agencies	Maximum Number of Permissible Awarding Agencies
Annual Participation — Underclassmen	\$225	Once per year per sport	Institution	1
Annual Participation — Senior	\$425	Once per year per sport	Institution	1
<b>Special Event Participation</b>				
• Participation in postseason conference championship contest or tournament	\$375*	Once per event	• Institution • Management of event (may include conference office)	2
• Participation in postseason NCAA championship contest or tournament	Institution — \$375 NCAA — No limit	Once per event	• Institution • NCAA	2
• Participation in all-star game or postseason bowl	\$400 (Institution) \$550 (Management of event)	Once per event	• Institution • Management of event	2
• Participation in other established meets, tournaments and featured individual competition	\$400*	Once per event	• Institution • Management of event	2

\*The combined value of all awards received for participation in this type of event from the institution and the management of the event may not exceed the published value.

**FIGURE 16-2**  
**Championship Awards [R]**

Type of Award	Maximum Value of Award	Number of Times Award May Be Received	Permissible Awarding Agencies	Maximum Number of Permissible Awarding Agencies
National Championship conducted by NCAA	\$415*	Once per championship	• Institution** • Conference**	2
National Championship not conducted by NCAA***	\$415*	Once per championship	• Institution** • Conference**	2
Conference Championship — regular season	\$325*#	Once per championship	• Institution** • Conference**	2
Conference Championship — postseason contest or tournament	\$325*#	Once per championship	• Institution** • Conference**	2

\* Each permissible awarding agency is subject to a separate \$415/\$325 limit per award.

\*\* Award may be provided by another organization recognized by the institution or conference to act in its place.

\*\*\* Applies only to sports or classification divisions in which the NCAA does not conduct championships. Teams must be designated as a "national champion" by a national wire-service poll or the national coaches association in that sport.

# The combined value of both awards shall not exceed \$325 if same institution wins conference regular-season and postseason championships.

**FIGURE 16-3**  
**Special Achievement Awards [R]**

<b>Type of Award</b>	<b>Maximum Value of Award</b>	<b>Number of Times Award May Be Received</b>	<b>Permissible Awarding Agencies</b>	<b>Maximum Number of Permissible Awarding Agencies</b>
Special attainments or contribution to team's season (e.g., scholar-athlete, most improved player, most minutes played, most valuable player)	\$175	Once per category of award per year	Institution	1
Most valuable player — special event*	\$325**	Once per event	<ul style="list-style-type: none"> <li>• Institution</li> <li>• Conference</li> <li>• Organization approved by institution or conference</li> </ul>	Unlimited
Most valuable player — bowl game or all-star contest	\$350	Once per event	Sponsoring entity of all-star contest or certified postseason bowl	1
Established regional/national recognition awards (e.g., Wade Trophy, Heisman Trophy)	\$325	Once per year per award	Management of award program	1
Trophy recognizing established national award	Unlimited	Once per year	Management of award program	1
Trophy recognizing conference "athlete of the year"	\$1,500	Once per year to one male and one female	Conference	1
Specialized performance in single contest or during limited time period (e.g., player of the game, player of the week)	\$80 (certificate, medal or plaque only)	Unlimited	<ul style="list-style-type: none"> <li>• Conference</li> <li>• Outside organization (e.g., local business)</li> </ul>	Unlimited
Hometown award	\$80	Unlimited	Group (other than institution's booster club) located in the student-athlete's hometown	Unlimited

\*The award recipient must be selected by a recognized organization approved by a member institution or conference.

\*\* Each permissible awarding agency is subject to separate \$325 limit per award. Each awarding agency may provide only a single award for each event to each student-athlete.

# Playing and Practice Seasons

## 17.01 General Principles.

**17.01.1 Institutional Limitations.** A member institution shall limit its organized practice activities, the length of its playing seasons and the number of its regular-season contests and/or dates of competition in all sports, as well as the extent of its participation in noncollegiate-sponsored athletics activities, to minimize interference with the academic programs of its student-athletes (see Figures 17-1 and 17-2).

**17.01.2 Additional Playing and Practice Seasons Limitations.** The Committee on Academic Performance shall have the authority to determine the circumstances that would require an institution or team(s) that fails to satisfy the academic performance program to apply additional playing and practice seasons limitations. The committee shall establish and annually publish to the membership such circumstances (see Bylaw 14.12). *(Adopted: 1/9/06 effective 8/1/07)*

## 17.02 Definitions and Applications.

**17.02.1 Countable Athletically Related Activities.** Countable athletically related activities include any required activity with an athletics purpose involving student-athletes and at the direction of, or supervised by, one or more of an institution's coaching staff (including strength and conditioning coaches) and must be counted within the weekly and daily limitations under Bylaws 17.1.6.1 and 17.1.6.2. Administrative activities (e.g., academic meetings, compliance meetings) shall not be considered as countable athletically related activities. *(Adopted: 1/10/91 effective 8/1/91, Revised: 10/31/02 effective 8/1/03)*

**17.02.2 Contest.** A contest is any game, match, exhibition, scrimmage or joint practice session with another institution's team, regardless of its formality, in which competition in a sport occurs between an intercollegiate athletics team or individual representing a member institution and any other team or individual not representing the intercollegiate athletics program of the same member institution. *(Revised: 1/10/91)*

**17.02.3 Contest, Countable, Institutional.** A countable contest for a member institution, in those sports for which the limitations are based on the number of contests, is any contest by the member institution against an outside team in that sport, unless a specific exemption for a particular contest is set forth in this bylaw. Contests, including scrimmages, by separate squads of the same team against different outside teams shall each count as one contest.

**17.02.4 Contest, Countable, Individual Student-Athlete.** A countable contest for an individual student-athlete in a sport is any contest in which the student-athlete competes while representing the member institution (see Bylaw 17.02.8) individually or as a member of the varsity, subvarsity or freshman team of the institution in that sport, unless a specific exemption for a particular contest is set forth in this bylaw.

**17.02.5 Date of Competition.** A date of competition is a single date on which any game(s), match(es), meet(s), exhibition(s), scrimmage(s) or joint practice session(s) with another institution's team takes place, regardless of its formality, between an intercollegiate athletics team or individual representing a member institution and any other team or individual not representing the intercollegiate athletics program of the same member institution. *(Revised: 1/10/91)*

**17.02.6 Date of Competition, Countable, Institutional.** A countable date of competition for a member institution, in those sports for which the limitations are based on the number of dates of competition, is a single date on which the institution's team in a sport engages in competition in that sport against an outside team, unless a specific exemption for a particular date of competition is set forth in this bylaw.

**17.02.6.1 Required Minimum Number of Student-Athletes.** For individual sports, a member institution shall be considered to have participated in competition that constitutes a date of competition if the minimum number of student-athletes participating on one or more teams, at one or more sites, on behalf of the institution on that date equals or exceeds the minimum number established for that sport, unless otherwise restricted in this bylaw for a particular sport (e.g., golf, tennis). (See Bylaw 20.9.6.3 for listings of minimum numbers of student-athletes per sport.)

**17.02.7 Date of Competition, Countable, Individual Student-Athlete.** A countable date of competition for an individual student-athlete is any date on which a student-athlete competes while representing the

institution (see Bylaw 17.02.8) individually or as a member of the varsity, subvarsity or freshman team, unless a specific exemption for a particular date of competition is set forth in this bylaw.

**17.02.8 Intercollegiate Competition.** Intercollegiate competition is considered to have occurred when a student-athlete in either a two-year or a four-year collegiate institution does any of the following: (*Revised: 1/10/95*)

- (a) Represents the institution in any contest against outside competition, regardless of how the competition is classified (e.g., scrimmage, exhibition or joint practice session with another institution's team) or whether the student is enrolled in a minimum full-time program of studies; (*Revised: 1/10/91*)
- (b) Competes in the uniform of the institution, or, during the academic year, uses any apparel (excluding apparel no longer used by the institution) received from the institution that includes institutional identification; or (*Revised: 1/16/93, 1/11/94, 1/9/06*)
- (c) Competes and receives expenses (e.g., transportation, meals, room or entry fees) from the institution for the competition.

**17.02.8.1 Exempted Events.** Participation in events listed in Bylaw 16.8.1.1 is exempted from the application of this legislation. (*Revised: 1/10/92*)

**17.02.8.2 Participation on an Institution's Club Team.** Participation on a collegiate institution's club team is exempted from the application of this legislation, provided the institution did not sponsor the sport on the varsity intercollegiate level at the time of participation. (*Adopted: 6/24/09*)

**17.02.9 Outside Competition.** Outside competition is athletics competition against any other athletics team (including an alumni team) that does not represent the intercollegiate athletics program of the same institution.

**17.02.10 Outside Team.** An outside team is any team that does not represent the intercollegiate athletics program of the member institution or a team that includes individuals other than eligible student-athletes of the member institution (e.g., members of the coaching staff, ineligible student-athletes, members of the faculty).

**17.02.11 Practice Units.** In determining the first permissible preseason practice date, an institution shall count back from its first scheduled contest, one unit for each day beginning with the opening of classes, one unit for each day classes are not in session in the week of the first scheduled intercollegiate contest and two units for each other day in the preseason practice period, except that the institution shall not count any units during the preseason when all institutional dormitories are closed, the institution's team must leave campus, and practice is not conducted. (*Adopted: 1/10/91 effective 8/1/91, Revised: 1/10/92, 4/14/03*)

**17.02.11.1 Sunday.** Sundays are excluded from the counting. (*Adopted: 1/10/91 effective 8/1/91*)

**17.02.11.2 Week.** The "week" of the first scheduled intercollegiate contest is defined as the six days, excluding Sunday, preceding the date of competition (even if one or more of the days fall into different traditional calendar weeks). (*Adopted: 1/10/91 effective 8/1/91*)

**17.02.11.3 Opening Day of Classes.** The "opening day of classes" is defined as the first day of classes as listed in the member institution's official catalog. Required freshman orientation is not considered to be the opening day of classes for the academic year. (*Adopted: 1/10/91 effective 8/1/91*)

**17.02.11.4 First Day of Practice.** The first day of practice may be conducted on the day when the last practice unit occurs, which is determined by counting backward from the day of the first permissible regularly scheduled contest. For example, in women's volleyball, practice may be held on the day that the 29th practice unit actually occurs, counting backward from the day of the first permissible regularly scheduled contest. (*Adopted: 12/10/97, Revised: 4/14/03*)

**17.02.12 Varsity Intercollegiate Sport.** A varsity intercollegiate sport is a sport that has been accorded that status by the institution's president or chancellor or committee responsible for intercollegiate athletics policy and that satisfies the following conditions: (*Revised: 3/8/06*)

- (a) It is a sport that is administered by the department of intercollegiate athletics;
- (b) It is a sport for which the eligibility of the student-athletes is reviewed and certified by a staff member designated by the institution's president or chancellor or committee responsible for intercollegiate athletics policy; and (*Revised: 3/8/06*)
- (c) It is a sport in which qualified participants receive the institution's official varsity awards.

**17.02.12.1 Team Sports. [#]** The following are classified as team sports for purposes of this bylaw: (*Revised: 4/24/03, 4/30/09 effective 8/10/10, 1/16/10 effective 8/1/09, 4/30/09 effective 8/1/11*)

Baseball	Lacrosse	Soccer
Basketball	Rowing, Women's	Softball
Field Hockey	Rugby, Women's	Volleyball
Football	Sand Volleyball,	Water Polo
Ice Hockey	Women's	

**17.02.12.2 Individual Sports. [#]** The following are classified as individual sports for purposes of this by-law: *(Revised: 1/17/09 effective 8/1/09, 1/15/11 effective 8/1/11)*

Bowling, Women's	Rifle
Cross Country	Skiing
Equestrian, Women's	Swimming and Diving
Fencing	Tennis
Golf	Track and Field, Indoor and Outdoor
Gymnastics	Wrestling

**17.02.13 Voluntary Athletically Related Activities.** In order for any athletically related activity to be considered "voluntary," all of the following conditions must be met: *(Adopted: 4/18/01)*

- (a) The student-athlete must not be required to report back to a coach or other athletics department staff member (e.g., strength coach, trainer, manager) any information related to the activity. In addition, no athletics department staff member who observes the activity (e.g., strength coach, trainer, manager) may report back to the student-athlete's coach any information related to the activity;
- (b) The activity must be initiated and requested solely by the student-athlete. Neither the institution nor any athletics department staff member may require the student-athlete to participate in the activity at any time. However, it is permissible for an athletics department staff member to provide information to student-athletes related to available opportunities for participating in voluntary activities (e.g., times when the strength and conditioning coach will be on duty in the weight room or on the track). In addition, for students who have initiated a request to engage in voluntary activities, the institution or an athletics department staff member may assign specific times for student-athletes to use institutional facilities for such purposes and inform the student-athletes of the time in advance;
- (c) The student-athlete's attendance and participation in the activity (or lack thereof) may not be recorded for the purposes of reporting such information to coaching staff members or other student-athletes; and
- (d) The student-athlete may not be subjected to penalty if he or she elects not to participate in the activity. In addition, neither the institution nor any athletics department staff member may provide recognition or incentives (e.g., awards) to a student-athlete based on his or her attendance or performance in the activity. [Note: Coaching staff members may be present during permissible skill-related instruction pursuant to By-laws 17.1.6.2.2 and 17.1.6.2.3] *(Revised: 4/29/04 effective 8/1/04)*

**17.02.14 Student-Athlete Discretionary Time. [FBS/FCS]** Student-athlete discretionary time is time during which a student-athlete may only participate in athletics activities at his or her discretion. There shall be no required workouts and institutions are not permitted to recommend that student-athletes engage in weight-training or conditioning activities; however, if the student-athlete opts to work out, the strength and conditioning coach may monitor the facility in use for health and safety purposes. *(Adopted: 4/24/03 effective 5/1/03)*

**17.02.15 Tournament—Sports Other Than Basketball.** In sports other than basketball, for purposes of maximum contest or date of competition limitations, a tournament is an event that culminates in the determination of a winner. A tournament may be conducted in round-robin, pool play or bracket formats. *(Adopted: 9/18/07)*

## 17.1 General Playing-Season Regulations.

**17.1.1 Playing Season.** The playing (practice and competition) season for a particular sport is the period of time between the date of an institution's first officially recognized practice session and the date of the institution's last practice session or date of competition, whichever occurs later. An institution is permitted to conduct officially recognized practice and competition each academic year only during the playing season as regulated for each sport in accordance with the provisions of this bylaw. The institution must conduct the same playing season for varsity and subvarsity teams in the same sport. *(Revised: 1/10/92)*

**17.1.1.1 Playing Season—Athletically Related Activities.** The playing (practice and competition) season for a particular sport is the only time within which a member institution is permitted to conduct countable athletically related activities (see Bylaw 17.02.1) except as set forth in Bylaws 17.1.6.2 and 17.9.6. However, a coaching staff member may engage in coaching activities with a student-athlete during the student-athlete's participation in events listed in Bylaws 16.8.1.1-(a) and 16.8.1.1-(b). *(Revised: 4/28/05 effective 8/1/05)*

**17.1.2 Segments of Playing Season.** For all sports other than football and basketball, each member institution may divide the playing season into not more than two distinct segments. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.1.2.1 Exception—Severe Inclement Weather.** An institution may temporarily discontinue a segment due to severe inclement weather (e.g., hurricane, snowstorm), and restart the discontinued segment provided the permissible overall length of that segment is not exceeded. An institution that uses this exception is required to annually submit a detailed summary to the NCAA national office by July 31. *(Adopted: 4/28/05)*



**17.1.3 Declaration of Playing Season.** Each member institution shall determine the playing season for each of the sports referenced under Bylaw 17.02.12. Declaration of the institution's playing season in each such sport shall be on file in writing in the department of athletics prior to the beginning of the institution's playing season for that sport. Changes in the declaration for a particular sport are permissible and also shall be filed in writing in the office of the institution's athletics director. *(Revised: 1/11/89)*

**17.1.4 Sports Subject to Segment Limitations.** Playing-season-segment limitations are applicable to all team sports that are listed in Bylaw 17.02.12.1 and all individual sports listed in Bylaw 17.02.12.2. *(Revised: 1/10/91 effective 8/1/91, 1/16/93 effective 8/1/93)*

**17.1.5 Mandatory Medical Examination. [#]** Prior to participation in any practice, competition or out-of-season conditioning activities (or in Division I, permissible voluntary summer conditioning in basketball and football or voluntary individual workouts pursuant to the safety exception), student-athletes who are beginning their initial season of eligibility and students who are trying out for a team shall be required to undergo a medical examination or evaluation administered or supervised by a physician (e.g., family physician, team physician). The examination or evaluation must be administered within six months prior to participation in any practice, competition or out-of-season conditioning activities. In following years, an updated history of the student-athlete's medical condition shall be administered by an institutional medical staff member (e.g., sports medicine staff, team physician) to determine if additional examinations (e.g., physical, cardiovascular, neurological) are required. The updated history must be administered within six months prior to the student-athlete's participation in any practice, competition or out-of-season conditioning activities for the applicable academic year. *(Adopted: 1/8/07 effective 5/1/07, Revised: 8/5/08)*

**17.1.5.1 Sickle Cell Solubility Test.** The examination or evaluation of student-athletes who are beginning their initial season of eligibility and students who are trying out for a team shall include a sickle cell solubility test, unless documented results of a prior test are provided to the institution or the prospective student-athlete or student-athlete declines the test and signs a written release. *(Adopted: 4/29/10 effective 8/1/10, Revised: 5/3/10)*

**17.1.6 Time Limits for Athletically Related Activities.** In all sports, the following time limitations shall apply: *(Adopted: 1/10/91 effective 8/1/91)*

**17.1.6.1 Daily and Weekly Hour Limitations—Playing Season.** A student-athlete's participation in countable athletically related activities (see Bylaw 17.02.1) shall be limited to a maximum of four hours per day and 20 hours per week. *(Adopted: 1/10/91 effective 8/1/91)*

**17.1.6.1.1 Exception—Golf Practice Round.** A practice round of golf may exceed the four-hours-per-day limitation, but the weekly limit of 20 hours shall remain in effect. A practice round played on the day prior to the start of an intercollegiate golf tournament at the tournament site shall count as three hours, regardless of the actual duration of the round. *(Adopted: 1/10/91 effective 8/1/91, Revised: 1/16/93)*

**17.1.6.2 Weekly Hour Limitations—Outside the Playing Season.** *(Adopted: 1/10/91 effective 8/1/91, Revised: 1/10/95 effective 8/1/95, 1/14/97, 11/1/00, 10/31/02 effective 8/1/03, 3/10/04)*

- (a) **Sports Other Than Football.** Outside of the playing season, from the institution's first day of classes of the academic year or September 15, whichever occurs earlier, to one week prior to the beginning of the institution's final examination period at the conclusion of the academic year, only a student-athlete's participation in required weight training, conditioning and skill-related instruction shall be permitted. A student-athlete's participation in such activities per Bylaw 17.02.1 shall be limited to a maximum of eight hours per week with not more than two hours per week spent on skill-related workouts. All countable related activities outside the playing season are prohibited one week prior to the beginning of the final examination period for the applicable academic term through the conclusion of each student-athlete's final exams. *(Revised: 4/27/06 effective 8/1/06, 9/22/06)*
- (b) **Bowl Subdivision Football. [FBS]** Activities between the institution's last contest and January 1 are limited to required weight training, conditioning and the review of game film. A student-athlete's participation in such activities shall be limited to a maximum of eight hours per week, of which not more than two hours per week may be spent on the viewing of film. All activities beginning January 1 and outside the playing season shall be conducted pursuant to Bylaw 17.9.6. *(Revised: 12/15/06)*
- (c) **Championship Subdivision Football. [FCS]** Activities between the institution's last contest and the start of summer conditioning are limited to required weight training, conditioning and the review of game film. A student-athlete's participation in such activities shall be limited to a maximum of eight hours per week, of which not more than two hours per week may be spent on the viewing of film. All activities beginning with the start of summer conditioning and outside the playing season shall be conducted pursuant to Bylaws 17.9.6.2 and 17.9.6.4. *(Revised: 12/15/06)*

**17.1.6.2.1 Institutional Vacation Period and Summer.**

**17.1.6.2.1.1 Sports Other Than Championship Subdivision Football.** In sports other than championship subdivision football, a student-athlete may not participate in any countable athletically related activities outside the playing season during any institutional vacation period and/or summer. Strength and conditioning coaches who are not countable coaches and who perform such duties on a department-wide basis may design and conduct specific workout programs for student-athletes, provid-

ed such workouts are voluntary and conducted at the request of the student-athlete. (*Adopted: 10/31/02 effective 8/1/03, Revised: 4/28/05, 12/15/06*)

**17.1.6.2.1.1.1 Exception—September 15 to First Day of Classes—Sports Other Than Football.** In sports other than football, required weight training, conditioning and skill-related instruction is permitted, pursuant to Bylaws 17.1.6.2, 17.1.6.2.2 and 17.1.6.2.3, during an institution's summer vacation period from September 15 to the first day of classes of the academic year. (*Adopted: 4/27/06 effective 8/1/06*)

**17.1.6.2.1.1.2 Exception—January 15 to First Day of Classes of Second Term—Baseball.** In baseball, required weight training, conditioning and skill-related instruction are permitted, pursuant to Bylaws 17.1.6.2 and 17.1.6.2.3, during an institution's vacation period from January 15 to the first day of classes of the institution's second academic term. (*Adopted: 4/26/07 effective 8/1/07*)

**17.1.6.2.1.1.3 Strength and Conditioning Coach First Aid/CPR Certification and Authority of Sports Medicine Staff—Sports Other Than Football.** A strength and conditioning coach who conducts voluntary weight-training or conditioning activities is required to maintain certification in first aid and cardiopulmonary resuscitation. If a member of the institution's sports medicine staff (e.g., athletic trainer, physician) is present during voluntary conditioning activities conducted by a strength and conditioning coach, the sports medicine staff member must be empowered with the unchallengeable authority to cancel or modify the workout for health and safety reasons, as he or she deems appropriate. (*Adopted: 1/15/11 effective 5/1/11*)

**17.1.6.2.1.1.4 Summer Athletic Activities—Basketball.** In basketball, a student-athlete who is enrolled in summer school may engage in required weight-training, conditioning and skill-related instruction for up to eight weeks (not required to be consecutive weeks). Participation in such activities shall be limited to a maximum of eight hours per week with not more than two hours per week spent on skill-related instruction. An individual who is not eligible to use the exception to summer school enrollment (Bylaw 17.1.6.2.1.1.4.1) may participate only during the period of the institution's summer term or terms (opening day of classes through last day of final exams) in which the student-athlete is enrolled. (*Adopted: 1/14/12, Revised: 1/19/13*)

**17.1.6.2.1.1.4.1 Exception to Summer School Enrollment—Academic Requirements—Basketball.** In basketball, a student-athlete may participate in required summer athletic activities for up to eight weeks without being enrolled in summer school, provided the student-athlete has achieved a cumulative minimum grade-point average of 2.200 (based on a maximum 4.000 and as computed pursuant to institutional policies applicable to all students) and has successfully completed the following academic requirements based on the applicable number of full-time terms of enrollment: (*Adopted: 1/14/12, Revised: 1/19/13*)

- (a) After two semesters or three quarters: 30 semester hours or 45 quarter hours;
- (b) After four quarters: 60 quarter hours;
- (c) After three semesters or five quarters: 45 semester hours or 75 quarter hours;
- (d) After four semesters or six quarters: 50 percent of the course requirements in the student-athlete's specific degree program;
- (e) After seven quarters: 58.33 percent of the course requirements in the student-athlete's specific degree program;
- (f) After five semesters: 62.5 percent of the course requirements in the student-athlete's specific degree program;
- (g) After eight quarters: 66.67 percent of the course requirements in the student-athlete's specific degree program;
- (h) After six semesters or nine quarters: 75 percent of the course requirements in the student-athlete's specific degree program;
- (i) After 10 quarters: 83.33 percent of the course requirements in the student-athlete's specific degree program;
- (j) After seven semesters: 87.5 percent of the course requirements in the student-athlete's specific degree program;
- (k) After 11 quarters: 91.67 percent of the course requirements in the student-athlete's specific degree program; or
- (l) After eight semesters or 12 quarters: completion of the student-athlete's specific baccalaureate degree requirements (no minimum grade-point average required).

**17.1.6.2.1.4.1.1 Application to Transfer Student-Athletes—Basketball.** The exception to summer school enrollment does not apply to a transfer student-athlete until he or she has completed one academic year (two semesters or three quarters) of full-time enrollment at the certifying institution. *(Adopted: 1/14/12, Revised: 1/19/13)*

**17.1.6.2.1.2 Championship Subdivision Football. [FCS]** In championship subdivision football, a student-athlete may not participate in any countable athletically related activities outside the playing season during any institutional vacation period and/or summer. Strength and conditioning coaches with department-wide duties or countable coaches who are certified strength and conditioning coaches may design and conduct specific workout programs for student-athletes, provided such workouts are voluntary and conducted at the request of the student-athlete. *(Adopted: 4/29/04, Revised: 12/15/06, 5/4/09)*

**17.1.6.2.2 Skill Instruction—Sports Other Than Baseball and Football.** Participation by student-athletes in skill-related instruction in sports other than baseball and football is permitted outside the institution's declared playing season, from the institution's first day of classes of the academic year or September 15, whichever occurs earlier, to one week prior to the beginning of the institution's final examination period at the conclusion of the academic year [see Bylaw 17.1.6.2-(a)]. More than four student-athletes from the team may be involved in skill-related instruction with their coaches from September 15 through April 15. Prior to September 15 and after April 15, not more than four student-athletes from the same team may be involved in skill-related instruction with their coaches at any one time in any facility. Skill-related instruction shall not be publicized and shall not be conducted in view of a general public audience. *(Adopted: 1/10/95 effective 8/1/95, Revised: 1/9/96, 1/14/97 effective 8/1/97, 10/27/98, 10/31/02 effective 8/1/03, 4/29/04 effective 8/1/04, 4/28/05, 4/27/06 effective 8/1/06, 4/26/07 effective 8/1/07, 10/30/08)*

**17.1.6.2.3 Skill Instruction—Baseball.** In baseball, participation by student-athletes in skill-related instruction is permitted outside the institution's declared playing season, from the institution's first day of classes of the academic year or September 15, whichever occurs earlier, to one week prior to the beginning of the final examination period at the conclusion of the academic year [see Bylaw 17.1.6.2-(a)]. More than four student-athletes may be involved in skill-related instruction with their coaches from September 15 to one week prior to the final examination period for the fall term and from January 15 through April 15. Not more than four student-athletes may be involved in skill-related instruction with their coaches at any one time in any facility prior to September 15, from the opening day of classes of the institution's second academic term to January 15 (for those institutions that begin classes prior to January 15) and after April 15. Skill-related instruction shall not be publicized and shall not be conducted in view of a general public audience. *(Adopted: 4/26/07 effective 8/1/07, Revised: 10/30/08)*

**17.1.6.2.4 Conditioning Activities.** Conditioning drills per Bylaw 17.1.6.2 that may simulate game activities are permissible, provided no offensive or defensive alignments are set up and no equipment related to the sport is used. In ice hockey, a student-athlete may be involved in on-ice conditioning activities, provided no equipment other than skates is used. In swimming and diving, a student-athlete may be involved in in-pool conditioning activities and swim-specific equipment (e.g., starting blocks, kickboards, pull buoys) may be used. *(Revised: 4/28/05 effective 8/1/05)*

### **17.1.6.3 Computation and Recording of Hour Limitations.**

**17.1.6.3.1 Definition of Day.** A "day" shall be defined as a calendar day (12 a.m. through 11:59 p.m.). *(Adopted: 1/10/91 effective 8/1/91)*

**17.1.6.3.2 Competition Day.** All competition and any associated athletically related activities on the day of competition shall count as three hours regardless of the actual duration of these activities.

**17.1.6.3.2.1 Countable Athletically Related Activities Prohibited After Competition.** Countable athletically related activities may not be conducted at any time (including vacation periods) following competition, except between contests, rounds or events during a multiday or multi-event competition (e.g., double-headers in softball or baseball, rounds of golf in a multiday tournament). *(Adopted: 1/10/91 effective 8/1/91, Revised: 1/10/92, 4/13/09)*

**17.1.6.3.3 Definition of Week.** A "week" shall be defined as any seven consecutive days to be determined at the institution's discretion. Once the institution determines the seven-day period that shall constitute its week, it shall not change that designation for the remainder of the segment. *(Adopted: 1/11/94)*

**17.1.6.3.4 Hour-Limitation Record.** Countable hours must be recorded on a daily basis for each student-athlete regardless of whether the student-athlete is participating in an individual or team sport. Any countable individual or group athletically related activity must count against the time limitation for each student-athlete who participates in the activity but does not count against time limitations for other team members who do not participate in the activity. *(Adopted: 1/10/91 effective 8/1/91)*

**17.1.6.3.5 Preseason Practice.** Daily and weekly hour limitations do not apply to countable athletically related activities occurring during preseason practice prior to the first day of classes or the first scheduled contest, whichever is earlier. *(Adopted: 1/10/91 effective 8/1/91)*

**17.1.6.3.6 Vacation Periods and Between Terms.** Daily and weekly hour limitations do not apply to countable athletically related activities occurring during an institution's term-time official vacation period, as listed in the institution's official calendar, and during the academic year between terms when classes are not in session. If such vacation periods occur during any part of a week in which classes are in session, the institution is subject to the daily and weekly hour limitations during the portion of the week when classes are in session and must provide the student-athletes with a day off (see Bylaw 17.1.6.4), which may be a vacation day. *(Adopted: 1/10/91 effective 8/1/91, Revised: 1/10/92)*

**17.1.6.3.7 Final-Examination Periods.** Daily and weekly hour limitations apply to countable athletically related activities during final-examination periods and to all official preparatory periods leading to final-examination periods. *(Adopted: 1/10/91 effective 8/1/91)*

**17.1.6.3.8 Multisport Participant.** A multisport student-athlete's participation in countable athletically related activities is limited to a maximum of four hours per day and a total of 20 hours per week. *(Adopted: 4/29/04 effective 8/1/04)*

**17.1.6.4 Required Day Off—Playing Season.** During the playing season, all countable athletically related activities (per Bylaw 17.02.1) shall be prohibited during one calendar day per week, except during participation in one conference and postseason championship and any postseason bowl games or National Invitation Tournaments, and during participation in NCAA championships. *(Adopted: 1/10/91 effective 8/1/91, Revised: 1/11/94, 1/10/95, 1/9/96, 2/1/05)*

**17.1.6.4.1 Travel Day.** A travel day related to athletics participation may be considered as a day off, provided no countable athletically related activities (see Bylaw 17.02.1) occur during that day. *(Adopted: 1/10/91 effective 8/1/91)*

**17.1.6.4.2 Canceled Competition.** When an institution's competition is canceled prior to the start of competition or canceled prior to the competition being considered a completed event in accordance with the playing rules of that sport, an institution may use that day as its required day off, provided the institution does not engage in any further countable athletically related activities during that day. *(Adopted: 1/16/93)*

**17.1.6.4.3 Preseason Practice.** An institution is not required to provide student-athletes with one day off per week during preseason practice that occurs prior to the first day of classes, or the first scheduled contest, whichever is earlier. *(Adopted: 1/10/92)*

**17.1.6.4.4 Vacation Period.** It is permissible to use a vacation day to satisfy the day-off-per-week requirement. *(Adopted: 1/10/92)*

**17.1.6.4.5 Non-NCAA Postseason Championship.** The one-day-off-per-week requirement is applicable to a non-NCAA postseason championship (e.g., national governing body championship) unless the event is open only to intercollegiate teams or intercollegiate competitors. *(Adopted: 1/9/96)*

**17.1.6.4.6 Multiple Conference Championships.** An institution that participates in multiple conference championships is not subject to the one-day-off-per-week requirement in the one conference championship that it exempts from its maximum contest limitations. *(Adopted: 1/9/96)*

**17.1.6.4.7 Exception—Basketball.** If an institution's team participates in three contests in a week, an institution is not subject to the one-day-off-per-week requirement, provided the student-athletes do not engage in any countable athletically related activities for two days during either the preceding or the following week. *(Adopted: 4/27/06 effective 8/1/06)*

**17.1.6.5 Required Days Off—Outside the Playing Season.** Outside the playing season during the academic year, all countable athletically related activities (per Bylaw 17.02.1) are prohibited during two calendar days per week. *(Adopted: 4/29/04 effective 8/1/04)*

**17.1.6.6 Additional Restrictions.**

**17.1.6.6.1 No Class Time Missed in Conjunction with Nonchampionship Segment Competition—Baseball, Cross Country, Field Hockey, Lacrosse, Soccer, Softball and Volleyball.** In baseball, cross country (for institutions without indoor or outdoor track and field), field hockey, lacrosse, soccer, softball and volleyball, no class time shall be missed in conjunction with nonchampionship segment competition, including activities associated with such competition (e.g., travel and other pregame or postgame activities). *(Adopted: 4/28/11 effective 8/1/11)*

**17.1.6.6.1.1 Exception—Institution Located in Hawaii.** The provisions of Bylaw 17.1.6.6.1 do not apply to an active member institution located in Hawaii. *(Adopted: 4/28/11 effective 8/1/11)*

**17.1.6.6.1.2 Exception—Nonchampionship Segment Travel to Hawaii or Alaska—Once Every Four Years.** A student-athlete may miss class time in conjunction with an institution's use of the once-in-four years exception for the use of any form of transportation for travel to Hawaii or Alaska for nonchampionship segment competition against an active member institution located in Hawaii or Alaska. *(Adopted: 4/28/11 effective 8/1/11)*

**17.1.6.6.2 No Class Time Missed for Practice Activities.** No class time shall be missed at any time (e.g., regular academic term, mini term, summer term) for practice activities except when a team is traveling to an away-from-home contest and the practice is in conjunction with the contest. *(Adopted: 1/10/91 effective 8/1/91, Revised: 5/13/10)*



**17.1.6.6.2.1 Exception—Championship Practice.** At any conference or NCAA championship, student-athletes from the team representing the host institution shall be permitted to miss class time to attend practice activities conducted the day before the competition. (*Adopted: 4/27/00, Revised: 4/26/01*)

**17.1.6.6.3 Countable Athletically Related Activities Between Midnight and 5 a.m.** Countable athletically related activities shall not occur between midnight and 5 a.m. (*Adopted: 1/16/10*)

**17.1.6.6.3.1 Exceptions.** Countable athletically related activities may occur between midnight and 5 a.m. under the following circumstances: (*Adopted: 1/16/10*)

- (a) During participation in a conference championship or an NCAA championship;
- (b) Participation in any competition that begins before midnight and concludes after midnight; or
- (c) Participation in a promotional practice activity (e.g., first practice of the season).

**17.1.6.6.4 Preseason Off-Campus Intrasquad Games and Practice Activities Prohibition.** Preseason off-campus intrasquad games shall be prohibited in all sports. (*Adopted: 1/10/91 effective 8/1/91, Revised: 10/27/98 effective 8/1/99, 8/11/11*)

**17.1.6.6.5 Publicity of Preseason Off-Campus Practice Activities.** An institution may publicize off-campus preseason practice activities that are conducted at a single designated site, provided the institution normally conducts preseason practice activities at that particular site. (*Adopted: 8/11/11*)

**17.1.6.7 Exception—Eligibility Exhausted.** A student-athlete who has exhausted his or her eligibility in a sport, but is eligible for practice under the five-year rule, is not subject to the time limits of Bylaw 17.1.6. (*Adopted: 4/24/08 effective 8/1/08*)

### **17.1.7 General Regulations for Computing Playing Seasons Applicable to All Sports.**

- (a) **Makeup Contests.** An institution is not permitted to extend the playing season to make up suspended or canceled games (including games that determine a conference champion or the automatic qualifier to the NCAA championship); (*Adopted: 1/10/92*)
- (b) **NCAA or NAIA Championships Participation in Team Sports.** Neither practice for nor participation in any NCAA or NAIA championship event (including play-in contests conducted pursuant to NCAA championships) is considered part of the institution's declared playing season. A member institution that has reason to believe it is under consideration for selection to participate in an NCAA championship event may continue to practice (but may not compete against outside competition) beyond its last regular-season contest, including the conference championship (if any), without counting such practice against the institution's declared playing-season limitation until it is determined by the appropriate committee whether the institution will be selected to participate in the NCAA championship competition. An institution that is not selected to participate in the NCAA championship may continue to practice or compete until the end of that championship only if it has time remaining in its declared playing season;
- (c) **NCAA Championships Participation in Individual Sports.** Only appropriate squad members considered necessary for effective practice by the individual(s) preparing for the NCAA championships may continue to practice without counting such practice against the institution's declared playing-season limitation;
- (d) **Post-NCAA Championships Participation.** Following the conclusion of an NCAA (or NAIA) championship in a sport, an institution may resume practice and/or competition in the sport, provided it is continuing its permissible playing season in the sport and provided the activity occurs during the academic year;
- (e) **Conference Championships.** Conference championships must be included within the institution's playing season;
- (f) **Non-NCAA Postseason Championships Participation.** Practice and/or competition in non-NCAA (or non-NAIA) postseason championships (e.g., an invitational tournament scheduled after a conference championship) must be counted against the institution's declared playing-season limitation; except that practice and competition for one postseason championship in each non-NCAA championship sport used for sports sponsorship purposes pursuant to Bylaw 20.9.6.1.1, practice and competition for one recognized national intercollegiate championship event in an emerging sport for women pursuant to Bylaw 20.02.4 and practice and competition for the National Invitational Softball Championship, for the Intercollegiate Rowing Association (IRA) Women's Lightweight Rowing Championship, the National Invitational Volleyball Championship and the USA Gymnastics Collegiate National Championship are exempt from the institution's declared playing-season limitations in those sports; (*Revised: 1/16/93, 1/11/94, 8/11/98, 4/26/01, 4/29/04 effective 8/1/04, 10/28/10*)
- (g) **Foreign Tours.** Participation by a member institution on a certified foreign tour (see Bylaw 17.28) need not be included within the institution's declared playing-season limitation in the sport.

**17.1.7.1 Combining Segments.** In those sports that have different contest limitations in the segment concluding with the NCAA championship and the other segment, a member institution that conducts a single continuous segment in a sport (rather than dividing its playing season for that sport into two distinct segments as permitted in Bylaw 17.1.2) shall be limited to the number of contests or dates of competition permitted only for the segment that concludes with the NCAA championship. (*Adopted: 1/14/97 effective 8/1/97*)



**FIGURE 17-1****Maximum Number of Contests and Dates of Competition for Each Sport**

<b>Sport</b>	<b>Contests</b>	<b>Dates of Competition</b>
Baseball	56	
Basketball	27 or 29 <sup>1</sup>	
Bowling, Women's		32
Cross Country		7 <sup>2</sup>
Equestrian, Women's		15
Fencing		11
Field Hockey Championship Segment Other Segment	20	5
Football FBS FCS	12 11 <sup>3</sup>	
Golf		24
Gymnastics		13
Ice Hockey, Men's and Women's	34	
Lacrosse, Men's		17
Lacrosse, Women's Championship Segment Other Segment		17 5
Rifle		13
Rowing, Women's		20
Rugby, Women's	11	
Sand Volleyball, Women's		16
Skiing		16 (Alpine) 16 (Nordic)
Soccer Championship Segment Other Segment	20	5
Softball Championship Segment Other Segment	56 8	
Swimming and Diving		20
Tennis Overall Individual Singles and/or Doubles Tournaments		25 7
Track and Field (Indoor and Outdoor)		18 <sup>4</sup>
Volleyball, Men's Championship Segment Other Segment		28 4
Volleyball, Women's Championship Segment Other Segment		28 4
Water Polo, Men's		21
Water Polo, Women's		21
Wrestling		16

<sup>1</sup> See Bylaw 17.3.5.1 Twenty-seven contests and one qualifying regular-season multiple-team event or 29 contests and no qualifying regular-season multiple-team event.

<sup>2</sup> See Bylaw 17.5.5.1.1 for institutions that sponsor men's or women's cross country but do not sponsor indoor or outdoor track and field.

<sup>3</sup> Twelve football contests shall be permitted during those years in which there are 14 Saturdays from the first permissible playing date through the last playing date in November (see Bylaw 17.9.5.1).

<sup>4</sup> See Bylaw 17.23.5.1 for institutions that sponsor indoor and outdoor track and field and participate in at least the minimum number of contests with at least the minimum number of participants.

**FIGURE 17-2****First Practice, Contest or Date of Competition, and End-of-Season Dates**

<b>Sport</b>	<b>First Practice Date</b>	<b>First Contest Date or Date of Competition</b>	<b>End of Regular Playing Season</b>
Baseball (Championship Segment)	January 24, 2014; January 23, 2015; January 29, 2016	February 14, 2014; February 13, 2015; February 19, 2016	Conclusion of the NCAA Division I Baseball Championship
(Other Segment)	September 1 of each year	September 1 of each year	November 30 of each year
Basketball, Men's	42 days before first regular-season contest	November 8, 2013; November 14, 2014; November 13, 2015 (Exceptions: See Bylaw 17.3.3.1)	NCAA Division I Men's Basketball Championship game
Basketball, Women's	40 days before first regular-season contest	November 8, 2013; November 14, 2014; November 13, 2015 (Exceptions: See Bylaw 17.3.3.1)	NCAA Division I Women's Basketball Championship game
Bowling, Women's	October 1 of each year	October 1 of each year	Last day of final exams for the regular academic year
Cross Country	Date that permits 21 "practice units" before first scheduled date of competition	August 30, 2013; August 29, 2014; September 1, 2015	Last day of final exams for academic year
Field Hockey	Date that permits 21 "practice units" before first scheduled contest	August 30, 2013; August 29, 2014; August 28, 2015	Last day of final exams for academic year
Football	Date that permits 40 "practice units" before first scheduled contest	August 29, 2013; August 28, 2014; September 3, 2015	Second Saturday or Sunday in December (Exception: See Bylaw 17.9.4)
Golf	September 7 or the first day of classes, whichever occurs first (Exceptions: See Bylaws 17.10.2.1 and 17.10.2.2)	September 7 or the first day of classes, whichever occurs first (Exceptions: See Bylaws 17.10.3.1 and 17.10.3.2)	Conclusion of the NCAA Division I Golf Championships
Ice Hockey, Men's	October 5, 2013; October 4, 2014; October 3, 2015	October 5, 2013; October 4, 2014; October 3, 2015	Last day of final exams for academic year
Ice Hockey, Women's	September 21, 2013; September 20, 2014; September 19, 2015	September 21, 2013; September 20, 2014; September 19, 2015	Last day of final exams for academic year
Rugby, Women's	Date that permits 21 "practice units" before first scheduled date of competition	August 30, 2013; August 29, 2014; September 1, 2015	Last day of final exams for academic year
Sand Volleyball, Women's	September 7 or the first day of classes, whichever occurs first	March 6, 2014; March 5, 2015; March 3, 2016	Conclusion of recognized national intercollegiate championship event

<b>Sport</b>	<b>First Practice Date</b>	<b>First Contest Date or Date of Competition</b>	<b>End of Regular Playing Season</b>
Soccer, Men's	Date that permits 21 "practice units" before first scheduled contest	August 30, 2013; August 29, 2014; August 28, 2015	Last day of final exams for academic year
Soccer, Women's	Date that permits 21 "practice units" before first scheduled contest	August 23, 2013; August 22, 2014; August 21, 2015	Last day of final exams for academic year
Softball (Championship Segment) ----- (Other Segment)	September 1 or the first day of classes, whichever is later, or September 15 (see Bylaw 17.20.2)	February 6, 2014; February 5, 2015; February 11, 2016 ----- September 1 or the first day of classes, whichever is later, or September 15 (see Bylaw 17.20.3)	Conclusion of the NCAA Division I Softball Championship
Volleyball, Women's (Championship Segment) ----- (Other Segment)	Date that permits 29 "practice units" before the first intercollegiate contest (excluding an alumni match) or September 1, whichever is later ----- January 1 of each year	August 30, 2013; August 29, 2014; August 28, 2015 ----- January 1 of each year	NCAA Division I Women's Volleyball Championship game [See Bylaw 17.1.7-(b)] ----- Last day of final exams for academic year
Water Polo, Men's	Date that permits 21 "practice units" before first scheduled date of competition	September 7, 2013; September 6, 2014; September 5, 2015	Last day of final exams for academic year
Water Polo, Women's	September 7 or the institution's first day of classes for the fall term, whichever occurs first	September 7 or the institution's first day of classes for the fall term, whichever occurs first	Conclusion of the National Collegiate Women's Water Polo Championship
Wrestling	October 10 of each year	November 1 of each year	Last day of final exams for academic year
Other Team Sports Lacrosse Rowing Volleyball, Men's	September 7 or the first day of classes, whichever occurs first	September 7 or the first day of classes, whichever occurs first	Conclusion of the NCAA Division I or national collegiate championship in sport, whichever is applicable
Other Individual Sports Equestrian Fencing Gymnastics Rifle Skiing Swimming and Diving Tennis Track and Field (Indoor and Outdoor)	September 7 or the first day of classes, whichever occurs first	September 7 or the first day of classes, whichever occurs first	Conclusion of the NCAA Division I or National Collegiate Championship, whichever is applicable in gymnastics, tennis, and track and field  Last day of final exams for academic year in equestrian, fencing, rifle, skiing, and swimming and diving

**17.1.8 Use of Tobacco Products.** The use of tobacco products by a student-athlete is prohibited during practice and competition. A student-athlete who uses tobacco products during a practice or competition shall be disqualified for the remainder of that practice or competition. (*Adopted: 1/11/94 effective 8/1/94*)

## **17.2 Baseball.**

Regulations for computing the baseball playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.2.1 Length of Playing Season.** The length of an institution's playing season in baseball shall be limited to a 132-day season, which may consist of two segments. (*Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 1/9/06 effective 8/1/07*)

**17.2.1.1 Championship Segment.** An institution's championship segment must consist of consecutive days and may exclude only required days off per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised: 1/9/06 effective 8/1/07*)

**17.2.1.2 Nonchampionship Segment.** An institution's nonchampionship segment shall be conducted within a period of 45 consecutive calendar days during the months of September, October and November under the following conditions: (*Revised: 1/9/06 effective 8/1/07*)

- (a) Days used for practice or competition must be counted toward the 132-day season, but are not required to be consecutive;
- (b) Days during which countable athletically related activities are limited solely to required conditioning activities and/or in skill-related instruction are not required to count toward the 132-day season;
- (c) During any week in which practice or competition occurs, a student-athlete's involvement in countable athletically related activities shall be limited to a maximum of four hours per day and 20 hours per week and all countable athletically related activities are prohibited during one calendar day per week; and
- (d) Any week in which practice or competition does not occur shall be considered outside the playing season (see Bylaw 17.1.6.2).

**17.2.2 Preseason Practice.** A member institution shall not commence practice sessions in baseball prior to the following dates: (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/9/06 effective 8/1/07*)

- (a) **Nonchampionship Segment.** September 1. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/9/06 effective 8/1/07*)
- (b) **Championship Segment.** The Friday that is three weeks prior to the first permissible contest date for the championship segment. (*Adopted: 1/9/06 effective 8/1/07, Revised: 4/30/09*)

**17.2.3 First Contest.** A member institution shall not play its first contest (game or scrimmage) with outside competition in baseball prior to the following dates: (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/9/06 effective 8/1/07*)

- (a) **Nonchampionship Segment.** September 1. (*Adopted: 1/14/97 effective 8/1/97, Revised: 1/9/06 effective 8/1/07*)
- (b) **Championship Segment.** The Friday in February that is 14 weeks before the Friday immediately preceding Memorial Day (see Figure 17-2), except that a single alumni contest may be played any time after the first permissible practice date of the championship segment. (*Adopted: 1/9/06 effective 8/1/07, Revised: 1/15/09, 4/30/09*)

**17.2.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in baseball by the conclusion of the NCAA Division I Baseball Championship. (*Revised: 1/14/97 effective 8/1/97*)

### **17.2.5 Number of Contests.**

**17.2.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in baseball during the institution's baseball playing season to 56 contests (games and scrimmages), except for those contests excluded under Bylaws 17.2.5.3 and 17.2.5.4. (*Revised: 1/10/91 effective 8/1/91*)

**17.2.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in baseball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.2.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 56 baseball contests (this limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (*Revised: 1/10/91 effective 8/1/91*)

**17.2.5.3 Annual Exemptions.** The maximum number of baseball contests shall exclude the following: (*Revised: 2/24/03*)

- (a) **Conference Championship.** Competition in one conference championship tournament in baseball (or the tournament used to determine the conference's automatic entry in an NCAA baseball championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference baseball championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA baseball championship without the game(s) being counted as a postseason tournament;
- (c) **Season-Ending Tournaments.**
  - (1) **NCAA Championship.** Competition in the NCAA Division I Baseball Championship; (*Revised: 1/9/96 effective 8/1/96*)
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) baseball championship; (*Revised: 1/9/96 effective 8/1/96*)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) **Alumni Game.** One baseball contest each year against an alumni team of the institution;
- (f) **Foreign Team in the United States.** One baseball contest each year with a foreign opponent in the United States;
- (g) **Hawaii or Alaska.** Any games played in Hawaii or Alaska, respectively, against an active Division I institution located in Hawaii or Alaska, by a member located outside the area in question; (*Adopted: 1/9/96 effective 8/1/96*)
- (h) **Fundraising Activity.** Any baseball activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's baseball team who participate in local celebrity baseball activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss class time as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (j) **U.S. National Team.** One game played against any team selected and designated by the appropriate national governing body in baseball as a U.S. national team (e.g., "Under-21" U.S. national team). (*Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00*)

**17.2.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07*)

**17.2.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.2.1, except as permitted in Bylaw 17.1.6.2. (*Revised: 1/10/91 effective 8/1/91*)

**17.2.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. (*Revised: 1/10/91 effective 8/1/91, 4/28/05, 11/1/07 effective 8/1/08*)

**17.2.7 Camps and Clinics.** There are no limits on the number of student-athletes in baseball who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

### 17.2.8 Other Restrictions.

#### 17.2.8.1 Noncollegiate, Amateur Competition.

**17.2.8.1.1 During Academic Year.** A student-athlete in baseball who participates during the academic year as a member of any outside baseball team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate baseball competition shall be ineligible for intercollegiate baseball competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). (*Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05*)

**17.2.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed four. (*Adopted: 1/11/94 effective 8/1/94*)



**17.2.8.1.2 Out of Season.** A member institution may permit not more than four student-athletes with eligibility remaining in intercollegiate baseball to practice or compete out of season on an outside, amateur baseball team (competition on an outside team permitted only during the summer except as provided in Bylaw 17.2.8.1.1.1). *(Revised: 1/10/91 effective 8/1/91)*

**17.2.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with remaining eligibility from that institution's baseball team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.2.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.2.8.2 Equipment Issue, Squad Pictures.** No limitations. *(Revised: 1/11/89, 1/10/05)*

**17.2.8.3 Varsity Squad Size Limitation—Championship Segment.** An institution shall declare a varsity squad of a maximum of 35 student-athletes by the day prior to its first scheduled contest in the championship segment of the playing and practice season. Only those student-athletes who are declared as varsity squad members at that time shall be eligible to participate in countable athletically related activities with the varsity squad during the remainder of the championship segment. Declared varsity squad members shall not participate in countable athletically related activities with an institution's subvarsity team (e.g., freshman, junior varsity). A student-athlete who is a counter (per Bylaw 15.5.1) must be included in the varsity squad limit. *(Adopted: 4/26/07 effective 8/1/08, Revised: 10/22/07)*

## 17.3 Basketball.

Regulations for computing the basketball playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.3.1 Length of Playing Season.** The length of an institution's playing season in basketball shall be limited to the period of time between the start of preseason practice (see Bylaw 17.3.2) and the end of the regular playing season (see Bylaw 17.3.4). (See Bylaw 17.3.3 for restrictions on first contest date.)

### 17.3.2 Preseason Practice—On-Court Practice.

**17.3.2.1 Men's Basketball.** An institution shall not commence on-court preseason basketball practice sessions before the date that is 42 days before the date of the institution's first regular-season contest. An institution shall not engage in more than 30 days of countable athletically related activities before its first regular-season contest. *(Revised: 4/28/05 effective 8/1/05, 1/14/08 effective 8/1/08, 5/2/13 effective 8/1/13)*

**17.3.2.2 Women's Basketball.** An institution shall not commence on-court preseason basketball practice sessions before the date that is 40 days before the date of the institution's first regular-season contest. An institution shall not engage in more than 30 days of countable athletically related activities before its first regular-season contest. *(Adopted: 4/29/10, Revised: 1/14/12 effective 8/1/12)*

**17.3.2.3 Permissible Conditioning Activities.** Team conditioning or physical-fitness activities supervised by coaching staff members may be conducted on or off court but shall not begin prior to the beginning of the institution's academic year in accordance with Bylaw 17.1.6.2. Such activities shall be limited to eight hours per week. *(Revised: 1/10/90 effective 8/1/92, 1/16/93, 1/11/94)*

**17.3.2.4 Prohibited Activities.** Prior to the start of on-court preseason basketball practice per Bylaw 17.3.2, members of the institution's coaching staff may not be involved with one or more team members at any location in any of the following activities except as permitted in Bylaws 17.1.6.2.2 and 17.3.6: *(Revised: 1/10/90 effective 8/1/92, 1/11/94, 1/14/97 effective 8/1/97)*

- (a) Setting up offensive or defensive alignments;
- (b) Chalk talks;
- (c) Discussions of game strategy;
- (d) Reviewing game films or videotapes;
- (e) Activities using basketball equipment; or
- (f) Observing student-athletes in any basketball activities even if such activities are not arranged by the institution's coach. *(Revised: 9/23/05)*

**17.3.2.4.1 Exception—Team Promotional Activities.** Team promotional activities (e.g., autograph sessions, fan picture sessions, meeting with fans) per Bylaw 12.5.1 are permissible prior to the start of on-court preseason basketball practice per Bylaw 17.3.2, provided these promotional arrangements do not involve any of the practice activities prohibited under the provisions of Bylaw 17.3.2.4. (*Revised: 1/11/94, 1/14/97 effective 8/1/97*)

**17.3.2.4.2 Exception—Officiating Clinic.** Prior to the start of on-court preseason basketball practice per Bylaw 17.3.2, student-athletes may observe an officiating clinic related to playing rules that is conducted by video conference, provided no student-athlete misses class time to observe the clinic. (*Adopted: 1/9/96, Revised: 1/14/97 effective 8/1/97*)

**17.3.3 First Contest.** An institution shall not play its first contest (game or scrimmage) with outside competition in basketball prior to the second Friday of November (see Figure 17-2). (*Revised: 4/27/06 effective 8/1/06*)

**17.3.3.1 Exceptions.** The following basketball contests (games or scrimmages) are permitted prior to the first contest dates specified under Bylaw 17.3.3:

- (a) **Practice Scrimmage.** An informal practice scrimmage with outside competition exempted per Bylaw 17.3.5.3-(h) may occur at any point during the permissible playing and practice season (see Bylaw 17.3.1), provided it is conducted in privacy and without publicity or official scoring. Individuals other than athletics department staff members and those necessary to conduct a basketball practice scrimmage against outside competition may not be present during such a scrimmage. The institution shall ensure that the scrimmage is free from public view. No class time shall be missed by basketball student-athletes in conjunction with such a scrimmage, including all associated activities (e.g., travel, pregame and post-game activities); and (*Revised: 10/27/98 effective 8/1/99, 5/12/05, 1/17/09 effective 8/1/09, 3/2/09*)
- (b) **Exhibition Against a Non-NCAA Division I Four-Year Collegiate Institution.** An exhibition contest exempted per Bylaw 17.3.5.3-(g) may occur after the date on which an institution may commence on-court preseason practice (see Bylaw 17.3.2). (*Adopted: 1/10/95, Revised: 1/11/97, 4/22/98, 10/28/99, 4/29/04 effective 8/1/04, 1/14/08 effective 8/1/08, 1/17/09 effective 8/1/09; contracts signed before 8/15/08 may be honored*)

**17.3.4 End of Playing Season.** A member institution's last contest (game or scrimmage or postseason tournament contest) with outside competition in basketball shall not be played after the respective Division I Basketball Championship game. However, an institution may not continue to practice (or compete against outside competition) in basketball beyond its last regular-season contest, including the conference championship (if any), unless it has reason to believe it is under consideration for selection to participate in an NCAA championship or other postseason national championship event. An institution not selected to participate in the championship or an institution that loses in the championship may not continue to practice until the conclusion of the championship event.

### 17.3.5 Number of Contests.

**17.3.5.1 Maximum Limitations—Institutional.** An institution shall limit its total regular-season playing schedule with outside competition in basketball during the playing season to one of the following (except for those contests excluded under Bylaw 17.3.5.3): (*Revised: 4/27/06 effective 8/1/06*)

- (a) 27 contests (games or scrimmages) and one qualifying regular-season multiple-team event per Bylaw 17.3.5.1.1; or
- (b) 29 contests (games or scrimmages) during a playing season in which the institution does not participate in a qualifying regular-season multiple-team event.

**17.3.5.1.1 Qualifying Regular-Season Multiple-Team Event.** A qualifying regular-season multiple-team event is one in which: (*Revised: 4/27/06 effective 8/1/06*)

- (a) The event is sponsored by the NCAA, an active or affiliated member or a member conference of the Association and must take place in the Commonwealth of The Bahamas, Canada, Mexico or the United States or one of its territories; (*Revised: 4/26/07 effective 8/1/07, 1/15/11 effective 8/1/11*)
- (b) The event includes not more than four contests per institution and concludes not later than 14 days after the first contest of the event;
- (c) Participation is limited, by conference, to one team per conference and, by institution, to not more than once in the same event in any four-year period; and
- (d) Each participating institution is using Bylaw 17.3.5.1-(a) as its maximum contest limitation for the playing season in which it participates in the event.

**17.3.5.1.1.1 Hawaii/Alaska/Puerto Rico Exception.** An active Division I institution located in Hawaii, Alaska or Puerto Rico that serves as the sponsor of a qualifying regular-season multiple-team event is not required to count its participation in the event as its one qualifying regular-season multiple-team event pursuant to Bylaw 17.3.5.1-(a), provided the event is conducted in the institution's state or territory and the institution counts all contests of the event in which it is a participant toward its maximum contest limitations. Such an event qualifies as a qualifying regular-season

multiple-team event for the other participating institutions, provided all such institutions use Bylaw 17.3.5.1-(a) as the maximum contest limitation for the playing season in which they participate in the event. (*Adopted: 6/13/06*)

**17.3.5.1.2 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in basketball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.3.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate each playing season in not more than either 27 basketball contests and one regular-season qualifying multiple-team event per Bylaw 17.3.5.1.1 or 29 contests in an academic year in which the institution does not participate in a regular-season qualifying multiple-team event. This limitation includes those contests in which the student-athlete represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. Further, an individual student-athlete may participate each year in only one postseason basketball tournament as a member of the institution's varsity, junior varsity or freshman team. (*Revised: 1/10/90, 1/10/91 effective 8/1/92, 1/12/99 effective 8/1/99, 4/27/06 effective 8/1/06*)

**17.3.5.3 Annual Exemptions.** The following basketball contests each year may be exempted from a member institution's maximum number of contests: (*Revised: 4/27/06 effective 8/1/06*)

- (a) **Conference Season-End Tournament.** A regularly scheduled, season-end, single-elimination basketball championship tournament conducted by a conference (or the tournament used to determine the conference's automatic entry in an NCAA basketball tournament) among some or all of its members. (*Adopted: 4/27/06 effective 8/1/06*)
- (b) **Postseason Tournament.** Contests in one postseason basketball tournament (e.g., NCAA championship, NAIA championship) unless the institution has participated in the Men's National Invitation Tournament or the Women's National Invitation Tournament. A postseason tournament involves competition after the end of the regular season between teams that are not identified until the close of that regular season; (*Revised: 1/9/96 effective 8/1/96*)
- (c) **Conference Playoff.** Competition involving member institutions that tie for a conference basketball championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA basketball championship without the game(s) being counted as a postseason tournament;
- (d) **Automatic-Qualification Contests.** Contests between conference champions that are provided by Board of Directors, Leadership Council or Championships/Sports Management Cabinet action in order to determine selection for automatic qualification into the Division I Men's Basketball Championship; (*Adopted: 1/10/90, Revised: 11/1/07 effective 8/1/08*)
- (e) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (f) **Regular-Season Contest Against Alaska/Hawaii Member.** One regular-season game in Hawaii or Alaska versus a member institution located in that state; (*Adopted: 1/9/96 effective 8/1/96*)
- (g) **Exhibition Against a Non-NCAA Division I Four-Year Collegiate Institution.** An exhibition contest against a four-year collegiate institution (other than an NCAA Division I institution) played in the arena in which the member institution regularly plays its home contests. An institution may exempt two such contests during any year in which it does not use the exemption set forth in Bylaw 17.3.5.3-(h), or it may exempt one such contest and one practice scrimmage as defined in Bylaw 17.3.5.3-(h) each year; and (*Adopted: 10/28/99 effective 8/1/00, Revised: 4/29/04 effective 8/1/04, 1/17/09 effective 8/1/09; contracts signed before 8/15/08 may be honored*)
- (h) **Practice Scrimmage.** An informal practice scrimmage with outside competition, provided it is conducted in privacy and without publicity or official scoring. Individuals other than athletics department staff members and those necessary to conduct a basketball practice scrimmage against outside competition may not be present during such a scrimmage. The institution shall ensure that the scrimmage is free from public view. No class time shall be missed by basketball student-athletes in conjunction with such a scrimmage, including all associated activities (e.g., travel, pregame and postgame activities). An institution may exempt two such practice scrimmages during any year in which it does not use the exemption set forth in Bylaw 17.3.5.3-(g), or it may exempt one such practice scrimmage and one exhibition contest against a non-NCAA Division I four-year collegiate institution each year. (*Adopted: 10/27/98 effective 8/1/99, Revised: 4/29/04 effective 8/1/04, 1/17/09 effective 8/1/09*)

**17.3.5.4 Foreign Tour.** The games played on a foreign basketball tour shall be excluded from the maximum number of contests, provided the tour is conducted in accordance with the procedures set forth in Bylaw 17.28. (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/12/99 effective 8/1/99, 4/27/06 effective 8/1/06*)

**17.3.6 Out-of-Season Athletically Related Activities.** In basketball, student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's playing season except as permitted in Bylaw 17.1.6.2. (*Revised: 4/28/05 effective 8/1/05, 4/27/06 effective 8/1/06*)

**17.3.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. (*Adopted: 4/28/05, Revised: 9/27/05, 11/1/07 effective 8/1/08*)

**17.3.7 Camps and Clinics.** There are no limits on the number of student-athletes in basketball who may be employed (e.g., as counselors) in camps or clinics. Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (See Bylaw 13.12 for restrictions on such employment.) (*Revised: 1/10/92, 1/11/94*)

### 17.3.8 Other Restrictions.

**17.3.8.1 Noncollegiate, Amateur Competition.** A student-athlete shall be ruled ineligible for intercollegiate basketball competition if the student participates in any organized basketball competition except while representing the member institution or except as permitted in accordance with Bylaw 14.6.3.

**17.3.8.2 Maximum Number of Student-Athletes on Outside Team.** Following is the maximum number of student-athletes from the same member institution with eligibility remaining who may compete on an outside team:

- (a) State or national multisport events—2.
- (b) Foreign tour (outside team or all-star team)—2.
- (c) Olympic and national team development programs and competition—No limitations.
- (d) Summer basketball team in certified league—2 [see Bylaw 14.6.4.1-(e)]. (*Revised: 4/27/00*)

**17.3.8.3 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with remaining eligibility from that institution's basketball team except as provided in Bylaws 14.6.3, 17.1.1.1 and 17.28. (*Revised: 4/28/05 effective 8/1/05*)

**17.3.8.4 Equipment Issue, Squad Pictures.** No limitations. (*Revised: 1/10/05*)

**17.3.8.5 Celebrity Sports Activity.** A limit of two student-athletes from a member institution's basketball team may participate in local celebrity sports activities, other than in basketball, conducted for the purpose of raising funds for charitable organizations, provided:

- (a) The student-athletes do not miss classes as a result of the participation;
- (b) The involvement of the student-athletes has the approval of the institution's athletics director; and
- (c) The activity takes place within a 30-mile radius of the institution's main campus.

## 17.4 Bowling, Women's.

Regulations for computing the bowling playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.) (*Adopted: 1/9/96 effective 8/1/96*)

**17.4.1 Length of Playing Season.** The length of an institution's playing season in bowling shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97*)

**17.4.2 Preseason Practice.** A member institution shall not commence practice sessions in bowling prior to October 1. (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, 1/15/11 effective 8/1/11*)

**17.4.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in bowling prior to October 1. (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, 1/15/11 effective 8/1/11*)

**17.4.4 End of Regular Season.** A member institution shall conclude all practice and competition (meets and practice meets) in each segment in bowling by the conclusion of the last date of final exams for the regular academic year at the institution. (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, 10/31/03*)

### 17.4.5 Number of Dates of Competition.

**17.4.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in bowling during the institution's bowling playing season to 32 dates of competition (games and scrimmages), except for those dates of competition excluded under Bylaws 17.4.5.3 and 17.4.5.4 (see Bylaw 20.9.6.3 for minimum contests and participants requirements). (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/15/11 effective 8/1/11*)



**17.4.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in bowling in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years. *(Adopted: 1/9/96 effective 8/1/96)*

**17.4.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in 32 dates of competition in bowling (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). *(Adopted: 1/9/96 effective 8/1/96, Revised: 1/15/11 effective 8/1/11)*

**17.4.5.3 Annual Exemptions.** The maximum number of dates of competition in bowling shall exclude the following: *(Adopted: 1/9/96 effective 8/1/96, Revised: 2/24/03)*

- (a) **Conference Championship.** Competition in one conference championship meet in bowling;
- (b) **NCAA Championship.** Competition in the National Collegiate Bowling Championship; *(Revised: 10/31/03, 10/5/10)*
- (c) **Alumni Meet.** One date of competition each year against an alumni team of the institution;
- (d) **Foreign Team in the United States.** One date of competition each year with a foreign opponent in the United States;
- (e) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (f) **Fundraising Activity.** Any bowling activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1); and
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's bowling team who participate in local celebrity bowling activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.

**17.4.5.4 Foreign Tour.** The dates of competition on a foreign tour shall be excluded from the maximum number of dates of competition, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28.

**17.4.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season pursuant to Bylaw 17.4.1 except as permitted in Bylaw 17.1.6.2. *(Adopted: 1/9/96 effective 8/1/96)*

**17.4.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/28/05, 11/1/07 effective 8/1/08)*

**17.4.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/28/05)*

**17.4.7 Camps and Clinics.** There are no limits on the number of student-athletes in bowling who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Adopted: 1/9/96 effective 8/1/96)*

#### **17.4.8 Other Restrictions.**

##### **17.4.8.1 Noncollegiate, Amateur Competition.**

**17.4.8.1.1 During Academic Year.** A student-athlete in bowling who participates during the academic year as a member of any outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) except while representing the institution in intercollegiate competition shall be ineligible for intercollegiate competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Adopted: 1/9/96 effective 8/1/96, Revised: 10/3/05)*

**17.4.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. *(Adopted: 1/9/96 effective 8/1/96)*



**17.4.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside amateur team (competition on an outside team permitted only during the summer except as provided in Bylaw 17.4.8.1.1.1). *(Adopted: 1/9/96 effective 8/1/96)*

**17.4.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.4.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.4.8.2 Equipment Issue, Squad Pictures.** No limitations. *(Adopted: 1/9/96 effective 8/1/96)*

## 17.5 Cross Country.

Regulations for computing the cross country playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.5.1 Length of Playing Season.** The length of an institution's playing season in cross country shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.5.1.1 Track and Field Student-Athletes Participating in Cross Country.** Track and field student-athletes listed as participants for cross country must participate fully in cross country practices. If student-athletes are practicing in track and field events unrelated to cross country, such practices must be counted in the institution's established segment in track and field. *(Adopted: 1/10/92)*

**17.5.2 Preseason Practice.** A member institution shall not commence practice sessions in cross country prior to the date that permits a maximum of 21 units (see Bylaw 17.02.11) prior to the first scheduled intercollegiate contest. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/07, 4/14/03)*

**17.5.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in cross country prior to September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday (see Figure 17-2). *(Revised: 1/10/91 effective 8/1/91, 1/16/93 effective 8/1/93, 4/15/97)*

**17.5.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in cross country by the last date of final examinations for the regular academic year at the institution. *(Revised: 1/14/97 effective 8/1/97)*

### 17.5.5 Number of Dates of Competition.

**17.5.5.1 Maximum Limitations—Institutional.** An institution shall limit its total playing schedule with outside competition in cross country during the institution's cross country playing season to seven dates of competition (games and scrimmages), except for those dates of competition excluded under Bylaws 17.5.5.3 and 17.5.5.4 (see Bylaw 20.9.6.3 for minimum contests and participants requirements). *(Revised: 1/10/91 effective 8/1/91)*

**17.5.5.1.1 Exception—Cross Country Without Indoor or Outdoor Track and Field.** An institution that sponsors men's or women's cross country but does not sponsor indoor or outdoor track and field shall limit its total playing schedule with outside competition during the cross country playing season to seven dates of competition during the segment in which the NCAA championship is conducted and five dates of competition during another segment. Travel to competition in the nonchampionship segment shall be restricted to ground transportation, unless there are no Division I institutions that sponsor the sport located within 400 miles of the institution. *(Adopted: 1/16/93, Revised: 1/11/94 effective 8/1/94, 4/29/10 effective 8/1/10)*

**17.5.5.1.1.1 Hawaii or Alaska Exception—Nonchampionship Segment Travel.** Once every four years, an institution may use any form of transportation for travel to Hawaii or Alaska for non-championship segment competition against an active member institution located in Hawaii or Alaska. *(Adopted: 4/28/11 effective 8/1/11)*

**17.5.5.1.2 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in cross country in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.5.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than seven cross country dates of competition (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). *(Revised: 1/10/91 effective 8/1/91)*

**17.5.5.2.1 Exception—Cross Country Without Indoor or Outdoor Track and Field.** An individual student-athlete who attends an institution that sponsors men's or women's cross country but does not sponsor indoor or outdoor track and field may participate during each academic year in seven dates of competition during the segment in which the NCAA championship is conducted in cross country and five dates of competition during another segment. *(Adopted: 1/16/93, Revised: 1/11/94 effective 8/1/94)*

**17.5.5.3 Annual Exemptions.** The maximum number of dates of competition in cross country shall exclude the following: *(Revised: 2/24/03)*

- (a) **Conference Championship.** Competition in one conference championship meet in cross country;
- (b) **Season-Ending Tournaments.**
  - (1) **NCAA Championship.** Competition in the NCAA cross country championships;
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) cross country championships;
- (c) **Alumni Meet.** One date of competition in cross country each year against an alumni team of the institution;
- (d) **Foreign Tour in the United States.** One date of competition in cross country each year with a foreign opponent in the United States;
- (e) **Hawaii or Alaska.** Any dates of competition played in Hawaii or Alaska, respectively, against an active Division I institution located in Hawaii or Alaska, by a member located outside the area in question; *(Adopted: 1/9/96 effective 8/1/96)*
- (f) **Fundraising Activity.** Any cross country activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's cross country team who participate in local celebrity cross country activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (h) **U.S. National Team.** One date of competition against any team as selected and designated by the appropriate national governing body in cross country as a U.S. national team (e.g., "Under-21" U.S. national team). *(Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00)*

**17.5.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07)*

**17.5.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.5.1 except as permitted in Bylaw 17.1.6.2. *(Revised: 1/10/91 effective 8/1/91)*

**17.5.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Revised: 1/10/91 effective 8/1/91, 1/10/92, 4/28/05, 11/1/07 effective 8/1/08)*

**17.5.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. *(Adopted: 1/10/92, Revised: 1/11/94, 4/28/05)*

**17.5.7 Camps and Clinics.** There are no limits on the number of student-athletes in cross country who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Revised: 1/10/92)*

## 17.5.8 Other Restrictions.

### 17.5.8.1 Noncollegiate, Amateur Competition.

**17.5.8.1.1 During Academic Year.** A student-athlete in cross country who participates during the academic year as a member of any outside cross country team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) except while representing the institution in intercollegiate cross country competition shall be ineligible for intercollegiate cross country competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.5.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. *(Adopted: 1/11/94 effective 8/1/94)*

**17.5.8.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate cross country who may practice or compete out of season on an outside amateur cross country team (competition on an outside team permitted only during the summer except as provided in Bylaw 17.5.8.1.1.1). *(Revised: 1/10/91 effective 8/1/91, 1/16/93)*

**17.5.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's cross country team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.5.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.5.8.2 Equipment Issue, Squad Pictures.** No limitations.

## 17.6 Equestrian, Women's.

Regulations for computing the equestrian playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.6.1 Length of Playing Season.** The length of an institution's playing season in equestrian shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Adopted: 4/25/02 effective 8/1/02)*

**17.6.2 Preseason Practice.** A member institution shall not commence practice sessions in equestrian prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Adopted: 4/25/02 effective 8/1/02)*

**17.6.3 First Date of Competition.** An institution shall not engage in its first date of competition (game or scrimmage) with outside competition in equestrian prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Adopted: 4/25/02 effective 8/1/02)*

**17.6.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in each segment in equestrian by the last date of final examinations for the regular academic year. *(Adopted: 4/25/02 effective 8/1/02)*

### 17.6.5 Number of Dates of Competition.

**17.6.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in the sport of equestrian during the institution's playing season to 15 dates of competition (games and scrimmages), which may include not more than five two-day competitions, which shall each count as a single date of competition. These limitations do not include those dates of competition excluded under Bylaws 17.6.5.3 and 17.6.5.4 (see Bylaw 20.9.6.3 for minimum contests and participants requirements). *(Adopted: 4/25/02 effective 8/1/02, Revised: 1/8/07 effective 8/1/07)*

**17.6.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in equestrian in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign

tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years. *(Adopted: 4/25/02 effective 8/1/02)*

**17.6.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in 15 dates of competition, which may include not more than five two-day competitions, which shall each count as a single date of competition (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). *(Adopted: 4/25/02 effective 8/1/02, Revised: 1/8/07 effective 8/1/07)*

**17.6.5.3 Annual Exemptions.** The maximum number of dates of competition in equestrian shall exclude the following: *(Adopted: 4/25/02 effective 8/1/02, Revised: 2/24/03)*

- (a) **Conference Championship.** Competition in one conference championship meet in equestrian;
- (b) **Season-Ending Championship Event.** Competition in one of the recognized national intercollegiate championship events in equestrian (e.g., U.S. Equestrian Federation, Varsity Equestrian National Championships, Intercollegiate Horse Shows Association National Championships); *(Revised: 7/6/05)*
- (c) **Alumni Show/Event.** One date of competition in equestrian each year against an alumni team of the institution;
- (d) **Foreign Team in the United States.** One date of competition in equestrian each year with a foreign opponent in the United States;
- (e) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in equestrian in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (f) **Fundraising Activity.** Any equestrian activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1); and
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's equestrian team who participate in local celebrity equestrian activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.

**17.6.5.4 Foreign Tour.** The dates of competition in equestrian on a foreign tour shall be excluded from the maximum number of dates of competition, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. *(Adopted: 4/25/02 effective 8/1/02)*

**17.6.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.6.1 except as permitted in Bylaw 17.1.6.2. *(Adopted: 4/25/02 effective 8/1/02)*

**17.6.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Adopted: 4/25/02 effective 8/1/02, Revised: 4/28/05, 11/1/07 effective 8/1/08)*

**17.6.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. *(Adopted: 1/10/92, Revised: 1/11/94, 4/28/05, 6/10/11)*

**17.6.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete uses equestrian equipment. The coach may provide safety or skill instruction but may not conduct the individual's workouts. *(Adopted: 4/25/02 effective 8/1/02)*

**17.6.8 Camps and Clinics.** There are no limits on the number of student-athletes in equestrian who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Adopted: 4/25/02 effective 8/1/02)*

**17.6.9 Other Restrictions.**

**17.6.9.1 Noncollegiate, Amateur Competition.**

**17.6.9.1.1 During Academic Year.** A student-athlete in equestrian who participates during the academic year as a member of any outside equestrian team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercolle-



giate equestrian competition shall be ineligible for intercollegiate equestrian competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Adopted: 4/25/02 effective 8/1/02, Revised: 10/3/05)*

**17.6.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. *(Adopted: 4/25/02 effective 8/1/02)*

**17.6.9.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate equestrian who may practice or compete out of season on an outside, amateur equestrian team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.6.9.1.1.1). *(Adopted: 4/25/02 effective 8/1/02)*

**17.6.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with remaining eligibility from that institution's equestrian team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.6.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided: *(Adopted: 4/25/02 effective 8/1/02)*

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 4/28/11)*

**17.6.9.2 Equipment Issue, Squad Pictures.** No limitations. *(Adopted: 4/25/02 effective 8/1/02, 1/10/05)*

## 17.7 Fencing.

Regulations for computing the fencing playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.7.1 Length of Playing Season.** The length of an institution's playing season in fencing shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.7.2 Preseason Practice.** A member institution shall not commence practice sessions in fencing prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97)*

**17.7.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in fencing prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97)*

**17.7.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (matches or practice matches) in fencing by the last date of final examinations for the regular academic year at the institution. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

### 17.7.5 Number of Dates of Competition.

**17.7.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in fencing during the permissible fencing playing season to 11 dates of competition, except for those dates of competition excluded under Bylaws 17.7.5.2 and 17.7.5.3 (see Bylaw 20.9.6.3 for minimum contests and participants requirements). *(Revised: 1/10/91 effective 8/1/91)*

**17.7.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in fencing in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.7.5.1.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 11 dates of competition in fencing. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. *(Revised: 1/10/91 effective 8/1/91)*



**17.7.5.2 Annual Exemptions.** The maximum number of dates of competition in fencing shall exclude the following: *(Revised: 2/24/03)*

(a) **Season-Ending Tournament.**

- (1) **NCAA Championship.** Competition in the National Collegiate Men's and Women's Fencing Championships;
  - (2) **Season-Ending Tournament.** Competition in one season-ending fencing tournament (e.g., conference championship, regional tournament) other than an NCAA fencing championship; *(Revised: 1/10/90)*
- (b) **Alumni Meet.** One date of competition in fencing each year against an alumni team of the institution;
- (c) **Foreign Team in the United States.** One date of competition in fencing each year with a foreign opponent in the United States;
- (d) **Hawaii or Alaska.** Any dates of competition in fencing in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; *(Revised: 1/9/96 effective 8/1/96)*
- (e) **Fundraising Activity.** Any fencing activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (f) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's fencing team who participate in local celebrity fencing activities conducted for the purpose of raising funds for charitable organizations, provided:
- (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (g) **U.S. National Team.** One date of competition against any team as selected and designated by the appropriate national governing body in fencing as a U.S. national team (e.g., "Under-21" U.S. national team). *(Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00)*

**17.7.5.3 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07)*

**17.7.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff at the student-athlete's institution shall not engage in countable athletically related activities (see Bylaw 17.02.1) outside the institution's declared playing season per Bylaw 17.7.1 except as permitted in Bylaw 17.1.6.2. *(Revised: 1/10/91 effective 8/1/91)*

**17.7.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Revised: 1/10/91 effective 8/1/91, 1/10/92, 4/28/05, 11/1/07 effective 8/1/08)*

**17.7.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. *(Adopted: 1/10/92, Revised: 1/11/94, 4/28/05)*

**17.7.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete uses fencing equipment. The coach may provide safety or skill instruction but may not conduct the individual's workouts. *(Adopted: 1/10/91 effective 8/1/91)*

**17.7.8 Camps and Clinics.** There are no limits on the number of student-athletes in fencing who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Revised: 1/10/92)*

**17.7.9 Other Restrictions.**

**17.7.9.1 Noncollegiate, Amateur Competition.**

**17.7.9.1.1 During Academic Year.** A student-athlete in fencing who participates during the academic year as a member of any outside fencing team in any noncollegiate, amateur competition (e.g., fencing tournament, exhibition games or other activity) except while representing the institution in intercollegiate fencing competition shall be ineligible for intercollegiate fencing competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.7.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed four. (*Adopted: 1/11/94 effective 8/1/94*)

**17.7.9.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate fencing who may practice or compete out of season on an outside amateur fencing team (competition on an outside team permitted only during the summer except as provided in Bylaw 17.7.9.1.1.1). (*Revised: 1/10/91 effective 8/1/91, 1/16/93*)

**17.7.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining with the institution's fencing team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. (*Revised: 4/28/05 effective 8/1/05*)

**17.7.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. (*Revised: 2/21/02, 4/28/11*)

**17.7.9.2 Equipment Issue, Squad Pictures.** No limitations.

## 17.8 Field Hockey.

Regulations for computing the field hockey playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.8.1 Length of Playing Season.** The length of an institution's playing season in field hockey shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97*)

**17.8.2 Preseason Practice.** A member institution shall not commence practice sessions in field hockey prior to the date that permits a maximum of 21 units (see Bylaw 17.02.11) prior to the first scheduled intercollegiate contest. (*Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 4/14/03*)

**17.8.3 First Contest or Date of Competition.** A member institution shall not play its first contest or engage in its first date of competition (game or scrimmage) with outside competition in field hockey prior to the Friday prior to the 11th weekend prior to the start of the NCAA Division I Field Hockey Championship, except that an alumni contest may be played the weekend prior to the first scheduled contest. (*Revised: 1/10/91 effective 8/1/91, 1/16/93 effective 8/1/93, 1/10/95 effective 8/1/95, 1/10/96 effective 8/1/96, 1/24/96 effective 8/1/96, 1/14/97 effective 8/1/97, 4/20/99 effective 8/1/99, 11/1/07 effective 8/1/08, 12/14/07*)

**17.8.3.1 Exception—Scrimmage/Exhibition Games.** An institution may play three field hockey scrimmages or exhibition games (which shall not count toward the institution's won-lost record) prior to the first scheduled contest during a particular academic year, provided such scrimmages or exhibition games are conducted during the institution's declared playing season per Bylaw 17.8.1 (including the preseason practice period) and are counted against the maximum number of contests (see Bylaw 17.8.5.1). Contests that would otherwise be exempted from the maximum number of contests per Bylaw 17.8.5.3 shall count against the maximum if they are played during the preseason practice period prior to the date specified for the first permissible contest. (*Adopted: 12/14/07*)

**17.8.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in field hockey by the last date of final exams for the regular academic year at the institution. (*Revised: 1/14/97 effective 8/1/97*)

### 17.8.5 Number of Contests and Dates of Competition.

**17.8.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition during the institution's field hockey playing season in any one year to 20 contests during the segment in which the NCAA championship is conducted and five dates of competition during another segment, except for those contests or dates of competition excluded under Bylaws 17.8.5.3 and 17.8.5.4. Travel to competition in the nonchampionship segment shall be restricted to ground transportation, unless there are no Division I institutions that sponsor the sport located within 400 miles of the institution. (*Revised: 1/10/92 effective 8/1/92, 4/29/10 effective 8/1/10*)

**17.8.5.1.1 Hawaii or Alaska Exception—Nonchampionship Segment Travel.** Once every four years, an institution may use any form of transportation for travel to Hawaii or Alaska for nonchampionship competition against an active member institution located in Hawaii or Alaska. (*Adopted: 4/28/11 effective 8/1/11*)

**17.8.5.1.2 In-Season Foreign Competition.** A member institution may play one or more of its countable field hockey contests or dates of competition in one or more foreign countries on one trip during the prescribed playing season. However, except for contests or dates of competition played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.8.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 20 field hockey contests during the segment in which the NCAA championship is conducted and five dates of competition in field hockey during another segment. This limitation includes those contests or dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/92 effective 8/1/92*)

**17.8.5.3 Annual Exemptions.** The maximum number of contests or dates of competition in field hockey shall exclude the following (unless such contests are played pursuant to Bylaw 17.8.3.1): (*Revised: 2/24/03, 12/14/07*)

- (a) **Conference Championship.** Competition in one conference championship tournament in field hockey (or the tournament used to determine the conference's automatic entry in an NCAA field hockey championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference field hockey championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA field hockey championship without the game(s) being counted as a post-season tournament;
- (c) **NCAA Championship.** Competition in the NCAA Division I Field Hockey Championship; (*Revised: 1/9/96 effective 8/1/96*)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before the NCAA championship;
- (e) **Alumni Game.** One contest or date of competition in field hockey each year against an alumni team of the institution;
- (f) **Foreign Team in the United States.** One contest or date of competition in field hockey each year with a foreign opponent in the United States;
- (g) **Hawaii or Alaska.** Any contests or dates of competition played in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member institution located outside the area in question; (*Adopted: 1/9/96 effective 8/1/96*)
- (h) **Fundraising Activity.** Any field hockey activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's field hockey team who participate in local celebrity field hockey activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director;
  - (3) The activity takes place within a 30-mile radius of the institution's main campus; and
- (j) **U.S. National Team.** One contest or date of competition played against any team as selected and designated by the appropriate national governing body in field hockey as a U.S. national team (e.g., "Under-21" U.S. national team). (*Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00*)

**17.8.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07*)

**17.8.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.8.1 except as permitted in Bylaw 17.1.6.2. (*Revised: 1/10/91 effective 8/1/91*)

**17.8.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition

facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Revised: 4/28/05, 11/1/07 effective 8/1/08)*

**17.8.7 Camps and Clinics.** There are no limits on the number of student-athletes in field hockey who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Revised: 1/10/92)*

### **17.8.8 Other Restrictions.**

#### **17.8.8.1 Noncollegiate, Amateur Competition.**

**17.8.8.1.1 During Academic Year.** A student-athlete who participates during the academic year as a member of any outside field hockey team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate field hockey competition shall be ineligible for intercollegiate field hockey competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.8.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed five. *(Adopted: 1/11/94 effective 8/1/94)*

**17.8.8.1.1.2 May 1 Exception.** A student-athlete in field hockey may compete outside of an institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition, provided: *(Adopted: 4/22/98 effective 8/1/98)*

- (a) Such participation occurs not earlier than May 1;
- (b) The number of student-athletes from any one institution does not exceed five;
- (c) The competition is approved by the institution's director of athletics; and
- (d) No class time is missed for practice activities or for competition.

**17.8.8.1.2 Out of Season.** A member institution may permit not more than five student-athletes with eligibility remaining in intercollegiate field hockey to practice or compete out of season on an outside amateur field hockey team (competition on an outside team permitted only during the summer, except as provided in Bylaws 17.8.8.1.1.1 and 17.8.8.1.1.2). *(Revised: 1/10/91 effective 8/1/91)*

**17.8.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with remaining eligibility from the institution's field hockey team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.8.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.8.8.2 Equipment Issue, Squad Pictures.** No limitations. *(Revised: 1/11/89, 1/10/05)*

## **17.9 Football.**

Regulations for computing the football playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.9.1 Length of Playing Season. [FBS/FCS]** The length of an institution's playing season in football shall be limited to the period of time between the start of preseason practice (see Bylaw 17.9.2) and the end of the regular playing season (see Bylaw 17.9.4), except as provided in Bylaw 17.9.6 (see Bylaw 17.9.3 for restrictions on first contest dates).

### **17.9.2 Preseason Practice.**

**17.9.2.1 First Practice Date. [FBS/FCS]** A member institution shall not commence official preseason football practice sessions, for the varsity, junior varsity or freshman team, prior to the date that will permit a maximum of 40 units (see Bylaw 17.02.11) prior to its first scheduled intercollegiate game. During the preseason practice period, institutions may not engage in more than 29 on-field practice sessions (see Bylaw 17.9.2.4.1). *(Revised: 1/11/89, 4/14/03, 4/24/03 effective 5/1/03, 4/28/05)*



**17.9.2.1.1 Exception. [FBS/FCS]** An institution that has prohibitions against athletics activities during a specific day of the week due to religious reasons, may start official preseason football practice sessions one day earlier than the date determined by the practice unit formula. (*Adopted: 4/28/05 effective 5/1/05*)

**17.9.2.1.2 Limit on Number of Participants—Bowl Subdivision. [FBS]** In bowl subdivision football, there shall be a limit of 105 student-athletes who may engage in practice activities prior to the institution's first day of classes or the institution's first contest, whichever occurs earlier. (*Adopted: 1/11/94, Revised: 12/15/06*)

**17.9.2.1.2.1 Replacement of Student-Athlete Who Voluntarily Withdraws. [FBS]** A student-athlete who has voluntarily withdrawn from an institution's team, has departed the practice site and no longer will engage in any preseason activities may be replaced with another student-athlete without including that individual in the institution's 105-participant preseason practice limitation. (*Adopted: 1/10/95*)

**17.9.2.1.2.2 Replacement of Student-Athlete Due to Injury or Illness. [FBS]** A student-athlete who sustains an injury or illness that prevents further participation in preseason practice activities may be replaced with another student-athlete without including that individual in the institution's limitation on number of individuals who may participate in preseason practice. The replaced student-athlete shall cease participation in all athletically related activities (including team meetings and film review) and shall not resume participation in athletically related activities before the institution's first day of classes or first contest, whichever occurs first. The replaced student-athlete may continue to receive preseason practice expenses. (*Adopted: 1/17/09*)

**17.9.2.1.2.3 Exception—National Service Academies. [FBS]** There is no limit on the number of student-athletes who will be attending a national service academy and who may engage in practice activities prior to the institution's first day of classes or the institution's first contest, whichever occurs earlier. (*Adopted: 4/29/04 effective 8/1/04*)

**17.9.2.1.3 Limit on Number of Participants—Championship Subdivision. [FCS]** In championship subdivision football, there shall be a limit of 95 student-athletes who may engage in practice activities prior to the institution's first day of classes or the institution's first contest, whichever occurs earlier. (*Adopted: 1/11/94, Revised: 12/15/06, 1/15/11 effective 8/1/11*)

**17.9.2.1.3.1 Exception—Limited Preseason-Practice Units. [FCS]** There shall be a limit of 110 student-athletes who may engage in such preseason-practice activities at an institution, provided the institution establishes its first preseason practice date based on 35 practice units, per Bylaw 17.02.11 (including practice units for first-time participants) and engages in not more than 27 actual practice sessions. This exception shall apply exclusively to institutions that award financial aid in football pursuant to the following criteria, even if there are student-athletes who participate in football and one or more other sports who receive athletics aid in sport(s) other than football: (*Adopted: 1/11/94, Revised: 1/10/95, 8/7/03*)

- (a) In football, the institution awards financial aid only to student-athletes who demonstrate financial need, except that loans, academic honor awards, nonathletics achievement awards or on-campus employment and certain aid from outside sources may be provided without regard to financial need;
- (b) The institution uses methodologies for analyzing need that conform to federal, state and written institutional guidelines. The methodologies used to determine the need of a student-athlete must be consistent with the methodologies used by the institution's financial aid office for all students; and (*Revised: 1/10/95*)
- (c) The composition of the financial aid package offered to football student-athletes is consistent with the policy established for offering financial assistance to all students. The financial aid packages for football student-athletes also shall meet the following criteria:
  - (1) The institution shall not consider athletics ability as a criterion in the formulation of any football student-athlete's financial aid package; and
  - (2) The procedures used to award financial aid to football student-athletes must be the same as the existing financial aid procedures used for all students at the institution.

**17.9.2.1.3.2 Junior Varsity Exception. [FCS]** Institutions that sponsor junior varsity teams that compete in at least four intercollegiate contests are not subject to the limits of Bylaw 17.9.2.1.3. (*Adopted: 1/11/94*)

**17.9.2.1.3.3 Replacement of Student-Athlete Who Voluntarily Withdraws. [FCS]** A student-athlete who has voluntarily withdrawn from an institution's team, has departed the practice site and no longer will engage in any preseason activities may be replaced with another student-athlete without including that individual in the institution's limitation on the number of individuals who may participate in preseason practice. (*Adopted: 1/10/95*)



**17.9.2.1.3.4 Replacement of Student-Athlete Due to Injury or Illness. [FCS]** A student-athlete who sustains an injury or illness that prevents further participation in preseason practice activities may be replaced with another student-athlete without including that individual in the institution's limitation on the number of individuals who may participate in preseason practice. The replaced student-athlete shall cease participation in all athletically related activities (including team meetings and film review) and shall not resume participation in athletically related activities before the institution's first day of classes or first contest, whichever occurs first. The replaced student-athlete may continue to receive preseason practice expenses. (*Adopted: 4/30/09*)

**17.9.2.2 Academic Orientation—First-Time Participants—Bowl Subdivision. [FBS]** In bowl subdivision football, an institution must establish an academic orientation period for those student-athletes who are beginning their initial season of eligibility for football practice at the institution. The period shall include not less than six hours of academic orientation. The academic orientation period requirement may be satisfied through the following options: (*Adopted: 4/24/03 effective 5/1/03, Revised: 4/27/06, 12/15/06*)

- (a) An institution may conduct the required academic orientation period any time prior to or during the five-day acclimatization period for student-athletes enrolled in summer classes or participating in summer conditioning activities prior to the student-athlete's first season of eligibility in football at the certifying institution; (*Adopted: 4/27/06*)
- (b) An institution may establish an academic orientation day on the day prior to the start of the five-day acclimatization period. On the day designated for the sole purpose of academic orientation, student-athletes may engage only in academic-related activities, which may include compliance-related activities (e.g., reviewing NCAA eligibility requirements, signing the Student-Athlete Statement and Drug-Testing Consent Form). An institution may conduct medical examinations, issue equipment and take individual photographs of participating student-athletes after the evening meal on the academic orientation day; or (*Adopted: 4/27/06, Revised: 1/14/08*)
- (c) An institution may conduct the academic orientation period during the five-day acclimatization period. The orientation activities may be conducted on more than one day during the five-day period but must be conducted for the equivalent of one day (not less than six hours).

**17.9.2.3 Five-Day Acclimatization Period. [FBS/FCS]** In football, preseason practice shall begin with a five-day acclimatization period for both first-time participants (e.g., freshmen and transfers) and continuing student-athletes. All student-athletes, including walk-ons who arrive to preseason practice after the first day of practice, are required to undergo a five-day acclimatization period. The five-day acclimatization period shall be conducted as follows: (*Adopted: 4/24/03 effective 5/1/03, Revised: 12/15/06*)

- (a) In bowl subdivision football, institutions may not conduct administrative activities (e.g., team pictures, etc.) other than those permitted prior to or on the academic orientation day (e.g., compliance-related activities, medical examinations, individual photographs) prior to the first permissible date of practice. In championship subdivision football, institutions may not conduct administrative activities (e.g., team pictures, individual photographs) other than compliance-related activities and medical examinations prior to the first permissible date of practice. Conditioning, speed, strength or agility tests may not occur on any day prior to the start of the five-day acclimatization period. In championship subdivision football, during the five-day acclimatization period, the institution must establish an academic orientation period for those student-athletes who are beginning their initial season of eligibility for football practice at the institution. The orientation activities may be conducted on more than one day during the five-day period, but must be conducted for the equivalent of one day (not less than six hours). (*Revised: 12/13/05, 4/27/06, 12/15/06, 1/14/08*)
- (b) During the five-day period, participants shall not engage in more than one on-field practice per day, not to exceed three hours in length.
  - (1) Exception—During the five-day acclimatization period, an institution has the option of conducting one on-field practice per day, not to exceed three hours in length, or one on-field testing session (speed, conditioning or agility tests) per day, not to exceed one hour in length and one on-field practice, not to exceed two hours in length. In addition, an institution may conduct one one-hour walk-through session per day of the acclimatization period, provided protective equipment (e.g., helmets, shoulder pads) is not worn, equipment related to football (e.g., footballs, blocking sleds) is not used and conditioning activities do not occur. Student-athletes must be provided with at least three continuous hours of recovery time between any sessions (testing, practice or walk-through) occurring that day. During this time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting); however, time spent receiving medical treatment and eating meals may be included as part of the recovery time. If an institution conducts only a walk-through on a particular day during the acclimatization period, that day does not count toward the required five days of acclimatization, but the walk-through counts toward the limit of 29 on-field practices for the preseason practice period. (*Revised: 4/28/05 effective 8/1/05, 7/18/05, 12/13/05*)
- (c) First-time participants and continuing students shall not be required to practice separately.

- (d) During the first two days of the acclimatization period, helmets shall be the only piece of protective equipment student-athletes may wear. During the third and fourth days of the acclimatization period, helmets and shoulder pads shall be the only pieces of protective equipment student-athletes may wear. During the final day of the five-day period and on any days thereafter, student-athletes may practice in full pads.

**17.9.2.3.1 Exception—National Service Academies. [FBS]** First-time participants (e.g., freshmen and transfers) who will be attending a national service academy and who have completed basic military training immediately prior to preseason practice are not required to complete the five-day acclimatization period. (*Adopted: 4/29/04 effective 8/1/04, Revised: 12/15/06*)

**17.9.2.4 Preseason Activities After Five-Day Acclimatization Period. [FBS/FCS]** The remaining preseason practice period shall be conducted as follows: (*Adopted: 4/24/03 effective 5/1/03, Revised: 12/15/06*)

- (a) After the five-day period, institutions may practice in full pads. However, an institution may not conduct multiple on-field practice sessions (e.g., two-a-days or three-a-days) on consecutive days;
- (b) Student-athletes shall not engage in more than three hours of on-field practice activities on those days during which one practice is permitted;
- (c) Student-athletes shall not engage in more than five hours of on-field practice activities on those days during which more than one practice is permitted; and
- (d) On days that institutions conduct multiple practice sessions, student-athletes must be provided with at least three continuous hours of recovery time between the end of the first practice and the start of the last practice that day. During this time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting); however, time spent receiving medical treatment and eating meals may be included as part of the recovery time.

**17.9.2.4.1 Exception—"Walk-Throughs." [FBS/FCS]** During the preseason practice period only and after the five-day acclimatization period, a walk-through is not considered to be an on-field activity under Bylaw 17.9.2.4, provided protective equipment (e.g., helmets, shoulder pads) is not worn, equipment related to football (e.g., footballs, blocking sleds) is not used and conditioning activities do not occur. If a walk-through is conducted on a day in which no other on-field activities occur, the walk-through must be included in the limit of 29 on-field practices for the preseason practice period. In championship subdivision football, on-field walk-throughs shall not exceed a total of two hours per day on the days one practice is conducted and one hour per day on the days multiple practice sessions are conducted. (*Adopted: 4/24/03 effective 5/1/03, Revised: 8/7/03, 12/13/05, 12/15/06*)

**17.9.3 First Contest. [FBS/FCS]** A member institution shall not play its first contest (game or scrimmage) with outside competition in football prior to the Thursday preceding Labor Day (see Figure 17-2). (*Revised: 1/11/89, 1/9/96 effective 8/1/96, 1/14/97, 5/12/05*)

**17.9.4 End of Playing Season. [FBS/FCS]** A member institution's last contest (game or scrimmage) with outside competition in football shall not be played after the second Saturday or Sunday in December, except for the following: (*Revised: 1/11/89*)

- (a) **Spring Practice Scrimmage. [FBS/FCS]** One scrimmage or contest at the conclusion of spring practice, provided the game is with a team composed of bona fide alumni or students or both; and (*Revised: 1/10/90*)
- (b) **Bowl Games, NCAA and NAIA Championships, International Competition and Heritage Bowl. [FBS/FCS]** One postseason game that meets the requirements of Bylaw 18.7 and the NCAA postseason football handbook or those games played in the Division I Football Championship; football contests played on a foreign tour certified by the member institution; or the National Association of Intercollegiate Athletics football championships. In championship subdivision football only, a member institution's last contest also may include participation in the Heritage Bowl. (*Revised: 1/10/90, 1/10/91, 1/10/92, 1/16/93, 1/14/97 effective 8/1/97, 8/5/99, 4/27/06, 12/15/06, 11/1/07 effective 8/1/08, 10/28/10, 10/27/11 effective 4/1/12*)

**17.9.4.1 Heritage Bowl Restrictions. [FCS]** All members of the Mid-Eastern and Southwestern Athletic Conferences shall participate in the Division I Football Championship, if eligible and selected for participation, and members of those conferences that participate in the Division I Football Championship also shall not participate in the Heritage Bowl during the same year. The Heritage Bowl may be conducted on the same weekend as the Division I Football Championship, provided there is no conflict in the time of the contests. (*Adopted: 1/16/93, Revised: 8/5/99, 12/15/06*)

**17.9.4.2 Final Date for Bowl Game. [FBS/FCS]** In bowl subdivision football, the postseason football bowl game in Bylaw 17.9.4-(b) must be played not later than the second Monday in January immediately following the conclusion of the regular football season. In championship subdivision football, the postseason football bowl game in Bylaw 17.9.4-(b) must be played not later than the January 2 immediately following the conclusion of the regular football season or, if January 2 falls on a Friday or a Sunday, not later than January 3 of that year. (*Adopted: 1/11/89, Revised: 1/10/95 effective 8/1/95, 2/1/05, 1/9/06 effective 8/1/06, 12/15/06*)

## 17.9.5 Number of Contests.

**17.9.5.1 Maximum Limitations—Institutional. [FBS/FCS]** In bowl subdivision football, a member institution shall limit its total regular-season playing schedule with outside competition during the permissible football playing season in any one year to 12 contests (games or scrimmages), except as provided for member institutions located in Alaska and Hawaii, under Bylaw 17.27.2, and except as provided for all members under Bylaw 17.9.5.2. In championship subdivision football, a member institution shall limit its total regular-season playing schedule with outside competition during the permissible football playing season in any one year to 11 contests (games or scrimmages), except as provided for member institutions located in Alaska and Hawaii, under Bylaw 17.27.2, and except as provided for all members under Bylaw 17.9.5.2. Twelve football contests shall be permissible during those years in which there are 14 Saturdays from the first permissible playing date through the last playing date in November (e.g., 2013, 2014 and 2019). (*Revised: 1/12/99 effective 8/1/02, 8/5/99 effective 8/1/02, 4/28/05 effective 8/1/06, 12/15/06*)

**17.9.5.1.1 In-Season Foreign Competition. [FBS/FCS]** A member institution may play one or more of its countable contests in football in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada, Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.9.5.1.2 Maximum Limitations—Student-Athlete. [FBS/FCS]** In bowl subdivision football, an individual student-athlete may participate in each academic year in not more than 12 football contests. In championship subdivision football, an individual student-athlete may participate in each academic year in not more than 11 football contests, except that 12 football contests shall be permissible during those years in which there are 14 Saturdays from the first permissible playing date through the last playing date in November (e.g., 2013, 2014 and 2019). This limitation includes those contests in which the student-athlete represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/92, 8/5/99 effective 8/1/02, 4/28/05 effective 8/1/06, 12/15/06*)

**17.9.5.2 Annual Exemptions. [FBS/FCS]** The maximum number of football contests shall exclude the following: (*Revised: 10/28/10*)

- (a) **Spring Game. [FBS/FCS]** One contest at the conclusion of the spring practice period [see Bylaw 17.9.4-(a)], provided the contest is against a team composed of bona fide alumni or students or both; (*Revised: 1/10/90*)
- (b) **Heritage Bowl. [FBS]** Participation in the Heritage Bowl held between representatives of the Mid-Eastern Athletic Conference and the Southwestern Athletic Conference, which shall meet the reporting requirements set forth in Bylaw 18.7.2 in order to be exempt; (*Adopted: 1/10/91, Revised: 1/10/92*)
- (c) **Twelve-Member Conference Championship Game. [FBS/FCS]** A conference championship game between division champions of a member conference of 12 or more institutions that is divided into two divisions (of six or more institutions each), each of which conducts round-robin, regular-season competition among the members of that division;
- (d) **Championship Subdivision Conference Tournament. [FCS]** A conference-sponsored, season-ending postseason tournament (one between teams that are not identified until the end of the preceding regular season), not to exceed one contest for any member institution. This provision does not preclude an institution from participating in a conference-sponsored season-ending postseason tournament and additional postseason football opportunities (e.g., NCAA Championship, Heritage Bowl) during the same season; (*Adopted: 1/16/93 effective 8/1/93, Revised: 1/13/98 effective 8/1/98, 2/16/00, 12/15/06*)
- (e) **Bowl Games. [FBS]** One postseason game that meets the requirements of Bylaw 18.7 and the NCAA postseason football handbook; (*Revised: 11/1/07 effective 8/1/08, 10/27/11 effective 4/1/12*)

### Delayed effective date. See specific date below.

- (f) **Additional Football Bowl Subdivision Postseason Game. [FBS]** One postseason game between the winners of two exempted postseason bowl games per Bylaw 17.9.5.2-(e). The participants in the two postseason bowl games will be selected by Football Bowl Subdivision conferences and independent institutions. (*Adopted: 8/2/12 effective 8/1/14*)

- (f) **NCAA Championship. [FCS]** Games played in the NCAA Division I Football Championship; (*Revised: 12/15/06*)
- (g) **NCAA Championship Play-In Competition. [FCS]** Competition in play-in contests conducted before the NCAA championship;
- (h) **NAIA Championship. [FBS/FCS]** Games played in the National Association of Intercollegiate Athletics (NAIA) football championship;

- (i) **Foreign Tour. [FBS/FCS]** The football games played on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28;
- (j) **Hawaii, Alaska, Puerto Rico. [FBS/FCS]** Any football games played in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a Division I member institution located outside the area in question; and
- (k) **Celebrity Sports Activity. [FBS/FCS]** Competition involving a limit of two student-athletes from a member institution's football team who participate in local celebrity football activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.

**17.9.6 Out-of-Season Athletically Related Activities. [FBS/FCS]** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the playing season, except as set forth in this bylaw (see Bylaw 17.1.6.2): *(Revised: 4/24/03 effective 5/1/03, 3/10/04, 1/8/07 effective 5/1/07)*

**17.9.6.1 Conditioning Activities—Bowl Subdivision. [FBS]** In bowl subdivision football, student-athletes may participate in conditioning activities pursuant to Bylaw 17.1.6.2 as follows: *(Revised: 1/16/10)*

**17.9.6.1.1 January 1 Until the Start of Preseason Practice. [FBS]** In bowl subdivision football, between January 1 and the institution's reporting date for preseason practice, an institution shall conduct its out-of-season conditioning period as follows: *(Adopted: 4/24/03 effective 5/1/03, Revised: 12/15/06, 1/16/10)*

- (a) An institution shall designate eight weeks as student-athlete discretionary time (see Bylaw 17.02.14). The designated eight weeks (each week must be seven consecutive calendar days) must be placed on file in writing in the department of athletics prior to January 1. Any changes in the designated weeks are permissible and shall be on file in the office of the institution's athletics director. Institutions are permitted to designate institutional vacation periods (e.g., holiday break, spring break) as student-athlete discretionary time. *(Adopted: 4/24/03 effective 5/1/03, Revised: 7/1/11, 12/6/11)*
- (b) Required conditioning, weight-training activities and review of game films shall remain permissible during the academic year outside the eight weeks designated as student-athlete discretionary time. A student-athlete's participation in such activities shall be limited to a maximum of eight hours per week, of which not more than two hours per week may be spent on the viewing of game film. *(Adopted: 4/24/03 effective 5/1/03, Revised: 7/1/11)*
- (c) Spring football practice per Bylaw 17.9.6.4 shall remain permissible outside the eight weeks. *(Adopted: 4/24/03 effective 5/1/03)*
- (d) An institution shall designate nine consecutive weeks between the conclusion of the academic year and its reporting date for preseason practice as its summer conditioning period. During this nine-week period, institutions shall designate one week as student-athlete discretionary time. *(Adopted: 4/14/03 effective 5/1/03, Revised: 1/16/10)*
- (e) During the remaining eight weeks of the summer conditioning period, student-athletes may be involved in voluntary weight training and conditioning activities pursuant to Bylaw 17.1.6.2.1 and prospective student-athletes may be involved in voluntary weight training and conditioning activities pursuant to Bylaw 13.11.3.7. Such activities are limited to eight hours per week. *(Adopted: 4/24/03 effective 5/1/03, Revised: 1/14/08)*
- (f) All remaining days between the conclusion of the academic year and the institution's reporting date for preseason practice that are not part of the institution's designated summer conditioning period and not already designated as student-athlete discretionary time shall be considered student-athlete discretionary time. *(Adopted: 1/16/10)*

**17.9.6.1.1 National Service Academies. [FBS]** National service academies shall be subject to the restrictions governing conditioning activities in Bylaw 17.9.6.1.1, except that they shall be able to designate nine weeks of student-athlete discretionary time between January 1 and the start of the preseason practice period. *(Adopted: 4/29/04 effective 8/1/04, Revised: 12/15/06)*

**17.9.6.2 Conditioning Activities—Championship Subdivision. [FCS]** In championship subdivision football, student-athletes may participate in conditioning activities pursuant to Bylaw 17.1.6.2. Between the conclusion of the academic year and the institution's reporting date for the preseason practice, an institution shall conduct its summer conditioning period as follows: *(Revised: 1/16/10)*

- (a) An institution shall designate nine consecutive weeks as its summer conditioning period. During this nine-week period, institutions shall designate one week as student-athlete discretionary time. *(Adopted: 4/24/03 effective 5/1/03, Revised: 1/16/10)*
- (b) During the remaining eight weeks of the summer conditioning period, student-athletes may be involved in voluntary weight training and conditioning activities pursuant to Bylaw 17.1.6.2.1.2 and prospective student-athletes may be involved in voluntary weight training and conditioning activities pursuant to



Bylaw 13.11.3.7. Such activities are limited to eight hours per week. (*Adopted: 4/24/03 effective 5/1/03, Revised: 1/14/08, 1/16/10*)

- (c) All remaining days between the conclusion of the academic year and the institution's reporting date for preseason practice that are not part of the institution's designated summer conditioning period and not already designated as student-athlete discretionary time shall be considered student-athlete discretionary time. (*Adopted: 1/16/10*)

**17.9.6.3 Strength and Conditioning Coach First Aid/CPR Certification and Authority of Sports Medicine Staff. [FBS/FCS]** A strength and conditioning coach who conducts voluntary weight training or conditioning activities is required to maintain certification in first aid and cardiopulmonary resuscitation. In addition, a member of the institution's sports medicine staff (e.g., athletic trainer, physician) must be present during all voluntary conditioning activities (running, not lifting) conducted by the institution's strength coach. The sports medicine staff member must be empowered to have the unchallengeable authority to cancel or modify the workout for health and safety reasons, as he or she deems appropriate. (*Adopted: 4/24/03 effective 5/1/03*)

**17.9.6.4 Spring Practice. [FBS/FCS]** Fifteen postseason practice sessions [including intrasquad scrimmages and the spring game permitted in Bylaw 17.9.5.2-(a)] are permissible. An institution is not required to count as one of its 15 designated days any day during which countable athletically related activities are limited solely to required conditioning activities and/or review of game film. Practice sessions must meet the following conditions: (*Revised: 6/21/01, 12/15/06*)

- (a) All practice sessions are conducted within a period of 34 consecutive calendar days, omitting vacation and examination days officially announced on the institution's calendar and days during which the institution is closed due to inclement weather. (*Revised: 1/9/06 effective 8/1/06*)
- (b) Any such practice sessions held during vacation days may not be of longer duration than those normally held when academic classes are in session.
- (c) Only 12 of the practice sessions may involve contact, and such contact shall not occur prior to the third practice session.
- (d) The noncontact practice sessions may involve headgear as the only piece of protective equipment.
- (e) Of the 12 permissible contact sessions, eight sessions may involve tackling, and not more than three of the eight tackling sessions may be devoted primarily (greater than 50 percent of practice time) to 11-on-11 scrimmages.
- (f) Tackling shall be prohibited in four of the 12 contact sessions. An institution has the discretion to determine the practice activities (other than tackling) that may occur during the four contact nontackling sessions as well as the protective equipment to be worn by the student-athletes.
- (g) If an institution conducts a "spring game" per Bylaw 17.9.5.2-(a), the game shall be counted as one of the three sessions that may be devoted primarily to 11-on-11 scrimmages.
- (h) The amount of time that a student-athlete may be involved in such postseason countable athletically related activities shall be limited to a maximum of four hours per day and 20 hours per week. (*Revised: 1/10/90, 1/10/91, 1/10/92, 1/11/94, 1/13/98*)

**17.9.6.5 Summer Practice. [FBS/FCS]** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. (*Adopted: 4/28/05, Revised: 9/27/05, 11/1/07 effective 8/1/08*)

## **17.9.7 Camps or Clinics.**

**17.9.7.1 Employment in Camps or Clinics—Bowl Subdivision. [FBS]** There are limits on the number of student-athletes in bowl subdivision football who may be employed (e.g., as counselors) in camps or clinics. Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (See Bylaw 13.12 for restrictions on such employment.) (*Revised: 1/11/89, 1/11/94, 1/10/95, 5/9/06, 12/15/06*)

**17.9.7.2 Employment in Camps or Clinics—Championship Subdivision. [FCS]** There are no limits on the number of student-athletes in championship subdivision football who may be employed (e.g., as counselors) in camps or clinics. Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (See Bylaw 13.12 for restrictions on such employment.) (*Revised: 1/11/89, 1/11/94, 1/10/94, 5/9/06, 12/15/06*)

## **17.9.8 Other Restrictions. [FBS/FCS]**

**17.9.8.1 Noncollegiate, Amateur Competition. [FBS/FCS]** A student-athlete shall be ineligible for intercollegiate competition in football for the duration of the football season and for the next academic year if, following enrollment in college and during any year in which the student-athlete is a member of an intercollegiate football squad or team, the student-athlete competes or has competed as a member of any outside football team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity). (*Adopted: 1/10/91 effective 8/1/91, Revised: 1/16/93*)



**17.9.8.1.1 Vacation-Period Exception. [FBS/FCS]** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed five. *(Adopted: 1/11/94)*

**17.9.8.2 Postseason Football Contests. [FBS/FCS]** A member institution shall not participate in any noncollegiate or nonconference-sponsored postseason football game unless it satisfies the provisions of Bylaw 18.7 and the NCAA postseason football handbook. *(Revised: 2/1/05, 11/1/07 effective 8/1/08, 10/27/11 effective 4/1/12)*

## **17.10 Golf.**

Regulations for computing the golf playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.10.1 Length of Playing Season.** The length of an institution's playing season in golf shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.10.2 Preseason Practice.** A member institution shall not commence practice sessions in golf prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/14/97 effective 8/1/97)*

**17.10.2.1 Exception.** A member institution that is a member of a conference that conducts its only conference championship in golf during the fall may commence practice August 24 or the first day in which classes are scheduled for the institution's fall term, whichever date occurs earlier. *(Adopted: 4/20/99 effective 8/1/99)*

**17.10.2.2 Exception—Topy Cup.** An institution selected to participate in the Topy Cup may commence practice sessions five days before the practice round of the event. *(Adopted: 1/14/12 effective 8/1/12)*

**17.10.3 First Date of Competition.** A member institution shall not engage in its first date of competition (match or practice match) with outside competition in golf prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/14/97 effective 8/1/97)*

**17.10.3.1 Exception.** A member institution that is a member of a conference that conducts its only conference championship in golf during the fall may engage in its first date of competition with outside competition September 1 or the first day in which classes are scheduled for the institution's fall term, whichever date occurs earlier. *(Adopted: 4/20/99 effective 8/1/99)*

**17.10.3.2 Exception—Topy Cup.** An institution may participate in the Topy Cup before the date specified for the first date of competition in Bylaw 17.10.3. *(Adopted: 1/14/12 effective 8/1/12)*

**17.10.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in golf by the conclusion of the NCAA Division I Golf Championships. *(Revised: 1/14/97 effective 8/1/97)*

### **17.10.5 Number of Dates of Competition.**

**17.10.5.1 Maximum Limitations—Institutional.** An institution shall limit its total playing schedule with outside competition in golf during the permissible golf playing season to 24 dates of competition, except for those dates of competition excluded under Bylaws 17.10.5.2 and 17.10.5.3 (see Bylaw 20.9.6.3 for minimum contests and participants requirements). *(Revised: 1/10/91 effective 8/1/91, 4/26/07 effective 8/1/07, 1/12/08 effective 8/1/08)*

**17.10.5.1.1 Tournament Limitations.** No tournament shall exceed three days. A "college-am" fundraiser may be excluded from this limitation, provided the event is held the day prior to a three-day tournament and no practice round is played prior to the day of the "college-am." *(Adopted: 1/10/91 effective 8/1/91, Revised: 1/11/94 effective 8/1/94)*

**17.10.5.1.2 Determination of Date of Competition.** An institution shall be considered to have used a date of competition in golf if five or more student-athletes participate on behalf of the institution or any number of student-athletes contribute to a team score on a particular date. *(Revised: 1/15/09 effective 8/1/09)*

**17.10.5.1.3 In-Season Foreign Competition.** A member institution may play one or more of its countable dates of competition in golf in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.10.5.1.4 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 24 dates of competition in golf (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). *(Revised: 1/10/91 effective 8/1/91, 4/26/07 effective 8/1/07, 1/12/08 effective 8/1/08)*

**17.10.5.2 Annual Exemptions.** The maximum number of dates of competition in golf shall exclude the following:

- (a) **Conference Championship.** Competition in one conference championship tournament in golf;
- (b) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) golf championships;
- (c) **NCAA Championship.** Competition in the NCAA Division I Golf Championships;
- (d) **Alumni Match.** One date of competition in golf each year against an alumni team of the institution;
- (e) **Foreign Team in the United States.** One date of competition in golf each year with a foreign opponent in the United States;
- (f) **Hawaii or Alaska.** The dates of competition of one event (e.g., dual competition, tournament) in golf in Hawaii or Alaska, respectively, either against or under the sponsorship of an active Division I member located in Hawaii or Alaska, by a member located outside the area in question; (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/30/09 effective 8/1/09*)
- (g) **Fundraising Activity.** Any golf activities in which student-athletes from more than one of the institution's athletics teams or in which team members of that sport participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (h) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's golf team who participate in local celebrity golf activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (i) **College-Am Event.** A "college-am" golf tournament, provided the event is held in conjunction with intercollegiate competition and student-athletes do not receive awards or prizes for such participation; (*Revised: 1/10/91*)
- (j) **Conference Playoff.** Conference playoff competition involving member institutions that tie for a conference championship. Such teams may participate in a single conference championship playoff to determine the conference's automatic entry into an NCAA championship without the event being counted as a postseason tournament; (*Adopted: 1/9/96 effective 8/1/96*)
- (k) **U.S. National Team.** One date of competition against any team as selected and designated by the appropriate national governing body for golf as a U.S. national team (e.g., "Under-21" U.S. national team); and (*Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00*)
- (l) **College All-American Golf Classic.** Competition in the College All-American Golf Classic shall be exempt, provided not more than two student-athletes from the institution participate and the event is limited to two dates of competition. (*Adopted: 1/14/08 effective 8/1/08*)

**17.10.5.3 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt a foreign tour from its maximum number of dates of competition, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07*)

**17.10.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.10.1 except as permitted in Bylaw 17.1.6.2. (*Revised: 1/10/91*)

**17.10.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. (*Revised: 1/10/91 effective 8/1/91, 1/10/92, 4/28/05, 11/1/07 effective 8/1/08*)

**17.10.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. (*Adopted: 1/10/92, Revised: 1/11/94, 4/28/05*)

**17.10.7 Camps and Clinics.** There are no limits on the number of student-athletes in golf who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

## 17.10.8 Other Restrictions.

### 17.10.8.1 Noncollegiate, Amateur Competition.

**17.10.8.1.1 During Academic Year.** A student-athlete in golf who participates during the academic year as a member of any outside golf team in any noncollegiate, amateur competition (e.g., tournament play, exhibition meets or other activity) except while representing the institution in intercollegiate golf competition shall be ineligible for intercollegiate golf unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93 effective 8/1/93, 10/3/05)*

**17.10.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. *(Adopted: 1/11/94 effective 8/1/94)*

**17.10.8.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate golf who may practice or compete out of season on an outside amateur golf team (competition on an outside team permitted only during the summer except as provided in Bylaw 17.10.8.1.1.1). *(Revised: 1/10/91 effective 8/1/91, 1/16/93)*

**17.10.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's golf team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.10.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.10.8.2 Equipment Issue, Squad Pictures.** No limitations. *(Revised: 1/16/93 effective 8/1/93)*

## 17.11 Gymnastics.

Regulations for computing the gymnastics playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.11.1 Length of Playing Season.** The length of an institution's playing season in gymnastics shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.11.2 Preseason Practice.** A member institution shall not commence practice sessions in gymnastics prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97)*

**17.11.3 First Date of Competition.** A member institution shall not engage in its first date of competition (meet or practice meet) with outside competition in gymnastics prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97)*

**17.11.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in gymnastics by the conclusion of the USA Gymnastics or National College Gymnastics Association (NCGA) collegiate gymnastics championships, whichever is later. *(Revised: 1/10/91 effective 8/1/91, 1/14/97, 4/27/00)*

### 17.11.5 Number of Dates of Competition.

**17.11.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in gymnastics during the permissible gymnastics playing season to 13 dates of competition except for the dates of competition excluded under Bylaws 17.11.5.2 and 17.11.5.3 (see Bylaw 20.9.6.3 for minimum contests and participants requirements). *(Revised: 1/10/91 effective 8/1/91, 1/16/93)*

**17.11.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable gymnastics dates of competition in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign

tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.11.5.1.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 13 dates of competition in gymnastics. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. *(Revised: 1/10/91 effective 8/1/91, 1/16/93)*

**17.11.5.2 Annual Exemptions.** The maximum number of dates of competition in gymnastics shall exclude the following: *(Revised: 2/24/03)*

- (a) **Season-Ending Tournament.** Competition in one of the following season-ending gymnastics tournaments: NCAA championship, the USA Gymnastics Championship, the National College Gymnastics Association (NCGA) College Gymnastics Championship or the Women's National Invitational Tournament. A season-ending tournament involves competition after the end of the regular season between teams that are not identified until the close of the regular season; *(Revised: 4/26/01 effective 8/1/01)*
- (b) **Conference Championship.** Competition in one conference championship meet in gymnastics;
- (c) **Alumni Meet.** One date of competition in gymnastics each year against an alumni team of the institution;
- (d) **Foreign Team in United States.** One date of competition in gymnastics each year with a foreign opponent in the United States;
- (e) **Hawaii or Alaska.** Any dates of competition in gymnastics in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; *(Revised: 1/9/96 effective 8/1/96)*
- (f) **Fundraising Activity.** Any gymnastics activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's gymnastics team who participate in local celebrity gymnastics activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (h) **U.S. National Team.** One date of competition played against any team as selected and designated by the appropriate national governing body for gymnastics as a U.S. national team (e.g., "Under-21" U.S. national team). *(Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00)*

**17.11.5.3 Once-in-Four-Years Exemption—Foreign Tour.** The contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07)*

**17.11.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff at the student-athlete's institution shall not engage in countable athletically related activities (see Bylaw 17.02.1) outside the institution's declared playing season per Bylaw 17.11.1 except as permitted in Bylaw 17.1.6.2. *(Revised: 1/10/91 effective 8/1/91)*

**17.11.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Revised: 1/10/91 effective 8/1/91, 1/10/92, 4/28/05, 1/11/07 effective 8/1/08)*

**17.11.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. *(Adopted: 1/10/92, Revised: 1/11/94, 4/28/05)*

**17.11.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete uses gymnastics equipment. The coach may provide safety instruction and skill instruction, but may not conduct the individual's workouts. *(Adopted: 1/10/91 effective 8/1/91)*

**17.11.8 Camps and Clinics.** There are no limits on the number of student-athletes in gymnastics who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Revised: 1/10/92)*



## 17.11.9 Other Restrictions.

### 17.11.9.1 Noncollegiate, Amateur Competition.

**17.11.9.1.1 During Academic Year.** A student-athlete in gymnastics who participates during the academic year as a member of any outside gymnastics team in any noncollegiate, amateur competition (e.g., tournament, exhibition meets or other activity) except while representing the institution in intercollegiate gymnastics competition shall be ineligible for intercollegiate gymnastics competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.11.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. *(Adopted: 1/11/94 effective 8/1/94)*

**17.11.9.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate gymnastics who may practice or compete out of season on an outside amateur gymnastics team (competition on an outside team permitted only during the summer except as provided in Bylaw 17.11.9.1.1.1). *(Revised: 1/10/91 effective 8/1/91, 1/16/93)*

**17.11.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's gymnastics team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.11.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.11.9.2 Equipment Issue, Squad Pictures.** No limitations.

## 17.12 Ice Hockey.

Regulations for computing the ice hockey playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.) *(Revised: 1/9/96 effective 8/1/96, 5/30/08)*

**17.12.1 Length of Playing Season.** The length of an institution's playing season in ice hockey shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.12.2 Preseason Practice.** In men's ice hockey, an institution shall not commence practice sessions prior to the Saturday of the 25th full weekend prior to the start of the NCAA Division I Men's Ice Hockey Championship. In women's ice hockey, an institution shall not commence practice sessions prior to the Saturday of the 25th full weekend prior to the start of the National Collegiate Women's Ice Hockey Championship. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 11/1/01 effective 8/1/04, 3/16/07)*

**17.12.3 First Contest.** In men's ice hockey, an institution shall not play its first contest (game or scrimmage) with outside competition prior to the Saturday of the 25th full weekend prior to the start of the NCAA Division I Men's Ice Hockey Championship. In women's ice hockey, an institution shall not play its first contest (game or scrimmage) with outside competition prior to the Saturday of the 25th full weekend prior to the start of the National Collegiate Women's Ice Hockey Championship. (See Figure 17-2.) *(Revised: 1/10/95 effective 8/1/95, 3/16/07)*

**17.12.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in each segment in ice hockey by the last day of final examinations for the regular academic year at the institution. *(Revised: 1/14/97 effective 8/1/97)*

### 17.12.5 Number of Contests.

**17.12.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition during the institution's ice hockey playing season to 34 contests (games or scrimmages), except for those contests excluded under Bylaws 17.12.5.3 and 17.12.5.4. *(Revised: 1/10/91 effective 8/1/91, 1/9/96 effective 8/1/96)*



**17.12.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in ice hockey in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.12.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in 34 contests (this limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). *(Revised: 1/10/91 effective 8/1/91)*

**17.12.5.3 Annual Exemptions.** The maximum number of ice hockey contests shall exclude the following: *(Revised: 2/24/03)*

- (a) **Conference Championship.** Competition in one conference championship tournament in ice hockey (or the tournament used to determine the conference's automatic entry in an NCAA ice hockey championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA ice hockey championship without the game(s) being counted as a postseason tournament;
- (c) **NCAA Championships.** Competition in the NCAA Division I Men's Ice Hockey Championship and the National Collegiate Women's Ice Hockey Championship; *(Revised: 11/22/04)*
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before NCAA championships;
- (e) **Alumni Game.** One ice hockey contest each year against an alumni team of the institution;
- (f) **Foreign Team in the United States.** One ice hockey contest each year with a foreign opponent in the United States;
- (g) **Hall of Fame Game.** The one ice hockey game between two Division I intercollegiate teams at the U.S. Hockey Hall of Fame;
- (h) **U.S. Olympic Team.** One ice hockey contest each year against the U.S. Olympic ice hockey team during that team's training for participation in the Winter Olympics; *(Adopted: 1/16/93)*
- (i) **Hawaii or Alaska.** Any games played in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; *(Adopted: 1/9/96 effective 8/1/96)*
- (j) **Fundraising Activity.** Any ice hockey activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (k) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's ice hockey team who participate in local celebrity ice hockey activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (l) **U.S. National Team.** One game played against any team as selected and designated by the appropriate national governing body for ice hockey as a U.S. national team (e.g., "Under-21" U.S. national team); and *(Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00)*
- (m) **Hockey Commissioners Association/Ice Breaker Tournament.** Competition in the Ice Breaker Tournament sponsored by the Hockey Commissioners Association. *(Adopted: 1/14/08)*

**17.12.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07)*

**17.12.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.12.1 except as permitted in Bylaw 17.1.6.2. *(Revised: 1/10/91 effective 8/1/91)*

**17.12.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Revised: 4/28/05, 11/1/07 effective 8/1/08)*

**17.12.7 Camps and Clinics.** There are no limits on the number of student-athletes with eligibility remaining in ice hockey who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Revised: 1/10/92)*

### **17.12.8 Other Restrictions.**

#### **17.12.8.1 Noncollegiate, Amateur Competition.**

**17.12.8.1.1 During Academic Year.** A student-athlete in ice hockey who participates during the academic year as a member of any outside ice hockey team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate ice hockey competition shall be ineligible for intercollegiate ice hockey competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.12.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed four. *(Adopted: 1/11/94 effective 8/1/94)*

**17.12.8.1.1.2 Exception—Conference All-Star Competition Against U.S. National Team.** In women's ice hockey, a student-athlete may compete during the academic year as a member of a conference all-star team in up to two contests against the U.S. Women's National Ice Hockey Team in the 12 months prior to the Winter Olympic Games, provided the student-athlete is academically eligible for intercollegiate competition at the time of the competition. *(Adopted: 1/17/09)*

**17.12.8.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate ice hockey who may practice or compete out of season on an outside, amateur ice hockey team, provided such competition on an outside team occurs only during the summer (except as provided in Bylaw 17.12.8.1.1.1). *(Revised: 1/10/91 effective 8/1/91)*

**17.12.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's ice hockey team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.12.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.12.8.2 Equipment Issue, Squad Pictures.** No limitations. *(Revised: 1/11/89, 1/10/05)*

## **17.13 Lacrosse.**

Regulations for computing the lacrosse playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.13.1 Length of Playing Season.** The length of an institution's playing season in lacrosse shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.13.2 Preseason Practice.** A member institution shall not commence practice sessions in lacrosse prior to September 7 or the institution's first day of classes, whichever is earlier. *(Revised: 1/14/97 effective 8/1/97)*

**17.13.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in lacrosse prior to September 7 or the institution's first day of classes, whichever is earlier. *(Revised: 1/14/97 effective 8/1/97)*

**17.13.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in lacrosse by the conclusion of the NCAA Division I Lacrosse Championship. *(Revised: 1/14/97 effective 8/1/97)*

#### **17.13.5 Number and Dates of Competition.**

**17.13.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in lacrosse during the institution's playing season to 17 dates of competition in men's lacrosse, and 17 dates of competition during the segment in which the NCAA championship is conduct-

ed, and five dates of competition during another segment in women's lacrosse, except for those dates of competition excluded under Bylaws 17.13.5.3 and 17.13.5.4. (*Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97*)

**17.13.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in lacrosse in one or more foreign countries on one trip during the prescribed playing season. However, except for dates of competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.13.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 17 dates of competition in men's lacrosse, and 17 dates of competition during the segment in which the NCAA championship is conducted and five dates of competition during another segment in women's lacrosse. This limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97*)

**17.13.5.3 Annual Exemptions.** The maximum number of dates of competition in lacrosse shall exclude the following: (*Revised: 2/24/03*)

- (a) **Conference Championship.** Competition in one conference championship tournament in lacrosse;
- (b) **NCAA Championship.** Competition in an NCAA lacrosse championship;
- (c) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before the NCAA championship;
- (d) **Alumni Game.** One date of competition in lacrosse each year against an alumni team of the institution;
- (e) **Foreign Team in the United States.** One date of competition in lacrosse each year with a foreign opponent in the United States;
- (f) **Hawaii or Alaska.** Any dates of competition played in Hawaii or Alaska, respectively, against an active Division I member located in Hawaii or Alaska, by a member located outside the area in question; (*Adopted: 1/9/96 effective 8/1/96*)
- (g) **Fundraising Activity.** Any lacrosse activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (h) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's lacrosse team who participate in local celebrity lacrosse activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (i) **U.S. National Team.** One date of competition played against any team as selected and designated by the appropriate national governing body for lacrosse as a U.S. national team (e.g., "Under-21" U.S. national team). (*Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00*)

**17.13.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07*)

**17.13.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.13.1 except as permitted in Bylaw 17.1.6.2. (*Revised: 1/10/91 effective 8/1/91*)

**17.13.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. (*Revised: 4/28/05, 11/1/07 effective 8/1/08*)

**17.13.7 Camps and Clinics.** There are no limits on the number of student-athletes in lacrosse who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

### 17.13.8 Other Restrictions.

#### 17.13.8.1 Noncollegiate, Amateur Competition.

**17.13.8.1.1 During Academic Year.** A student-athlete in lacrosse who participates during the academic year as a member of any outside lacrosse team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate lacrosse competition shall be ineligible for intercollegiate lacrosse competition unless eligibility is restored by the

Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.13.8.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed five. *(Adopted: 1/11/94 effective 8/1/94)*

**17.13.8.1.2 Out of Season.** A member institution may permit not more than five student-athletes with eligibility remaining in intercollegiate lacrosse to practice or compete out of season on an outside, amateur lacrosse team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.13.8.1.1.1). *(Revised: 1/10/91 effective 8/1/91)*

**17.13.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's lacrosse team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.13.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.13.8.2 Equipment Issue, Squad Pictures.** No limitations. *(Revised: 1/11/89, 1/10/05)*

## **17.14 Rifle.**

Regulations for computing the rifle playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.14.1 Length of Playing Season.** The length of an institution's playing season in rifle shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.14.2 Preseason Practice.** A member institution shall not commence practice sessions in rifle prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97)*

**17.14.3 First Date of Competition.** A member institution shall not engage in its first date of competition (meet or practice meet) with outside competition in rifle prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97)*

**17.14.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in rifle by the last date of final examinations for the regular academic year at the institution. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

### **17.14.5 Number of Dates of Competition.**

**17.14.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in rifle during the permissible rifle playing season to 13 dates of competition except for those dates of competition excluded under Bylaws 17.14.5.3 and 17.14.5.4 (see Bylaw 20.9.6.3 for minimum contests and participants requirements). *(Revised: 1/10/91 effective 8/1/91)*

**17.14.5.1.1 Competition That Exceeds One Day.** An institution that participates in a match that exceeds one day in duration may count the multiple-day contest as a single date of competition. However, if a student-athlete fires more than one score for either smallbore rifle or air rifle during a multiple-day contest, such participation will result in a second date of competition for the institution. *(Adopted: 1/15/11 effective 8/1/11)*

**17.14.5.1.2 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in rifle in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.



**17.14.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 13 dates of competition in rifle. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/91*)

**17.14.5.3 Annual Exemptions.** The maximum number of dates of competition in rifle shall exclude the following: (*Revised: 2/24/03*)

(a) **Season-Ending Tournaments.**

(1) **NCAA Championship.** Competition in the National Collegiate Men's and Women's Rifle Championships; and

(2) **Conference Championship.** Competition in one conference championship competition in rifle;

(b) **Alumni Match.** One date of competition in rifle each year against an alumni team of the institution;

(c) **Foreign Team in the United States.** One date of competition in rifle each year with a foreign opponent in the United States;

(d) **Hawaii or Alaska.** Any dates of competition in rifle in Hawaii or Alaska, respectively, against an active member institution located in Hawaii or Alaska, by an active member located outside the area in question; (*Revised: 1/9/96 effective 8/1/96*)

(e) **Fundraising Activity.** Any rifle activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);

(f) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's rifle team who participate in local celebrity rifle activities conducted for the purpose of raising funds for charitable organizations, provided:

(1) The student-athletes do not miss classes as a result of the participation;

(2) The involvement of the student-athletes has the approval of the institution's athletics director; and

(3) The activity takes place within a 30-mile radius of the institution's main campus.

(g) **U.S. National Team.** One date of competition played against any team as selected and designated by the appropriate national governing body for rifle as a U.S. national team (e.g., "Under-21" U.S. national team). (*Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00*)

**17.14.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07*)

**17.14.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff at the student-athlete's institution shall not engage in countable athletically related activities (see Bylaw 17.02.1) outside the institution's declared playing season per Bylaw 17.14.1 except as permitted in Bylaw 17.1.6.2 (*Revised: 1/10/91 effective 8/1/91*)

**17.14.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. (*Revised: 1/10/91 effective 8/1/91, 1/10/92, 4/28/05, 1/11/07 effective 8/1/08*)

**17.14.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. (*Adopted: 1/10/92, Revised: 1/11/94, 4/28/05*)

**17.14.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is shooting. The coach may provide safety or skill instruction but may not conduct the individual's workouts. (*Adopted: 1/10/91 effective 8/1/91*)

**17.14.8 Camps and Clinics.** There are no limits on the number of student-athletes in rifle who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

**17.14.9 Other Restrictions.**

**17.14.9.1 Noncollegiate, Amateur Competition.**

**17.14.9.1.1 During Academic Year.** A student-athlete in rifle who participates during the academic year as a member of any outside rifle team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate rifle competition shall be ineligible for intercollegiate rifle competition unless eligibility is restored by the Commit-



tee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.14.9.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. *(Adopted: 1/11/94 effective 8/1/94)*

**17.14.9.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate rifle who may practice or compete out of season on an outside, amateur rifle team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.14.9.1.1.1). *(Revised: 1/10/91 effective 8/1/91, 1/16/93)*

**17.14.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's rifle team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.14.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.14.9.2 Equipment Issue, Squad Pictures.** No limitations.

## **17.15 Rowing, Women's.**

Regulations for computing the rowing playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.) The following regulations apply independently to open and lightweight rowing programs. *(Revised: 4/28/05 effective 8/1/05)*

**17.15.1 Length of Playing Season.** The length of an institution's playing season in rowing shall be limited to a 156-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)*

**17.15.1.1 Winter-Training Trip.** A single winter-training trip, for practice only, shall be permitted between the segments, provided the trip does not exceed two weeks and is counted as part of the 156-day playing and practice season. *(Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)*

**17.15.2 Preseason Practice.** A member institution shall not commence practice sessions in rowing prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)*

**17.15.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in rowing prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97)*

**17.15.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in rowing by the conclusion of the NCAA Division I Rowing Championship, or for lightweight rowing, the conclusion of the Intercollegiate Rowing Association (IRA) Women's Lightweight Rowing Championship. *(Adopted: 1/9/96 effective 8/1/96, Revised: 1/14/97 effective 8/1/97, 4/26/01)*

### **17.15.5 Number of Dates of Competition.**

**17.15.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in rowing during the institution's playing season to 20 dates of competition (games and scrimmages), except for those dates of competition excluded under Bylaws 17.15.5.3 and 17.15.5.4. *(Adopted: 1/9/96 effective 8/1/96)*

**17.15.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in rowing in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years. *(Adopted: 1/9/96 effective 8/1/96)*

**17.15.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in 20 dates of competition (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (*Adopted: 1/9/96 effective 8/1/96*)

**17.15.5.3 Annual Exemptions.** The maximum number of dates of competition in rowing shall exclude the following: (*Adopted: 1/9/96 effective 8/1/96, Revised: 2/24/03*)

- (a) **Conference Championship.** Competition in one conference championship meet in rowing;
- (b) **Season-Ending Tournament.**
  - (1) **NCAA Championship.** Competition in the NCAA Women's Rowing Championships; and
  - (2) **Season-Ending Championship Event.** Season-ending competition in one of the recognized national intercollegiate rowing championship events for which the institution is qualified and eligible to participate (e.g., Cincinnati Regatta, Intercollegiate Rowing Association Regatta, Dad Vail Regatta, Champion International Regatta);
- (c) **Alumni Meet.** One date of competition in rowing each year against an alumni team of the institution;
- (d) **Foreign Team in the United States.** One date of competition in rowing each year with a foreign opponent in the United States;
- (e) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in rowing in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (f) **Fundraising Activity.** Any rowing activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1); and
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's rowing team who participate in local celebrity rowing activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.

**17.15.5.4 Foreign Tour.** The dates of competition in rowing on a foreign tour shall be excluded from the maximum number of dates of competition, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28.

**17.15.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.15.1 except as permitted in Bylaw 17.1.6.2. (*Adopted: 1/9/96 effective 8/1/96*)

**17.15.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/28/05, 11/1/07 effective 8/1/08*)

**17.15.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete uses rowing equipment. The coach may provide safety or skill instruction but may not conduct the individual's workouts. (*Adopted: 1/9/96 effective 8/1/96*)

**17.15.8 Camps and Clinics.** There are no limits on the number of student-athletes in rowing who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Adopted: 1/9/96 effective 8/1/96*)

### **17.15.9 Other Restrictions.**

#### **17.15.9.1 Noncollegiate, Amateur Competition.**

**17.15.9.1.1 During Academic Year.** A student-athlete in rowing who participates during the academic year as a member of any outside rowing team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate rowing competition shall be ineligible for intercollegiate rowing competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). (*Adopted: 1/9/96 effective 8/1/96, Revised: 10/3/05*)

**17.15.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number

of student-athletes from any one institution shall not exceed four. (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/16/10*)

**17.15.9.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate rowing who may practice or compete out of season on an outside, amateur rowing team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.15.9.1.1.1). (*Adopted: 1/9/96 effective 8/1/96*)

**17.15.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with remaining eligibility from that institution's rowing team except as provided under Bylaws 14.6.3 and 17.28. (*Adopted: 1/9/96 effective 8/1/96*)

**17.15.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. (*Revised: 2/21/02, 4/28/11*)

**17.15.9.2 Equipment Issue, Squad Pictures.** No limitations. (*Adopted: 1/9/96 effective 8/1/96, Revised: 1/10/05*)

## **17.16 Rugby, Women's.**

Regulations for computing the rugby playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.) (*Adopted: 4/28/05 effective 8/1/05*)

**17.16.1 Length of Playing Season.** The length of an institution's playing season in women's rugby shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (*Adopted: 4/28/05 effective 8/1/05*)

**17.16.2 Preseason Practice.** A member institution shall not commence practice sessions in women's rugby prior to the date that permits a maximum of 21 units (see Bylaw 17.02.11) prior to the first scheduled intercollegiate contest. (*Adopted: 4/28/05 effective 8/1/05*)

**17.16.3 First Contest.** A member institution shall not play its first contest with outside competition in women's rugby prior to September 1 or the preceding Friday if September 1 falls on a Saturday, Sunday or Monday (see Figure 17-2). (*Adopted: 4/28/05 effective 8/1/05*)

**17.16.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in women's rugby by the last date of final exams for the regular academic year at the institution. (*Adopted: 4/28/05 effective 8/1/05*)

### **17.16.5 Number of Contests.**

**17.16.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in women's rugby during the institution's rugby playing season in any one year to 11 contests, except for those contests excluded under Bylaws 17.16.5.3 and 17.16.5.4. (*Adopted: 4/28/05 effective 8/1/05*)

**17.16.5.1.1 Scrimmages/Exhibition Games.** A member institution may play one rugby scrimmage or exhibition game (which shall not count toward the institution's won-lost record) prior to the first scheduled contest during a particular academic year, provided such scrimmage or exhibition game is conducted during the institution's declared playing season per Bylaw 17.16.1 and is counted against the maximum number of contests. (*Adopted: 4/28/05 effective 8/1/05*)

**17.16.5.1.2 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in women's rugby in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years. (*Adopted: 4/28/05 effective 8/1/05*)

**17.16.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 11 rugby contests. This limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Adopted: 4/28/05 effective 8/1/05*)

**17.16.5.3 Annual Exemptions.** The maximum number of rugby contests and dates of competition shall exclude the following: *(Adopted: 4/28/05 effective 8/1/05)*

- (a) **Conference Championship.** Competition in one conference championship tournament in women's rugby;
- (b) **National Governing Body Championship.** Competition in the rugby national governing body championship;
- (c) **Alumni Contest.** One contest each year against an alumni team of the institution;
- (d) **Foreign Team in the United States.** One contest each year with a foreign opponent in the United States;
- (e) **Hawaii, Alaska or Puerto Rico.** Any women's rugby games played in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (f) **U.S. National Team.** One contest played against the U.S. national team as selected and designated by the appropriate national governing body for rugby (e.g., "Under-21" U.S. national team).
- (g) **Fundraising Activity.** Any rugby activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1); and
- (h) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's rugby team who participate in local celebrity rugby activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.

**17.16.5.4 Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour occurs only once in a four-year period and is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. *(Adopted: 4/28/05 effective 8/1/05)*

**17.16.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.16.1 except as permitted in Bylaw 17.1.6.2. *(Adopted: 4/28/05 effective 8/1/05)*

**17.16.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Adopted: 4/28/05 effective 8/1/05, Revised: 11/1/07 effective 8/1/08)*

**17.16.7 Camps and Clinics.** There are no limits on the number of student-athletes in women's rugby who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Adopted: 4/28/05 effective 8/1/05)*

#### **17.16.8 Other Restrictions.**

##### **17.16.8.1 Noncollegiate, Amateur Competition.**

**17.16.8.1.1 During Academic Year.** A student-athlete in women's rugby who participates during the academic year as a member of any outside rugby team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate rugby competition shall be ineligible for intercollegiate rugby competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Adopted: 4/28/05 effective 8/1/05, Revised: 10/3/05)*

**17.16.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed five. *(Adopted: 4/28/05 effective 8/1/05)*

**17.16.8.1.1.2 May 1 Exception.** A student-athlete in rugby may compete outside an institution's declared playing and practice season as a member of an outside team in any noncollegiate amateur competition, provided: *(Adopted: 4/28/05 effective 8/1/05)*

- (a) Such participation occurs not earlier than May 1;
- (b) The number of student-athletes from any one institution does not exceed five;
- (c) The competition is approved by the institution's director of athletics; and
- (d) No class time is missed for practice activities or for competition.



**17.16.8.1.2 Out of Season.** A member institution may permit not more than five student-athletes with eligibility remaining in intercollegiate women's rugby to practice or compete out of season on an outside, amateur rugby team (competition on an outside team permitted only during the summer, except as provided in Bylaws 17.16.8.1.1.1 and 17.16.8.1.1.2). *(Adopted: 4/28/05 effective 8/1/05)*

**17.16.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's women's rugby team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Adopted: 4/28/05 effective 8/1/05)*

**17.16.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided: *(Adopted: 4/28/05 effective 8/1/05)*

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.16.8.2 Equipment Issue, Squad Pictures.** No limitations. *(Adopted: 4/28/05 effective 8/1/05)*

## **17.17 Sand Volleyball, Women's.**

Regulations for computing the women's sand volleyball playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.) *(Adopted: 1/15/11 effective 8/1/11)*

**17.17.1 Length of Playing Season.** The length of an institution's playing season in women's sand volleyball shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Adopted: 1/15/11 effective 8/1/11)*

**17.17.1.1 Women's Volleyball Student-Athletes Participating in Women's Sand Volleyball.** Women's volleyball student-athletes listed as participants for women's sand volleyball must participate fully in women's sand volleyball practices. If student-athletes are practicing women's volleyball skills unrelated to women's sand volleyball, such practices must be counted in the institution's established segment in women's volleyball. *(Adopted: 1/15/11 effective 8/1/11)*

**17.17.2 Preseason Practice.** A member institution shall not commence practice sessions in women's sand volleyball prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Adopted: 1/15/11 effective 8/1/11)*

**17.17.3 First Contest.** A member institution shall not play its first contest (game or scrimmage) with outside competition in women's sand volleyball prior to the following dates: *(Adopted: 1/15/11 effective 8/1/11)*

- (a) **Nonchampionship Segment.** September 7 or the institution's first day of classes for the fall term, whichever is earlier.
- (b) **Championship Segment.** The first Thursday in March (see Figure 17-2).

**17.17.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in women's sand volleyball by the conclusion of the recognized national intercollegiate sand volleyball championship events. *(Adopted: 1/15/11 effective 8/1/11)*

### **17.17.5 Number of Dates of Competition.**

**17.17.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in women's sand volleyball during the institution's women's sand volleyball playing season to 16 dates of competition, including not more than two during the segment in which the NCAA championship is not conducted, except for those dates of competition excluded under Bylaws 17.17.5.3 and 17.17.5.4. *(Adopted: 1/15/11 effective 8/1/11)*

**17.17.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable dates of competition in women's sand volleyball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years. *(Adopted: 1/15/11 effective 8/1/11)*

**17.17.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate each academic year in not more than 16 dates of competition in women's sand volleyball, including not more than two during the segment in which the NCAA championship is not conducted. This limitation includes those dates of competition in which the student-athlete represents the institution in accordance with Bylaw 17.02.8,



including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Adopted: 1/15/11 effective 8/1/11*)

**17.17.5.3 Annual Exemptions.** The maximum number of dates of competition in women's sand volleyball shall exclude the following: (*Adopted: 1/15/11 effective 8/1/11*)

- (a) **Conference Championship.** Competition in one conference championship tournament or playoff in women's sand volleyball;
- (b) **Season-Ending Championship Tournament.** Competition in one of the recognized national intercollegiate championship events in women's sand volleyball (e.g., National Collegiate Sand Championships). A season-ending tournament involves competition after the end of the regular season between teams that are not identified until the close of the regular season;
- (c) **Alumni Game.** One date of competition each year against an alumni team of the institution;
- (d) **Foreign Team in the United States.** One date of competition each year with a foreign opponent in the United States played in the facility in which the member institution regularly plays its home dates of competition;
- (e) **Hawaii, Alaska or Puerto Rico.** Any dates of competition played in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active Division I institution located in Hawaii, Alaska or Puerto Rico, by a member located outside these locales;
- (f) **Fundraising Activity.** Any women's sand volleyball activities in which student-athletes from more than one of the institution's athletics teams participate (or in individual sports per Bylaw 17.02.12.2, in which team members of that sport participate) with and against alumni and friends of the institution, the purpose of which is to raise funds to benefit the institution's athletics or other programs, provided the student-athletes do not miss class as a result of their participation (see Bylaw 12.5.1.1);
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's women's sand volleyball team who participate in local celebrity activities in women's sand volleyball conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athlete does not miss class as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (h) **U.S. National Team.** One date of competition against any team as selected and designated by the appropriate national governing body for women's sand volleyball as a U.S. national team.

**17.17.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may not exempt more than one foreign tour from its maximum number of dates of competition in women's sand volleyball during any academic year and may not repeat participation in a foreign tour within a four-year period. The tour shall be conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. (*Adopted: 1/15/11 effective 8/1/11*)

**17.17.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.17.1 except as permitted in Bylaw 17.1.6.2. (*Adopted: 1/15/11 effective 8/1/11*)

**17.17.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. (*Adopted: 1/15/11 effective 8/1/11*)

**17.17.7 Camps and Clinics.** There are no limits on the number of student-athletes in women's sand volleyball who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Adopted: 1/15/11 effective 8/1/11*)

### 17.17.8 Other Restrictions.

#### 17.17.8.1 Noncollegiate, Amateur Competition.

**17.17.8.1.1 During Academic Year.** A student-athlete in women's sand volleyball who participates during the academic year as a member of any outside women's sand volleyball team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate women's sand volleyball competition shall be ineligible for intercollegiate women's sand volleyball competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). (*Adopted: 1/15/11 effective 8/1/11*)

**17.17.8.1.1.1 Vacation-Period Exception.** A student-athlete in women's sand volleyball may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institu-

tion's catalog. The number of student-athletes from any one institution shall not exceed two. (*Adopted: 1/15/11 effective 8/1/11*)

**17.17.8.1.2 Out of Season.** An institution may permit not more than two student-athletes with eligibility remaining in intercollegiate women's sand volleyball to practice or compete out of season on an outside, amateur women's sand volleyball team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.17.8.1.1.1). (*Adopted: 1/15/11 effective 8/1/11*)

**17.17.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's women's sand volleyball team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. (*Adopted: 1/15/11 effective 8/1/11*)

**17.17.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided: (*Adopted: 1/15/11 effective 8/1/11*)

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. (*Revised: 4/28/11*)

**17.17.8.2 Equipment Issue, Squad Pictures.** No limitations. (*Adopted: 1/15/11 effective 8/1/11*)

## **17.18 Skiing.**

Regulations for computing the skiing playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.18.1 Length of Playing Season.** The length of an institution's playing season in skiing shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. An institution that sponsors both Alpine and Nordic skiing may declare separate playing and practice seasons. (*Revised: 4/28/05 effective 8/1/05*)

**17.18.2 Preseason Practice.** A member institution shall not commence practice sessions in skiing prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97*)

**17.18.3 First Date of Competition.** A member institution shall not engage in its first date of competition (meets or practice meets) with outside competition in skiing prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97*)

**17.18.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in skiing by the last date of final examinations for the regular academic year at the institution. (*Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97*)

### **17.18.5 Number of Dates of Competition.**

**17.18.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in skiing during the permissible skiing playing season to 16 dates of competition for Alpine events and 16 dates of competition for Nordic events, except for those dates of competition excluded under Bylaws 17.18.5.3 and 17.18.5.4 (see Bylaw 20.9.6.3 for minimum contests and participants requirements). (*Revised: 1/10/91 effective 8/1/91, 1/16/93, 4/29/04*)

**17.18.5.1.1 Two-Day Skiing Meet.** A skiing meet of not more than two days' duration shall be considered a date of competition. The institution may select either day of a two-day meet as the day on which to count the single date of competition. Participation in a separate event on either day shall be counted as follows: (*Revised: 12/10/97, 6/20/11*)

- (a) If the institution participates in a separate event on the selected day, it is not required to count an additional date of competition.
- (b) If the institution participates in a separate event on the day not selected, the institution is required to count an additional date of competition only if the total number of student-athletes participating in the separate event equals or exceeds the minimum participants requirement in Bylaw 20.9.6.3.

**17.18.5.1.1.1 Competition That Exceeds Two Days.** An institution that participates in a competition that exceeds two days may select either day (but not both days) as one institutional date of competition but must count the additional days as separate dates competition. The institution may select either of the first two days of such a meet as the day on which to count the single date of com-

petition. Participation in a separate event on either day shall be counted as follows: *(Adopted: 3/10/04, Revised: 6/20/11)*

- (a) If the institution participates in a separate event on the selected day, it is not required to count an additional date of competition.
- (b) If the institution participates in a separate event on the day not selected, the institution is required to count an additional date of competition only if the total number of student-athletes participating in the separate event equals or exceeds the minimum participants requirement in Bylaw 20.9.6.3.

**17.18.5.1.2 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in skiing in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.18.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 16 dates of competition in skiing. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. *(Revised: 1/10/91 effective 8/1/91, 1/16/93)*

**17.18.5.3 Annual Exemptions.** The maximum number of dates of competition in skiing shall exclude the following: *(Revised: 2/24/03)*

- (a) **Season-Ending Tournaments.**
  - (1) **NCAA Championship.** Competition in the National Collegiate Men’s and Women’s Skiing Championships; and
  - (2) **Conference Championship.** Competition in one conference championship meet in skiing;
- (b) **Alumni Meet.** One date of competition in skiing each year against an alumni team of the institution;
- (c) **Foreign Team in the United States.** One date of competition in skiing each year with a foreign opponent in the United States;
- (d) **Hawaii or Alaska.** Any dates of competition in skiing in Hawaii or Alaska, respectively, against an active member institution located in Hawaii or Alaska, by a member located outside the area in question; *(Revised: 1/9/96 effective 8/1/96)*
- (e) **Fundraising Activity.** Any skiing activities in which student-athletes from more than one of the institution’s athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution’s athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (f) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution’s skiing team who participate in local celebrity skiing activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution’s athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution’s main campus.
- (g) **U.S. National Team.** One date of competition against any team as selected and designated by the appropriate national governing body for skiing as a U.S. national team (e.g., “Under-21” U.S. national team). *(Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00)*

**17.18.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07)*

**17.18.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff at the student-athlete’s institution shall not engage in countable athletically related activities (see Bylaw 17.02.1) outside the institution’s declared playing season per Bylaw 17.18.1 except as permitted in Bylaw 17.1.6.2. *(Revised: 1/10/91 effective 8/1/91)*

**17.18.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Revised: 1/10/91 effective 8/1/91, 1/10/92, 4/28/05, 11/1/07 effective 8/1/08)*

**17.18.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach’s team during the summer, provided the request for such assistance is initiated by the student-athlete. *(Adopted: 1/10/92, Revised: 1/11/94, 4/28/05)*

**17.18.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is engaged in skiing. The coach may provide safety or skill instruction but may not conduct the individual's workouts. *(Adopted: 1/10/91 effective 8/1/91)*

**17.18.8 Camps and Clinics.** There are no limits on the number of student-athletes in skiing who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Revised: 1/10/92)*

### **17.18.9 Other Restrictions.**

#### **17.18.9.1 Noncollegiate, Amateur Competition.**

**17.18.9.1.1 During Academic Year.** A student-athlete in skiing who participates during the academic year as a member of any outside skiing team in any noncollegiate, amateur competition (e.g., tournament, exhibition games or other activity) except while representing the institution in intercollegiate skiing competition shall be ineligible for intercollegiate skiing competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.18.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed four. *(Adopted: 1/11/94 effective 8/1/94)*

**17.18.9.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate skiing who may practice or compete out of season on an outside, amateur skiing team (competition on an outside team is permitted only during the summer, except as provided in Bylaw 17.18.9.1.1.1). *(Revised: 1/10/91 effective 8/1/91, 1/16/93)*

**17.18.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining with the institution's skiing team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.18.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.18.9.2 Equipment Issue, Squad Pictures.** No limitations.

## **17.19 Soccer.**

Regulations for computing the soccer playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.19.1 Length of Playing Season.** The length of an institution's playing season in soccer shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.19.2 Preseason Practice.** An institution shall not commence practice sessions in soccer prior to the date that permits a maximum of 21 units (see Bylaw 17.02.11) prior to the first scheduled intercollegiate contest. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 4/14/03)*

**17.19.3 First Contest or Date of Competition.** An institution shall not play its first contest or engage in its first date of competition (game) with outside competition in soccer before the Friday before the 12th weekend before the start of the applicable NCAA Division I Soccer Championship (see Figure 17-2), except that an alumni contest may be played the previous weekend. *(Adopted: 4/20/99 effective 8/1/99, Revised: 12/14/07, 1/14/08 effective 8/1/08, 4/30/09 effective 8/1/09, 4/28/11 effective 8/1/11)*

**17.19.3.1 Exception—Scrimmages/Exhibition Games.** An institution may play up to three soccer scrimmages or exhibition games (which shall not count toward the institution's won-lost record) prior to the first scheduled contest during a particular academic year, provided such scrimmages or exhibition games are conducted during the institution's declared playing season per Bylaw 17.19.1 and are counted against the maximum number of contests (see Bylaw 17.19.5.1). Contests that would otherwise be exempted from the maximum



number of contests per Bylaw 17.19.5.3 shall count against the maximum if they are played during the preseason practice period prior to the date specified for the first permissible contest. *(Adopted: 12/14/07)*

**17.19.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in soccer by the last date of final exams for the regular academic year at the institution. *(Revised: 1/14/97 effective 8/1/97)*

**17.19.5 Number of Contests and Dates of Competition.**

**17.19.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in soccer during the institution's soccer playing season in any one year to 20 contests during the segment in which the NCAA championship is conducted and five dates of competition during another segment, except for those contests and/or dates of competition excluded under Bylaws 17.19.5.3 and 17.19.5.4. Travel to competition in the nonchampionship segment shall be restricted to ground transportation, unless there are no Division I institutions that sponsor the sport located within 400 miles of the institution. *(Revised: 1/10/91 effective 8/1/91, 4/29/10 effective 8/1/10)*

**17.19.5.1.1 Hawaii or Alaska Exception—Nonchampionship Segment Travel.** Once every four years, an institution may use any form of transportation for travel to Hawaii or Alaska for nonchampionship segment competition against an active member institution located in Hawaii or Alaska. *(Adopted: 4/28/11 effective 8/1/11)*

**17.19.5.1.2 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in soccer in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.19.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 20 soccer contests during the segment in which the NCAA championship is conducted and five dates of competition in soccer during another segment. This limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. *(Revised: 1/10/91 effective 8/1/91)*

**17.19.5.3 Annual Exemptions.** The maximum number of soccer contests and dates of competition shall exclude the following (unless such contests are played pursuant to Bylaw 17.19.3.1): *(Revised: 2/24/03, 12/14/07)*

- (a) **Conference Championship.** Competition in one conference championship tournament in soccer (or the tournament used to determine the conference's automatic entry in an NCAA soccer championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference soccer championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA soccer championship without the game(s) being counted as a regular-season contest or postseason tournament;
- (c) **Season-Ending Tournaments.**
  - (1) **NCAA Championship.** Competition in the NCAA Division I Soccer Championship; *(Revised: 1/9/96 effective 8/1/96)*
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) soccer championship;
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before the NCAA championship;
- (e) **Alumni Game.** One soccer contest or date of competition each year against an alumni team of the institution;
- (f) **Foreign Team in the United States.** One soccer contest or date of competition each year with a foreign opponent in the United States;
- (g) **Hawaii or Alaska.** Any soccer games played in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; *(Adopted: 1/9/96 effective 8/1/96)*
- (h) **Fundraising Activity.** Any soccer activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of an institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's soccer team who participate in local celebrity soccer activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.



- (j) **U.S. National Team.** One contest or date of competition played against the U.S. national team as selected and designated by the appropriate national governing body for soccer (e.g., “Under-21” U.S. national team). *(Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00)*

**17.19.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07)*

**17.19.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution’s declared playing season per Bylaw 17.19.1 except as permitted in Bylaw 17.1.6.2. *(Revised: 1/10/91 effective 8/1/91)*

**17.19.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Revised: 4/28/05, 11/1/07 effective 8/1/08)*

**17.19.7 Camps and Clinics.** There are no limits on the number of student-athletes in soccer who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution’s camps or clinics. *(Revised: 1/10/92, 1/11/94)*

**17.19.8 Other Restrictions.**

**17.19.8.1 Noncollegiate, Amateur Competition.**

**17.19.8.1.1 During Academic Year.** A student-athlete in soccer who participates during the academic year as a member of any outside soccer team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate soccer competition shall be ineligible for intercollegiate soccer competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.19.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution’s declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution’s catalog. The number of student-athletes from any one institution shall not exceed five. *(Adopted: 1/11/94 effective 8/1/94, Revised: 10/31/02)*

**17.19.8.1.1.2 May 1 Exception.** A student-athlete in soccer may compete outside an institution’s declared playing and practice season as a member of an outside team in any noncollegiate amateur competition, provided: *(Adopted: 1/14/97 effective 8/1/97)*

- (a) Such participation occurs not earlier than May 1;
- (b) The number of student-athletes from any one institution does not exceed five; *(Revised: 10/31/02)*
- (c) The competition is approved by the institution’s director of athletics; and
- (d) No class time is missed for practice activities or for competition.

**17.19.8.1.2 Out of Season.** A member institution may permit not more than five student-athletes with eligibility remaining in intercollegiate soccer to practice or compete out of season on an outside, amateur soccer team (competition on an outside team permitted only during the summer, except as provided in Bylaws 17.19.8.1.1.1 and 17.19.8.1.1.2). *(Revised: 1/10/91 effective 8/1/91, 10/31/02)*

**17.19.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution’s soccer team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.19.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.19.8.2 Equipment Issue, Squad Pictures.** No limitations. *(Revised: 1/11/89, 1/10/05)*

## 17.20 Softball.

Regulations for computing the softball playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.20.1 Length of Playing Season.** The length of an institution's playing season in softball shall be limited to a 132-day season, which may consist of two segments. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 1/13/08 effective 8/1/08)*

**17.20.1.1 Championship Segment.** An institution's championship segment must consist of consecutive days and may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Adopted: 1/14/08 effective 8/1/08)*

**17.20.1.2 Nonchampionship Segment.** An institution's nonchampionship segment shall be conducted within a period of 45 consecutive days during the months of September, October and November under the following conditions: *(Adopted: 1/14/08 effective 8/1/08)*

- (a) Days used for practice or competition must be counted toward the 132-day season, but are not required to be consecutive;
- (b) Days during which countable athletically related activities are limited solely to required conditioning activities and/or skill-related instruction are not required to count toward the 132-day season;
- (c) During any week in which practice or competition occurs, a student-athlete's involvement in countable athletically related activities shall be limited to a maximum of four hours per day and 20 hours per week and all countable athletically related activities are prohibited during one calendar day per week; and
- (d) Any week in which practice or competition does not occur shall be considered outside the playing season (see Bylaw 17.1.6.2).

**17.20.2 Preseason Practice.** A member institution shall not commence practice sessions in softball before September 1 or the institution's first day of classes, whichever is later; however, if an institution's first day of classes occurs after September 15, the institution may commence practice sessions on or after September 15. *(Revised: 1/14/97 effective 8/1/97, 1/14/08 effective 8/1/08, 1/16/10 effective 8/1/10)*

**17.20.3 First Contest Date.** An institution shall not play its first contest (game or scrimmages) with outside competition in softball prior to the following dates: *(Adopted: 4/26/07 effective 8/1/07)*

- (a) **Nonchampionship Segment.** If the institution's first day of classes occurs on or before September 15: September 1 or the institution's first day of classes, whichever is later. If the institution's first day of classes occurs after September 15: September 15. *(Revised: 1/14/97 effective 8/1/97, 4/28/05 effective 8/1/05, 4/26/07 effective 8/1/07, 1/14/08 effective 8/1/08, 1/16/10 effective 8/1/10)*
- (b) **Championship Segment.** The Thursday that is 14 weeks before the Thursday immediately preceding the start of the NCAA Division I Softball Championship (see Figure 17-2). *(Revised: 4/28/05 effective 8/1/05, 4/26/07 effective 8/1/07)*

**17.20.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in softball by the conclusion of the NCAA Division I Softball Championship. *(Revised: 1/14/97 effective 8/1/97)*

### 17.20.5 Number of Contests.

**17.20.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in softball during the institution's softball playing season to 56 contests (games and scrimmages) during the segment in which the NCAA championship is conducted and eight contests (games and scrimmages) during the nonchampionship segment, except for those contests excluded under Bylaws 17.20.5.3 and 17.20.5.4. Travel to competition in the nonchampionship segment shall be restricted to ground transportation, unless there are no Division I institutions that sponsor the sport located within 400 miles of the institution. *(Revised: 1/10/91 effective 8/1/91, 4/26/07 effective 8/1/08, 4/29/10 effective 8/1/10)*

**17.20.5.1.1 Hawaii or Alaska Exception—Nonchampionship Segment Travel.** Once every four years, an institution may use any form of transportation for travel to Hawaii or Alaska for nonchampionship segment competition against an active member institution located in Hawaii or Alaska. *(Adopted: 4/28/11 effective 8/1/11)*

**17.20.5.1.2 Daily Contest Limitations.** There shall be a limit of three on the number of contests that may be played during any one calendar day. *(Adopted: 1/12/99 effective 8/1/99)*

**17.20.5.1.3 In-Season Foreign Competition.** A member institution may play one or more of its countable contests in softball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.20.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate each academic year in not more than 56 softball contests during the segment in which the NCAA championship is conducted and eight contests during the nonchampionship segment (this limitation includes those contests in

which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). (*Revised: 1/10/91 effective 8/1/91, 4/26/07 effective 8/1/08*)

**17.20.5.2.1 Daily Contest Limitations.** There shall be a limit of three on the number of contests that may be played during any one calendar day. (*Adopted: 1/12/99 effective 8/1/99*)

**17.20.5.3 Annual Exemptions.** The maximum number of softball contests shall exclude the following:

- (a) **Conference Championship.** Competition in one conference championship tournament in softball (or the tournament used to determine the conference's automatic entry in the NCAA Division I Softball Championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in the NCAA Division I Softball Championship without the game(s) being counted as a postseason tournament;
- (c) **Season-Ending Tournaments.**
  - (1) **NCAA Championship.** Competition in the NCAA Division I Softball Championship; (*Adopted: 1/9/96 effective 8/1/96*)
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) softball championship;
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before the NCAA championship;
- (e) **Alumni Game.** One softball contest each year with an alumni team of the institution;
- (f) **Foreign Team in the United States.** One softball contest or both games of a doubleheader each year with a foreign opponent in the United States; (*Revised: 10/31/02*)
- (g) **Hawaii or Alaska.** Any softball games played in Hawaii or Alaska, respectively, against an active Division I member located in Hawaii or Alaska, by a member institution located outside the area in question;
- (h) **Fundraising Activity.** Any softball activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of an institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's softball team who participate in local celebrity softball activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (j) **U.S. National Team.** One contest or both games of a double-header against any team as selected and designated by the appropriate national governing body for softball as a U.S. national team (e.g., "Under-21" U.S. national team). (*Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00*)

**17.20.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt those softball games played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28, from its maximum number of softball contests during any academic year. (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07*)

**17.20.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.20.1 except as permitted in Bylaw 17.1.6.2. (*Revised: 1/10/91 effective 8/1/91*)

**17.20.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. (*Revised: 1/10/91 effective 8/1/91, 4/28/05, 11/1/07 effective 8/1/08*)

**17.20.7 Camps and Clinics.** There are no limits on the number of student-athletes in softball who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

**17.20.8 Other Restrictions.**

**17.20.8.1 Noncollegiate, Amateur Competition.**

**17.20.8.1.1 During Academic Year.** A student-athlete in softball who participates during the academic year as a member of any outside softball team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate softball

competition shall be ineligible for intercollegiate softball competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.20.8.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed four. *(Adopted: 1/11/94 effective 8/1/94)*

**17.20.8.1.2 Out of Season.** A member institution may permit not more than four student-athletes with eligibility remaining in intercollegiate softball to practice or compete out of season on an outside, amateur softball team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.20.8.1.1.1). *(Revised: 1/10/91 effective 8/1/91)*

**17.20.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's softball team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.20.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.20.8.2 Equipment Issue, Squad Pictures.** No limitations. *(Revised: 1/11/89, 1/10/05)*

## 17.21 Swimming and Diving.

Regulations for computing the swimming and diving playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.21.1 Length of Playing Season.** The length of an institution's playing season, in swimming and diving shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.21.1.1 Exception.** A diving student-athlete may engage in diving sessions beyond the conclusion of the institution's 144-day playing and practice season, provided the student-athlete does not engage in countable in-season athletically related activities for more than 144 days. *(Adopted: 4/22/98 effective 8/1/98)*

**17.21.2 Preseason Practice.** A member institution shall not commence practice sessions in swimming and diving prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/14/97 effective 8/1/97)*

**17.21.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in swimming and diving prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/14/97 effective 8/1/97)*

**17.21.4 End of Regular Season.** A member institution shall conclude all practice and competition (meets and practice meets) in each segment in swimming and diving by the last date of final examinations for the regular academic year at the institution. *(Revised: 1/14/97 effective 8/1/97)*

### 17.21.5 Number of Dates of Competition.

**17.21.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in swimming and diving during the institution's swimming and diving playing season to 20 dates of competition (games and scrimmages), except for those dates of competition excluded under Bylaws 17.21.5.3 and 17.21.5.4 (see Bylaw 20.9.6.3 for minimum contests and participants requirements). *(Revised: 1/10/91 effective 8/1/91)*

**17.21.5.1.1 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in swimming and diving in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.



**17.21.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 20 dates of competition (this limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution). *(Revised: 1/10/91 effective 8/1/91)*

**17.21.5.3 Annual Exemptions.** The maximum number of dates of competition in swimming and diving shall exclude the following: *(Adopted: 1/9/96 effective 8/1/96, Revised: 2/24/03)*

- (a) **Conference Championship.** Competition in one conference championship meet in swimming and diving (or the meet used to determine the conference's entries in an NCAA swimming and diving championships);
- (b) **Season-Ending Tournaments.**
  - (1) **NCAA Championship.** Competition in the NCAA Swimming and Diving Championships;
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) swimming championships;
- (c) **Alumni Meet.** One date of competition in swimming and diving each year against an alumni team of the institution;
- (d) **Foreign Team in the United States.** One date of competition in swimming and diving each year with a foreign opponent in the United States;
- (e) **Hawaii, Alaska, Puerto Rico.** Any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, either against or under the sponsorship of an active member institution located in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question;
- (f) **Fundraising Activity.** Any swimming and diving activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1); and
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's swimming and diving team who participate in local celebrity swimming and diving activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (h) **U.S. National Team.** One date of competition against any team as selected and designated by the appropriate national governing body for swimming and diving as a U.S. national team (e.g., "Under-21" U.S. national team).

**17.21.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28). *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07)*

**17.21.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season pursuant to Bylaw 17.21.1 except as permitted in Bylaw 17.1.6.2. *(Adopted: 1/9/96 effective 8/1/96)*

**17.21.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/28/05, 11/1/07 effective 8/1/08)*

**17.21.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/28/05)*

**17.21.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is engaged in swimming and diving. The coach may provide safety or skill instruction but may not conduct the individual's workouts. *(Adopted: 1/10/91 effective 8/1/91)*

**17.21.8 Camps and Clinics.** There are no limits on the number of student-athletes in swimming and diving who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Adopted: 1/9/96 effective 8/1/96)*



## 17.21.9 Other Restrictions.

### 17.21.9.1 Noncollegiate, Amateur Competition.

**17.21.9.1.1 During Academic Year.** A student-athlete in swimming and diving who participates during the academic year as a member of any outside team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) except while representing the institution in intercollegiate competition shall be ineligible for intercollegiate swimming and diving competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Adopted: 1/9/96 effective 8/1/96, Revised: 10/3/05)*

**17.21.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. *(Adopted: 1/9/96 effective 8/1/96)*

**17.21.9.1.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside amateur team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.21.9.1.1.1). *(Adopted: 1/9/96 effective 8/1/96)*

**17.21.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's swimming and diving team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.21.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.21.9.2 Equipment Issue, Squad Pictures.** No limitations.

## 17.22 Tennis.

Regulations for computing the tennis playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.22.1 Length of Playing Season.** The length of an institution's playing season in tennis shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.22.2 Preseason Practice.** A member institution shall not commence practice sessions in tennis prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/14/97 effective 8/1/97)*

**17.22.3 First Date of Competition.** A member institution shall not engage in its first date of competition (meet or practice meet) with outside competition in tennis prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/14/97 effective 8/1/97)*

**17.22.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in tennis by the conclusion of the NCAA tennis championships in the institution's division. *(Revised: 1/14/97 effective 8/1/97)*

### 17.22.5 Number of Dates of Competition.

**17.22.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in tennis during the permissible tennis playing season to 25 dates of competition (including not more than seven individual singles and/or doubles tournaments that are counted as single dates of competition), except for those dates of competition excluded under Bylaws 17.22.5.3 and 17.22.5.4 (see Bylaw 20.9.6.3 for minimum contests and participants requirements). *(Revised: 1/10/91 effective 8/1/91)*

**17.22.5.1.1 Dual Tennis Match.** A dual tennis match in which head-to-head competition occurs between two collegiate institutions or between an intercollegiate team and an outside team shall count as one date of competition.

**17.22.5.1.2 Individual Singles or Doubles Tournament Limitations—Institutional.** An individual singles or doubles tournament that does not include any team scoring or the recognition of a team

champion shall count as a single date of competition (not to exceed the maximum number of tournaments noted in Bylaw 17.22.5.1) for those institutions that have more than four student-athletes competing therein, regardless of the number of days during which tournament competition takes place. If more than four student-athletes represent an institution in such tournaments at different sites on the same date, the institution must count an institutional date of competition for that date (see Bylaw 17.02.6.1). (*Revised: 10/20/08, 1/15/09 effective 8/1/09*)

**17.22.5.1.2.1 Participation in Multiple Tournaments on the Same Day or Days.** An institution that participates in multiple individual singles or doubles tournaments on the same day or days may select any day on which all the tournaments are conducted as one institutional date of competition. Participation in a separate event (e.g., dual match, tournament that includes team scoring) on the selected day shall be counted as follows: (*Adopted: 3/10/04, Revised: 10/15/08, 6/20/11*)

- (a) If the institution participates in a separate event on the selected day, it is not required to count an additional date of competition.
- (b) If the institution participates in a separate event on a day not selected as the date of competition associated with multiple individual singles or doubles tournaments, the institution is required to count an additional date of competition only if the total number of student-athletes participating in the separate event equals or exceeds the minimum participants requirement in Bylaw 20.9.6.3.

**17.22.5.1.3 Participation in Tournament Played under the Team Tennis Format—Institutional.** A tournament, involving both male and female participants (comprised of not more than three men and three women), played under the rules and format of Team Tennis, shall not count as a date of competition for either the men's or women's team, regardless of the actual number of days during which competition takes place. (*Adopted: 1/11/94 effective 8/1/94, Revised: 1/12/99 effective 8/1/99*)

**17.22.5.1.4 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in tennis in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.22.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 25 dates of competition (including not more than seven individual singles and/or doubles tournaments that are counted as a single date of competition). This limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/91*)

**17.22.5.2.1 Individual Singles or Doubles Tournament Limitations—Student-Athlete.** An individual singles or doubles tournament that does not include any team scoring or the recognition of a team champion shall count as a single date of competition (in not more than seven tournaments) for the participating individuals, regardless of the number of days during which tournament competition takes place. (*Revised: 1/10/91 effective 8/1/91*)

**17.22.5.2.2 Individual Singles or Doubles Team Tennis Tournament Limitations—Student-Athlete.** A tournament conducted more than one day, under the rules and format of Team Tennis, shall count as one date of competition for the participating individuals, regardless of the actual number of days during which tournament competition takes place. (*Adopted: 1/11/94 effective 8/1/94, Revised: 1/12/99 effective 8/1/99*)

**17.22.5.3 Annual Exemptions.** The maximum number of dates of competition in tennis shall exclude the following: (*Revised: 2/24/03*)

- (a) **Conference Championship.** Competition in one conference championship tournament in tennis (or the tournament used to determine the conference's automatic entries in the NCAA tennis championships);
- (b) **Season-Ending Tournaments.**
  - (1) **NCAA Championship.** Competition in the NCAA Division I Tennis Championships;
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) tennis championships;
- (c) **Alumni Match.** One date of competition in tennis each year against an alumni team of the institution;
- (d) **Foreign Team in the United States.** One date of competition in tennis each year with a foreign opponent in the United States;
- (e) **Hawaii or Alaska.** Any dates of competition in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; (*Adopted: 1/9/96 effective 8/1/96*)

- (f) **ITA Championships.** Participation in the Intercollegiate Tennis Association men's and women's national indoor team championships;
- (g) **Fundraising Activity.** Any tennis activities in which athletes from more than one of the institution's athletics teams or in which team members of that sport participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of an institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (h) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's tennis team who participate in local celebrity tennis activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (i) **U.S. National Team.** One date of competition played against any team as selected and designated by the appropriate national governing body for tennis as a U.S. national team (e.g., "Under-21" U.S. national team). (*Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00*)

**17.22.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28). (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07*)

**17.22.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.22.1 except as permitted in Bylaw 17.1.6.2. (*Revised: 1/10/91 effective 8/1/91*)

**17.22.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. (*Revised: 1/10/91 effective 8/1/91, 1/10/92, 4/28/05, 11/1/07 effective 8/1/08*)

**17.22.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. (*Adopted: 1/10/92, Revised: 1/11/94, 4/28/05*)

**17.22.7 Camps and Clinics.** There are no limits on the number of student-athletes in tennis who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (*Revised: 1/10/92*)

## **17.22.8 Other Restrictions.**

### **17.22.8.1 Noncollegiate, Amateur Competition.**

**17.22.8.1.1 During Academic Year.** A student-athlete in tennis who participates during the academic year as a member of any outside tennis team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate tennis competition shall be ineligible for intercollegiate tennis competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). (*Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05*)

**17.22.8.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. (*Adopted: 1/11/94 effective 8/1/94*)

**17.22.8.1.2 Out of Season.** There are no limits to the number of student-athletes with eligibility remaining in intercollegiate tennis who may practice or compete out of season on an outside, amateur tennis team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.22.8.1.1.1). (*Revised: 1/10/91 effective 8/1/91, 1/16/93*)

**17.22.8.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's tennis team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. (*Revised: 4/28/05 effective 8/1/05*)

**17.22.8.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.22.8.2 Equipment Issue, Squad Pictures.** No limitations.

**17.23 Track and Field, Indoor/Outdoor.**

Regulations for computing the indoor/outdoor track and field playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.23.1 Length of Playing Season.** The length of an institution's playing season in indoor and outdoor track and field shall be limited to the following:

- (a) **Indoor Track and Field.** An institution that sponsors only indoor or outdoor track and field (but not both) or does not participate in the minimum number of contests with at least the minimum number of participants required to count both indoor and outdoor track and field in meeting division membership requirements is limited to a 144-day season for indoor and outdoor track and field combined, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*
- (b) **Indoor and Outdoor Track and Field.** An institution that sponsors both indoor and outdoor track and field and participates in at least the minimum number of contests with at least the minimum number of participants required to count both sports in meeting division membership requirements is limited to a 156-day season for indoor and outdoor track and field combined, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/14/97 effective 8/1/97)*

**17.23.1.1 Cross Country/Track and Field Participants.** Track and field student-athletes listed as participants for cross country must participate fully in cross country practices. If student-athletes are practicing in track and field events unrelated to cross country, such practice must be counted in the institution's established segment in track and field. *(Adopted: 1/10/92)*

**17.23.2 Preseason Practice.** A member institution shall not commence practice sessions in indoor and outdoor track and field prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/14/97 effective 8/1/97)*

**17.23.3 First Date of Competition.** A member institution shall not engage in its first date of competition (meet or practice meet) with outside competition in indoor and outdoor track and field prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. *(Revised: 1/14/97 effective 8/1/97)*

**17.23.4 End of Regular Season.** A member institution shall conclude all practice and competition (meets and practice meets) in each segment in indoor and outdoor track and field by the conclusion of the NCAA Division I Track and Field Championships. *(Revised: 1/14/97 effective 8/1/97)*

**17.23.5 Number of Dates of Competition.**

**17.23.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in indoor or outdoor track and field during the permissible indoor or outdoor track and field playing season to 18 dates of competition. A member institution that sponsors indoor and outdoor track and field and participates in at least the minimum number of contests with at least the minimum number of participants required to count both sports in meeting divisional membership requirements shall limit its total playing schedule with outside competition in indoor/outdoor track and field during the permissible indoor/outdoor track and field playing season to 18 dates of competition. These limitations do not include those dates of competition excluded under Bylaws 17.23.5.3 and 17.23.5.4 (see Bylaw 20.9.6.3 for minimum contests and participants requirements). *(Revised: 1/10/91 effective 8/1/91, 1/16/93 effective 8/1/93, 12/10/97, 3/10/04, 6/20/11)*

**17.23.5.1.1 Two-Day Meets.** An institution may count a maximum of six two-day meets each as a single date of competition. The institution may select either day of a two-day meet as the day on which to count the single date of competition. Participation in a separate event on either day shall be counted as follows: *(Adopted: 6/20/11)*

- (a) If the institution participates in a separate event on the selected day, it is not required to count an additional date of competition.
- (b) If the institution participates in a separate event on the day not selected, the institution is required to count an additional date of competition only if the total number of student-athletes participating in the separate event equals or exceeds the minimum participants requirement in Bylaw 20.9.6.3.



**17.23.5.1.1 Competition That Exceeds Two Days.** An institution that participates in a meet that exceeds two days in duration may count the first two days of competition as one of the six two-day meets that each count as a single date of competition but must count any additional days as separate dates of competition. The institution may select either of the first two days of such a meet as the day on which to count the single date of competition. Participation in a separate event on either day shall be counted as follows: (*Adopted: 3/10/04, Revised: 6/20/11*)

- (a) If the institution participates in a separate event on the selected day, it is not required to count an additional date of competition.
- (b) If the institution participates in a separate event on the day not selected, the institution is required to count an additional date of competition only if the total number of student-athletes participating in the separate event equals or exceeds the minimum participants requirement in Bylaw 20.9.6.3.

**17.23.5.1.2 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in indoor and outdoor track and field in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.23.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 18 dates of competition in indoor or outdoor track and field. An individual student-athlete competing in indoor and outdoor track and field at a member institution that uses both indoor and outdoor track and field to meet divisional sports sponsorship requirements may participate in each academic year in not more than 18 dates of competition, which may include not more than six two-day meets that shall each count as a single date. These limitations include those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/91, 1/16/93 effective 8/1/93*)

**17.23.5.3 Annual Exemptions.** The maximum number of dates of competition in indoor and outdoor track and field shall exclude the following: (*Revised: 2/24/03*)

- (a) **Conference Championship.** Competition in one conference championship meet in indoor track and field and one such meet in outdoor track and field;
- (b) **Season-Ending Tournaments.**
  - (1) **NCAA Championships.** Competition in the NCAA Division I Indoor and Outdoor Track and Field Championships;
  - (2) **NAIA Championships.** Competition in the National Association of Intercollegiate Athletics (NAIA) indoor and outdoor track and field championships;
- (c) **Alumni Meet.** One date of competition in indoor and outdoor track and field each year against an alumni team of the institution;
- (d) **Foreign Team in the United States.** One date of competition in indoor and outdoor track and field each year with a foreign opponent in the United States;
- (e) **Hawaii or Alaska.** Any dates of competition in Hawaii or Alaska, respectively, against an active Division I member located in Hawaii or Alaska, by a member located outside the area in question; (*Adopted: 1/9/96 effective 8/1/96*)
- (f) **Fundraising Activity.** Any indoor or outdoor track and field activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's indoor/outdoor track and field team who participate in local celebrity track and field activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (h) **U.S. National Team.** Any date of competition against any team as selected and designated by the appropriate national governing body for indoor/outdoor track and field as a U.S. national team (e.g., "Under-21" U.S. national team). (*Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00*)

**17.23.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. (*Adopted: 1/9/96 effective 8/1/96*)



**17.23.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.23.1 except as permitted in Bylaw 17.1.6.2. *(Revised: 1/10/91 effective 8/1/91)*

**17.23.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Revised: 1/10/91 effective 8/1/91, 1/10/92, 4/28/05, 11/1/07 effective 8/1/08)*

**17.23.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. *(Adopted: 1/10/92, Revised: 1/11/94, 4/28/05)*

**17.23.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is engaged in field events, jumping hurdles or the jumping element of the steeplechase. The coach may provide safety or skill instruction but may not conduct the individual's workouts. *(Adopted: 1/10/91 effective 8/1/91, Revised: 4/30/09)*

**17.23.8 Camps and Clinics.** There are no limits on the number of student-athletes in indoor or outdoor track and field who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Revised: 1/10/92)*

### **17.23.9 Other Restrictions.**

#### **17.23.9.1 Noncollegiate, Amateur Competition.**

**17.23.9.1.1 During Academic Year.** A student-athlete in indoor/outdoor track and field who participates during the academic year as a member of any outside indoor/outdoor track and field team in any noncollegiate, amateur competition (e.g., team invitational meet, exhibition meets or other activity) except while representing the institution in intercollegiate indoor/outdoor track and field competition shall be ineligible for intercollegiate indoor/outdoor track and field competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.23.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed seven. *(Adopted: 1/11/94 effective 8/1/94)*

**17.23.9.1.2 Out of Season.** There are no limits on the number of student-athletes with eligibility remaining in intercollegiate indoor/outdoor track and field who may practice or compete out of season on an outside, amateur indoor/outdoor track and field team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.23.9.1.1.1). *(Revised: 1/10/91 effective 8/1/91, 1/16/93)*

**17.23.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's indoor/outdoor track and field team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.23.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.23.9.2 Equipment Issue, Squad Pictures.** No limitations.

## **17.24 Volleyball.**

Regulations for computing the volleyball playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.)

**17.24.1 Length of Playing Season.** The length of an institution's playing season in volleyball shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.24.2 Preseason Practice—Women.** A member institution shall not commence practice sessions in women's volleyball prior to the date that permits a maximum of 29 units (see Bylaw 17.02.11) prior to the first scheduled intercollegiate contest (excluding the early alumni match) or September 1, whichever is later. If the first scheduled contest occurs before September 1, the institution shall count back one practice unit for each day (excluding Sundays) from September 1 through the date of the first scheduled contest. Thereafter, the institution shall apply the formula as prescribed in Bylaw 17.02.11. An institution may not begin practice in its segment in which the NCAA championship is not conducted until January 1. (*Revised: 4/28/05 effective 8/1/05, 4/27/06, 6/12/07*)

**17.24.3 First Date of Competition—Women.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in women's volleyball until the Friday prior to September 1. If September 1 falls on a Friday, the first permissible date of competition shall be the preceding Friday. If September 1 falls on a Saturday, the first permissible date of competition shall be two Fridays prior to September 1. (See Figure 17-2.) An alumni match may be played during the week (Saturday-Friday) prior to the first permissible date of competition. An institution may not begin competition in its segment in which the NCAA championship is not conducted until January 1. (*Revised: 4/28/05 effective 8/1/05*)

**17.24.4 Preseason Practice—Men.** A member institution shall not commence practice sessions in men's volleyball prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/14/97 effective 8/1/97*)

**17.24.5 First Date of Competition—Men.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in men's volleyball prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Revised: 1/14/97 effective 8/1/97*)

**17.24.6 End of Regular Playing Season.** A member institution shall conclude all practice and competition (games and scrimmages) in volleyball on the following dates:

- (a) **Men.** The conclusion of the National Collegiate Men's Volleyball Championship.
- (b) **Women.** The last date of final examinations for the regular academic year at the institution.

**17.24.7 Number of Dates of Competition—Women.**

**17.24.7.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in women's volleyball during the institution's women's volleyball playing season to 28 dates of competition during the segment in which the NCAA championship is conducted and four during another segment, except for those dates of competition excluded under Bylaws 17.24.9 and 17.24.10. Travel to competition in the nonchampionship segment shall be restricted to ground transportation, unless there are no Division I institutions that sponsor the sport located within 400 miles of the institution. (*Revised: 1/10/91 effective 8/1/91, 4/29/10 effective 8/1/10*)

**17.24.7.1.1 Hawaii or Alaska Exception—Nonchampionship Segment Travel.** Once every four years, an institution may use any form of transportation for travel to Hawaii or Alaska for nonchampionship segment competition against an active member institution located in Hawaii or Alaska. (*Adopted: 4/28/11 effective 8/1/11*)

**17.24.7.1.2 In-Season Foreign Competition—Women.** A member institution may play one or more of its countable dates of competition in women's volleyball in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.24.7.2 Maximum Limitations—Student-Athlete—Women.** An individual student-athlete may participate each academic year in not more than 28 dates of competition in women's volleyball during the segment in which the NCAA championship is conducted and four during another segment. This limitation includes those dates of competition in which the student-athlete represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/91*)

**17.24.8 Number of Dates of Competition—Men.**

**17.24.8.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in men's volleyball during the institution's men's volleyball playing season to not more than 28 dates of competition during the segment in which the NCAA championship is conducted and not more than four dates of competition during another segment, except for those dates of competition excluded under Bylaws 17.24.9 and 17.24.10. Travel to competition in the nonchampionship segment shall be restricted to ground transportation, unless there are no Division I institutions that sponsor the sport located within 400 miles of the institution. (*Revised: 1/10/91 effective 8/1/91, 4/29/10 effective 8/1/10*)

**17.24.8.1.1 Hawaii or Alaska Exception—Nonchampionship Segment Travel.** Once every four years, an institution may use any form of transportation for travel to Hawaii or Alaska for nonchampionship segment competition against an active member institution located in Hawaii or Alaska. (*Adopted: 4/28/11 effective 8/1/11*)

**17.24.8.1.2 In-Season Foreign Competition—Men.** A member institution may play one or more of its countable dates of competition in men's volleyball in one or more foreign countries on one trip during the prescribed playing season. However, except for those contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.24.8.2 Maximum Limitations—Student-Athlete—Men.** An individual student-athlete may participate each academic year in not more than 28 dates of competition in men's volleyball during the segment in which the NCAA championship is conducted and not more than four dates of competition during another segment. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/91*)

**17.24.9 Annual Exemptions.** The maximum number of dates of competition in volleyball shall exclude the following:

- (a) **Conference Championship.** Competition in one conference championship tournament in volleyball (or the tournament used to determine the conference's automatic entry in an NCAA volleyball championship);
- (b) **Conference Playoff.** Competition involving member institutions that tie for a conference volleyball championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in an NCAA volleyball championship without the date(s) of competition being counted as a postseason tournament;
- (c) **Season-Ending Tournaments.**
  - (1) **NCAA Championship.** Competition in the NCAA Division I Women's Volleyball Championship or the National Collegiate Men's Volleyball Championship; (*Revised: 1/10/90, 6/1/11*)
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) volleyball championship; (*Revised: 1/10/90, 6/1/11*)
- (d) **NCAA Championship Play-In Competition.** Competition in play-in contests conducted before the NCAA championship;
- (e) **Alumni Game.** One date of competition in volleyball each year against an alumni team of the institution;
- (f) **Foreign Team in the United States.** One date of competition in volleyball each year with a foreign opponent in the United States;
- (g) **Hawaii or Alaska.** Any dates of competition in volleyball in Hawaii or Alaska, respectively, against an active Division I institution located in Hawaii or Alaska, by a member located outside the area in question; (*Adopted: 1/9/96 effective 8/1/96*)
- (h) **Fundraising Activity.** Any volleyball activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (i) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's volleyball team who participate in local celebrity volleyball activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (j) **U.S. National Team.** One date of competition against any team as selected and designated by the appropriate national governing body for volleyball as a U.S. national team (e.g., "Under-21" U.S. national team). (*Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00*)
- (k) **AVCA Volleyball Showcase.** Competition in the AVCA Volleyball Showcase. (*Adopted: 1/10/95, Revised: 9/7/07*)

**17.24.10 Once-in-Four-Years Exemption—Foreign Tour.** An institution may not exempt more than one foreign tour from its maximum number of dates of competition in volleyball during any academic year and may not repeat participation in a foreign tour within a four-year period. The tour shall be conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07*)

**17.24.11 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.24.1 except as permitted in Bylaw 17.1.6.2. (*Revised: 1/10/91 effective 8/1/91*)

**17.24.11.1 Summer Practice.** Volleyball practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice

and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. (Revised: 4/28/05, 11/1/07 effective 8/1/08)

**17.24.12 Camps and Clinics.** There are no limits on the number of student-athletes in volleyball who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. (Revised: 1/10/92)

**17.24.13 Other Restrictions.**

**17.24.13.1 Noncollegiate, Amateur Competition.**

**17.24.13.1.1 During Academic Year—Men and Women.** A student-athlete in volleyball who participates during the academic year as a member of any outside volleyball team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate volleyball competition shall be ineligible for intercollegiate volleyball competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). (Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)

**17.24.13.1.1.1 Vacation-Period Exception.** A student-athlete in men's or women's volleyball may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. The number of student-athletes from any one institution shall not exceed two. (Adopted: 1/11/94 effective 8/1/94)

**17.24.13.1.1.2 May 1 Exception—Women's Volleyball.** A student-athlete in women's volleyball may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition, provided: (Adopted: 1/14/97 effective 8/1/97)

- (a) Such participation occurs not earlier than May 1;
- (b) The number of student-athletes from any one institution does not exceed two;
- (c) The competition is approved by the institution's director of athletics;
- (d) No class time is missed for practice activities or for competition; and
- (e) All practice and competition is confined to doubles tournaments in outdoor volleyball, either on sand or grass.

**17.24.13.1.2 Out of Season.** In men's and women's volleyball, a member institution may permit not more than two student-athletes with eligibility remaining in intercollegiate volleyball to practice or compete out of season on an outside, amateur volleyball team (competition on an outside team permitted only during the summer, except as provided in Bylaws 17.24.13.1.1.1 and 17.24.13.1.1.2). (Revised: 1/10/91 effective 8/1/91, 1/16/93)

**17.24.13.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) at any time (during the academic year, vacation periods and summer) with an outside team that involves any student-athlete with eligibility remaining from the institution's volleyball team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. (Revised: 4/28/05 effective 8/1/05)

**17.24.13.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. (Revised: 2/21/02, 4/28/11)

**17.24.13.2 Equipment Issue, Squad Pictures.** No limitations. (Revised: 1/11/89, 1/10/05)

**17.25 Water Polo.**

Regulations for computing the men's and women's water polo playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.) (Revised: 1/9/96 effective 8/1/96)

**17.25.1 Length of Playing Season.** The length of an institution's playing season in water polo shall be limited to a 132-day season, which may consist of two segments (each consisting of consecutive days) and which may exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. (Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)



### 17.25.2 Preseason Practice.

- (a) **Men.** A member institution shall not commence practice sessions in men's water polo prior to the date that permits a maximum of 21 units (see Bylaw 17.02.11) prior to the first scheduled intercollegiate contest. (*Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97, 8/11/98, 4/14/03*)
- (b) **Women.** A member institution shall not commence practice sessions in women's water polo prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 8/11/98*)

### 17.25.3 First Date of Competition.

- (a) **Men.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in men's water polo prior to the first Saturday in September (see Figure 17-2). (*Revised: 1/10/91 effective 8/1/91, 1/16/93 effective 8/1/93, 1/14/97 effective 8/1/97, 8/11/98*)
- (b) **Women.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in women's water polo prior to September 7 or the institution's first day of classes for the fall term, whichever is earlier. (*Adopted: 8/11/98*)

### 17.25.4 End of Regular Playing Season.

- (a) **Men.** A member institution shall conclude all practice and competition (games and scrimmages) in men's water polo by the last date of final exams for the regular academic year at the institution. (*Revised: 1/14/97 effective 8/1/97, 8/11/98*)
- (b) **Women.** A member institution shall conclude all practice and competition (games and scrimmages) in women's water polo by the conclusion of the National Collegiate Women's Water Polo Championship. (*Adopted: 8/11/98*)

### 17.25.5 Number of Dates of Competition.

**17.25.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in water polo during the institution's water polo playing season to 21 dates of competition, except for those dates of competition excluded under Bylaws 17.25.5.3 and 17.25.5.4. (*Revised: 1/10/91 effective 8/1/91*)

**17.25.5.1.1 In-Season Foreign Competition.** A member institution may play one or more of its countable dates of competition in water polo in one or more foreign countries on one trip during the prescribed playing season. However, except for contests played in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.25.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 21 dates of competition in water polo. This limitation includes those contests in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/91*)

**17.25.5.3 Annual Exemptions.** The maximum number of dates of competition in water polo shall exclude the following: (*Revised: 2/24/03*)

- (a) **Conference Championship—Men and Women.** Competition in one conference championship tournament in water polo (or the tournament used to determine the conference's automatic entry in the National Collegiate Men's Water Polo Championship or the tournament used to determine the conference's entry in the National Collegiate Women's Water Polo Championship); (*Revised: 8/11/98*)
- (b) **Conference Playoff—Men and Women.** Competition involving member institutions that tie for a conference water polo championship. Such teams may participate in a single-elimination playoff to determine the conference's automatic entry in the National Collegiate Men's Water Polo Championship or the National Collegiate Women's Water Polo Championship without the game(s) being counted as a postseason tournament;
- (c) **NCAA Championship—Men.** Competition in the National Collegiate Men's Water Polo Championship;
- (d) **NCAA Championship—Women.** Competition in the National Collegiate Women's Water Polo Championship; (*Adopted: 8/11/98*)
- (e) **NCAA Championship Play-In Competition—Men and Women.** Competition in play-in contests conducted before the National Collegiate Men's Water Polo Championship or the National Collegiate Women's Water Polo Championship;
- (f) **Alumni Game—Men and Women.** One date of competition in water polo each year against an alumni team of the institution;
- (g) **Foreign Team in the United States—Men and Women.** One date of competition in water polo each year with a foreign opponent in the United States;
- (h) **Hawaii, Alaska, Puerto Rico—Men and Women.** For men's and women's water polo, any dates of competition in Hawaii, Alaska or Puerto Rico, respectively, against an active member institution located



in Hawaii, Alaska or Puerto Rico, by a member located outside the area in question; *(Revised: 1/9/96 effective 8/1/96, 8/11/98)*

- (i) **Fundraising Activity—Men and Women.** Any water polo activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (j) **Celebrity Sports Activity—Men and Women.** Competition involving a limit of two student-athletes from a member institution's water polo team who participate in local celebrity water polo activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (k) **U.S. National Team—Men and Women.** One date of competition against any team as selected and designated by the appropriate national governing body for water polo as a U.S. national team (e.g., "Under-21" U.S. national team). *(Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00)*

**17.25.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. *(Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07)*

**17.25.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.25.1 except as permitted in Bylaw 17.1.6.2. *(Revised: 1/10/91 effective 8/1/91)*

**17.25.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and competition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Revised: 1/10/91 effective 8/1/91, 4/28/05, 11/1/07 effective 8/1/08)*

**17.25.7 Camps and Clinics.** There are no limits on the number of student-athletes in water polo who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Revised: 1/10/92)*

**17.25.8 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is engaged in water polo. The coach may provide safety or skill instruction but may not conduct the individual's workouts. *(Adopted: 1/10/91 effective 8/1/91)*

### **17.25.9 Other Restrictions.**

#### **17.25.9.1 Noncollegiate, Amateur Competition.**

**17.25.9.1.1 During Academic Year.** A student-athlete in water polo who participates during the academic year as a member of any outside water polo team in any noncollegiate, amateur competition (e.g., tournament play, exhibition games or other activity) except while representing the institution in intercollegiate water polo competition shall be ineligible for intercollegiate water polo competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.25.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog. There are no limitations on the number of student-athletes from any one institution who may compete on an outside amateur water polo team. *(Adopted: 1/11/94 effective 8/1/94, Revised: 1/10/95 effective 8/1/95)*

**17.25.9.1.1.2 May 1 Exception.** A student-athlete in men's water polo may compete outside an institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition, provided: *(Adopted: 1/12/99 effective 5/1/99)*

- (a) Such participation occurs not earlier than May 1;
- (b) The competition is approved by the institution's director of athletics; and
- (c) No class time is missed for practice activities or for competition.

**17.25.9.1.2 Out of Season.** There are no limitations on the number of student-athletes from the same member institution with eligibility remaining who may practice or compete out of season on an outside amateur water polo team (competition on an outside team permitted only during the summer, except as provided in Bylaws 17.25.9.1.1.1 and 17.25.9.1.1.2). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 1/10/95 effective 8/1/95)*

**17.25.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's water polo team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.29. *(Revised: 4/28/05 effective 8/1/05)*

**17.25.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.25.9.2 Equipment Issue, Squad Pictures.** No limitations. *(Revised: 1/11/89, 1/10/05)*

## **17.26 Wrestling.**

Regulations for computing the wrestling playing season are set forth in Bylaw 17.1. (See Figure 17-1 and Figure 17-2.) *(Revised: 2/24/03)*

**17.26.1 Length of Playing Season.** The length of an institution's playing season in wrestling shall be limited to a 144-day season, which may consist of two segments (each consisting of consecutive days) and exclude only required off days per Bylaw 17.1.6.4 and official vacation, holiday and final-examination periods during which no practice or competition shall occur. *(Revised: 1/10/91 effective 8/1/91, 1/14/97 effective 8/1/97)*

**17.26.2 Preseason Practice.** A member institution shall not commence practice sessions in wrestling prior to October 10. *(Revised: 1/14/97 effective 8/1/97, 1/15/11 effective 8/1/11)*

**17.26.3 First Date of Competition.** A member institution shall not engage in its first date of competition (game or scrimmage) with outside competition in wrestling prior to November 1. *(Revised: 1/15/11 effective 8/1/11)*

**17.26.4 End of Regular Playing Season.** A member institution shall conclude all practice and competition (meets and practice meets) in wrestling by the last date of final examinations for the regular academic year at the institution. *(Revised: 1/14/97 effective 8/1/97)*

### **17.26.5 Number of Dates of Competition.**

**17.26.5.1 Maximum Limitations—Institutional.** A member institution shall limit its total playing schedule with outside competition in wrestling during the permissible wrestling playing season to 16 dates of competition, except for those dates of competition excluded under Bylaws 17.26.5.3 and 17.26.5.4 (see Bylaw 20.9.6.3 for minimum contests and participants requirements). *(Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97, 1/14/08 effective 8/1/08, 6/20/11)*

**17.26.5.1.1 Two-Day Meets and Dual Meets Held on Two Consecutive Days.** An institution may count not more than two two-day meets or not more than two occasions in which dual meets are held on two consecutive days (or one of each type of meet) each as a single date of competition. The institution may select either day of a two-day meet or a dual meet held on two consecutive days as the day on which to count the single date of competition. Participation in a separate event on either day shall be counted as follows: *(Adopted: 6/20/11)*

- (a) If the institution participates in a separate event on the selected day, it is not required to count an additional date of competition.
- (b) If the institution participates in a separate event on the day not selected, the institution is required to count an additional date of competition only if the total number of student-athletes participating in the separate event equals or exceeds the minimum participants requirement in Bylaw 20.9.6.3.

**17.26.5.1.1.1 Competition That Exceeds Two Days.** An institution that participates in wrestling competition that exceeds two days in duration may count the first two days of the competition as one of the not more than two two-day meets or not more than two occasions in which dual meets are held on two consecutive days (or one of each type of meet) that each count as a single date of competition but must count any additional days as separate dates of competition. The institution may select either of the first two days of such a meet as the day on which to count the single date of competition. Participation in a separate event on either day shall be counted as follows: *(Adopted: 12/10/97, Revised: 3/10/04, 6/20/11)*

- (a) If the institution participates in a separate event on the selected day, it is not required to count an additional date of competition.

- (b) If the institution participates in a separate event on the day not selected, the institution is required to count an additional date of competition only if the total number of student-athletes participating in the separate event equals or exceeds the minimum participants requirement in Bylaw 20.9.6.3.

**17.26.5.1.2 In-Season Foreign Competition.** A member institution may engage in one or more of its countable dates of competition in wrestling in one or more foreign countries on one trip during the prescribed playing season. However, except for competition in Canada and Mexico or on a certified foreign tour (see Bylaw 17.28), the institution may not engage in such in-season foreign competition more than once every four years.

**17.26.5.2 Maximum Limitations—Student-Athlete.** An individual student-athlete may participate in each academic year in not more than 16 dates of competition in wrestling, which may include not more than two two-day meets or not more than two occasions in which dual meets are held on two consecutive days that shall each count as a single date of competition each. This limitation includes those dates of competition in which the student represents the institution in accordance with Bylaw 17.02.8, including competition as a member of the varsity, junior varsity or freshman team of the institution. (*Revised: 1/10/91 effective 8/1/91, 1/10/95 effective 8/1/95, 1/14/97 effective 8/1/97, 1/14/08 effective 8/1/08*)

**17.26.5.3 Annual Exemptions.** The maximum number of dates of competition in wrestling shall exclude the following:

- (a) **Conference Championship.** Competition in one conference championship tournament in wrestling (or the tournament used to determine the conference's automatic entries in the NCAA wrestling championships);
- (b) **Season-Ending Tournaments.**
  - (1) **NCAA Championship.** Competition in the NCAA Division I Wrestling Championships;
  - (2) **NAIA Championship.** Competition in the National Association of Intercollegiate Athletics (NAIA) wrestling championships;
- (c) **Alumni Meet.** One date of competition in wrestling each year against an alumni team of the institution;
- (d) **Foreign Team in the United States.** One date of competition in wrestling each year with a foreign opponent in the United States;
- (e) **Hawaii or Alaska.** Any dates of competition in Hawaii or Alaska, respectively, against an active Division I member institution located in Hawaii or Alaska, by a member located outside the area in question; (*Revised: 1/9/96 effective 8/1/96*)
- (f) **Fundraising Activity.** Any wrestling activities in which student-athletes from more than one of the institution's athletics teams participate with and against alumni and friends of the institution, the purpose of which is to raise funds for the benefit of the institution's athletics or other programs, provided the student-athletes do not miss classes as a result of their participation (see Bylaw 12.5.1.1);
- (g) **Celebrity Sports Activity.** Competition involving a limit of two student-athletes from a member institution's wrestling team who participate in local celebrity wrestling activities conducted for the purpose of raising funds for charitable organizations, provided:
  - (1) The student-athletes do not miss classes as a result of the participation;
  - (2) The involvement of the student-athletes has the approval of the institution's athletics director; and
  - (3) The activity takes place within a 30-mile radius of the institution's main campus.
- (h) **U.S. National Team.** One date of competition against any team as selected and designated by the appropriate national governing body for wrestling as a U.S. national team (e.g., "Under-21" U.S. national team); (*Adopted: 1/9/96 effective 8/1/96, Revised: 9/6/00*)
- (i) **NWCA All-Star Meet.** One date of competition in wrestling each year in the National Wrestling Coaches Association (NWCA) all-star meet; and (*Adopted: 10/27/98 effective 8/1/99*)
- (j) **NWCA National Duals.** Competition in the National Wrestling Coaches Association (NWCA) National Duals. (*Adopted: 1/15/11 effective 8/1/11*)

**17.26.5.4 Once-in-Four-Years Exemption—Foreign Tour.** An institution may exempt the contests played on a foreign tour, provided the tour is conducted by the member institution in accordance with the procedures set forth in Bylaw 17.28. (*Adopted: 1/9/96 effective 8/1/96, Revised: 4/26/07 effective 8/1/07*)

**17.26.6 Out-of-Season Athletically Related Activities.** Student-athletes and members of the coaching staff shall not engage in countable athletically related activities outside the institution's declared playing season per Bylaw 17.26.1 except as permitted in Bylaw 17.1.6.2. (*Revised: 1/10/91 effective 8/1/91*)

**17.26.6.1 Summer Practice.** Practice that is organized or financially supported by a member institution shall be prohibited during the summer unless specifically authorized in the bylaws (e.g., foreign tour) or through official interpretations. An institution may pay fees associated with the use of institutional practice and com-

petition facilities by student-athletes engaged in voluntary athletically related activities in their sport. *(Revised: 1/10/91 effective 8/1/91, 1/10/92, 4/28/05, 11/1/07 effective 8/1/08)*

**17.26.6.1.1 Summer-Workout Sessions.** A coach may participate in individual-workout sessions with student-athletes from the coach's team during the summer, provided the request for such assistance is initiated by the student-athlete. *(Adopted: 1/10/92, Revised: 1/11/94, 4/28/05)*

**17.26.7 Safety Exception.** A coach may be present during voluntary individual workouts in the institution's regular practice facility (without the workouts being considered as countable athletically related activities) when the student-athlete is engaged in wrestling. The coach may provide safety or skill instruction but may not conduct the individual's workouts. *(Adopted: 1/10/91 effective 8/1/91)*

**17.26.8 Camps and Clinics.** There are no limits on the number of student-athletes in wrestling who may be employed (e.g., as counselors) in camps or clinics (see Bylaw 13.12). Currently enrolled student-athletes may not participate as campers in their institution's camps or clinics. *(Revised: 1/10/92)*

### **17.26.9 Other Restrictions.**

#### **17.26.9.1 Noncollegiate, Amateur Competition.**

**17.26.9.1.1 During Academic Year.** A student-athlete in wrestling who participates during the academic year as a member of any outside wrestling team in any noncollegiate, amateur competition (e.g., team invitational meets, exhibition meets or other activity) except while representing the institution in intercollegiate wrestling competition shall be ineligible for intercollegiate wrestling competition unless eligibility is restored by the Committee on Student-Athlete Reinstatement (see Bylaw 14.6.3 for exceptions). *(Revised: 1/10/91 effective 8/1/91, 1/16/93, 10/3/05)*

**17.26.9.1.1.1 Vacation-Period Exception.** A student-athlete may compete outside the institution's declared playing and practice season as a member of an outside team in any noncollegiate, amateur competition during any official vacation period published in the institution's catalog, except a vacation period that occurs between the beginning of the institution's academic year and November 1. The number of student-athletes from any one institution shall not exceed five. *(Adopted: 1/11/94 effective 8/1/94, Revised: 1/15/11 effective 8/1/11)*

**17.26.9.1.2 Out of Season.** There are no limits on the number of student-athletes from the same member institution with eligibility remaining in intercollegiate wrestling who may practice or compete out of season on an outside, amateur wrestling team (competition on an outside team permitted only during the summer, except as provided in Bylaw 17.26.9.1.1.1). *(Revised: 1/10/91 effective 8/1/91, 1/16/93)*

**17.26.9.1.2.1 Involvement of Coaching Staff.** No member of the coaching staff of a member institution may be involved in any capacity (e.g., coach, official, player or league/team administrator) during the academic year (including vacation periods during the academic year) with an outside team that involves any student-athlete with eligibility remaining from the institution's wrestling team except as provided under Bylaws 14.6.3, 17.1.1.1 and 17.28. *(Revised: 4/28/05 effective 8/1/05)*

**17.26.9.1.2.2 Olympic and National Team Development Program.** There are no limits on the number of student-athletes from the same institution who may participate in Olympic and national team development programs. Such programs may also include a coach and student-athlete from the same institution, provided:

- (a) The national governing body conducts and administers the developmental program;
- (b) The national governing body selects coaches involved in the developmental program; and
- (c) A committee or other authority of the national governing body, which is not limited to coaches affiliated with one particular institution, selects the involved participants. *(Revised: 2/21/02, 4/28/11)*

**17.26.9.2 Equipment Issue, Squad Pictures.** No limitations.

## **17.27 Exceptions for Member Institutions Located in Alaska, Hawaii and Puerto Rico.**

**17.27.1 Practice and Playing Seasons.** Member institutions located in Alaska, Hawaii and Puerto Rico shall not be required to observe the starting dates for the practice and playing seasons set forth in this bylaw, provided the amount of practice and number of contests engaged in by such institutions in each sport do not exceed the amount of practice and number of contests in each sport permitted other members of the Association.

**17.27.2 Alaska/Hawaii, Additional Football Contest.** Member institutions located in Alaska and Hawaii shall be permitted to exceed, by one, the maximum number of football contests permitted under Bylaw 17.9.5.1 but otherwise shall conform to the same maximum number of contests and dates of competition permitted other members of the Association.



## 17.28 Foreign Tours.

**17.28.1 Institutionally Certified Tours.** A member institution may participate in competition in any sport on foreign tours. The institution must certify in writing that the conditions set forth in this section are met and must maintain the certification on file in the athletics department. (*Revised: 1/11/89, 1/14/97 effective 8/1/97, 7/30/10*)

**17.28.1.1 Tour to U.S. Territory or Commonwealth.** A tour to a U.S. commonwealth (e.g., Puerto Rico) or a U.S. territory (e.g., Virgin Islands) is not considered a foreign tour.

**17.28.1.2 Timing of Tour.** A tour may only be scheduled during the summer-vacation period between the institution's spring and fall terms or during an academic year vacation period (other than a Labor Day vacation period) published in the institution's official catalog. All travel to and from the foreign country must take place during such a vacation period. However, if the team crosses the international date line during the tour, the change of date will be disregarded and the equivalent time as measured in the United States will be used to determine the institution's vacation period. (*Revised: 10/18/89, 4/29/04, 10/29/04 effective 11/1/04, 5/12/05, 1/8/07, 1/17/09 effective 8/1/09; a contract signed before 4/14/08 may be honored, 7/30/10*)

**17.28.1.2.1 Summer Vacation Period.** A foreign tour taken during the summer vacation period shall be considered to have occurred during the academic year after the summer for purposes of Bylaw 17. (*Revised: 7/30/10*)

**17.28.1.2.2 Prohibition Prior to Championship Segment.** In sports in which separate dates are specified for the first permissible date of practice and the first permissible date of competition for the championship segment (e.g., women's volleyball, basketball, baseball), an institution may not engage in a foreign tour during the period beginning 30 days prior to the first permissible practice date until the first permissible date for a contest or date of competition in the championship segment in the applicable sport. In sports in which the same date is specified for the first permissible date of practice and the first permissible date of competition (e.g., gymnastics, lacrosse, swimming and diving), an institution may not engage in a foreign tour for a period of 30 days prior to the first day of the institution's declared playing and practice season (first permissible date of practice or competition) for the championship segment in the applicable sport. (*Adopted: 6/11/07, Revised: 7/30/10*)

**17.28.1.3 Time Lapse Between Tours.** An institution shall not engage in a foreign tour in each sport more than once every four years. In basketball, a student-athlete shall not participate in more than one foreign tour for a particular institution. (*Revised: 1/11/89, 4/29/10 effective 8/1/10, 7/30/10*)

**17.28.1.4 Eligibility of Student-Athletes.** The eligibility of student-athletes on the tour shall be governed by the following (see Bylaw 14.2.3.6): (*Revised: 7/30/10*)

- (a) If the tour takes place during the summer, the student-athletes shall have been eligible for intercollegiate competition during the previous academic year or shall have been enrolled at the institution as a full-time student during the previous academic year and have established by the beginning of the tour that he or she is eligible for competition during the academic year immediately following the tour; or (*Revised: 8/11/98*)
- (b) If the tour takes place after the academic year has started, the student-athletes shall be regularly enrolled in the institution and eligible for intercollegiate competition.

**17.28.1.4.1 Incoming-Student Participation.** It is permissible for an eligible incoming student-athlete to represent the institution on a foreign tour that begins after the permissible starting practice date in the sport involved or after the first day of classes of his or her first regular term at the institution. An incoming student-athlete (freshman or transfer) may participate in practice sessions conducted in preparation for a foreign tour only if such practice sessions occur either: (*Revised: 5/4/05, 7/30/10*)

- (a) On or after the first permissible practice date in the involved sport; or
- (b) On or after the first day of classes of the student-athlete's first regular academic term at the institution.

**17.28.1.4.1.1 Exception—Basketball.** In basketball, it is permissible for an incoming student-athlete (freshman or transfer) to represent the institution on a foreign tour that occurs during the summer prior to his or her initial full-time enrollment at the certifying institution and participate in practice prior to departure for the foreign tour pursuant to Bylaw 17.28.1.5, provided the following conditions are met: (*Adopted: 4/29/10 effective 8/1/10*)

- (a) He or she has earned at least three hours of acceptable degree credit (toward any of the institution's degree programs) during the summer term at the certifying institution; and
- (b) He or she is eligible to represent the institution in intercollegiate competition during the academic year immediately following the tour.

**17.28.1.4.1.1.1 Exception for Practice Prior to Departure—Basketball.** In basketball, if an incoming student-athlete's eligibility pursuant to Bylaw 17.28.1.4.1.1-(a) is pending (e.g., course in progress, grades not posted), he or she may participate in practice, provided he or she is



enrolled in a summer course that will fulfill the requirement or has completed such a course and the posting of a grade for the course is pending. If an incoming freshman student-athlete's initial academic eligibility qualification status has not been certified, he or she may participate in practice pursuant to Bylaw 14.3.5.1. If an incoming transfer student-athlete's academic record has not been certified, he or she may participate in practice pursuant to Bylaw 14.5.4.5.6. (*Adopted: 4/29/10 effective 8/1/10*)

**17.28.1.5 Practice Limitation.** Not more than 10 days of practice are permitted prior to departure. Practice is prohibited outside the playing season one week prior to the beginning of the institution's final examination period for the applicable regular academic term through the conclusion of the final examination period. (*Revised: 1/14/08, 7/30/10*)

**17.28.1.6 Maximum Number of Contests/Competition Dates.** A team shall be limited to a maximum of three football games, 10 basketball games, or 10 contests or dates of competition in any other sport during and as part of the tour. (*Revised: 1/10/91, 7/30/10*)

**17.28.1.7 Opponents.** The team shall not compete during the tour against other American teams (colleges or other U.S. teams) other than teams composed of U.S. armed forces personnel stationed at U.S. military bases in foreign countries. (*Revised: 7/30/10*)

**17.28.1.7.1 Exception—Women's Rowing.** It is permissible for rowing teams representing NCAA member institutions to compete against each other as part of the Henley Women's Regatta and the Henley Royal Regatta. (*Adopted: 4/20/99, Revised: 7/30/10, 4/16/12*)

**17.28.1.8 Football Postseason Opportunity.** A foreign football tour shall be considered that institution's postseason opportunity for that season, the accounting period to commence with the start of the institution's normal beginning of fall football practice. (*Revised: 7/30/10*)

**17.28.1.9 Per Diem.** An institution may provide a student-athlete \$20 cash per day to cover unitemized incidental expenses incurred in connection with a foreign tour in his or her particular sport. This expense allowance may be provided each day of the tour, up to a maximum of 21 days. (*Adopted: 11/1/01, Revised: 7/30/10*)

**17.28.1.10 Passports.** An institution may purchase passports for its student-athletes that are required for travel in connection with a foreign tour, and student-athletes may retain ownership of such passports. The institution also may provide student-athletes with reasonable local transportation to obtain such passports. (*Adopted: 11/1/01, Revised: 7/30/10*)

**17.28.2 Outside-Team Tours.** An outside team that includes student-athletes from more than one member institution may participate in international competition in any sport on a foreign tour. However, any such outside team that includes more than the following number of student-athletes from the same member institution must be certified by the institution in accordance with procedures set forth in Bylaw 17.28.1. (*Revised: 1/14/97 effective 8/1/97, 10/31/02, 1/16/10*)

Baseball .....	4	Gymnastics.....	2	Softball.....	4
Basketball .....	2	Ice Hockey .....	4	Swimming and Diving .....	5
Cross Country.....	2	Lacrosse.....	5	Tennis .....	2
Fencing .....	4	Rifle .....	2	Track and Field.....	7
Field Hockey .....	5	Rowing.....	4	Volleyball.....	2
Football.....	5	Skiing.....	4	Water Polo.....	4
Golf.....	2	Soccer.....	5	Wrestling.....	5

**17.28.2.1 Institutional Foreign-Tour Limitations.** A member institution shall be charged with its foreign-tour opportunity in a sport and its once-in-four-years limitation if the institution is represented by more than the number of student-athletes specified under Bylaw 17.28.2 on an outside team participating in a foreign tour in that sport.

## 17.29 Playing Rules.

Member institutions shall conduct all of their intercollegiate competition in accordance with the playing rules of the Association in all sports for which the NCAA develops playing rules. It is not mandatory that those rules be used in institutional scrimmages or other forms of practice with outside competition.

# Championships and Postseason Football

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## 18.01 General Principles.

**18.01.1 Purpose of NCAA Championships.** NCAA championships are intended to provide national-level competition among the best eligible student-athletes and teams of member institutions, with consideration also for approved regional structures for certain championships.

**18.01.2 Postseason Competition Not Sponsored by a Collegiate Entity.** Competition by member institutions in postseason contests that are not sponsored, promoted, managed and controlled by a collegiate entity shall conform to the requirements set forth in this article and all other applicable legislation of the Association, which may include penalties for violations of these requirements by sponsoring agencies.

## 18.02 Definitions and Applications.

### 18.02.1 Championships.

**18.02.1.1 National Collegiate Championship.** A national collegiate championship for a particular sport is postseason competition conducted by the Association for eligible student-athletes and teams of active member institutions to determine the NCAA champion in that sport for all divisions that do not have a separate division championship in that sport. A national collegiate championship is established or continued in accordance with the criteria set forth in Bylaws 18.2.3 and 18.2.4.

**18.02.1.2 Division Championship.** A division championship for a particular sport is postseason competition conducted by the Association for eligible student-athletes or teams of active member institutions to determine the division champion in that sport. A division championship is established or continued in accordance with the criteria set forth in Bylaws 18.2.3 and 18.2.4.

**18.02.2 Mixed Team.** A mixed team is a varsity intercollegiate sports team on which at least one individual of each gender competes (see Bylaw 18.2.8.3). *(Revised: 1/11/94)*

**18.02.3 Open Date.** An open date is a regular weekend playing date prior to the end of an institution's regular football schedule on which an institution is not playing a game, or a date approved by the Leadership Council, by a two-thirds majority of its members present and voting, to enable an institution to assist financially in meeting an unforeseen hardship situation resulting directly from the institution's intercollegiate athletics activities. *(Revised: 11/1/07 effective 8/1/08)*

**18.02.4 Postseason Football Bowl Game.** A postseason football bowl game is a football contest conducted after the regular football season and involving two teams selected because of their regular-season performance (e.g., won-lost record, conference championship). Participation in such events by member institutions is limited to those bowl games that meet the requirements of Bylaw 18.7 and the NCAA postseason football handbook. *(Revised: 2/1/05, 7/30/10, 10/28/11 effective 4/1/12)*

## 18.1 Regulations and Authority for Conduct of Championships.

All NCAA championships shall be conducted in accordance with this bylaw and the policies and procedures established by the Championships/Sports Management Cabinet, Leadership Council, Legislative Council, Board of Directors and/or the Executive Committee, which shall establish and revise the policies and procedures governing the administration of NCAA championships, including selection processes, formats and distribution of revenues to participating institutions. NCAA championships shall be under the control, direction and supervision of the appropriate sports committees, subject to the requirements, standards and conditions prescribed in Bylaw 31. *(Revised: 1/10/91, 8/9/07, 11/1/07 effective 8/1/08)*

## 18.2 Criteria for Establishment or Continuation of Championships.

The establishment or continuation of an NCAA championship in a given sport shall be determined on the basis of the requirements in the following bylaws, with sponsorship of a sport based on the Association's records as of September 30 each year.

**18.2.1 National Collegiate Championship.** A National Collegiate Championship for which any active member in good standing is eligible (per Bylaw 20.8) may be established by action of all three divisions acting through each division's governance structure, subject to the requirements, standards and conditions regarding the required number of members sponsoring the sport as prescribed in this bylaw. *(Revised: 1/14/97 effective 8/1/97)*

**18.2.2 Division Championship.** A Division I championship in a particular sport may be established by a majority vote of the Board of Directors subject to the requirements, standards and conditions regarding the required number of members sponsoring the sport as prescribed in this bylaw. *(Revised: 1/9/96 effective 8/1/97)*

**18.2.3 Championships Existing During 1993-94.** A National Collegiate Championship or a division championship that existed during the 1993-94 academic year may be continued if at least 40 member institutions sponsor the sport. *(Adopted: 1/11/94 effective 8/1/94)*

**18.2.3.1 National Collegiate and Division Championship in Same Sport.** If a National Collegiate Championship and a division championship exist in the same sport, sponsorship of the sport in the division in which the division championship is conducted shall not count toward the minimum sponsorship number for the National Collegiate Championship. *(Adopted: 1/11/94 effective 8/1/94)*

#### **18.2.4 Minimum Sponsorship for Championships.**

**18.2.4.1 Men's Sports.** A National Collegiate Championship or a division championship may be established in a men's sport if at least 50 institutions sponsor the sport. *(Adopted: 1/11/94 effective 8/1/94, Revised: 1/17/09)*

**18.2.4.2 Women's Sports.** A National Collegiate Championship or a division championship may be established in a women's sport if at least 40 institutions sponsor the sport. *(Adopted: 1/11/94 effective 8/1/94, Revised: 4/22/98 effective 8/1/98, 4/25/02 effective 8/1/02, 1/17/09)*

#### **18.2.4.3 Sponsorship Criteria.**

**18.2.4.3.1 Minimum Period.** In men's sports, the applicable minimum sponsorship number must exist for two consecutive academic years in order for a championship to be established. Legislation to establish the championship may be proposed during the second year in which the minimum sponsorship number exists. In women's sports, the applicable minimum sponsorship number must exist for one academic year in order for a championship to be established. Legislation to establish the championship may be proposed during the year in which the minimum sponsorship number exists. Varsity sports sponsored prior to August 1, 1994, shall count toward the minimum year sponsorship requirement. *(Adopted: 1/11/94 effective 8/1/94, Revised: 10/28/99 effective 8/1/00)*

**18.2.4.3.2 National Collegiate and Division Championship in Same Sport.** If a National Collegiate Championship and a division championship exist in the same sport, sponsorship of the sport in the division in which the division championship is conducted shall not count toward the minimum sponsorship number for the National Collegiate Championship. *(Adopted: 1/11/94 effective 8/1/94)*

**18.2.5 Establishment of Single Championship in Sport.** If only one championship is established or continued in accordance with Bylaws 18.2.3 and 18.2.4, it shall be a National Collegiate Championship for which any active member institution in good standing can be eligible.

**18.2.6 Establishment of Three Championships in Sport.** If a National Collegiate Championship and two division championships exist in the same sport, the National Collegiate Championship automatically shall become a division championship for the remaining division that does not sponsor a division championship in that sport.

**18.2.7 Establishment of Two Championships in Sport.** If a National Collegiate Championship and one division championship exist in the same sport, only the members of the division sponsoring the division championship may participate in the division championship, and that division's membership may not participate in the National Collegiate Championship in that sport.

#### **18.2.8 Determination of Sponsorship Requirements.**

**18.2.8.1 Single-Gender Athletics Programs.** For purposes of meeting the required minimums set forth in Bylaws 18.2.3 and 18.2.4, member institutions sponsoring no varsity intercollegiate athletics programs for men shall not be included in making calculations concerning men's championships, and member institutions sponsoring no varsity intercollegiate athletics programs for women shall not be included in making calculations concerning women's championships.

**18.2.8.2 Separate Men's and Women's Teams, Same Sport.** For purposes of meeting the required minimums set forth in Bylaws 18.2.3 and 18.2.4 for a combined men's and women's championship, separate varsity intercollegiate men's and women's teams in the same sport at a member institution shall be counted separately.

**18.2.8.3 Mixed Team.** For purposes of meeting the required minimums set forth in Bylaws 18.2.3 and 18.2.4, a mixed team (as defined in Bylaw 18.02.2) shall be counted as one team. A mixed team shall count toward the minimum sponsorship percentage for men's championships.

**18.2.9 Subdivision Requirements.** If a division subdivides for the administration of a sport, resulting in less than the applicable minimum number of the division's active members sponsoring the sport on a varsity intercollegiate basis in that subdivision, the subdivision shall meet the requirement within three years of the date

the subdivision was created. It may establish and conduct a championship in the interim. (*Revised: 1/11/94 effective 8/1/94*)

**18.2.10 Failure to Meet Minimum Sponsorship Requirements.** A championship shall be discontinued automatically at the conclusion of the academic year in which it falls below the applicable minimum sponsorship number set forth in Bylaw 18.2.3 or 18.2.4. (*Revised: 1/11/94 effective 8/1/94*)

**18.2.10.1 Exception—Olympic Sports.** A National Collegiate Championship or a division championship in any Olympic sport shall be exempt from the minimum sponsorship-percentage requirements of Bylaws 18.2.3 and 18.2.4. The membership may adopt specific legislation to discontinue the championship in an Olympic sport. (*Adopted: 1/14/97 effective 8/1/97*)

**18.2.10.2 Exception—Men's and Women's Lacrosse.** A National Collegiate Championship or a division championship in men's and women's lacrosse shall be exempt from the minimum sponsorship-percentage requirements of Bylaws 18.2.3 and 18.2.4. The membership may adopt specific legislation to discontinue the championship in the sport of men's lacrosse. (*Adopted: 10/28/99 effective 8/1/00*)

## 18.3 Current Championships.

The Association currently administers 89 national championships. Ten are National Collegiate Championships. Additionally, there are 26 Division I championships, 25 Division II championships and 28 Division III championships. (See Bylaw 31.02.2 for information about the classification and terminology of championships and see Constitution 5.3.9.1 and 5.3.9.2 for the voting requirements for the establishment of a new championship.) The current championships are as follows: (*Revised: 1/10/90, 1/10/92, 1/9/96, 4/27/00, 4/26/01, 1/15/11 effective 8/1/11*)

### 18.3.1 National Collegiate Championships (10). [#]

Men (3)	Men and Women (3)	Women (4)
Gymnastics	Fencing	Bowling ( <i>Adopted: 4/24/03 effective 8/1/03</i> )
Volleyball (Divisions I and II)	Rifle	Gymnastics
Water Polo	Skiing	Ice Hockey (Divisions I and II) ( <i>Adopted: 4/27/00</i> )
		Water Polo ( <i>Adopted: 4/27/00</i> )

### 18.3.2 Division I Championships (26).

Men (13)	Women (13)
Baseball	Basketball
Basketball	Cross Country
Cross Country	Field Hockey
Football ( <i>Revised: 12/15/06</i> )	Golf
Golf	Lacrosse ( <i>Adopted: 4/27/00</i> )
Ice Hockey	Rowing ( <i>Adopted: 1/9/96, Revised: 4/26/01 effective 8/1/01</i> )
Lacrosse	Soccer
Soccer	Softball
Swimming and Diving	Swimming and Diving
Tennis	Tennis
Indoor Track and Field	Indoor Track and Field
Outdoor Track and Field	Outdoor Track and Field
Wrestling	Volleyball

## 18.4 Eligibility for Championships.

**18.4.1 Student-Athlete Eligibility.** To be eligible for NCAA championships, a student-athlete shall meet all applicable individual-eligibility requirements set forth in NCAA legislation. The general and academic eligibility requirements are set forth in detail in Bylaw 14.

**18.4.1.1 Institution's Responsibility.** The responsibility of an institution to withhold from all intercollegiate competition a student-athlete who is ineligible under any NCAA legislation is set forth in Bylaw 14.10.1.

**18.4.1.2 Committee on Student-Athlete Reinstatement Authority.** The Committee on Student-Athlete Reinstatement shall have initial authority to determine all matters pertaining to the eligibility of student-athletes competing in the various NCAA championships and to act upon all appeals concerning the eligibility of student-athletes submitted by member institutions (see Bylaw 14.11).

**18.4.1.3 Protest of Eligibility Status.** If a student-athlete has been certified by the institution as eligible to compete in an NCAA championship and the student-athlete's eligibility is protested, the Committee on Student-Athlete Reinstatement shall not rule on such a protest received during the period beginning 24 hours



before the event and ending with the conclusion of the event. (See Bylaw 31.2.2.2 regarding protests received during a break in the continuity of a championship.)

**18.4.1.4 Amateur-Status Certification.** If requested by the Championships/Sports Management Cabinet, the student-athlete shall certify his or her amateur standing under the provisions of Bylaw 12 by signing an affidavit that is administered by the chair of the games committee and taken on a form prescribed by the Championships/Sports Management Cabinet. (*Revised: 11/1/07 effective 8/1/08*)

**18.4.1.5 Ineligibility for Use of Banned Drugs.** A student-athlete who, as a result of a drug test administered by the NCAA, is found to have used a substance on the list of banned drug classes, as set forth in Bylaw 31.2.3.4, shall be declared ineligible for further participation in postseason and regular-season competition in accordance with the ineligibility provisions in Bylaw 18.4.1.5.1. The certifying institution may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete's eligibility if the institution concludes that circumstances warrant restoration. (*Revised: 1/10/90 effective 8/1/90*)

**18.4.1.5.1 Duration of Ineligibility.** A student-athlete who, as a result of a drug test administered by the NCAA, tests positive (in accordance with the testing methods authorized by the Executive Committee) shall be charged with the loss of a minimum of one season of competition in all sports if the season of competition has not yet begun for that student-athlete or a minimum of the equivalent of one full season of competition in all sports if the student-athlete tests positive during his or her season of competition (the remainder of contests in the current season and contests in the following season up to the period of time in which the student-athlete was declared ineligible during the previous year). The student-athlete shall remain ineligible for all regular-season and postseason competition during the time period ending one calendar year (365 days) after the collection of the student-athlete's positive drug-test specimen and until the student-athlete tests negative (in accordance with the testing methods authorized by the Executive Committee) and the student-athlete's eligibility is restored by the Committee on Student-Athlete Reinstatement. If the student-athlete participates in any contests from the time of collection until the confirmation of the positive result, he or she must be withheld from an equal number of contests after the 365-day period of ineligibility. (*Revised: 1/10/90 effective 8/1/90, 1/16/93, 1/9/96 effective 8/1/96, 1/14/97 effective 8/1/97, 4/28/05 effective 8/1/05, 11/1/07*)

**18.4.1.5.1.1 Breach of NCAA Drug-Testing Program Protocol.** A student-athlete who is in breach of the NCAA drug-testing program protocol (e.g., no-show) shall be considered to have tested positive for the use of any drug other than a "street" drug. (*Adopted: 4/28/05 effective 8/1/05, Revised: 4/26/12 effective 8/1/12*)

**18.4.1.5.1.1.1 Tampering With Drug-Test Sample.** A student-athlete who is involved in a case of clearly observed tampering with an NCAA drug-test sample, as documented per NCAA drug-testing protocol by a drug-testing crew member, shall be charged with the loss of a minimum of two seasons of competition in all sports if the season of competition has not yet begun for that student-athlete or a minimum of the equivalent of two full seasons of competition in all sports if the student-athlete is involved in the tampering with a drug-test sample during his or her season of competition (the remainder of contests in the current season and contests in the following two seasons up to the period of time in the initial season in which the student-athlete was declared ineligible). The student-athlete shall remain ineligible for all regular-season and postseason competition during the time period ending two calendar years (730 days) after the student-athlete was involved in the tampering with a drug-test sample and until the student-athlete tests negative (in accordance with the testing methods authorized by the Executive Committee) and the student-athlete's eligibility is restored by the Committee on Student-Athlete Reinstatement. (*Adopted: 4/26/12 effective 8/1/12, for tests occurring on or after August 1, 2012, Revised: 6/19/12*)

**18.4.1.5.1.2 Appeals.** An institution may appeal the duration of ineligibility to the Committee on Competitive Safeguards and Medical Aspects of Sports (or a designated subcommittee). In all sports, the committee may reduce the legislated penalty to withholding the student-athlete from the next 50 percent of the season of competition or provide complete relief from the legislated penalty. If the committee requires the student-athlete to fulfill the legislated penalty or be withheld from the next 50 percent of the season of competition in all sports, the student-athlete shall remain ineligible until the prescribed penalty is fulfilled, the student-athlete tests negative and the student-athlete's eligibility is restored by the Committee on Student-Athlete Reinstatement. (*Adopted: 4/28/05 effective 8/1/05*)

**18.4.1.5.1.3 Transfers.** If the student-athlete transfers to another NCAA institution while ineligible, the institution from which the student-athlete transferred must notify the institution that the student-athlete is ineligible. If the student-athlete immediately transfers to a non-NCAA institution while ineligible and competes in intercollegiate competition within the 365-day period at a non-NCAA institution, the student-athlete shall be ineligible for all NCAA regular-season and postseason competition until the student-athlete does not compete in intercollegiate competition for a 365-day period. Additionally, the student-athlete must test negative (in accordance with the testing methods authorized by the Executive Committee) and request that eligibility be restored by the Committee on Student-Athlete Reinstatement. (*Revised: 4/28/05 effective 8/1/05*)



**18.4.1.5.1.4 Testing Positive on More Than One Occasion.** If the student-athlete tests positive for the use of any banned drug other than a “street drug” after having previously tested positive for any banned drug other than a “street drug,” he or she shall lose all remaining regular-season and postseason eligibility in all sports. If the student-athlete tests positive for the use of a “street drug” after having tested positive for use of any banned drug, he or she shall be charged with the loss of a minimum of one additional season of competition in all sports and also shall remain ineligible for regular-season and postseason competition during the time period ending one calendar year (365 days) after the collection of the student-athlete’s positive drug-test specimen. *(Revised: 4/28/05 effective 8/1/05, 6/17/08, 5/27/10)*

**18.4.1.5.2 Banned Drugs and Drug-Testing Methods.** The Executive Committee shall adopt a list of banned drug classes and shall authorize methods for drug testing of student-athletes on a year-round basis. The list of banned drug classes and the procedure for informing member institutions about authorized methods for drug testing are set forth in Bylaw 31.2.3. *(Revised: 1/10/90 effective 8/1/90)*

**18.4.1.5.3 Non-NCAA Athletics Organization’s Positive Drug Test.** The Executive Committee shall authorize methods for drug testing any student-athlete who has disclosed in the student-athlete statement (see Bylaw 14.1.3.1) that he or she has a positive drug test administered by a non-NCAA athletics organization. A student-athlete under a drug-testing suspension from a national or international sports governing body that has adopted the World Anti-Doping Agency (WADA) code shall not participate in NCAA intercollegiate competition for the duration of the suspension. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/28/05 effective 8/1/05)*

## **18.4.2 Institutional Eligibility.**

**18.4.2.1 General Institutional Requirements.** To be eligible to enter a team or an individual in NCAA championship competition, an institution shall:

- (a) Be an active member in good standing in the appropriate division, or have its sport so classified, and be eligible under the rules of the member conference of which it is a member;
- (b) Have paid its membership dues for the current year in accordance with the deadlines set forth in Bylaw 31.2.1.2;
- (c) Designate (in accordance with Bylaw 20) its athletics program as Division I for competition and possible eligibility for championships in those intercollegiate sports recognized by the NCAA;
- (d) Certify, through its president or chancellor on a form approved by the Legislative Council, the institution’s compliance with NCAA legislation. The certification of compliance shall be completed not later than September 15; *(Revised: 1/10/95, 3/8/06, 11/1/07 effective 8/1/08, 7/30/10)*
- (e) Have confirmed annually its sponsorship of a varsity intercollegiate team in the sport by so reporting on the NCAA official information form; *(Adopted: 1/10/90)*
- (f) Have submitted its race and demographic information to the NCAA through the official submission process; *(Adopted: 1/14/08)*
- (g) Have submitted its financial data detailing operating revenues, expenses and capital related to its intercollegiate athletics program to the NCAA through the official submission process (see Constitution 3.2.4.16); and *(Adopted: 1/17/09 effective 8/1/09)*
- (h) Refrain from entering a student-athlete as an individual or as a member of a team in an NCAA championship if it is acknowledged by the institution or established through the Association’s enforcement procedures that the institution or representatives of its athletics interests violated NCAA regulations in the recruiting of the student-athlete. The institution may appeal to the Committee on Student-Athlete Reinstatement for restoration of the student-athlete’s eligibility (see Bylaw 14.11).

**18.4.2.1.1 Certification of Compliance—Requirements.** The following conditions shall be satisfied. *(Revised: 1/10/95, 3/8/06, 7/30/10)*

**18.4.2.1.1.1 NCAA Rules Review.** The chancellor or president or a designated representative has reviewed with all athletics department staff members the rules and regulations of the NCAA as they apply to the administration and conduct of intercollegiate athletics. *(Revised: 3/8/06, 7/30/10)*

**18.4.2.1.1.2 Coaching Staff Disciplinary Actions.** At the time of such certification, and as a result of involvement in a violation of the Association’s legislation as determined by the Committee on Infractions or the Infractions Appeals Committee, no current member of the institution’s coaching staff: *(Revised: 7/30/10)*

- (a) Shall have been temporarily or permanently suspended from coaching duties by another member institution within the last two years;
- (b) Shall have been prohibited within the last two years, as a result of violations occurring while employed by another member institution, from participating in identified coaching-related activities, unless the prohibition has been equally applied by the certifying institution with respect to the individual’s coaching-related activities on its behalf; or

- (c) Shall have been permitted within the last two years to perform any coaching-related activities for the certifying institution that were prohibited after determination by the Committee on Infractions of an “appropriate disciplinary action” for the individual in accordance with the show-cause provision of Bylaw 19.9.9 of the NCAA enforcement procedures.

**18.4.2.1.1.2.1 Period of Suspension or Prohibition.** The period of suspension or prohibition established by the Committee on Infractions or the Infractions Appeals Committee must be in effect for the provisions set forth in Bylaw 18.4.2.1.1.2 to apply. *(Revised: 7/30/10)*

**18.4.2.1.1.2.2 Due-Process Requirement.** The affected coaching staff member must be given through the appropriate institution notice of an opportunity to be heard at both the NCAA hearing resulting in the finding of involvement in the violation and the institutional hearing resulting in suspension or prohibition. *(Revised: 7/30/10)*

**18.4.2.1.1.3 Certification of Policies, Procedures and Practices.** The policies, procedures and practices of the institution, its staff members and representatives of its athletics interests are in compliance at the present time with the Association’s legislation insofar as the president or chancellor can determine. *(Revised: 3/8/06, 7/30/10)*

**18.4.2.1.1.4 Report of NCAA Violation Involving Institution.** A current statement has been filed with the president or chancellor, as a part of the institution’s annual certification, which is signed by each athletics department staff member (including part-time and clerical staff members), attesting that the individual has reported any knowledge of involvement in any violations of NCAA legislation involving the institution. *(Revised: 4/29/04, 6/10/04, 3/8/06, 7/30/10)*

**18.4.2.1.1.5 Additional Requirements.** An institution shall specifically affirm the following: *(Revised: 7/30/10)*

- (a) It has published its regular entrance requirements, including any special-admission opportunities;
- (b) It has published its requirements for progress toward a degree, in accordance with membership obligations set forth in Constitution 3.2; and
- (c) Each student-athlete who represents the institution in intercollegiate athletics competition during the academic year has been certified to be in good academic standing and maintaining progress toward a degree as set forth in Bylaw 14.

**18.4.2.1.2 Additional Requirements.** Other requirements for institutional eligibility for championships are set forth in Bylaw 31.2.1.

**18.4.2.2 Championships—Eligibility.** To be eligible to enter a team or an individual in an NCAA championship, a member institution also shall:

- (a) Limit its athletically related grant-in-aid awards and eligibility for participation in practice or competition to student-athletes who meet the following requirements:
  - (1) Freshman eligibility requirements for financial aid, practice and competition set forth in Bylaws 14.3.1 and 14.3.2; and
  - (2) Transfer eligibility requirements for financial aid, practice and competition set forth in Bylaws 14.5.4.1 and 14.5.4.2 (for transfer from a two-year college) or in Bylaw 14.5.5.1 (for transfer from a four-year college);
- (b) Have operated for a period of two years in conformity with the requirements of Bylaw 18.4.2.2-(a) at the time it certifies conformance;
- (c) Report annually to the NCAA through the president or chancellor, on a form approved by the Administration Cabinet, the admissions and graduation-rate data specified in Bylaws 18.4.2.2.1, 18.4.2.2.2 and 18.4.2.2.3. The data shall be received in the national office not later than March 1. Any data received after that date shall appear on a form postmarked not later than February 22; and *(Revised: 1/10/90 effective immediately for data collection and effective 10/1/91 for disclosure, 10/28/97, 11/1/00, 3/8/06, 11/1/07 effective 8/1/08, 7/30/10)*
- (d) In championship subdivision football, have complied with the minimum scheduling requirements set forth in Bylaw 20 for a period of two years. *(Adopted: 11/1/00 effective 8/1/01, Revised: 12/15/06)*

**18.4.2.2.1 Admissions and Graduation-Rate Disclosure.** An institution shall not be eligible to enter a team or an individual competitor in an NCAA championship unless it has completed and submitted a copy of the Integrated Postsecondary-Education Data System Graduation-Rate Survey (IPEDS GRS-1) to the NCAA national office on or before the applicable deadline established by federal regulations. *(Revised: 1/10/90, 1/6/91 effective immediately for data collection, effective 10/1/91 for disclosure, 4/15/92, 1/14/97, 10/28/97, 8/11/98, 1/12/04, 7/30/10)*

**18.4.2.2.2 Academic Progress Rate and Academic Performance Census—Disclosure.** An institution shall not be eligible to enter a team or individual competitor in an NCAA championship unless it has submitted, by the applicable deadline, its Academic Progress Rate (APR) and Academic Performance

Census (APC) in a form approved and administered by the Board of Directors, or an entity designated by the Board. *(Adopted: 8/7/03 effective 8/1/04, Revised: 7/30/10)*

**18.4.2.2.3 Graduation Success Rate—Disclosure.** An institution shall not be eligible to enter a team or individual competitor in an NCAA championship unless it has submitted, by the applicable deadline, its Graduation Success Rate (GSR) in a form approved and administered by the Board of Directors, or an entity designated by the Board. *(Adopted: 4/29/04 effective 8/1/04, Revised: 7/30/10)*

**18.4.2.2.4 Division II or Division III Institution Petitioning for Division I Classification.** A Division II or Division III institution petitioning for Division I institutional membership or eligibility in a sport (in accordance with Bylaw 20) shall have operated in conformity with the requirements of Bylaw 18.4.2.2-(a) for a period of two years preceding the effective date of its Division I membership or be ineligible for Division I championships.

**18.4.2.3 Academic Performance Program.** The Committee on Academic Performance shall have the authority to determine the circumstances that require an institution or team(s) that fails to satisfy the academic performance program to be ineligible for postseason competition, including, but not limited to, NCAA championships and bowl games. The Committee on Academic Performance shall establish and annually publish to the membership such circumstances. *(Adopted: 4/29/04 effective 8/1/04, Revised: 1/8/07 effective 8/1/07, 10/27/11; for penalties assessed for the 2012-13 academic year and beyond)*

**18.4.2.3.1 Appeal Opportunity.** An institution may appeal such postseason competition restrictions to the Committee on Academic Performance, as specified by the committee's policies and procedures. (See Bylaw 23.3.) *(Adopted: 4/29/04 effective 8/1/04, Revised: 1/8/07 effective 8/1/07, 10/27/11; for penalties assessed for the 2012-13 academic year and beyond)*

## 18.5 Automatic Qualification by Conference.

**18.5.1 Division Championship.** To be eligible for automatic qualification into any Division I championship, a conference shall: *(Revised: 1/9/06 effective 8/1/06)*

- (a) Have at least six member institutions classified in Division I in the sport in which automatic qualification is sought; and
- (b) Meet all requirements for conference automatic qualification into any division championship as set forth in Bylaw 31.3.4. *(Revised: 1/9/06 effective 8/1/06)*

**18.5.2 National Collegiate Championship. [#]** To be eligible for automatic qualification into any National Collegiate Championship, a conference shall: *(Adopted: 1/9/06 effective 8/1/06)*

- (a) Have at least six active members that sponsor the applicable sport in any division;
- (b) Meet all applicable requirements for conference automatic qualification into any National Collegiate Championship as set forth in Bylaw 31.3.4.

**18.5.3 Men's Basketball Eligibility Requirements.** For automatic qualification in the sport of men's basketball in Division I, a conference shall meet the following additional requirements:

- (a) It shall determine a conference champion in at least six men's sports [at least two of which must be team sports as set forth in Bylaw 31.3.4.1-(a)]; and in each of these six sports, at least six of the conference's member institutions shall sponsor the sport on the varsity intercollegiate level; and
- (b) It shall conduct double round-robin, in-season conference competition, or a minimum of 14 conference games, before declaring its champion in basketball. *(Revised: 1/10/91 effective 8/1/91)*

## 18.6 Playing Rules for Championships.

In sports in which the Association maintains rules committees, the rules adopted by said committees shall govern the conduct of all NCAA-sponsored events in those sports. In those sports in which the Association does not maintain rules committees, the rules to be used are specified in Bylaw 31.1.6.

## 18.7 Postseason Football.

**18.7.1 Permissible Football Games. [FBS/FCS]** The only football games in which a member institution may compete are:

- (a) Games scheduled as to the identity of a participating college before the beginning of the regular football season of the institution for any academic year, including not only games for which the identity of one participating college is known, but also one for which the institution's opponent is not known at the time of scheduling;
- (b) Any football game scheduled between two colleges [which is to be played on a common and regular open date (as defined in Bylaw 18.02.3) of their regular football seasons, on the campus or in the regular playing stadium of either team], even if it is scheduled after the beginning of either participant's football season;
- (c) Games that are part of the NCAA championship; *(Revised: 12/15/06)*

- (d) Games that are part of the National Association of Intercollegiate Athletics football championships;
- (e) A conference championship game on an open date during the traditional fall season, provided the game is played (as opposed to scheduled) the week prior to the first round of an NCAA football championship date and provided the game is listed on the schedules of all conference members; and
- (f) Postseason bowl games that meet all requirements and conditions set forth in Bylaw 18.7.2 and the NCAA postseason football handbook. *(Revised: 2/1/05, 10/28/11 effective 4/1/12)*

**Delayed effective date. See specific date below.**

- (g) One postseason game between the winners of two exempted postseason bowl games per Bylaw 17.9.5.2-(e). The participants in the two postseason bowl games shall be selected by Football Bowl Subdivision conferences and independent institutions. *(Adopted: 8/2/12 effective 8/1/14)*

**18.7.2 Postseason Bowl Games. [FBS/FCS]** The conditions and requirements that must be met in order for an institution to participate in a postseason bowl game are set forth in the NCAA postseason football handbook. [Note: For a maximum of three years, beginning April 28, 2011, an institution shall not participate in a new postseason bowl game.] *(Revised: 1/10/92, 2/1/05, 12/15/06, 7/30/10, 4/28/11, 10/27/11 effective 4/1/12)*

**18.7.2.1 Contest Status. [FBS]** A contest shall serve the purpose of providing a national contest between deserving teams. A “deserving team” shall be defined as one that has won a number of games against Football Bowl Subdivision opponents that is equal to or greater than the number of its overall losses. Tie games do not count in determining a team’s won-lost record. Further, when forfeiture of a regular-season football victory is required by the Committee on Infractions or a conference, or is self-imposed by an institution as a result of a violation of NCAA rules, neither of the competing institutions may count that contest in satisfying the definition of a “deserving team.” *(Revised: 10/18/89, 10/12/93, 4/20/99, 12/15/06, 4/29/10 effective 8/1/10, 7/30/10, 10/27/11 effective 4/1/12)*

**18.7.2.1.1 Exception—Football Championship Subdivision Opponent. [FBS]** Each year, a Football Bowl Subdivision institution may count one victory against a Football Championship Subdivision opponent toward meeting the definition of a “deserving team,” provided the opponent has averaged 90 percent of the permissible maximum number of grants-in-aid per year in football during a rolling two-year period. *(Adopted: 10/28/97 effective 8/1/98, Revised: 4/28/05, 12/15/06, 4/29/10 effective 8/1/10, 7/30/10)*

**18.7.2.1.1.1 Waiver. [FBS]** The Football Issues Committee may approve a waiver of the 90 percent requirement to permit a Football Bowl Subdivision institution to count a victory against a Football Championship Subdivision opponent toward meeting the definition of a “deserving team,” if a unique or catastrophic situation affects the Football Championship Subdivision institution’s ability to average 90 percent of the permissible maximum number of football grants-in-aid per year during a rolling two-year period. *(Adopted: 8/11/98, Revised: 4/28/05, 12/15/06, 4/29/10 effective 8/1/10, 7/30/10)*

**18.7.2.1.2 Exception—Conference Champion. [FBS]** If a conference champion is scheduled contractually to participate in a bowl game, the institution that is the conference champion shall be eligible to participate regardless of its won-lost record. *(Adopted: 1/12/93, Revised: 10/28/97, 11/1/07 effective 8/1/08, 4/29/10 effective 8/1/10, 7/30/10, 5/2/13)*

**18.7.2.1.3 Exception—Deserving Team That Loses Conference Championship Game.** An institution that finishes its regular season having met the definition of a “deserving team” but loses its conference championship game shall continue to be considered a deserving team. *(Adopted: 5/2/13)*

**18.7.2.1.4 Exception—Insufficient Number of Eligible Institutions. [FBS]** For a period of four years beginning August 2, 2012, if an insufficient number of institutions meet the definition of a “deserving team” pursuant to Bylaw 18.7.2.1 to participate in postseason bowl games in a particular year, an institution that meets a condition set forth below shall be eligible to be selected to participate in such a bowl game. A particular bowl game may benefit from this provision only one time within the four-year period. All institutions that meet the first condition must be selected before an institution that meets the second condition may be selected and so forth in descending order: *(Adopted: 8/2/12, Revised: 5/2/13)*

- (a) An institution that would have met the exception in Bylaw 18.7.2.1.1 but for the fact that one victory was against a Football Championship Subdivision opponent that had not averaged 90 percent of the permissible maximum number of grants-in-aid per year in football during a rolling two-year period and the institution’s waiver request, per Bylaw 18.7.2.1.1.1, was denied.
- (b) An institution that has won a number of games against Football Bowl Subdivision opponents and two Football Championship Subdivision opponents that together is equal to or greater than the number of its overall losses.
- (c) An institution that participated in 13 regular-season contests and finished the season with a record of six wins and seven losses.



- (d) An institution that is in its final year of reclassification from the Football Championship Subdivision to the Football Bowl Subdivision and meets the definition of a “deserving team” pursuant to Bylaw 18.7.2.1 or the exception in Bylaw 18.7.2.1.1.
- (e) An institution that finished its season with a minimum of five wins and a maximum of seven losses but achieved a top-five Academic Progress Rate in the Football Bowl Subdivision for the most recent reporting year.

**18.7.2.2 Participation Restrictions. [FBS]** The competing institutions shall be active members of this Association, and a member institution shall not participate in more than one such game during any academic year. *(Revised: 7/30/10)*

**18.7.3 Written Report—Championship Subdivision Football. [FCS]** The director of athletics of an institution that participates in a postseason championship football game or bowl game that is exempt from the maximum number of football contests per Bylaw 17.9.5.1, except for the Division I Football Championship, shall submit to the Football Issues Committee a written report on the conduct and administration of the event, with special emphasis on game management. The report shall be submitted by the following March 1 on a form approved by the Leadership Council. *(Revised: 7/30/10)*

**18.7.4 Eligibility Rules. [FBS/FCS]** The eligibility rules governing individual participation and drug usage shall be as demanding for participants in postseason bowl games as those governing participation in NCAA championships. To attest to the eligibility of its student-athletes (in conformity with this paragraph), each institution selected or qualified for a postseason game shall meet the certification-of-eligibility requirements set forth in Bylaw 14.10. *(Revised: 1/10/95, 7/30/10)*

**18.7.4.1 Institutional Eligibility. [FBS/FCS]** The competing institutions shall be active members of the Association, and members shall conduct their intercollegiate athletics programs in conformance with the requirements for institutional eligibility set forth in Bylaw 18.4.2.2-(a).

**18.7.5 Expenses for Spouses/Children. [FBS/FCS]** A competing institution may include the spouses and children of student-athletes on the traveling squad as part of its official party to attend a postseason football game and may pay their necessary travel, lodging and meal costs (see Bylaw 16.6.1.1). *(Revised: 2/1/05, 10/27/11 effective 4/1/12)*





# Enforcement

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## 19.01 General Principles.

**19.01.1 Mission of the Enforcement Program.** It is the mission of the NCAA enforcement program to uphold integrity and fair play among the NCAA membership, and to prescribe appropriate and fair penalties if violations occur. One of the fundamental principles of the enforcement process is to ensure that those institutions and student-athletes abiding by the NCAA constitution and bylaws are not disadvantaged by their commitment to compliance. The program is committed to the fairness of procedures and the timely resolution of infractions cases. The ability to investigate allegations and penalize infractions is critical to the common interests of the Association's membership and the preservation of its enduring values. *(Adopted: 1/11/94, Revised: 10/30/12 effective 8/1/13)*

**19.01.2 Accountability.** The enforcement program shall hold institutions, coaches, administrators and student-athletes who violate the NCAA constitution and bylaws accountable for their conduct, both at the individual and institutional levels. *(Adopted: 10/30/12 effective 8/1/13)*

**19.01.3 Public Disclosure.** Except as provided in this article, the Committee on Infractions, the Infractions Appeals Committee and the enforcement staff shall not make public disclosures about a pending case until the case has been announced in accordance with prescribed procedures. An institution and any individual subject to the NCAA constitution and bylaws involved in a case, including any representative or counsel, shall not make public disclosures about the case until a final decision has been announced in accordance with prescribed procedures. *(Adopted: 10/30/12 effective 8/1/13)*

**19.01.4 Penalty Structure.** The enforcement program shall address the varying levels of infractions and, for the most serious infractions, include guidelines for a range of penalties, which the Committee on Infractions may prescribe, subject to review by the Infractions Appeals Committee. Penalties shall depend on the relative severity of the infraction(s), the presence of aggravating or mitigating factors and, in some cases, the existence of extenuating circumstances. *(Adopted: 10/30/12 effective 8/1/13)*

**19.01.5 Exemplary Conduct.** Individuals employed by or associated with member institutions for the administration, the conduct or the coaching of intercollegiate athletics are, in the final analysis, teachers of young people. Their responsibility is an affirmative one, and they must do more than avoid improper conduct or questionable acts. Their own moral values must be so certain and positive that those younger and more pliable will be influenced by a fine example. Much more is expected of them than of the less critically placed citizen.

## 19.02 Definitions and Applications.

**19.02.1 Involved Individual.** Involved individuals are current or former institutional staff members and current or former student-athletes who have received notice of involvement in alleged violations. *(Adopted: 10/30/12 effective 8/1/13)*

**19.02.2 New Evidence.** New evidence is relevant, material information that could not have reasonably been ascertained prior to the Committee on Infractions hearing. *(Adopted: 1/6/96, Revised: 10/30/12 effective 8/1/13)*

**19.02.3 Show-Cause Order.** A show-cause order is an order that requires a member institution to demonstrate to the satisfaction of the Committee on Infractions why it should not be subject to a penalty or additional penalty for not taking appropriate disciplinary or corrective action with regard to an institutional staff member or representative of the institution's athletics interests found by the committee as having been involved in a violation of the NCAA constitution and bylaws. *(Revised: 1/10/95, 4/24/03, Revised: 10/30/12 effective 8/1/13)*

## 19.1 Violation Structure.

**19.1.1 Severe Breach of Conduct (Level I Violation).** A severe breach of conduct is one or more violations that seriously undermine or threaten the integrity of the NCAA Collegiate Model, as set forth in the constitution and bylaws, including any violation that provides or is intended to provide a substantial or extensive recruiting, competitive or other advantage, or a substantial or extensive impermissible benefit. Among other examples, the following, in appropriate circumstances, may constitute a severe breach of conduct: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Lack of institutional control;
- (b) Academic fraud;
- (c) Failure to cooperate in an NCAA enforcement investigation;
- (d) Individual unethical or dishonest conduct, regardless of whether the underlying institutional violations are considered Level I;
- (e) A Bylaw 11.1.1.1 violation by a head coach resulting from an underlying Level I violation by an individual within the sport program;
- (f) Cash payment or other benefits provided by a coach, administrator or representative of the institution's athletics interests intended to secure, or which resulted in, enrollment of a prospective student-athlete;
- (g) Third-party involvement in recruiting violations in which institutional officials knew or should have known about the involvement;
- (h) Intentional violations or reckless indifference to the NCAA constitution and bylaws; or
- (i) Collective Level II and/or Level III violations.

**19.1.2 Significant Breach of Conduct (Level II Violation).** A significant breach of conduct is one or more violations that provide or are intended to provide more than a minimal but less than a substantial or extensive recruiting, competitive or other advantage; include more than a minimal but less than a substantial or extensive impermissible benefit; or involve conduct that may compromise the integrity of the NCAA Collegiate Model as set forth in the constitution and bylaws. Among other examples, the following may constitute a significant breach of conduct: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Violations that do not rise to the level of Level I violations and are more serious than Level III violations;
- (b) Failure to monitor (such violations will be presumed Level II but may be deemed to be of a Level I nature if the failure is substantial or egregious);
- (c) Systemic violations that do not amount to a lack of institutional control;
- (d) Multiple recruiting, financial aid, or eligibility violations that do not amount to a lack of institutional control;
- (e) A Bylaw 11.1.1.1 violation by a head coach resulting from an underlying Level II violation by an individual within the sport program; or
- (f) Collective Level III violations.

**19.1.3 Breach of Conduct (Level III Violation).** A breach of conduct is one or more violations that are isolated or limited in nature; provide no more than a minimal recruiting, competitive or other advantage; and provide no more than a minimal impermissible benefit. Among other examples, the following may constitute a breach of conduct: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Inadvertent violations that are isolated or limited in nature; or
- (b) Extra-benefit, financial aid, academic eligibility and recruiting violations, provided they do not create more than minimal advantages.

**19.1.4 Incidental Infraction (Level IV Violation).** An incidental infraction is a minor infraction that is technical in nature and does not constitute a Level III violation. Incidental infractions generally will not affect eligibility for intercollegiate athletics. Multiple or repeated Level IV violations collectively may constitute a Level III violation. *(Adopted: 10/30/12 effective 8/1/13)*

## **19.2 Expectations and Shared Responsibility.**

**19.2.1 Member Responsibility for Compliance.** Each institution has an affirmative obligation to monitor and control its athletics programs, its representatives and its student-athletes to assure compliance with the constitution and bylaws of the Association. *(Adopted: 10/30/12 effective 8/1/13)*

**19.2.2 Member Responsibility to Report Noncompliance.** Each institution has an affirmative obligation to report all instances of noncompliance to the Association in a timely manner. *(Adopted: 10/30/12 effective 8/1/13)*

**19.2.3 Responsibility to Cooperate.** All representatives of member institutions have an affirmative obligation to cooperate fully with and assist the NCAA enforcement staff, the Committee on Infractions and the Infractions Appeals Committee to further the objectives of the Association and its enforcement program. The responsibility to cooperate requires institutions and individuals to protect the integrity of investigations and to make a full and complete disclosure of any relevant information, including any information requested by the enforcement staff or relevant committees. All representatives of member institutions have an affirmative obligation to report instances of noncompliance to the Association in a timely manner and assist in developing full information to determine whether a possible violation has occurred and the details thereof. *(Adopted: 11/1/07 effective 8/1/08, Revised: 10/30/12 effective 8/1/13)*

**19.2.3.1 Exemplary Cooperation.** Exemplary cooperation by an institution or involved individual may constitute a mitigating factor for purposes of determining a penalty for a violation. Institutions or involved

individuals may demonstrate exemplary cooperation while denying some or all of the alleged violations and otherwise acting in furtherance of their independent interests. *(Adopted: 10/30/12 effective 8/1/13)*

**19.2.3.2 Failure to Cooperate.** Failing to satisfy the responsibility to cooperate may result in an independent allegation and/or be considered an aggravating factor for purposes of determining a penalty. Institutional representatives and the involved individual may be requested to appear before a hearing panel of the Committee on Infractions at the time the allegation is considered. *(Adopted: 10/30/12 effective 8/1/13)*

## 19.3 Committee on Infractions.

**19.3.1 Composition of Committee.** The Board of Directors shall appoint a Committee on Infractions comprised of not more than 24 members to act as hearing officers in infractions proceedings of the Association. The Board of Directors shall also appoint one member of the committee to serve as chair and another member to serve as vice chair. If at any time the chair is unavailable to act as such, the vice chair is empowered to exercise the functions of the chair. There shall be no subdivision restrictions except that all nonpublic members may not be from the same subdivision. The committee shall reflect the Association's commitment to diversity. To the extent reasonably possible, the Committee shall include members from each of the following categories: *(Revised: 1/16/93, 10/27/98, 10/28/99, 1/11/00, 11/1/01, 10/31/02, 10/30/12 effective 8/1/13)*

- (a) Current or former college or university presidents, chancellors or other senior institutional administrators (no more than three years removed from employment by a member institution or similar service at the time of his or her initial appointment);
- (b) Current or former directors of athletics (no more than three years removed from employment by a member institution or similar service at the time of his or her initial appointment);
- (c) Former NCAA coaches (no more than 10 years removed from employment by a member institution or similar service at the time of his or her initial appointment); *(Revised: 1/19/13 effective 8/1/13)*
- (d) Representatives from conference offices;
- (e) University staff or faculty, including but not limited to faculty athletics representatives;
- (f) Athletics administrators with compliance experience; and
- (g) Members of the general public with formal legal training who are not associated with a collegiate institution, conference, or professional or similar sports organization and who do not represent coaches or athletes in any capacity.

**19.3.2 Temporary Substitutes.** If it appears that one or more members of the committee will be unable to participate in the disposition of a case, the chair may designate a current or former member or members of the committee to participate for purposes of consideration and disposition of that case. *(Revised: 11/1/07 effective 8/1/08, 10/30/12 effective 8/1/13)*

**19.3.3 Hearing Panels of the Committee.** Unless ordered otherwise by the committee chair, cases involving Level I or Level II violations will be presented to and decided by hearing panels consisting of not less than five and not more than seven members of the full Committee on Infractions. Decisions issued by hearing panels are made on behalf of the Committee on Infractions. *(Adopted: 10/30/12 effective 8/1/13)*

**19.3.4 Conflict of Interest.** No member of a hearing panel shall participate in a case if he or she is directly connected with an institution under investigation or if he or she has a personal, professional or institutional affiliation that may create the appearance of partiality. It is the responsibility of the panel member to remove himself or herself if a conflict exists. Objections to the participation of a panel member in a particular case should be raised as soon as recognized but will not be considered unless raised at least one week in advance of the panel's review of the case. Objections will be decided by the committee chair. *(Adopted: 10/30/12 effective 8/1/13)*

**19.3.5 Term of Office.** Members appointed on or before August 1, 2013, shall be assigned to serve a one-, two- or three-year term as necessary to assure alternating expiration of terms. Thereafter, members may be appointed to serve a three-year term, which shall commence on the first day of August following the member's appointment. Regardless of when appointed, a member may be reappointed for additional three-year terms but shall not serve more than nine years on the committee. *(Adopted: 1/11/00, Revised: 10/30/12 effective 8/1/13)*

**19.3.6 Authority and Duties of Committee.** Disciplinary or corrective actions other than suspension or termination of membership may be prescribed by members of hearing panels of the Committee on Infractions present and voting at any duly called hearing thereof, provided the call of such a hearing shall have contained notice of the situation presenting the disciplinary problem. Actions of panels in cases involving Level I or Level II violations, however, may be subject to review by the Infractions Appeals Committee. The penalties prescribed by a panel are separate and apart from any penalties prescribed as part of the Academic Performance Program by the Committee on Academic Performance. The Committee on Infractions shall: *(Revised: 1/16/93, 1/10/95, 4/24/03, 10/30/12 effective 8/1/13)*

- (a) Find facts related to alleged bylaw violations;
- (b) Conclude whether the facts constitute one or more violations of the NCAA constitution and bylaws;

- (c) Upon concluding that one or more violations occurred, prescribe an appropriate penalty consistent with the provisions of this article;
- (d) Coordinate with the office of the Committees on Infractions as necessary for logistic, administrative or other support related to implementation of the committee's decisions;
- (e) Monitor compliance with prescribed penalties. In the event an institution fails or refuses to implement prescribed penalties, a hearing panel of the committee may prescribe additional penalties, provided the institution is given the opportunity to appear before the panel and the opportunity to appeal any additional penalty;
- (f) Consider complaints alleging the failure of any member to maintain the academic or athletics standards required for membership or the failure of any member to meet the conditions and obligations of membership in the Association;
- (g) Formulate and revise internal operating procedures and revise investigative guidelines. Committee amendments to the procedures and guidelines shall be effective immediately and subject to review and approval by the Board of Directors; and
- (h) Carry out such other duties directly related to the administration of the Association's enforcement program.

**19.3.7 Duties of Committee Chair.** The duties of the committee chair, or his or her designee, shall be as follows: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Schedule and preside over two meetings of the full committee annually. In the interim between meetings of the full committee, the chair shall act on behalf of the committee, subject to committee ratification at its next meeting;
- (b) For each hearing panel, appoint a chief hearing officer to preside over cases assigned to the panel. The chief hearing officer will generally be the panel member with the greatest length of service on the Committee on Infractions.
- (c) At the request of the enforcement staff, determine whether to grant limited immunity to an institutional employee with responsibilities related to athletics based on information that the employee reports in situations in which he or she would otherwise be subject to disciplinary action as described in Bylaws 19.9.5.4 and 19.9.8-(i). Such immunity shall not apply to the employee's involvement in violations of NCAA legislation not reported, to future involvement in violations of NCAA legislation by the employee or to any action taken by an institution;
- (d) At the request of the enforcement staff, determine whether to grant limited immunity to a student-athlete or prospective student-athlete in situations in which he or she might otherwise be declared ineligible for intercollegiate competition based on information reported to the enforcement staff by the individual or a third party associated with the individual. Such immunity shall not apply to the individual's involvement in violations of NCAA legislation not reported, to future involvement in violations of NCAA legislation by the individual or to any action taken by an institution;
- (e) In Level II cases, consider and decide requests by an institution or involved individual for an accelerated hearing;
- (f) Coordinate with the office of the Committees on Infractions as necessary for logistic, administrative or other support;
- (g) Coordinate with the office of the Committees on Infractions regarding hearing panel assignments, committee meetings and training activities; and
- (h) Resolve or appoint another committee member to resolve preliminary procedural or other matters which may arise prior to the infractions hearing as authorized in Bylaw 19.7.6.

**19.3.8 Duties of the Chief Hearing Officer.** The duties of the chief hearing officer shall be as follows: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Consider and decide scheduling requests and extensions of time regarding hearing-related deadlines;
- (b) For each hearing panel, appoint an individual responsible for conducting the press conference when the panel's decision is released;
- (c) For each case set for hearing and in consultation with the committee chair, designate a panel member or other member of the committee to serve as the committee appeals advocate for any appeal from the decision of the panel;
- (d) Coordinate with the office of the Committees on Infractions as necessary for logistic, administrative or other support related to hearings to which the chief hearing officer is assigned; and
- (e) Resolve or appoint another panel member to resolve preliminary procedural or other matters that may arise prior to the infractions hearing as authorized in Bylaw 19.7.6.



## 19.4 Infractions Appeals Committee.

**19.4.1 Composition of Committee.** The Board of Directors shall appoint an Infractions Appeals Committee to act as appellate hearing officers for appeals from decisions involving Level I or Level II violations by the Committee on Infractions. The committee shall be comprised of five members. At least one member shall be from the general public and shall not be connected with a collegiate institution, conference, or professional or similar sports organization, or represent coaches or athletes in any capacity. The remaining members shall presently or previously be on the staff of an active member institution or member conference, but shall not serve presently on the Board of Directors. There shall be no subdivision restrictions except that all nonpublic members may not be from the same subdivision. The committee shall reflect the Association's commitment to diversity. *(Adopted: 1/16/93, Revised: 10/27/98, 10/30/12 effective 8/1/13)*

**19.4.2 Temporary Substitutes.** If it appears that one or more of the committee members will be unable to participate in the disposition of a case, the chair may designate a former member or members of the committee to rejoin the committee for purposes of consideration and disposition of that case. *(Adopted: 4/22/98, Revised: 11/1/07 effective 8/1/08, 4/28/11, 10/30/12 effective 8/1/13)*

**19.4.3 Conflict of Interest.** No member of the Infractions Appeals Committee shall participate in a case if he or she is directly connected with an institution under investigation or if he or she has a personal, professional or institutional affiliation that may create the appearance of partiality. It is the responsibility of the committee member to remove himself or herself if a conflict exists. Objections to the participation of a committee member in a particular case should be raised as soon as recognized, but will not be considered unless raised at least one week in advance of the committee's review of the case. *(Adopted: 10/30/12 effective 8/1/13)*

**19.4.4 Term of Office.** A member shall serve a three-year term, which shall commence on the first day of September following the member's appointment. A member may be reappointed for additional terms but shall not serve more than nine years on the committee. *(Adopted: 1/9/96, Revised: 10/30/12 effective 8/1/13)*

**19.4.5 Authority of Committee.** The Infractions Appeals Committee shall: *(Adopted: 1/16/93, Revised: 1/10/95, 1/14/97, 11/1/07 effective 8/1/08, 10/30/12 effective 8/1/13)*

- (a) Consider appeals from decisions of a hearing panel of the Committee on Infractions involving Level I or Level II violations;
- (b) Affirm, reverse, or vacate and/or remand the panel's findings, conclusions, penalties, corrective actions, requirements, and/or other conditions and obligations of membership prescribed for violations of the NCAA constitution and bylaws; and
- (c) Formulate and revise its operating procedures. Committee amendments to the procedures shall be effective immediately and subject to review and approval by the Board of Directors. The procedures shall include guidance on the conduct of appeal hearings.

## 19.5 Review and Investigation of Alleged Violations.

**19.5.1 Enforcement Staff to Receive Information and Conduct Investigations.** Information regarding an alleged failure to comply with the NCAA constitution and bylaws or to meet the conditions and obligations of membership shall be provided to the enforcement staff. The enforcement staff shall determine whether an investigation is warranted or whether the matter may be resolved without a formal investigation. If an investigation is warranted, the enforcement staff shall conduct an investigation on behalf of the entire membership to develop, to the extent reasonably possible, all relevant information. The enforcement staff will usually share information with the institution during an investigation, including information that may assist the institution in stopping an ongoing violation. However, to protect the integrity of the investigation, the staff may not in all instances be able to share information with the institution. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.1.1 Conflict of Interest.** Any enforcement staff member who has or had a personal relationship or institutional affiliation that may create the appearance of partiality should refrain from participating in the case. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.1.2 Initial Determination.** The staff shall have the discretion to submit information to the chair of the Committee on Infractions for an initial determination of how that information should be processed. In such cases, the chair shall not be appointed to the hearing panel, if any, later assigned to the case. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.2 Public Announcements.** The enforcement staff shall not publicly confirm or deny the existence of an infractions case before complete resolution of the case pursuant to this article. However, if information concerning a case is made public, the institution, enforcement staff and the involved individual may confirm, correct or deny the information made public. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.3 Notice of Inquiry to Institution.** Before the enforcement staff conducts an inquiry on an institution's campus, the enforcement staff shall notify the institution's president or chancellor of the inquiry, either orally or in writing. This notice shall toll the statute of limitations. The institution shall be informed of its obligation to cooperate and of the confidential nature of the inquiry. The institution shall be notified that if the inquiry develops reliable information of a possible Level I or Level II violation, a notice of allegations will be produced. In the

alternative, the institution will be notified that the matter may be processed as a Level III or Level IV violation or that the matter has been concluded. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.4 Representation by Legal Counsel.** When an enforcement staff member conducts an interview that may develop information detrimental to the interests of the individual being questioned, he or she may be represented by personal legal counsel. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.5 Interview Notices.**

**19.5.5.1 Disclosure of Purpose of Interview.** When an enforcement staff member requests information that could be detrimental to the interests of the student-athlete or institutional employee being questioned, that individual shall be advised that the purpose of the interview is to determine whether the individual has knowledge of or has been involved directly or indirectly in any violation of the NCAA constitution and bylaws. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.5.2 Responsibility to Provide Truthful Information.** At the beginning of an interview involving the enforcement staff, a current or former student-athlete or a current or former institutional employee shall be advised that refusing to furnish information or providing false or misleading information to the NCAA, conference or institution may result in an allegation that the individual has violated NCAA ethical-conduct bylaws. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.6 Interviews with Member Institution.** The athletics director or other appropriate official of an institution shall be contacted by the enforcement staff in order to schedule interviews on the institution's campus with enrolled student-athletes or coaching or other institutional staff members with athletically related responsibilities who are believed to have knowledge of possible violations. Interviews should be conducted without disrupting normally scheduled academic activities whenever reasonably possible. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.6.1 Presence of Institutional Representative During Interview.** If an interview with an enrolled student-athlete or athletics department staff member is conducted on the campus of an institution, an institutional representative (as designated by the institution) may be present during the interview, provided the subject matter to be discussed in the interview relates directly to the individual's institution or could affect the individual's eligibility or employment at the institution. If the enforcement staff wishes to discuss information with a student-athlete or staff member that is related solely to institutions other than the one in which the student-athlete is enrolled or the staff member is employed, and would not reasonably affect the student's eligibility or the staff member's employment at that institution, only an institutional representative outside of athletics (e.g., faculty athletics representative or general counsel) may be present during that portion of the interview. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.7 Use of Court Reporters.** Institutional representatives or individuals being interviewed may use a court reporter to transcribe an interview subject to the following conditions. The institution or individual shall: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Pay the court reporter's fees;
- (b) Provide a copy of the transcript to the enforcement staff at no charge; and
- (c) Agree that the confidentiality standards of Bylaw 19.5.8 apply. An institutional representative or individual who chooses to use a court reporter shall submit a written notice of agreement with the required conditions to the enforcement staff prior to the interview.
- (d) If the enforcement staff chooses to use a court reporter, the NCAA will pay all costs of the reporter. A copy of the transcript prepared by the court reporter for the enforcement staff shall be made available to the institution and the involved individuals through the secure website.

**19.5.8 Statement of Confidentiality.** Individuals and institutional representatives shall be required to agree not to release recordings or interview transcripts to a third party. A statement of confidentiality shall be signed or recorded prior to an interview. Failure to enter into such an agreement precludes the individual or institutional representative from recording or transcribing the interview. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.9 Access to Information.** For all cases to be considered by the Committee on Infractions, the enforcement staff shall make available to the institution or involved individuals copies of recorded interviews, interview summaries and/or interview transcripts, and other evidentiary information pertinent to the case. The institution and involved individuals may review such information through a secure website or at the NCAA national office. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.10 Termination of Investigation.** The enforcement staff shall terminate the investigation related to any notice of inquiry in which the information that is developed does not appear to be of sufficient substance or reliability to warrant a notice of allegations or notice of Level III allegations. *(Adopted: 10/30/12 effective 8/1/13)*

**19.5.11 Statute of Limitations.** Allegations included in a notice of allegations shall be limited to possible violations occurring not earlier than four years before the date the notice of inquiry is provided to the institution or the date the institution notifies (or, if earlier, should have notified) the enforcement staff of its inquiries into the matter. However, the following shall not be subject to the four-year limitation: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Allegations involving violations affecting the eligibility of a current student-athlete;

- (b) Allegations in a case in which information is developed to indicate a pattern of willful violations on the part of the institution or individual involved, which began before but continued into the four-year period; and
- (c) Allegations that indicate a blatant disregard for the Association's fundamental recruiting, extra benefit, academic or ethical-conduct bylaws or that involve an effort to conceal the occurrence of the violation. In such cases, the enforcement staff shall have a one-year period after the date information concerning the matter becomes available to the NCAA to investigate and submit to the institution a notice of allegations concerning the matter.

## 19.6 Summary Disposition Process.

**19.6.1 Summary Disposition Election.** In a case involving Level I or Level II violations, the institution, involved individuals and the enforcement staff may elect to use the summary disposition procedures specified below. To invoke the summary disposition procedures, the enforcement staff, involved individuals, if participating, and the institution must agree to summary disposition. The institution, an involved individual or the enforcement staff may require, as a condition of agreement, that the parties jointly submit the proposed findings of fact to the chair of the Committee on Infractions or his or her designee for a preliminary assessment of the appropriateness of the use of the summary disposition process. *(Adopted: 10/30/12 effective 8/1/13)*

**19.6.2 Written Report.** The institution, involved individuals and the enforcement staff shall submit a written report setting forth: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) The proposed findings of fact;
- (b) A summary of information on which the findings are based;
- (c) A statement identifying the violation(s) of the NCAA constitution and bylaws;
- (d) The parties' agreement on the overall level of the case;
- (e) A stipulation by the enforcement staff that the investigation, if conducted by the institution, was complete and thorough and that the institution cooperated fully in the process;
- (f) A statement of unresolved issues;
- (g) A list of any agreed-upon aggravating and mitigating factors; and
- (h) A stipulation that the proposed findings are substantially correct and complete.

**19.6.3 Proposed Penalties.** The institution and involved individuals shall submit proposed penalties from the guidelines set forth in Bylaw 19.9 and Figure 19-1. The institution and involved individuals also may submit a statement regarding any aggravating or mitigating factors and other considerations that may impact the penalty or penalties. *(Adopted: 10/30/12 effective 8/1/13)*

**19.6.4 Committee on Infractions Review.** A hearing panel of the Committee on Infractions shall consider the case during a subsequent meeting. *(Adopted: 10/30/12 effective 8/1/13)*

**19.6.4.1 Review of Investigation.** The panel shall determine whether a thorough investigation of possible violations of the NCAA constitution and bylaws has been conducted (by the enforcement staff and/or the institution). If the panel determines that the investigation was inadequate, it shall notify the enforcement staff and the parties and allow them to respond, as appropriate. *(Adopted: 10/30/12 effective 8/1/13)*

**19.6.4.2 Additional Information or Clarification.** The panel may contact the institution, enforcement staff and involved individuals for additional information or clarification prior to accepting or rejecting the proposed findings or penalties. *(Adopted: 10/30/12 effective 8/1/13)*

**19.6.4.3 Acceptance of Proposed Findings and Penalties.** If the proposed findings of fact and proposed penalties are accepted, the panel shall prepare a report of its decision or adopt the written report of the parties. The panel may make additional comments explaining its analysis or amend the proposed findings of fact, provided any addition or amendment is editorial and does not alter the substance of the proposed findings of fact. The written report may identify the chancellor president of the institution (in cases involving lack of institutional control); the director of athletics and/or any individual with direct responsibility and oversight of the athletics department (in cases involving lack of institutional control and failure to monitor); the head coach(es) of the sport(s) involved; and, if appropriate, the chair or other members of the institution's governing body. The panel shall forward the report to the enforcement staff and the parties and publicly announce the resolution of the case. *(Adopted: 10/30/12 effective 8/1/13)*

**19.6.4.4 Proposed Findings Not Accepted.** If the panel does not accept the proposed findings of fact, the case shall be processed pursuant to Bylaw 19.7. *(Adopted: 10/30/12 effective 8/1/13)*

**19.6.4.5 Proposed Penalties Not Accepted.** If the panel accepts the proposed findings of fact but proposes penalties in addition to those set forth in the parties' written report, the institution and/or involved individuals may accept those penalties or request an expedited hearing on penalties before the panel. The institution and/or involved individuals may appear before the panel in person, by video conference or other mode of distance communication, as the panel deems appropriate, to discuss the proposed additional penalties. The institution and/or involved individuals also may provide a written submission in lieu of a hearing. The panel shall only consider

information relevant to the calculation of penalties during the expedited hearing or, if no hearing is requested, on the written record. At the conclusion of the expedited hearing or review of the written record, the panel shall prepare a written report and provide notification of its decision. The institution and/or any involved individuals may appeal additional penalties to the Infractions Appeals Committee. *(Adopted: 10/30/12 effective 8/1/13)*

## **19.7 Notice of Allegations and Opportunity to Respond.**

**19.7.1 Notice of Allegations.** If the enforcement staff determines after an investigation that there is sufficient information to conclude that a hearing panel of the Committee on Infractions could conclude that a violation occurred, it shall issue a cover letter and notice of allegations to the chancellor or president of the institution involved (with copies to the faculty athletics representative, the athletics director and the executive officer of the conference of which the institution is a member). The institution and/or involved individuals, if applicable, shall be given notice of the alleged violation(s), the details of the allegations, the possible level of each violation, the available hearing procedures and the opportunity to answer the allegations. The notice of allegations shall also identify the factual information and aggravating and/or mitigating factors on which the enforcement staff may rely in presenting the case. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.1.1 Notice to Institution's Administration.** The cover letter accompanying each notice of allegations shall: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Inform the chancellor or president of the matter under inquiry and request the cooperation of the institution in obtaining all the pertinent facts;
- (b) Request the chancellor or president to respond to the allegations and to provide all relevant information that the institution has or may reasonably obtain, including information uncovered related to new violations. The responsibility to provide information continues until the case has been concluded;
- (c) In cases in which there will be an in-person hearing, request the chancellor or president and other institutional staff to appear before a hearing panel of the Committee on Infractions at a time and place determined by the panel;
- (d) In cases in which there will be an in-person hearing, inform the chancellor or president that if the institution fails to appear after having been requested to do so, it may not appeal to the panel's decision or the resultant penalty; and
- (e) Inform the chancellor or president that the enforcement staff's primary investigator in the case will be available to discuss the development of its response and assist in locating various individuals who have, or may have, important information regarding the allegations.

**19.7.1.2 Notice to Involved Individuals.** The enforcement staff shall notify an involved individual of the allegations in a notice of allegations in which he or she is named. The involved individual shall receive notice of his or her duty to cooperate in the investigation and to appear at a hearing, if requested (and the potential consequences for failing to appear). The notice of allegations shall request the involved individual to respond to the allegations and to provide all relevant information that he or she has or may reasonably obtain, including information uncovered related to new violations. The responsibility to provide information continues until the case has been concluded. The involved individual shall also be advised that the enforcement staff's primary investigator in the case will be available to discuss the development of the individual's response. If an involved individual is employed at a member institution, a copy of the notification shall also be forwarded to the chancellor or president and the director of athletics of his or her current institution. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.2 Responses by Institutions or Involved Individuals.** Any response to the notice of allegations shall be submitted to the hearing panel, if assigned, and the enforcement staff, and to the institution and all involved individuals, not later than 90 days from the date of the notice of allegations unless the chief hearing officer, if assigned, and if not assigned, the committee chair, grants an extension. The enforcement staff may establish a deadline for the submission of responses to any reasonable time within the 90-day period, provided the institution and all involved individuals consent to the expedited deadline. Failure to submit a timely response may be viewed by the panel as an admission that the alleged violation(s) occurred. *(Adopted: 10/30/12 effective 8/1/13, Revised: 1/19/13)*

**19.7.3 Submissions by Enforcement Staff.** Within 60 days after the institution and involved individuals, if any, submit written responses to the notice of allegations, the enforcement staff shall submit a written reply to the hearing panel, and pertinent portions to an involved individual or institution. In addition to submitting its reply and after the prehearing conference, the enforcement staff shall prepare a statement of the case, which shall set forth a brief history of the case, a summary of the parties' positions on each allegation and a list of any remaining items of disagreement. An involved individual will be provided those portions of the statement in which he or she is named. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.4 Prehearing Conference.** Within 60 days after the institution and involved individuals, if any, submit written responses to the notice of allegations, the enforcement staff shall consult with institutional representatives and other involved individuals in order to clarify the issues to be discussed during the hearing, make suggestions regarding additional investigation or interviews that should be conducted to supplement a response and



identify allegations that the staff intends to amend or withdraw. The enforcement staff shall conduct independent prehearings with the institution and/or any involved individuals, unless mutually agreed by all parties to do otherwise. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.5 Deadline for Submission of Written Material.** Except as otherwise ordered by the chief hearing officer and for good cause shown, all written material from the parties to be considered by the hearing panel must be received by the hearing panel, enforcement staff, institution and any involved individuals at least 30 days prior to the date the panel considers the case. Information may be submitted at the hearing, subject to the limitations set forth in Bylaw 19.7.7.3. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.6 Prehearing Procedural Issues.** The committee chair has authority to resolve procedural matters that arise prior to an infractions hearing. Unless otherwise specified by the committee chair, the chief hearing officer has authority to resolve procedural matters that arise prior to an infractions hearing and after appointment of the chief hearing officer to preside over the case assigned to a panel. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.7 Committee Hearings.** The hearing panel assigned to a case shall hold a hearing to make factual findings and to conclude whether violations of the NCAA constitution and bylaws occurred and, if so, to determine appropriate penalties as set forth in this Article. In cases that involve a small number of contested issues or cases in which the contested issues are relatively uncomplicated, the institution and/or the involved individual may make a written request to appear before the panel by video conference or other mode of distance communication. The decision regarding the use of video conference (or another mode of communication) rests with the panel. In a Level II case, the hearing will be conducted by telephone or video conference unless an in-person hearing is requested by the panel, institution, enforcement staff or involved individual or unless all participating parties agree to submit the case in writing without a hearing. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.7.1 Allegations of Violations in Multiple Levels.** If violations from multiple levels are identified in the notice of allegations, the case shall be processed pursuant to procedures applicable to the most serious violation(s) alleged. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.7.2 Accelerated Hearing Docket.** In Level II cases, the institution or involved individual may petition the committee chair for an accelerated schedule for written submissions and an earlier hearing date. The petition shall be submitted not later than 14 calendar days after the date of the notice of allegations. The enforcement staff may respond to the petition within five business days. The committee chair may grant or deny such a petition and set a reasonable schedule at his or her discretion. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.7.3 Information Presented at Hearings.** At a hearing, the parties or their legal counsel have the obligation to present, to the extent reasonably possible, material, relevant information necessary for the hearing panel to reach an informed decision, including information that corroborates or refutes an allegation. Subject to procedures of the Committee on Infractions, the parties or their legal counsel may deliver opening and closing statements, present factual information, make arguments, explain the alleged violations and answer questions from panel members. Any oral or documentary information may be received, but the panel may exclude information that it determines to be irrelevant, immaterial or unduly repetitious. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.7.3.1 Information from Confidential Sources.** At a hearing, the parties, including the enforcement staff, shall present only information that can be attributed to individuals who are willing to be identified. Information obtained from individuals not wishing to be identified shall not be relied on by the hearing panel in concluding whether a violation occurred. Such confidential sources shall not be identified to the hearing panel, the institution or an involved individual. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.7.3.2 Information Relevant to Possible Penalties.** Institutional, conference and enforcement staff representatives and any involved individuals are encouraged to present all relevant information that should be considered in arriving at appropriate penalties. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.7.4 Scope of Inquiry.** When an institution and/or involved individual appears before a hearing panel to discuss a response to the notice of allegations, the hearing shall be directed toward the general scope of the notice of allegations but shall not preclude the panel from concluding that any violation occurred based on information developed or discussed during the hearing. In any case, the panel may make specific factual findings based on information presented by the parties or at a hearing even if different from the notice of allegations. *(Revised: 4/24/03, 10/30/12 effective 8/1/13)*

**19.7.7.5 Appearance of Individuals at Hearings.** Except as otherwise provided herein or as ordered by the chief hearing officer, hearing attendees shall be limited to institutional representatives (Bylaw 19.7.7.5.2), involved individuals, enforcement staff representatives, hearing panel members, representatives from the office of the Committees on Infractions, representatives from the NCAA office of legal affairs, the audio recorder, court reporter and other technical/support staff as permitted by the chief hearing officer. An individual who appears before the panel may appear with personal legal counsel. At his or her discretion, the chief hearing officer may exclude an individual and his or her counsel from those portions of the hearing concerning matters in which the individual is not involved. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.7.5.1 Request for Specific Individuals.** Institutional officials, current or former staff members, or enrolled student-athletes who are specifically requested by the chief hearing officer to appear before the



hearing panel at an institutional hearing are expected to appear and may be accompanied by personal legal counsel. Failure to attend may result in a violation of this bylaw. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.7.5.2 Representatives of Institution.** Except as otherwise ordered by the chief hearing officer, at the time an institution appears before the hearing panel, its representatives should include the institution's chancellor or president, the head coach of the sport(s) in question, the institution's director of athletics and/or any individual with direct responsibility and oversight of the athletics department, senior compliance administrator, faculty athletics representative, legal counsel (if any), enrolled student-athletes whose eligibility could be affected by information presented at the hearing, and any other representatives whose attendance has been requested by the panel. Additional individuals may be included among the institution's representatives only if specifically approved. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.7.5.3 Representatives of Member Conference.** A representative of a conference may attend an institutional hearing involving a conference member. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.7.5.4 Prohibited Attendance by Conflicted Committee Members.** A member of the Committee on Infractions or the Infractions Appeals Committee who is prohibited under Bylaws 19.3.4 or 19.4.3 from participating in an infractions proceeding may not attend a Committee on Infractions hearing involving his or her institution unless specifically requested by the chief hearing officer. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.7.6 Recording of Proceedings.** The proceedings of infractions hearings shall be transcribed by a court reporter (unless otherwise agreed) and shall be recorded by the hearing panel. No additional verbatim recording of the proceedings will be permitted. In the event of an appeal, a transcript of the proceedings shall be reproduced and submitted to the Infractions Appeals Committee and made available for review by the appealing parties through a secure website. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.8 Posthearing Committee Deliberations.** After all presentations have been made and the hearing has been concluded, the hearing panel shall excuse the parties and deliberate in private. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.8.1 Request for New Information.** In arriving at its decision, the hearing panel may request additional information from any source, including the institution, the enforcement staff or an involved individual. In the event that new information is requested, all parties will be afforded an opportunity to respond at the time such information is provided. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.8.2 Request for Interpretation.** The hearing panel may request that the NCAA academic and membership affairs staff provide an interpretation of applicable legislation based on facts submitted by the panel. If an interpretation is requested, the institution, involved individuals and the enforcement staff will be notified in writing of the interpretation request and the response. The institution may appeal the interpretation in accordance with Constitution 5.4.1.2. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.8.3 Basis of Decision.** The hearing panel shall base its decision on information presented to it that it determines to be credible, persuasive and of a kind on which reasonably prudent persons rely in the conduct of serious affairs. *(Adopted: 10/30/12 effective 8/1/13)*

**19.7.8.4 Calculation of Penalty.** If the hearing panel concludes that a violation occurred, it shall prescribe an appropriate penalty pursuant to Bylaw 19.9 or recommend to the Board of Directors suspension or termination of membership in an appropriate case. Failure to fully implement the prescribed penalty may subject the institution, and/or an institution employing an involved individual under a show-cause order, to further disciplinary action by the Committee on Infractions. *(Adopted: 10/30/12 effective 8/1/13)*

## **19.8 Notification of Committee on Infractions Decision.**

**19.8.1 Infractions Decision.** After a hearing, the hearing panel shall prepare and approve the final written infractions decision, which shall contain a statement of the findings of fact, conclusions of violations, penalties, corrective actions, requirements and (for institutions) any other conditions and obligations of membership. *(Adopted: 10/30/12 effective 8/1/13)*

**19.8.1.1 Provision of Decision to the Parties.** The decision shall be sent to the chancellor or president of the involved institution (or his or her designee), any involved individuals and the vice president of enforcement. *(Adopted: 10/30/12 effective 8/1/13)*

**19.8.1.2 Public Infractions Decision.** Once the decision has been provided to the parties, the hearing panel shall release a public infractions decision. The public infractions decision will not include names of individuals, but the panel may, at its discretion, identify the chancellor or president of the institution (in cases involving lack of institutional control); the director of athletics and/or any individual with direct responsibility and oversight of the athletics department (in cases involving lack of control or failure to monitor); the head coach(es) of the sport(s) involved; and, if appropriate, the chair or other members of the institution's governing body. *(Adopted: 10/30/12 effective 8/1/13)*

**19.8.1.3 Public Announcement.** Once the public infractions decision has been released, the panel member designated by the chief hearing officer may make a public announcement related to the infractions case. The

institution and/or any involved individuals shall be requested not to comment publicly concerning the case prior to the time the NCAA's public announcement is released. *(Adopted: 10/30/12 effective 8/1/13)*

**19.8.1.4 Decision to Infractions Appeals Committee.** The hearing panel shall forward a copy of the public infractions decision to the Infractions Appeals Committee at the time of the public announcement. *(Adopted: 10/30/12 effective 8/1/13)*

**19.8.2 Reconsideration by the Hearing Panel.** Once the decision has been publicly announced by the hearing panel, and the appeal opportunity has been exhausted, there shall be no reconsideration of the decision except as follows. *(Adopted: 10/30/12 effective 8/1/13)*

**19.8.2.1 New Evidence or Prejudicial Error.** A hearing panel may reconsider a decision upon a showing of new evidence that is directly related to the decision or upon a showing that there was prejudicial error in the procedure that was followed in the processing of the case. *(Revised: 1/9/96, 10/30/12 effective 8/1/13)*

**19.8.2.1.1 Review Process.** Any institution or involved individual that initiates such a review shall submit a brief of its request to a hearing panel of the Committee on Infractions. Based on the party's brief, the hearing panel shall decide whether to deny the request or grant the request and conduct further proceedings as the panel deems necessary to resolve the matter. *(Revised: 10/30/12 effective 8/1/13)*

**19.8.2.1.2 No Calculation of New Penalty.** If reconsideration is granted, the panel may reduce or eliminate a penalty but may not prescribe any new penalty. The panel's decision with respect to the penalty shall be final and conclusive for all purposes. *(Revised: 10/30/12 effective 8/1/13)*

**19.8.2.2 Penalty Modified or Set Aside Outside the Association.** Should any portion of the penalty in the case be modified or set aside for any reason other than by appropriate action of the Association, the penalty shall be reconsidered by a hearing panel. In such cases, any extensions or adjustment of a penalty shall be prescribed by the panel after notice to the institution and an opportunity to respond. Any such action by the panel may be reviewed by the Infractions Appeals Committee. *(Revised: 10/30/12 effective 8/1/13)*

**19.8.3 Finality of Decisions.** Any decision by a hearing panel of the Committee on Infractions that is not appealed or reconsidered pursuant to Bylaw 19.8.2 shall be final, binding and conclusive, and shall not be subject to further review by any governance body. *(Adopted: 10/30/12 effective 8/1/13)*

## 19.9 Penalties.

**19.9.1 Application.** The penalties set forth in this section shall be prescribed for violations committed on or after October 30, 2012. Penalties prescribed for violations committed before October 30, 2012, shall be the penalties set forth in this section or the penalties that would have been prescribed pursuant to the 2012-13 Division I Manual, whichever is less stringent. For violations that commence before October 30, 2012, and continue on or after October 30, 2012, the hearing panel shall prescribe the penalties set forth in this section unless it determines that the conduct constituting a violation predominately occurred before October 30, 2012. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.2 Factors Affecting Penalties.** The hearing panel shall determine whether any factors that may affect penalties are present in a case. The panel shall weigh any factors and determine whether a case should be subject to standard penalties or should be classified with aggravation or mitigation and, therefore, subject to a higher or lower range of penalties. Absent extenuating circumstances, core penalties corresponding to the classification shall be prescribed as set forth in Figure 19-1. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.2.1 Aggravation.** An aggravated case is one in which aggravating factors outweigh mitigating factors. A case should not be classified as aggravated solely because the number of aggravating factors is larger than the number of mitigating factors. An egregious aggravating factor may outweigh multiple mitigating factors. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.2.2 Standard.** A standard case is one in which no mitigating or aggravating factors are present or in which aggravating and mitigating factors are generally of equal weight. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.2.3 Mitigation.** A mitigated case is one in which mitigating factors outweigh aggravating factors. A case should not be classified as mitigated solely because the number of mitigating factors is larger than the number of aggravating factors. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.3 Aggravating Factors.** Aggravating factors are circumstances that warrant a higher range of penalties in a particular case. A hearing panel of the Committee on Infractions determines whether aggravating factors are present in a case and the weight assigned to each factor. Examples of aggravating factors include but are not limited to the following: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Multiple Level I violations by the institution or involved individual;
- (b) A history of Level I, Level II or major violations by the institution, sport program(s) or involved individual. Additional considerations include:
  - (1) The amount of time between the occurrences of violations;
  - (2) The similarity, severity and types of violations involved;

- (3) Efforts to implement previously prescribed corrective measures; and
- (4) Other factors the committee deems relevant to the infractions history.
- (c) Lack of institutional control;
- (d) Obstructing an investigation or attempting to conceal the violation;
- (e) Unethical conduct, compromising the integrity of an investigation, failing to cooperate during an investigation or refusing to provide all relevant or requested information;
- (f) Violations were premeditated, deliberate or committed after substantial planning;
- (g) Multiple Level II violations by the institution or involved individual;
- (h) Persons of authority condoned, participated in or negligently disregarded the violation or related wrongful conduct;
- (i) One or more violations caused significant ineligibility or other substantial harm to a student-athlete or prospective student-athlete;
- (j) Conduct or circumstances demonstrating an abuse of a position of trust;
- (k) A pattern of noncompliance within the sport program(s) involved;
- (l) Conduct intended to generate pecuniary gain for the institution or involved individual;
- (m) Intentional, willful or blatant disregard for the NCAA constitution and bylaws; or
- (n) Other facts warranting a higher penalty range.

**19.9.4 Mitigating Factors.** Mitigating factors are circumstances that warrant a lower range of penalties in a particular case. A hearing panel of the Committee on Infractions determines whether mitigating factors are present in a case and the weight assigned to each factor. Examples of mitigating factors include but are not limited to the following: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Prompt self-detection and self-disclosure of the violation(s);
- (b) Prompt acknowledgement of the violation, acceptance of responsibility and (for an institution) imposition of meaningful corrective measures and/or penalties;
- (c) Affirmative steps to expedite final resolution of the matter;
- (d) An established history of self-reporting Level III or secondary violations;
- (e) Implementation of a system of compliance methods designed to ensure rules compliance and satisfaction of institutional/coaches' control standards;
- (f) Exemplary cooperation, such as:
  - (1) Identifying individuals (to be interviewed by the enforcement staff), documents and other information of which the enforcement staff was not aware;
  - (2) Expending substantial institutional resources to expedite a thorough and fair collection and disclosure of information; or
  - (3) Recognizing and bringing to the attention of the enforcement staff, in a timely manner, additional violations discovered in the investigation of which the enforcement staff was not aware.
- (g) The violations were unintentional, limited in scope and represent a deviation from otherwise compliant practices by the institution or involved individual; or
- (h) Other facts warranting a lower penalty range.

**19.9.5 Core Penalties for Level I and Level II Violations.** If a hearing panel concludes that an institution or involved individual committed one or more Level I or Level II violations, and after determining the appropriate classification based on aggravating and mitigating factors, the hearing panel shall prescribe core penalties from the ranges set forth in Figure 19-1 and described below. The panel may depart from the core penalties only as set forth in Bylaw 19.9.6. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.5.1 Competition Penalties.** Competition limitations on the institution's participation in postseason play in the involved sport(s). *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.5.2 Financial Penalties.** Financial penalties may include requirements that an institution pay a fine, return revenue received from a specific athletics event or series of events, or face reduction in or elimination of monetary distribution by the Association. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.5.3 Scholarship Reductions.** Limitations on the number of financial aid awards that may be provided during a specified period. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.5.4 Show-Cause Orders.** If a determination is made by a hearing panel that an institution has not taken appropriate disciplinary or corrective action regarding an individual found in violation of the NCAA constitution and bylaws, the panel may issue an order that the institution take additional disciplinary or corrective action, including but not limited to, restriction of some or all athletically related duties, as set forth in Figure 19-1, unless the institution appears before the panel to show cause why the additional penalties should not be ap-

plied. Decisions regarding disciplinary or corrective actions involving personnel shall be made by the institution, but the determination of whether the action satisfies the institution's obligation of NCAA membership shall rest solely with the Committee on Infractions. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.5.5 Head Coach Restrictions.** If a determination is made by the hearing panel that an employing institution has not taken appropriate disciplinary or corrective action regarding a head coach found in violation of Bylaw 11.1.1.1, the panel may issue an order that the institution suspend the coach for a number of contests from the range set forth in Figure 19-1 that would apply to the underlying violation(s) unless the institution appears before the panel to show cause why the suspension should not be applied. Decisions regarding disciplinary or corrective actions involving personnel shall be made by the institution, but the determination of whether the action satisfies the institution's obligation of NCAA membership shall rest solely with the Committee on Infractions. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.5.6 Recruiting Restrictions.** Recruiting restrictions may include limitations for varying lengths of time on official visits; unofficial visits (the number of scheduled unofficial visits, provision of complimentary admissions and local transportation); recruiting communications (telephone and written correspondence); and off-campus recruiting activities. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.5.7 Probation.** The hearing panel may prescribe probationary conditions designed on a case-by-case basis to remediate weaknesses detected in the institution's administration of its athletics programs. Prior to expiration of the probation period and before the institution is restored to full rights and privileges of membership in the Association, the office of the Committee on Infractions will review the athletics policies and practices of the institution. If an institution fails to satisfy all probationary conditions, the committee may extend the probationary period and/or prescribe additional penalties. Conditions of probation may include but are not limited to the following: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Submission of compliance reports during the period of probation;
- (b) Acknowledgement in alumni publications, media guides and recruiting materials identifying the violations committed, the terms of probation, and penalties prescribed;
- (c) Written confirmation to the committee that the institution's president or chancellor met with student-athletes, athletics department staff and other relevant parties to personally affirm his or her commitment to NCAA rules compliance, shared responsibility and preserving the integrity of intercollegiate athletics;
- (d) Requiring an institution to announce during broadcast contests, on its website and in institutional publications that it is on probation and the reasons why the probation was prescribed;
- (e) In cases in which an institution is found to lack institutional control and serious remediation is necessary, in-person reviews of the institution's athletics policies and practices by the office of the Committee on Infractions or, in limited circumstances, as appropriate, committee members or a third party;
- (f) Implementation of educational or deterrent programs; or
- (g) Audits for specific programs or teams.

**19.9.6 Departures from Level I and Level II Core Penalties.** If extenuating circumstances are found, the hearing panel may depart from the core penalties in Figure 19-1, provided the panel explains, in its decision, the basis for its prescription of core penalties different than those set forth in Figure 19-1. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.7 Additional Penalties for Level I and Level II Violations.** In addition to the core penalties for Level I and Level II violations, the panel may prescribe one or more of the following penalties: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Prohibition against specified competition in the sport during the regular season;
- (b) Prohibition of all coaching staff members in the sport from involvement, directly or indirectly, in any coaching activities at the institution during the regular season;
- (c) Prohibition against institutional staff members serving on the Board of Directors, Leadership Council, Legislative Council, or other cabinets or committees of the Association for a prescribed period (or requirement that any institutional staff members serving in leadership positions on any NCAA council, cabinet or committee resign their leadership positions);
- (d) Requirement that the institution relinquish its voting privilege in the Association for a prescribed period;
- (e) Recommendation that the institution's membership in the Association be suspended or terminated pursuant to Constitution 3.2.5;
- (f) Public reprimand and censure;
- (g) Vacation of records in contests in which a student-athlete competed while ineligible, including one or more of the following:
  - (1) Vacation of individual records and performances;
  - (2) Vacation of team records and performances, including wins from the career record of the head coach in the involved sport, or, in applicable cases, reconfiguration of team point totals; or
  - (3) Return of individual or team awards to the Association.



- (h) Prohibition against television appearances of the institution in the sport in which the violation occurred. The penalty shall specify that the institution may not enter into any contracts or agreements for such appearances until the institution has been restored to full privileges of membership. The Board of Directors is authorized to permit a closed-circuit telecast, limited to the campus of the opponent of the ineligible institution, provided no rights fee is to be paid to the ineligible institution;
- (i) Pursuant to a show-cause order, disassociation of relations with a representative of an institution's athletics interests, including:
  - (1) Not accepting any assistance from the individual that would aid in the recruitment of prospective student-athletes or the support of enrolled student-athletes;
  - (2) Not accepting financial assistance for the institution's athletics program from the individual;
  - (3) Ensuring that no athletics benefit or privilege is provided to the individual that is not generally available to the public at large; and
  - (4) Taking such other actions against the individual that the institution determines to be within its authority to eliminate the involvement of the individual in the institution's athletics program.
- (j) Publicizing institutions on probation on the NCAA website, in appropriate NCAA publications and in NCAA championship game programs of the involved sports;
- (k) Institutionally imposed suspension of a staff member from some or all athletically related duties for a specified period, pursuant to a show-cause order, for a situation in which he or she engaged in or condoned a Level I or Level II violation; or
- (l) Other penalties as appropriate.

**19.9.8 Penalties for Level III and Level IV Violations.** Penalties for Level III and Level IV violations may include, but are not limited to, the following: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Termination of the recruitment of a prospective student-athlete by the institution or, if the prospective student-athlete enrolls (or has enrolled) in the institution, direction that the institution take appropriate action regarding his or her eligibility pursuant to Bylaw 14.11 and/or not allow the student-athlete to participate in intercollegiate athletics unless and until his or her eligibility is restored by the Committee on Student-Athlete Reinstatement;
- (b) Forfeiture/vacation of contests in which an ineligible student-athlete participated;
- (c) Prohibition of the head coach or other staff members in the involved sport from participating in any off-campus recruiting activities for up to one year;
- (d) An institutional fine for each violation, with the monetary penalty ranging in total from \$500 to \$5,000, except if an ineligible student-athlete participates in an NCAA championship or other postseason competition, the \$5,000 limit shall not apply;
- (e) Reduction in the number of financial aid awards that may be awarded during a specified period in the sport involved to the maximum extent of 20 percent of the maximum number of awards normally permissible in that sport;
- (f) Institutional recertification that its current athletics policies and practices conform to all requirements of the NCAA constitution and bylaws;
- (g) Institutionally imposed suspension of the head coach or other staff members for one or more competitions;
- (h) Public reprimand; and
- (i) Requirement that a member institution that has been found in violation, or that has an athletics department staff member who has been found in violation of the NCAA constitution and bylaws while representing another institution, show cause why a penalty or an additional penalty should not be prescribed if it does not take appropriate disciplinary or corrective action against the athletics department personnel involved, any other institutional employee, if the circumstances warrant, or representatives of the institution's athletics interests.

**19.9.9 Show-Cause Penalties.** If a hearing panel of the Committee on Infractions prescribes additional penalties for an institution for Level I or Level II violations pursuant to Bylaw 19.9.5.4, the institution shall be provided the opportunity to appear before the panel. Further, the institution shall be provided the opportunity to appeal any additional penalty prescribed by the panel. *(Adopted: 10/30/12 effective 8/1/13)*

**19.9.10 Notification of Regional Accrediting Agency.** In cases in which the hearing panel has found academic violations or questionable academic conduct, the NCAA president may forward a copy of the public infractions decision to the appropriate regional accrediting agency. *(Revised: 10/30/12 effective 8/1/13)*

**19.9.11 Recommendation to Committee on Institutional Performance.** The hearing panel may recommend to the Committee on Institutional Performance that an institution's institutional performance program status be reviewed as a result of the institution's completed infractions case. *(Adopted: 1/16/93 effective 1/1/94, Revised: 10/30/12 effective 8/1/13)*



**19.9.12 Obligation of Institution to Take Appropriate Action.** If a violation has been found that affects the eligibility of one or more student-athletes, the institution and its conference, if any, shall be notified of the violation and the name(s) of the student-athlete(s) involved. If the institution fails to take appropriate action by declaring the student-athlete(s) ineligible, the institution shall be required to show cause to the Committee on Infractions why additional penalties should not be prescribed for a failure to abide by the conditions and obligations of membership if it permits the student-athlete(s) to compete in intercollegiate athletics. *(Revised: 1/10/95, 4/24/03, 10/30/12 effective 8/1/13)*

## **19.10 Appeal of Decisions.**

### **19.10.1 Basis for Granting an Appeal.**

**19.10.1.1 Penalties.** A penalty prescribed by the hearing panel, including determinations regarding the existence and weighing of any aggravating or mitigating factors, shall not be set aside on appeal except on a showing by the appealing party that the panel abused its discretion. The Infractions Appeals Committee may affirm a penalty for any reason in the record. *(Adopted: 10/30/12 effective 8/1/13)*

**19.10.1.2 Findings and Conclusions.** A hearing panel's factual findings and its conclusion that one or more violations occurred shall not be set aside on appeal except on a showing by the appealing party that: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) A factual finding is clearly contrary to the evidence presented to the panel;
- (b) The facts found by the panel do not constitute a violation of the NCAA constitution and bylaws; or
- (c) There was a procedural error and but for the error, the panel would not have made the finding or conclusion.

**19.10.2 Appeal by Institution or Involved Individual.** An institution participating in the proceedings of a hearing panel may appeal the panel's findings, conclusions, penalties, corrective actions, requirements and/or other conditions and obligations of membership prescribed for the institution for violations of the NCAA constitution and bylaws. An involved individual participating in the proceedings of the panel and who the panel concluded committed a violation may appeal the panel's findings, conclusions and/or prescribed penalties regarding that individual for violations in which he or she is named. The notice of intent to appeal must be presented in writing to the Infractions Appeals Committee not later than 15 calendar days after the date the hearing panel releases the public infractions decision. *(Adopted: 10/30/12 effective 8/1/13)*

**19.10.2.1 Contents of Notice of Intent to Appeal.** The notice of intent to appeal shall include the following, unless otherwise ordered by the Infractions Appeals Committee: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) The date on which the decision of the hearing panel was released to the public;
- (b) A statement indicating whether the appealing party desires to submit its appeal in writing only or requests an in-person oral argument. An appealing party may not request an in-person oral argument unless that party made an appearance before the hearing panel; and
- (c) If the appealing party is an involved individual, a statement indicating whether he or she is employed at an NCAA institution. If the involved individual's employment status changes during the course of the appeal, the statement shall be amended promptly to reflect the change and the identity of the new employer.

**19.10.2.2 Stay of Penalties.** If a notice of intent to appeal is filed within the deadline, unless ordered otherwise by the Infractions Appeals Committee, any penalties prescribed by a hearing panel of the Committee on Infractions that have been appealed shall be stayed during the pendency of the appeal. *(Adopted: 10/30/12 effective 8/1/13)*

**19.10.3 Written Materials on Appeal.** An appealing party may submit materials as set forth below, subject to procedures promulgated by the Infractions Appeals Committee or as otherwise directed by the committee. A deadline for the submission of a document shall be met if the document is submitted electronically to the NCAA staff liaisons to the Infractions Appeals Committee by 5 p.m. Eastern time on the due date. At the earliest opportunity after a document is submitted electronically, the submitting party shall provide a hard copy of the document directly to all members of the committee. *(Adopted: 10/30/12 effective 8/1/13)*

**19.10.3.1 Initial Submission by Institution or Involved Individual.** Within 30 days after receipt of the Infractions Appeal Committee's acknowledgement of a timely notice of intent to appeal, an appealing institution or individual shall provide its initial submission in support of its appeal to the Infractions Appeals Committee. *(Adopted: 10/30/12 effective 8/1/13)*

**19.10.3.2 Response by Committee Appeals Advocate.** Within 30 days after receipt of an initial submission in support of its appeal by an institution or involved individual, the committee appeals advocate shall submit a response to the Infractions Appeals Committee. The response shall include the following: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) A statement of the origin of the case;
- (b) The violations of the NCAA constitution and bylaws, as determined by the hearing panel;
- (c) Disciplinary or corrective actions taken by the institution or conference or any other agency involved in the particular incident;

- (d) A statement of the prescribed penalties, corrective actions, requirements and other conditions and obligations of membership;
- (e) The issues raised in the appeal;
- (f) The responses to the issues raised by the appealing parties; and
- (g) A transcript of any hearing conducted by the Committee on Infractions.

**19.10.3.3 Rebuttal by Institution or Involved Individual.** Within 14 days after receipt of the committee appeals advocate's response, an institution or involved individual may submit a rebuttal to the Infractions Appeals Committee. The rebuttal may only address issues contained in the initial submission or the committee appeals advocate's response. *(Adopted: 10/30/12 effective 8/1/13)*

**19.10.3.4 Enforcement Staff Statement.** Within 10 days after the deadline for submission of all rebuttals, the enforcement staff may provide a written statement to the Infractions Appeals Committee regarding perceived new information, errors, misstatements and omissions relating to the initial submission(s), the committee appeals advocate's response and/or rebuttal documents. *(Adopted: 10/30/12 effective 8/1/13)*

**19.10.4 Information Considered on Appeal.** The Infractions Appeals Committee shall consider only the information contained in the record of proceedings before the Committee on Infractions, the record on appeal and arguments presented during the appeal oral argument, if any, unless otherwise ordered by the Infractions Appeals Committee. If an institution or involved individual seeks to introduce new evidence during the appeal process, the Infractions Appeals Committee shall determine whether it meets the threshold definition of new evidence per Bylaw 19.02.02 and, if so, may stay the appeal and remand the matter to the assigned panel to conduct further proceedings as may be necessary to address whether the evidence affects the panel's decision and to amend the decision, if necessary. *(Adopted: 10/30/12 effective 8/1/13)*

**19.10.5 Appeal Arguments.** If one or more of the appealing parties request an appeal oral argument, an appeal oral argument may be conducted as set forth below, subject to procedures promulgated by the Infractions Appeals Committee or as otherwise directed by the committee. *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Only those individuals identified in Bylaw 19.7.7.5 may attend the appeal oral argument;
- (b) The parties may be represented by legal counsel and shall be permitted a reasonable time to make an oral presentation to supplement the initial submission;
- (c) The Infractions Appeals Committee may question representatives of appealing parties (institution or involved individual), the Committee on Infractions or enforcement staff, as well as any other persons appearing before it, in order to determine the issues related to the appeal;
- (d) Representatives from the enforcement staff may participate during the appeal oral argument but such participation shall be limited to the opportunity to provide information regarding perceived new information, errors, misstatements and omissions;
- (e) If an institution or involved individual appeared before the Committee on Infractions but waived the right to appeal, the institution or involved individual may elect to be present in person and/or by counsel as a silent observer during the appeal oral argument; and
- (f) If the institution or involved individual elects to appeal in writing only, the committee appeals advocate's written response specific to that written appeal shall be considered without any in-person appearance.

**19.10.6 Decision of the Infractions Appeals Committee.** After considering the appeal and deliberating privately, the Infractions Appeals Committee shall prepare a written decision and provide a copy to any appealing party (including the president or chancellor of an institution currently employing an involved individual), the chair of the Committee on Infractions, the committee appeals advocate and the vice president of enforcement, unless otherwise ordered by the committee. Once the decision has been provided to the parties, the committee shall release a public appeal decision. The public appeal decision will not include names of individuals, but the committee may, at its discretion, identify the chancellor or president of the institution (in cases involving lack of institutional control); the director of athletics and/or any individual with direct responsibility and oversight of the athletics department (in cases involving lack of control or failure to monitor); the head coach(es) of the sport(s) involved; and, if appropriate, the chair or other members of the institution's governing body. *(Adopted: 10/30/12 effective 8/1/13)*

**19.10.7 Final Decision not Subject to Further Review.** Any decision of the Infractions Appeals Committee shall be final, binding and conclusive, and shall not be subject to further review by any governance body. *(Adopted: 10/30/12 effective 8/1/13)*

## **19.11 Notice of Allegations, Opportunity to Respond and Penalties (Level III Cases).**

**19.11.1 General Process for Alleged Violations.** A Level III case is a case presenting Level III or Level IV violations that do not collectively constitute a Level II violation. An institution or involved individual subject to a show-cause order in a Level III case may be represented by legal counsel and shall be provided the following: *(Adopted: 10/30/12 effective 8/1/13)*

- (a) Notice of any specific allegations and the facts upon which such allegations are based; and
- (b) An opportunity to provide a written response to the vice president of enforcement, or his or her designee, to answer such allegations by the production of evidence and to appeal to a hearing panel of the Committee on Infractions.

**19.11.2 Determination by Enforcement Staff.** After reviewing relevant information and consulting with the institution or involved individual, the enforcement staff shall conclude whether one or more Level III violations occurred. If the enforcement staff concludes that the alleged violation(s) should not be processed as a Level III case, it may process the case as Level I or Level II case, as appropriate, refer the case to the institution's conference for resolution as a Level IV case, or determine that no further action is required. *(Adopted: 10/30/12 effective 8/1/13)*

**19.11.3 Authority to Prescribe Penalties.** As authorized by the Committee on Infractions, upon a conclusion that one or more Level III violations occurred, the vice president of enforcement, or his or her designee, may determine whether a penalty is warranted and, if so, prescribe and announce an appropriate penalty pursuant to Bylaw 19.9.8. Failure to fully implement the penalty may subject the institution to further disciplinary action by the NCAA. Failure to fully implement self-imposed actions may also subject the institution to further disciplinary action by the NCAA. *(Adopted: 10/30/12 effective 8/1/13)*

**19.11.4 Appeal to Committee on Infractions.** If an institution or involved individual subject to a show-cause order disputes an action by the enforcement staff regarding a Level III violation, the institution or involved individual may appeal by submitting a notice of appeal through the online reporting system for Level III violations within 15 days after receipt of the enforcement staff's decision. An institution that self-reports a violation may appeal a penalty prescribed by the enforcement staff, but not the violation. An institution or involved individual subject to a show-cause order may request the opportunity to appear in person or by video or telephone conference. If no such request is made, or if the request is denied, a hearing panel of the committee will review the appeal on the basis of the written record. The panel shall not deny an involved individual's request to appear in person if a show-cause order was prescribed. *(Adopted: 10/30/12 effective 8/1/13)*

**19.11.4.1 Stay of Penalties.** If a notice of appeal is filed within the deadline, unless ordered otherwise by a hearing panel of the Committee on Infractions, any penalties prescribed by the enforcement staff that have been appealed shall be stayed during the pendency of the appeal. *(Adopted: 10/30/12 effective 8/1/13)*

## **19.12 Notice of Allegations, Opportunity to Respond and Penalties (Level IV Cases).**

**19.12.1 Conference Policies.** A member conference shall establish, publish and adhere to policies for the investigation and resolution of alleged Level IV violations. Such policies shall afford institutions notice of alleged violations and an opportunity to respond. *(Adopted: 10/30/12 effective 8/1/13)*

**19.12.2 Determination by Conference.** Cases involving only Level IV violations shall be processed by the institution's athletics conference. The conference shall work with the institution to determine whether compliance deficiencies need to be addressed and, if so, the appropriate penalties to be prescribed, if any. In cases involving multiple or repeated Level IV violations, the conference may consult with the NCAA enforcement staff to conclude whether the allegations should be treated as Level III violations. Any violations processed and penalties prescribed by the conference shall be kept on file for review by the NCAA enforcement staff. Failure to fully implement the penalties may subject the institution to disciplinary action by the NCAA. *(Adopted: 10/30/12 effective 8/1/13)*

**19.12.2.1 Institutions without Conference Affiliation or with Multiple Affiliations.** A case involving only Level IV violations by an institution that is not affiliated with an athletics conference shall be processed by the NCAA enforcement staff. If an institution is affiliated with more than one conference, the violations shall be processed by the conference governing the sport in which the violations occurred. *(Adopted: 10/30/12 effective 8/1/13)*

**19.12.2.2 Review of Level Determination.** The vice president of enforcement, or his or her designee, may determine that a violation processed by a conference as a Level IV violation should have been processed at a different level. Subject to any applicable statute of limitations, the enforcement staff shall notify the conference and involved institution that the case was not processed correctly, that the enforcement staff intends to resolve the case pursuant to this article and that the NCAA may take appropriate action. *(Adopted: 10/30/12 effective 8/1/13)*

## **19.13 Restitution.**

If a student-athlete who is ineligible under the terms of the constitution, bylaws or other legislation of the Association is permitted to participate in intercollegiate competition contrary to such NCAA legislation but in accordance with the terms of a court restraining order or injunction operative against the institution attended by such student-athlete or against the Association, or both, and said injunction is voluntarily vacated, stayed or reversed or it is finally determined by the courts that injunctive relief is not or was not justified, the Board of Directors may

take any one or more of the following actions against such institution in the interest of restitution and fairness to competing institutions: *(Revised: 11/1/07 effective 8/1/08)*

- (a) Require that individual records and performances achieved during participation by such ineligible student-athlete shall be vacated or stricken;
- (b) Require that team records and performances achieved during participation by such ineligible student-athlete shall be vacated or stricken;
- (c) Require that team victories achieved during participation by such ineligible student-athlete shall be abrogated and the games or events forfeited to the opposing institutions;
- (d) Require that individual awards earned during participation by such ineligible student-athlete shall be returned to the Association, the sponsor or the competing institution supplying same;
- (e) Require that team awards earned during participation by such ineligible student-athlete shall be returned to the Association, the sponsor or the competing institution supplying same;
- (f) Determine that the institution is ineligible for one or more NCAA championships in the sports and in the seasons in which such ineligible student-athlete participated;
- (g) Determine that the institution is ineligible for invitational and postseason meets and tournaments in the sports and in the seasons in which such ineligible student-athlete participated;
- (h) Require that the institution shall remit to the NCAA the institution's share of television receipts (other than the portion shared with other conference members) for appearing on any live television series or program if such ineligible student-athlete participates in the contest(s) selected for such telecast, or if the Board of Directors concludes that the institution would not have been selected for such telecast but for the participation of such ineligible student-athlete during the season of the telecast; any such funds thus remitted shall be devoted to the NCAA postgraduate scholarship program; and *(Revised: 11/1/07 effective 8/1/08)*
- (i) Require that the institution that has been represented in an NCAA championship by such a student-athlete shall be assessed a financial penalty as determined by the Committee on Infractions. *(Revised: 4/26/01 effective 8/1/01)*

**FIGURE 19-1  
Penalty Guidelines**

<b>Violation Level I</b>	<b>Violation Level II</b>	<b>Competition Penalties: Postseason Ban *Competition penalties may be used singularly or in combination</b>		
Aggravation		2 to 4 years		
Standard	Aggravation	1 to 2 years		
Mitigation	Standard	0 to 1 year		
	Mitigation	0		

<b>Violation Level I</b>	<b>Violation Level II</b>	<b>Financial Penalties: Fine (Percent of total budget for sport program)</b>	<b>Financial Penalties: Negate revenue from sport program for years in which violations occurred</b>	<b>Financial Penalties: Reduce or eliminate NCAA monetary distribution for sports sponsorship and/or grants-in-aid</b>
Aggravation		\$5,000 plus 3 to 5%	Impose this penalty if greater than percent of budget fine + \$5,000.	Alternative financial penalty
Standard	Aggravation	\$5,000 plus 1 to 3%		Alternative financial penalty
Mitigation	Standard	\$5,000 plus 0 to 1%		Alternative financial penalty
	Mitigation	\$5,000*		Alternative financial penalty
		* A minimum \$5,000 financial penalty will be imposed to ensure the penalty will be at least as significant as the fine imposed for a Level III violation.		

<b>Violation Level I</b>	<b>Violation Level II</b>	<b>Scholarship Reductions of Involved Sport(s) Program(s)*</b>		
Aggravation		25 to 50%	* For cases in which financial aid overages have occurred, a minimum 2-for-1 reduction in financial aid awards shall apply up to at least 20% of the team financial aid limit.	
Standard	Aggravation	12.5 to 25%		
Mitigation	Standard	0 to 12.5%		
	Mitigation	0 to 5%		



<b>Violation Level I</b>	<b>Violation Level II</b>	<b>Show-Cause Order</b>	<b>Restrictions</b>
Aggravation		5 to 10 years	All athletically related duties
Standard	Aggravation	2 to 5 years	All or partial coaching and recruiting duties (including game suspensions)
Mitigation	Standard	1 to 2 years	All or partial coaching and recruiting duties (including game suspensions)
	Mitigation	0 to 1 years	All or partial coaching and recruiting duties (including game suspensions)

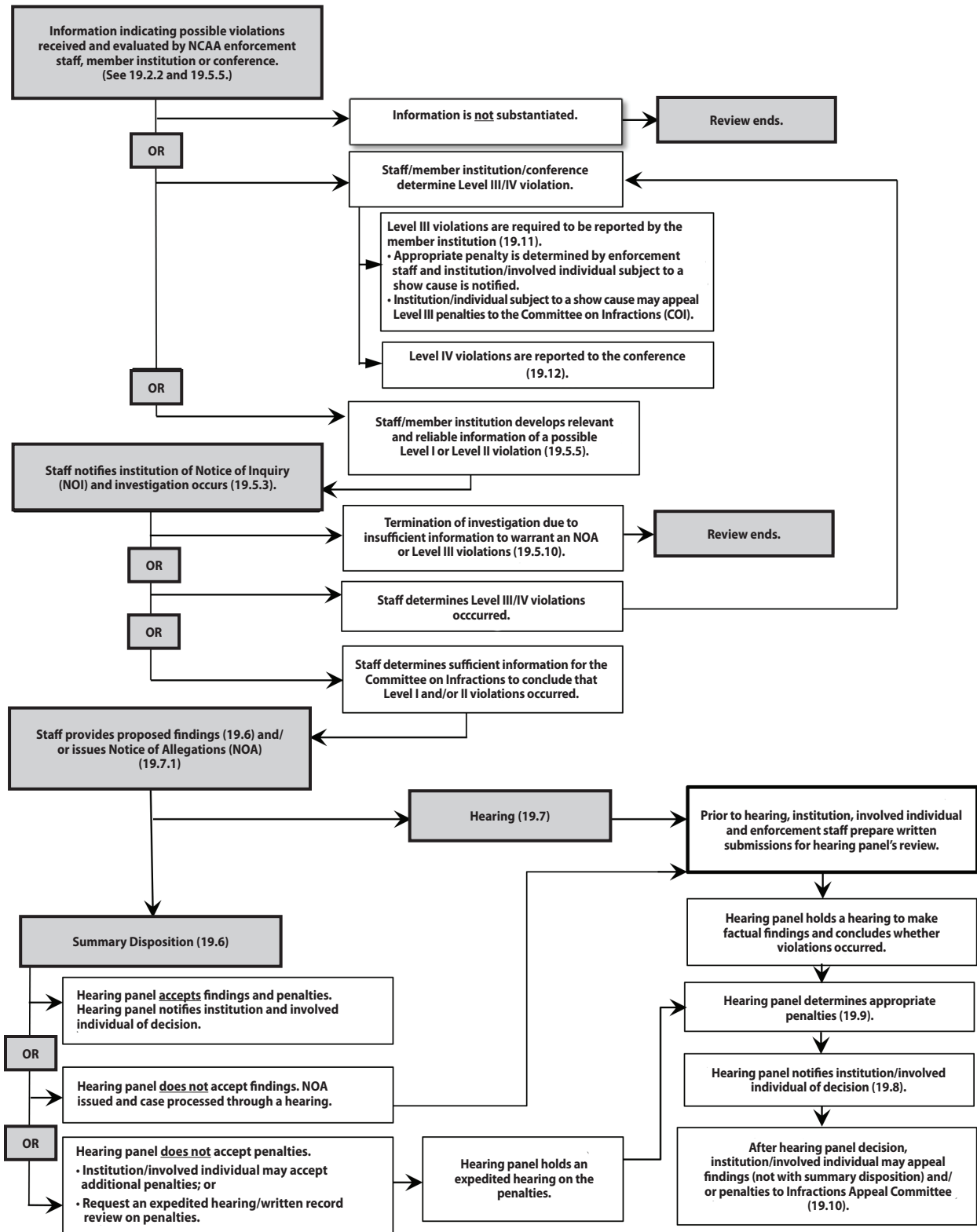
<b>Violation Level I</b>	<b>Violation Level II</b>	<b>Head Coach Restrictions (game suspensions via show cause for 11.1.2.1)</b>
Aggravation		50 to 100% of season
Standard	Aggravation	30 to 50% of season
Mitigation	Standard	0 to 30% of season
	Mitigation	0 to 10% of season

<b>Violation Level I</b>	<b>Violation Level II</b>	<b>Recruiting Visit Restrictions</b>	<b>Recruiting Communication Restrictions</b>	<b>Off-Campus Recruiting Restrictions</b>
Aggravation		<p>25 to 50% 14- to 26-week ban on unofficial visits (no scheduled unofficial visits and no complimentary tickets)</p> <p>25 to 50% cuts in official paid visits (based on the average number provided during the previous 4 years)</p> <p>Football: 15 to 28 visits (need to account for unused visits from the previous year, if any) Basketball: 4 to 6 visits Baseball: 7 to 13 visits</p>	<p>25 to 50% 14- to 26-week ban on communication with all prospective student-athletes</p>	<p>25 to 50% Sports with no limits: 14- to 26-week ban on all contacts and evaluations</p> <p>25 to 50% cuts in Recruiting Person Days (RPD) or Evaluation Days (ED)</p> <p>Men's Basketball: 34 to 65 (RPD) Women's Basketball: 26 to 50 (RPD) Football: 11 to 21 Fall; 44 to 84 Spring (ED) Softball: 13 to 25 (ED) Women's Volleyball: 21 to 40 (ED)</p>

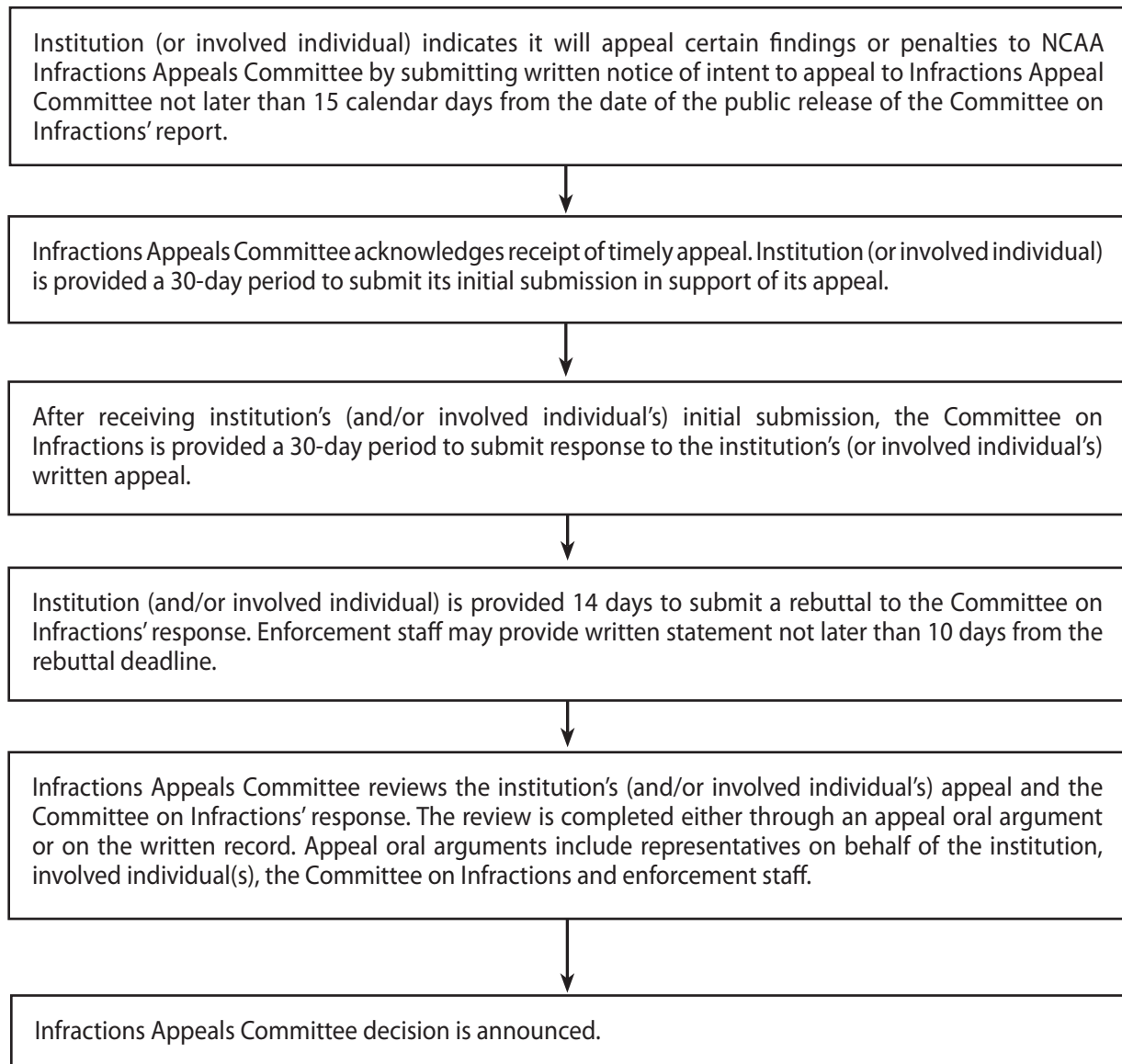
<b>Violation Level I</b>	<b>Violation Level II</b>	<b>Recruiting Visit Restrictions</b>	<b>Recruiting Communication Restrictions</b>	<b>Off-Campus Recruiting Restrictions</b>
Standard	Aggravation	<p>12.5 to 25 % 7- to 13-week ban on unofficial visits (no scheduled unofficial visits and no complimentary tickets)</p> <p>12.5 to 25% cuts in official paid visits (based on the average number provided during the previous 4 years)</p> <p>Football: 8 to 14 visits (need to account for unused visits from the previous year, if any) Basketball: 2 to 3 visits Baseball: 4 to 7 visits</p>	<p>12.5 to 25 % 7- to 13-week ban</p>	<p>12.5 to 25 % No limit sports: 7- to 13-week ban</p> <p>Men's Basketball: 17 to 33 (RPD) Women's Basketball: 13 to 25 (RPD) Football: 6 to 11 Fall; 22 to 42 Spring (ED) Softball: 7 to 13 (ED) Women's Volleyball: 11 to 20 (ED)</p>
Mitigation	Standard	<p>0 to 12.5% 0 to 6-week ban on unofficial visits (no scheduled unofficial visits and no complimentary tickets)</p> <p>0 to 12.5% cuts in official paid visits (based on the average number provided during the previous 4 years)</p> <p>Football: 0 to 7 visits (need to account for unused visits from the previous year, if any) Basketball: 0 to 2 visits Baseball: 0 to 4 visits</p>	<p>0 to 12.5% 0 to 6-week ban</p>	<p>0 to 12.5% No limit sports: 0 to 6-week ban</p> <p>Men's Basketball: 0 to 17 (RPD) Women's Basketball: 0 to 13 (RPD) Football: 0 to 6 Fall; 0 to 21 Spring (ED) Softball: 0 to 7 (ED) Women's Volleyball: 0 to 10 (ED)</p>
	Mitigation	<p>0 to 5% 0 to 3-week ban on unofficial visits (no scheduled unofficial visits and no complimentary tickets)</p> <p>0 to 5% cuts in official paid visits (based on the average number provided during the previous 4 years)</p> <p>Football: 0 to 3 visits Basketball: 0 to 1 visit Baseball: 0 to 2 visits</p>	<p>0-5% 0 to 3-week ban</p>	<p>0 to 5% No limit sports: 0 to 3-week ban</p> <p>Men's Basketball: 0 to 17 (RPD) Women's Basketball: 0 to 5 (RPD) Football: 0 to 3 Fall; 0 to 9 Spring (ED) Softball: 0 to 3 (ED) Women's Volleyball: 0 to 4 (ED)</p>

<b>Violation Level I</b>	<b>Violation Level II</b>	<b>Probation</b>		
Aggravation		6 to 10 years		
Standard	Aggravation	2 to 6 years		
Mitigation	Standard	0 to 2 years		
	Mitigation	0 years		

**FIGURE 19-2**  
**Processing a Typical NCAA Division I Infractions Case**



**FIGURE 19-3**  
**Processing a Typical NCAA Infractions Appeals Case**







# Division Membership

## 20.01 General Principles.

**20.01.1 Membership in the Association.** Eligibility for membership in the Association; conditions, obligations and classes of Association membership; and procedures governing the termination, suspension and reinstatement of such membership are governed by Constitution 3.

**20.01.2 Division Membership.** Each active and provisional member institution and member conference is designated as a member of Division I, II or III for certain legislative and competitive purposes. In football, Division I is divided into the Football Bowl Subdivision and the Football Championship Subdivision (see Bylaw 20.7.1). Multidivision classification is permitted under specified circumstances (see Bylaw 20.4). *(Revised: 12/15/06)*

## 20.02 Definitions and Applications.

**20.02.1 Multidivision Classification.** Multidivision classification is the classification in which a sport is classified in a division other than the division in which an institution holds membership (see Bylaw 20.4). *(Revised: 1/15/11 effective 8/1/11)*

**20.02.2 Performance Criterion.** A performance criterion is a requirement for membership or classification in a division that must be met by the member institution prior to the date that the requirement becomes effective (e.g., during the academic year preceding the effective date). Performance criteria include, but are not limited to, minimum sports sponsorship requirements, minimum scheduling requirements and minimum game-attendance requirements.

**20.02.3 Restricted Membership.** Restricted membership is a membership classification status assigned to an institution that fails to comply with the minimum requirements of its division (e.g., sports sponsorship, scheduling). The institution placed in such status loses eligibility for a number of membership privileges and has from one year to three years to comply with the requirement involved. Failure to comply shall result in the termination of the institution's membership in the Association. *(Revised: 1/15/11 effective 8/1/11)*

**20.02.4 Emerging Sports for Women.** The following shall be considered emerging sports for women and countable for purposes of revenue distribution (for sports sponsorship and grants-in-aid): *(Adopted: 1/11/94 effective 9/1/94)*

- (a) **Team Sports:** rugby and sand volleyball; and *(Revised: 4/15/97, 4/27/00 effective 8/1/00, 4/25/02, 1/17/09 effective 8/1/09, 4/30/09, 1/16/10 effective 8/1/11)*
- (b) **Individual Sports:** equestrian. *(Revised: 1/12/99 effective 8/1/99, 4/24/03 effective 8/1/03, 1/17/09 effective 8/1/09, 1/15/11 effective 8/1/11)*

**20.02.4.1 Additional Emerging Sports.** The Board of Directors periodically shall identify future emerging sports for women that shall be countable sports for revenue distribution and minimum sports sponsorship criteria and shall establish procedures to determine minimum contests and maximum grants in those sports. *(Adopted: 1/11/94 effective 9/1/94)*

**20.02.4.2 Removal of Emerging Sports.** A sport shall no longer be considered an emerging sport once the sport has been established as a championship sport. Further, an emerging sport is limited to a 10-year time period to become a championship sport unless it can be demonstrated that steady growth has occurred during that time. *(Adopted: 4/15/97)*

**20.02.5 Multisport Conference.** A Division I multisport conference shall satisfy the requirements of this section. *(Adopted: 1/15/11 effective 8/1/11)*

**20.02.5.1 Minimum Number of Members.** A multisport conference shall be composed of at least seven active Division I members. The member conference shall include at least seven active Division I members that sponsor both men's and women's basketball. *(Adopted: 1/15/11 effective 8/1/11)*

**20.02.5.2 Sports Sponsorship.** A multisport conference shall satisfy the following requirements: *(Adopted: 1/15/11 effective 8/1/11)*

- (a) The conference shall sponsor a minimum of 12 Division I sports;
- (b) The conference shall sponsor a minimum of six men's sports, one of which shall be men's basketball. In addition to men's basketball, the conference shall sponsor football or two other men's team sports. A minimum of seven members shall sponsor men's basketball. A minimum of six members shall sponsor five other sports, including football or two additional men's team sports; and
- (c) The conference shall sponsor a minimum of six women's sports, one of which shall be women's basketball. In addition to women's basketball, the conference shall sponsor two other women's team sports. A minimum of seven members shall sponsor women's basketball. A minimum of six members shall sponsor five other sports, including two additional women's team sports (or a minimum of five members for an emerging sport for women).

**20.02.5.3 Regular-Season Conference Competition.** Multisport conference members shall participate in regular-season conference competition, subject to the following requirements: *(Adopted: 1/15/11 effective 8/1/11)*

- (a) Basketball teams shall participate in a regular-season conference schedule of a double round robin, in-season competition, or a minimum of 14 regular-season conference contests;
- (b) In football or in a minimum of two men's team sports other than men's basketball [as required in Bylaw 20.02.5.2-(b)], teams shall compete in a minimum regular-season conference schedule of five contests. A minimum of five regular-season conference contests must be hosted by one of the two competing teams at its home venue; and
- (c) In a minimum of two women's team sports other than women's basketball (as required in Bylaw 20.02.5.2), teams shall compete in a minimum regular-season conference schedule of five contests. A minimum of five regular-season conference contests must be hosted by one of the two competing teams at its home venue.

**20.02.5.4 Continuity.** A multisport conference shall establish continuity. To establish continuity, a multisport conference must meet the requirements of Bylaw 20.02.5.1. In addition, the conference must meet the requirements of Bylaws 20.02.5.2 and 20.02.5.3 for a period of eight consecutive years. *(Adopted: 1/15/11 effective 8/1/11)*

**20.02.5.5 Grace Period.** A conference shall continue to be considered a multisport conference for two years following the date of withdrawal of the institution(s) that causes the conference's noncompliance with the minimum multisport conference requirements. *(Adopted: 1/15/11 effective 8/1/11)*

**20.02.6 Football Bowl Subdivision Conference.** A conference classified as a Football Bowl Subdivision conference shall be comprised of at least eight full Football Bowl Subdivision members that satisfy all bowl subdivision requirements. An institution shall be included as one of the eight full Football Bowl Subdivision members only if the institution participates in the conference schedule in at least six men's and eight women's conference-sponsored sports, including men's basketball and football and three women's team sports including women's basketball. A conference-sponsored sport shall be a sport in which regular-season and/or championship opportunities are provided, consistent with the minimum standards identified by the applicable NCAA sport committee for automatic qualification. *(Adopted: 10/31/02 effective 8/1/05, Revised: 12/15/06)*

**20.02.6.1 Exception.** A Football Bowl Subdivision member institution shall be permitted to count as one of its required six men's sports and one of its required eight women's sports a sport in which its conference does not sponsor or conduct a championship, provided the sport is one in which it participates in another Division I multi- or single-sport conference. Different sports may be counted for men and women. *(Adopted: 4/29/04 effective 8/1/05, Revised: 12/15/06)*

**20.02.6.2 Grace Period.** A conference shall continue to be considered a Football Bowl Subdivision conference for two years following the date when it fails to satisfy the eight full Football Bowl Subdivision member requirement due to one or more of its member's failure to comply with the bowl subdivision membership requirements. *(Adopted: 4/28/05 effective 8/1/05, Revised: 12/15/06)*

## 20.2 Establishment of and Compliance With Division Criteria.

**20.2.1 Adoption of Criteria.** The members of each division, through the legislative process, may establish division criteria for membership and competition by sport.

**20.2.2 Effective Date and Compliance Period.** In establishing criteria, the members of the division shall specify the effective date and compliance period, if any. Each institution (either as a member of that division or as an institution that competes in a sport in that division under the provisions of multidivision classification per Bylaw 20.4) shall conform to the requirements of the criteria by that effective date or by the end of the compliance period, whichever is later.

**20.2.2.1 Deadline for Meeting Performance Criterion.** An institution must comply with any specific performance criterion adopted by the membership of its division (e.g., that the institution sponsor a minimum number of sports, schedule and play a specific percentage or number of contests against opponents of a certain division, or demonstrate a specific level of paid attendance) by the end of the academic year prior to the effective date of the legislation.

**20.2.3 Failure to Conform by Effective Date.** If an institution has not conformed to the adopted criteria of its division by the effective date or the end of the prescribed compliance period, the institution's membership (or its sport per Bylaw 20.4) shall be reassigned to a division for which it qualifies. If the member (or its sport per Bylaw 20.4) does not qualify for any division, the institution (or its sport per Bylaw 20.4) shall be reclassified in accordance with Bylaw 20.2.5.

**20.2.4 Continuation of Compliance.** To retain division membership or approved multidivision classification (per Bylaw 20.4), each member institution shall continue to meet division criteria when any grace period expires. If an institution fails to remain in compliance with its division's criteria, the institution's membership (or its sport per Bylaw 20.4) shall be reassigned to a division for which it qualifies. If the member (or its sport per Bylaw 20.4) does not qualify for any division, the institution shall be reclassified in accordance with Bylaw 20.2.5 and also shall be subject to the Association's enforcement procedures.

**20.2.5 Noncompliance and Waivers of Division Criteria.**

**20.2.5.1 Restricted Membership.**

**20.2.5.1.1 Minimum One-Year Compliance Period.** If an institution (or its sport per Bylaw 20.4) does not qualify for membership in any division, the institution (or its sport per Bylaw 20.4) may be placed in a restricted membership category for a minimum of one year. At the conclusion of the minimum one-year period, the institution automatically shall be granted membership in its preferred division, provided the institution complies with the division's criteria. If the institution does not meet the criteria of any division at the end of the restricted membership period, the institution shall forfeit its membership in the Association. *(Revised: 1/15/11 effective 8/1/11)*

**20.2.5.1.2 Failure to Meet Minimum Sports Sponsorship Criteria.**

**20.2.5.1.2.1 One-Year Probationary Period.** An institution that fails to meet either the minimum men's or women's sports sponsorship criterion for its division shall be placed on probation for one year for its entire program (both men's and women's sports) in the next academic year after noncompliance with sports sponsorship requirements is discovered. An institution shall be afforded the one-year probationary period for failure to comply with sports sponsorship criteria only once in every 10-year period. The 10-year period shall begin the September 1 following completion of the academic year in which the membership criterion is not met. *(Revised: 1/11/89)*

**20.2.5.1.2.2 Application of Restricted Membership Status.** If an institution fails to meet the sports sponsorship criteria at the end of the probationary year or is ineligible for the once-in-10-year probationary period, it shall be placed in restricted membership and shall not be eligible for NCAA championship competition in all sports (both men's and women's) in the first academic year after the probationary year (or the first academic year after failure to meet the requirements if the institution is ineligible for the once-in-10-year probationary period). If the institution still cannot certify compliance with the division criteria at the end of that year, it shall forfeit its membership in the Association. *(Revised: 1/11/89, 1/15/11 effective 8/1/11)*

**20.2.5.1.3 Failure to Meet Division Scheduling Requirement.** An institution that fails to meet the division scheduling requirement in a sport shall be placed in the restricted membership category in that sport, with men's and women's teams in the same sport treated separately. The sport shall be in the restricted membership category for not longer than a three-year period.

**20.2.5.1.4 Failure to Meet Financial Aid Minimums.** An institution that fails to meet the financial aid minimums shall be placed in the restricted membership category for a period of one year. *(Adopted: 11/1/00 effective 8/1/01)*

**20.2.5.1.5 Voting Privileges and Eligibility for Championships.** The institution shall identify its preferred division and, during the period of restricted membership, shall be:

- (a) In compliance to the greatest extent possible with that division's scheduling criteria;
- (b) Bound by all other applicable rules of the Association;
- (c) Permitted to vote only on dominant issues, unless the restricted membership status is in one sport, in which case its loss of voting privileges shall apply only to that sport; and
- (d) Ineligible for NCAA championships and postseason football contests, unless the restricted membership status is in one sport, in which case loss of eligibility for championships and postseason football contests shall apply only to that sport.

**20.2.5.1.6 Division Members Counting a Restricted Opponent.** During the period of its restricted membership, an institution may be counted by its classified opponents as a member of the restricted institution's desired division for purposes of the opponents' meeting their division's scheduling criteria; however, a restricted member shall not count another restricted member for such purposes.

**20.2.5.1.6.1 Restricted Members Counting a Restricted Opponent.** A contest between two institutions in restricted membership status in a sport shall not be counted as a contest by either institution for purposes of the scheduling criterion in the sport in which they are restricted, provided this

exception is not applied to more than 25 percent of an institution's contests in the sport in question for any particular season.

**20.2.5.1.7 No Voluntary Selection of Restricted Membership Category.** Restricted membership status is imposed only when an institution has failed to comply with division membership criteria. An institution is not permitted to select voluntarily or to request that it be placed in the restricted membership category.

**20.2.5.1.8 Removal of Restricted Membership Status.** When an institution that has been placed in restricted membership status wishes to return to its original membership division, it shall meet the criteria that were in effect at the time it became restricted or that have become effective since that time. If a restricted institution wishes to move to a different membership division, it is considered a petitioner for change of division membership per Bylaw 20.5 and would be required to meet all of the new division's criteria at the time the institution leaves restricted status.

#### **20.2.5.2 Loss of Membership.**

**20.2.5.2.1 Failure to Meet Three-Season Requirement.** If an active member no longer meets the three-season requirement for either men or women, it shall immediately forfeit its membership in the Association. (*Revised: 5/8/06, 1/15/11 effective 8/1/11*)

**20.2.5.3 Waivers of Division I Membership Criteria.** The Administration Cabinet, by a two-thirds majority of its members present and voting, may grant waivers of the Division I membership criteria according to the procedures specified below. (*Revised: 1/11/94 effective 9/2/94, 4/24/03 effective 8/1/03, 11/1/07 effective 8/1/08*)

**20.2.5.3.1 Submission of Request.** The institution shall submit its written request for a waiver to the Administration Cabinet, and it shall be received in the national office not later than September 15. The request shall be signed by the institution's president or chancellor and shall include pertinent information supporting the institution's request. The Administration Cabinet, by a two-thirds majority of its members present and voting, may waive the September 15 deadline due to circumstances beyond an institution's control. (*Revised: 1/19/96, 4/24/03 effective 8/1/03, 3/8/06, 11/1/07 effective 8/1/08*)

**20.2.5.3.2 Waiver—Restricted Membership Status.** If an institution has been placed in the restricted membership category by the Committee on Institutional Performance, the institution may request a waiver of such status from the Administration Cabinet immediately on rectifying deficiencies outlined by the Committee on Institutional Performance. Such a waiver shall be submitted to the Administration Cabinet at least 60 days prior to the meeting in which the Administration Cabinet will act on the waiver. The Administration Cabinet, by a two-thirds majority of its members present and voting, may waive the 60-day deadline due to circumstances beyond an institution's control. (*Adopted: 1/13/98 effective 8/1/98, Revised: 4/24/03 effective 8/1/03, 11/1/07 effective 8/1/08, 1/19/13*)

**20.2.5.3.3 Voting Requirement.** The division, by a majority of its members present and voting, shall vote to accept or reject the request for a waiver of the division's criteria.

**20.2.5.3.4 Effective Date of Waiver.** If the division votes to admit the institution as a member of that division, such classification shall become effective:

- (a) In accordance with the requirements of Constitution 3.2.3.3 if a new member of the Association is involved;
- (b) September 1 following the Leadership Council action if an active member institution is seeking multidivision classification or change of division membership; or (*Revised: 1/10/90, 11/1/07 effective 8/1/08*)
- (c) Immediately if the institution receives a waiver of the restricted membership classification. (*Adopted: 1/11/89*)

**20.2.5.3.4.1 Maximum Three-Year Waiver Period.** If after three years from the effective date of multidivision classification or reclassification (September 1 following the Leadership Council action) the institution receiving such a waiver has not conformed to all adopted criteria of the division, the institution's membership (or its sport per Bylaw 20.4): (*Revised: 1/11/94 effective 9/2/94, 11/1/07 effective 8/1/08*)

- (a) Shall be assigned to a division for which it qualifies in accordance with Bylaw 20.5.1; or
- (b) Shall be placed in the restricted membership category for a minimum of one year in accordance with Bylaw 20.2.5.1 if the member (or its sport per Bylaw 20.4) does not qualify for any division.

**20.2.5.3.4.2 Eligibility for Championships.** The institution receiving such a waiver shall be accorded all privileges of division membership during this waiver period; however, its eligibility for championships shall require compliance with the institutional eligibility requirements of Bylaw 20.4.3 or 20.5.3.



**20.2.5.3.5 Rejection of Waiver Request.** If the Administration Cabinet votes to reject the institution's request, the institution's membership (or its sport per Bylaw 20.4): *(Revised: 4/24/03 effective 8/1/03, 11/1/07 effective 8/1/08)*

- (a) Shall be reassigned to a division for which it qualifies;
- (b) Shall be placed in the restricted membership category, if it does not qualify for any division (see Bylaw 20.2.5.1); or
- (c) Shall be required to repeat the membership process. *(Adopted: 4/24/03 effective 8/1/03)*

## **20.4 Multidivision Classification/Reclassification of Football Subdivision.**

**20.4.1 Multidivision Classification.** A member of Division II or Division III may have a sport classified in Division I, provided the sport was so classified during the 2010-11 academic year. Such a classification shall continue until the institution fails to conduct the sport in Division I in any following academic year. *(Revised: 8/9/07, 1/15/11 effective 8/1/11)*

**20.4.1.1 Reclassification of a Sport of the Opposite Gender.** A member of Division II or Division III that had one sport classified in Division I during the 2010-11 academic year may petition to have one sport of the opposite gender, other than football or basketball, classified in Division I, provided the original sport remains classified in Division I. *(Adopted: 10/27/11; process to begin with applications received by 6/1/12)*

**20.4.1.1.1 Notification/Application Requirement.** An institution's chancellor or president shall submit to the national office written notice of the institution's intention to reclassify and a completed application. The notice and application shall be received in the national office (by mail or electronic transmission) not later than June 1 two years prior to August 1 of the year the institution intends to reclassify the applicable sport. Any form received after June 1 shall be postmarked not later than May 25. The notice and application shall be accompanied by a \$10,000 fee and a strategic plan that addresses the Division I philosophy statement (see Bylaw 20.9.2) and the institutional performance program operating principles (see Bylaw 22). If the institution fails to meet the requirements of reclassification, the application fee shall be refunded, less any expenditure for educational costs related to the reclassification process. *(Adopted: 10/27/11; process to begin with applications received by 6/1/12, Revised: 1/19/13)*

**20.4.1.1.2 Compliance With Criteria.** The Administration Cabinet shall monitor the institution's progress and compliance with the criteria of the reclassification process. The cabinet shall have the authority to deny advancement to the next year of the process if it determines that deficiencies warrant a requirement that the institution repeat the first year. If the institution meets all applicable division membership criteria of this article (other than scheduling requirements during the first year of reclassification) and complies for the two years preceding June 1 of the year the institution intends to reclassify the applicable sport with all other bylaw requirements as they pertain to the sport in question, the Administration Cabinet shall refer the institution's request for participation in the division in that sport to the Board of Directors for election effective August 1 of the year that the institution selects as its effective date. *(Adopted: 10/27/11; process to begin with applications received by 6/1/12, Revised: 7/22/13)*

### **20.4.1.1.3 Reclassification Requirements.**

**20.4.1.1.3.1 First Year.** During the first year of reclassification, an institution shall satisfy the following requirements: *(Adopted: 10/27/11; process to begin with applications received by 6/1/12)*

- (a) Attend an orientation session conducted by the national office staff related to the application of Division I membership requirements. Institutional representatives required to attend the orientation session are the chancellor or president (or an individual appointed by the chancellor or president with executive status at the institution), the director of athletics representative and the senior compliance administrator;
- (b) Submit an annual report and updated strategic plan by June 1 at the end of the first academic year based on feedback received from the previous year's report; and
- (c) Report all violations to the Administration Cabinet as part of the required annual report.

**20.4.1.1.3.2 Second Year.** During the second year of reclassification, an institution shall satisfy the following requirements: *(Adopted: 10/27/11; process to begin with applications received by 6/1/12)*

- (a) Full compliance with all Division I legislation and membership requirements; and
- (b) Submit an annual report and updated strategic plan confirming compliance with all Division I legislation and membership requirements; and
- (c) Report all violations to the Administration Cabinet as part of the required annual report.

**20.4.1.1.3.3 Compliance Review Requirement.** The institution must engage in a compliance review (at the institution's expense) during the multidivision reclassification period. The review must be conducted by an authority outside the athletics department (e.g., multisport conference, outside consultant), subject to approval by the Administration Cabinet. A copy of the report of the compliance review must be kept on file at the institution and a copy must be submitted to the Administration Cabinet. *(Adopted: 10/27/11; process to begin with applications received by 6/1/12)*



**20.4.2 Football Subdivision Reclassification Options.** A member of Division I may petition to be classified in football in the Football Bowl Subdivision or the Football Championship Subdivision. *(Adopted: 1/15/11 effective 8/1/11)*

**20.4.2.1 Reclassification from Football Championship Subdivision to Football Bowl Subdivision.**

**20.4.2.1.1 Eligibility for Reclassification.** Before a Football Championship Subdivision institution may apply for reclassification to the Football Bowl Subdivision, the institution must receive a bona fide invitation for membership from a Football Bowl Subdivision conference or a conference that previously met the definition of a Football Bowl Subdivision conference (see Bylaw 20.02.6). *(Adopted: 1/15/11 effective 8/1/11)*

**20.4.2.1.2 Notification/Application Requirement.** The chancellor or president from a Football Championship Subdivision institution that intends to petition for reclassification to the Football Bowl Subdivision, per Bylaw 20.4.2, shall submit to the national office written notice of the institution's intention to reclassify and a completed application. The notice and application shall be received in the national office (by mail or electronic transmission) not later than June 1 two years prior to the August 1 when the institution intends to reclassify to the Football Bowl Subdivision. Any form received after June 1 shall be postmarked not later than May 25. The notice and application shall be accompanied by a \$5,000 fee and a strategic plan that addresses the Division I philosophy statement (see Bylaw 20.9.2) and the institutional performance program operating principles (see Bylaw 22). If the institution fails to qualify for membership in the Football Bowl Subdivision, the application fee shall be refunded, less any expenditure for educational costs related to the reclassification process. *(Adopted: 4/28/05, Revised: 3/8/06, 12/15/06, 1/15/11 effective 8/1/11, 1/19/13)*

**20.4.2.1.3 Compliance with Criteria.** The Administration Cabinet shall monitor the institution's progress and compliance with the criteria of the reclassification process. The cabinet shall have the authority to deny advancement to the next year of the process if it determines that deficiencies warrant a requirement that the institution repeat the first year. If the member has met all applicable division membership criteria of this article (other than scheduling requirements during the first year of reclassification) and has complied for the two years preceding June 1 with all other bylaw requirements as they pertain to the Football Bowl Subdivision, the Administration Cabinet shall refer the institution's request for participation in the Football Bowl Subdivision to the Board of Directors for election effective the August 1 that the institution selects as its effective date. *(Revised: 1/10/90, 4/25/02 effective 8/1/02, 1/15/11 effective 8/1/11, 7/22/13)*

**20.4.2.1.4 Reclassification Requirements.**

**20.4.2.1.4.1 First Year.** During the first year of reclassification, an institution shall satisfy the following requirements: *(Adopted: 1/8/07, Revised: 1/14/08 effective 8/1/08 for those institutions that begin the reclassification process on or after 8/1/08)*

- (a) Attend an orientation session conducted by the national office staff related to the application of Football Bowl Subdivision membership requirements. Institutional representatives required to attend the orientation session are the chancellor or president (or an individual appointed by the chancellor or president with executive status at the institution), the director of athletics representative and the senior compliance administrator; *(Revised: 1/15/11 effective 8/1/11)*
- (b) Submit an annual report and updated strategic plan by June 1 at the end of the first academic year based on feedback received from the previous year's report; and
- (c) Report all violations to the Administration Cabinet as part of the required annual report. *(Revised: 11/1/07 effective 8/1/08)*

**20.4.2.1.4.2 Second Year.** During the second year of reclassification, an institution shall satisfy the following requirements: *(Adopted: 1/14/08 effective 8/1/08 for those institutions that begin the reclassification process on or after 8/1/08)*

- (a) Full compliance with all Football Bowl Subdivision legislation and membership requirements; *(Revised: 1/15/11 effective 8/1/11)*
- (b) Submit an annual report and updated strategic plan confirming compliance with all Football Bowl Subdivision legislation and membership requirements; and *(Revised: 1/15/11 effective 8/1/11)*
- (c) Report all violations to the Administration Cabinet as part of the required annual report. *(Revised: 1/15/11 effective 8/1/11)*

**20.4.2.1.4.3 Compliance Review Requirement.** The institution must engage in a compliance review (at the institution's expense) during the reclassification period. The review must be conducted by an authority outside the athletics department (e.g., multisport conference, outside consultant), subject to approval by the Administration Cabinet. A copy of the report of the compliance review must be kept on file at the institution and a copy must be submitted to the Administration Cabinet. *(Adopted: 1/14/08 effective 8/1/08 for those institutions that begin the reclassification process on or after 8/1/08, Revised: 1/15/11 effective 8/1/11)*

**20.4.3 Championships Eligibility.** A member of Division I that has forwarded to the national office written notice of its intention to change its football membership classification from Championship Subdivision to Bowl Subdivision per Bylaw 20.4.2.1 no longer shall be eligible for participation in the Division I Football Championship. (*Adopted: 4/26/01 effective 8/1/01, Revised: 12/15/06*)

**20.4.4 Compliance Review Requirement.** At least once every four years, an active multidivisional institution must engage in a compliance review (at the institution's expense) conducted by an authority outside the athletics department (e.g., Division I multisport conference, outside consultant), subject to approval by the Administration Cabinet. A copy of the report of the compliance review must be kept on file at the institution and a copy must be submitted to the Administration Cabinet. (*Adopted: 1/14/08 effective 8/1/08*)

**20.4.4.1 Failure to Meet Deadline.** A multidivisional institution that fails to complete a compliance review and submit a copy of the report to the Administration Cabinet by the end of each four-year period shall be fined \$500. An additional \$500 shall be imposed if the institution fails to complete the compliance review and submit the report within six months of the end of the applicable four-year period. If the compliance review is not completed and the report submitted within one year of the end of the applicable four-year period, the institution shall be placed in restricted membership status, pursuant to Bylaw 20.02.3, for the following academic year. The institution may be removed from restricted membership status for the following academic year, provided the compliance review is completed and the report is received and approved. (*Adopted: 10/27/11*)

**20.4.4.1.1 Waiver.** The Administration Cabinet may grant a waiver of the penalties in Bylaw 20.4.4.1 based on extenuating circumstances that prevent the completion of the compliance review and submission of the report. (*Adopted: 10/27/11*)

## 20.5 Change of Division Membership.

**20.5.1 Eligibility for Reclassification.** A member of Division II may petition to change its membership to Division I subject to the following prerequisites: (*Revised: 1/15/11 effective 8/1/11*)

- (a) The institution shall have been an active Division II member for the preceding five years;
- (b) The institution shall be in compliance with all Division I minimum sports sponsorship and financial aid membership requirements; and
- (c) The institution shall have received a bona fide offer of membership by an active Division I multisport conference.

**20.5.2 Requesting Reclassification.** In order to petition to change its membership to Division I, the institution and its sponsoring conference shall complete an application and submit it to the Administration Cabinet on a form approved by the cabinet. (*Revised: 1/15/11 effective 8/1/11*)

**20.5.2.1 Deadline for Submission of Application.** The application shall be received in the national office (by mail or electronic transmission) not later than June 1 prior to the academic year in which the institution is seeking to begin its first year of the reclassification process. Any application received after that date shall be postmarked not later than May 25. (*Revised: 1/15/11 effective 8/1/11*)

**20.5.2.2 Application Fee.** A fee shall accompany the application. The amount of the fee shall be determined each year based on the estimated annual average value of direct benefits through distributions and championships made available to Division I members. If an institution withdraws from the reclassification process, the application fee shall be refunded to the institution on a prorated basis—75 percent through year one, 50 percent through year two, 30 percent through year three and no refund thereafter. (*Adopted: 1/15/11 effective 8/1/11*)

**20.5.2.3 Initial Strategic Plan.** The institution's application shall include an initial strategic plan that addresses the Division I philosophy statement (see Bylaw 20.9.2) and the institutional performance program operating principles (see Bylaw 22.2). The initial strategic plan must be approved by the sponsoring conference. (*Adopted: 1/15/11 effective 8/1/11, Revised: 1/19/13*)

**20.5.2.4 Notification of Infractions Matters.** The institution's application shall include notification whether it is, at the time of application, involved in an investigation of potential rules violations, an infractions case or is on probation for rules violations. (*Adopted: 1/15/11 effective 8/1/11*)

**20.5.2.5 Compliance With Criteria.** The Administration Cabinet shall monitor the institution's progress and compliance with the criteria of the reclassification process. The cabinet shall have the authority to deny advancement to the next year of the process if it determines that deficiencies warrant a requirement that the institution repeat a particular year. If the institution has met the reclassification criteria of this article and has complied for the four years preceding June 1 with all other requirements set forth in this bylaw, the Administration Cabinet shall refer the institution's request for active Division I membership to the Board of Directors for election effective August 1 following such election. However, the Administration Cabinet may deny referral of a reclassifying institution to the Board of Directors for advancement to active Division I status if any of the institution's sport programs are subject to penalties pursuant to the Division I Academic Performance Program. An institution shall not be elected to active membership in Division I if it is subject to an Academic Performance Program penalty. (*Revised: 1/10/90, 4/25/02 effective 8/1/02, 1/17/09 effective 8/1/09; applicable to institutions in*

the provisional or reclassifying processes as of 8/1/09 and those beginning either process on or after 8/1/09, 10/28/10, 1/15/11 effective 8/1/11)

**20.5.2.5.1 First Year.** During the first year of reclassification, an institution shall satisfy the following requirements: (*Adopted: 4/25/02 effective 8/1/02, Revised: 3/10/04, 1/15/11 effective 8/1/11*)

- (a) Attendance at an orientation session conducted by the national office staff related to basic Division I operating rules and membership requirements. Institutional representatives required to attend are the chancellor or president (or an individual appointed by the chancellor or president with executive status at the institution), the director of athletics, the senior woman administrator, the faculty athletics representative and the senior compliance administrator;
- (b) Attendance at the NCAA Convention Division I Issues Forum and Business Session. Institutional representatives required to attend are the chancellor or president (or an individual appointed by the chancellor or president with executive status at the institution), the director of athletics, the senior woman administrator, the faculty athletics representative and the senior compliance administrator;
- (c) Attendance at the Regional Rules Seminar conducted by the NCAA. Institutional representatives required to attend are the chancellor or president (or an individual appointed by the chancellor or president with executive status at the institution), the director of athletics, the senior woman administrator, the faculty athletics representative and the senior compliance administrator;
- (d) Apply all Division I legislation, except scheduling requirements and continuing eligibility requirements (e.g., progress-toward-degree, five-year rule) for student-athletes who are completing their final season of competition and were enrolled at the institution at least one year prior to the institution entering year one of the reclassification process;
- (e) Completion of a preliminary NCAA institutional performance program orientation, which shall require attendance by representatives from the sponsoring conference; (*Revised: 1/19/13*)
- (f) Process institutional and individual student-athlete violations of Division I legislation through Division I enforcement and student-athlete reinstatement processes. The institution shall be subject to any and all sanctions for violations of Division I legislation; and
- (g) Submit an annual report and a strategic plan by June 1. The institution shall report all violations to the Administration Cabinet as part of the annual report and provide notice whether it is involved in an investigation of potential rules violations, an infractions case or is on probation for rules violations.

**20.5.2.5.2 Second Year.** During the second year of reclassification, an institution shall satisfy the following requirements: (*Adopted: 4/25/02 effective 8/1/02, Revised: 1/15/11 effective 8/1/11*)

- (a) Full compliance with all Division I legislation and membership requirements;
- (b) Attendance at the NCAA Convention Division I Issues Forum and Business Session. Institutional representatives required to attend are the chancellor or president (or an individual appointed by the chancellor or president with executive status at the institution), the director of athletics, the senior woman administrator, the faculty athletics representative and the senior compliance administrator.
- (c) Attendance at a Regional Rules Seminar conducted by the NCAA. Institutional representatives required to attend are the chancellor or president (or an individual appointed by the chancellor or president with executive status at the institution), the director of athletics, the senior woman administrator, the faculty athletics representative and the senior compliance administrator;
- (d) Completion of a compliance review conducted by the national office and submission of a report with an institutional response to the findings and recommendations;
- (e) Process institutional and individual student-athlete violations of Division I legislation through the Division I enforcement and student-athlete reinstatement processes. The institution shall be subject to any and all sanctions for violations of Division I legislation; and
- (f) Submit an annual report and an updated strategic plan by June 1 based on feedback related to the previous year's plan. The institution shall report all violations to the Administration Cabinet as part of the annual report and provide notice whether it is involved in an investigation of potential rules violations, an infractions case or is on probation for rules violation.

**20.5.2.5.3 Third Year.** During the third year of reclassification, an institution shall satisfy the following requirements: (*Adopted: 4/25/02 effective 8/1/02, Revised: 1/15/11 effective 8/1/11*)

- (a) Attendance at the NCAA Convention Division I Issues Forum and Business Session. Institutional representatives required to attend are the chancellor or president (or an individual appointed by the chancellor or president with executive status at the institution), the director of athletics, the senior woman administrator, the faculty athletics representative and the senior compliance administrator;
- (b) Attendance at a Regional Rules Seminar conducted by the NCAA. Institutional representatives required to attend are the chancellor or president (or an individual appointed by the chancellor or president with executive status at the institution), the director of athletics, the senior woman administrator, the faculty athletics representative and the senior compliance administrator;
- (c) Completion of an NCAA institutional performance program orientation; (*Revised: 1/19/13*)

- (d) Process institutional and individual student-athlete violations of Division I legislation through Division I enforcement and student-athlete reinstatement processes. The institution shall be subject to any and all sanctions for violations of Division I legislation; and
- (e) Submit an annual report and an updated strategic plan by June 1 based on feedback related to the previous year's plan. The institution shall report all violations to the Administration Cabinet as part of the annual report and provide notice whether it is involved in an investigation of potential rules violations, an infractions case or is on probation for rules violations.

**20.5.2.5.4 Fourth Year.** During the fourth year of reclassification, an institution shall satisfy the following requirements: *(Adopted: 4/25/02 effective 8/1/02, Revised: 1/15/11 effective 8/1/11)*

- (a) Successfully complete an NCAA institutional performance program self-study and evaluation visit; *(Revised: 1/19/13)*
- (b) Attendance at the NCAA Convention Division I Forum and Business Session. Institutional representatives required to attend are the chancellor or president (or an individual appointed by the chancellor or president with executive status at the institution), the director of athletics, the senior woman administrator, the faculty athletics representative and the senior compliance administrator;
- (c) Attendance at a Regional Rules Seminar conducted by the NCAA. Institutional representatives required to attend are the chancellor or president (or an individual appointed by the chancellor or president with executive status at the institution), the director of athletics, the senior woman administrator, the faculty athletics representative and the senior compliance administrator;
- (d) Process institutional and individual student-athlete violations of Division I legislation through the Division I enforcement and student-athlete reinstatement processes. The institution shall be subject to any and all sanctions for violations of Division I legislation; and
- (e) Submit an annual report and an updated strategic plan by June 1 based on feedback related to the previous year's plan. The institution shall report all violations to the Administration Cabinet as part of the annual report and provide notice whether it is involved in an investigation of potential rules violations, an infractions case or is on probation for rules violations.

**20.5.3 Institutional Performance Progress Report.** The institution shall submit a report to the Committee on Institutional Performance regarding the progress of any plans for improvement (as recommended by the committee) not later than the conclusion of its second year of active Division I membership. *(Adopted: 1/15/11 effective 8/1/11, Revised: 1/19/13)*

**20.5.4 Timing of Monetary Distributions.** The institution shall qualify to begin receiving revenue distributions related to sports sponsorship and grants-in-aid after three calendar years as an active Division I member. The institution shall qualify to receive the Student Assistance and Academic Enhancement Funds, and basketball grant funds, as determined by its sponsoring conference, beginning with the first year of active membership. *(Adopted: 1/15/11 effective 8/1/11, Revised: 2/24/12)*

**20.5.5 Championships Eligibility.** To be eligible for NCAA championships in Division I as of the effective August 1, the institution shall comply with all championships eligibility requirements, as prescribed by Bylaw 31, and otherwise shall be eligible for participation in those championships. In addition, a member of Division I shall comply with the provisions of Bylaw 18.4.2.2. *(Revised: 1/15/11 effective 8/1/11)*

## 20.7 Division Legislation.

**20.7.1 Applicable Legislation.** A member institution shall observe the applicable legislation and requirements of its membership division. However, if the institution is eligible to participate in a sport in Division I (see Bylaw 20.4 for multidivision-classification privileges), it shall apply the rules of Division I that govern the sport in question. *(Revised: 1/15/11 effective 8/1/11)*

**20.7.1.1 Football Championship Subdivision Member Electing Football Bowl Subdivision Legislation.** A Football Championship Subdivision member institution may elect to be governed by the legislation pertaining to the Football Bowl Subdivision, as follows: *(Revised: 12/15/06)*

- (a) The institution shall file a declaration of intent with the NCAA president. The declaration shall be received in the national office (by mail or electronic transmission) not later than June 1 preceding the applicable academic year. Any declaration received after that date shall be postmarked not later than May 25.
- (b) Once receipt of the declaration has been confirmed, the institution shall not be eligible for inclusion in championship subdivision football rankings or for consideration for the Division I Football Championship. *(Revised: 12/15/06)*

**20.7.1.2 Division III Application.** A Division III member institution that has a sport classified in Division I must apply the rules of both divisions, or the more stringent rule if both divisions have a rule concerning the same issue except that in either instance, the Division III institution may apply the Division I playing and practice season regulations of Bylaw 17 in the Division I sport. *(Revised: 1/11/94)*



**20.7.1.2.1 Waivers.** If a member institution conducts a men's or women's sport that was classified in Division I during the 1982-83 academic year, the Administration Cabinet, by a two-thirds majority of its members present and voting, may approve waivers of the application of the Division III regulations to such a sport. (*Revised: 11/1/07 effective 8/1/08, 1/15/11 effective 8/1/11*)

## **20.8 Eligibility for National Collegiate and Division Championships.**

**20.8.1 Eligibility for National Collegiate Championships. [#]** Separate championships in each division are not sponsored in the following sports:

Women's bowling ( <i>Adopted: 4/24/03 effective 8/1/03</i> )	Men's and women's rifle
Men's and women's fencing	Men's and women's skiing
Men's gymnastics	Men's volleyball
Women's gymnastics	Men's water polo
Women's ice hockey	Women's water polo

An active member institution in good standing, regardless of division, is eligible for the National Collegiate Championships if a division championship in the respective sport is not offered in its division. Such an institution normally is required to meet only the institutional and individual eligibility requirements of its division that govern the sport in question. (*Revised: 1/15/11 effective 8/1/11*)

**20.8.2 Division II Options When No Division II Championship Is Conducted.** An active member institution that holds membership in Division II is eligible to compete in the Division I championship in those sports for which no championship is conducted in Division II. The Division II institution shall declare its intention to compete by June 1. This declaration of intent shall be effective for a minimum of three years. (*Revised: 1/10/91 effective 9/1/92*)

**20.8.2.1 Participation in Division I Championship.** To be eligible for the Division I championship in such a sport, the Division II member institution is required to meet all Division I institutional and individual eligibility requirements and may use Division I financial aid limitations in that sport as permitted under Bylaw 20.9.1.1. (*Revised: 1/10/91 effective 9/1/92*)

**20.8.2.2 Exception for Maximum Number of Contests or Dates of Competition.** A Division II member institution that is eligible for a championship in another division because there is no championship in that sport in its membership division shall apply the maximum number of contests or dates of competition in the sport involved that applies to the division in which it declares its intention to compete.

## **20.9 Division I Membership.**

**20.9.1 Commitments to the Division I Collegiate Model.** In addition to the purposes and fundamental policy of the National Collegiate Athletic Association, as set forth in Constitution 1, members of Division I support the following commitments in the belief that these commitments assist in defining the nature and purposes of the division. These commitments are not binding on member institutions, but serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences. (*Adopted: 1/19/13 effective 8/1/13*)

**20.9.1.1 The Commitment to Value-Based Legislation.** Bylaws proposed and enacted by member institutions governing the conduct of intercollegiate athletics shall be designed to foster competition in amateur athletics, promote the Association's enduring values and advance the Collegiate Model as set forth in the NCAA Constitution. In some instances, a careful balancing of these values may be necessary to help achieve the purposes of the Association. (*Adopted: 1/19/13 effective 8/1/13*)

**20.9.1.2 The Commitment to Amateurism.** Member institutions shall conduct their athletics programs for students who choose to participate in intercollegiate athletics as a part of their educational experience and in accordance with NCAA bylaws, thus maintaining a line of demarcation between student-athletes who participate in the Collegiate Model and athletes competing in the professional model. (*Adopted: 1/19/13 effective 8/1/13*)

**20.9.1.3 The Commitment to Fair Competition.** Bylaws shall be designed to promote the opportunity for institutions and eligible student-athletes to engage in fair competition. This commitment requires that all member institutions compete within the framework of the Collegiate Model of athletics in which athletics competition is an integral part of the student-athlete's effort to acquire a degree in higher education. The commitment to fair competition acknowledges that variability will exist among members, including facilities, geographic locations and resources, and that such variability should not be justification for future legislation. Areas affecting fair competition include, but are not limited to, personnel, eligibility and amateurism, recruiting, financial aid, the length of playing and practice seasons, and the number of institutional competitions per sport. (*Adopted: 1/19/13 effective 8/1/13*)

**20.9.1.4 The Commitment to Integrity and Sportsmanship.** It is the responsibility of each member institution to conduct its athletics programs and manage its staff members, representatives and student-athletes



in a manner that promotes the ideals of higher education and the integrity of intercollegiate athletics. Member institutions are committed to encouraging behavior that advances the interests of the Association, its membership and the Collegiate Model of athletics. All individuals associated with intercollegiate athletics programs and events should adhere to such fundamental values as respect, fairness, civility, honesty, responsibility, academic integrity and ethical conduct. These values should be manifest not only in athletics participation, but also in the broad spectrum of activities affecting the athletics programs. *(Adopted: 1/19/13 effective 8/1/13)*

**20.9.1.5 The Commitment to Institutional Control and Compliance.** It is the responsibility of each member institution to monitor and control its athletics programs, staff members, representatives and student-athletes to ensure compliance with the Constitution and bylaws of the Association. Responsibility for maintaining institutional control ultimately rests with the institution's campus president or chancellor. It is also the responsibility of each member institution to report all breaches of conduct established by these bylaws to the Association in a timely manner and cooperate with the Association's enforcement efforts. Upon a conclusion that one or more violations occurred, an institution shall be subject to such disciplinary and corrective actions as may be prescribed by the Association on behalf of the entire membership. *(Adopted: 1/19/13 effective 8/1/13)*

**20.9.1.6 The Commitment to Student-Athlete Well-Being.** Intercollegiate athletics programs shall be conducted in a manner designed to enhance the well-being of student-athletes who choose to participate and to prevent undue commercial or other influences that may interfere with their scholastic, athletics or related interests. The time required of student-athletes for participation in intercollegiate athletics shall be regulated to minimize interference with their academic pursuits. It is the responsibility of each member institution to establish and maintain an environment in which student-athletes' activities, in all sports, are conducted to encourage academic success and individual development and as an integral part of the educational experience. Each member institution should also provide an environment that fosters fairness, sportsmanship, safety, honesty and positive relationships between student-athletes and representatives of the institution. *(Adopted: 1/19/13 effective 8/1/13)*

**20.9.1.7 The Commitment to Sound Academic Standards.** Standards of the Association governing participation in intercollegiate athletics, including postseason competition, shall be designed to ensure proper emphasis on educational objectives and the opportunity for academic success, including graduation, of student-athletes who choose to participate at a member institution. Intercollegiate athletics programs shall be maintained as an important component of the educational program, and student-athletes shall be an integral part of the student body. Each member institution's admission and academic standards for student-athletes shall be designed to promote academic progress and graduation and shall be consistent with the standards adopted by the institution for the student body in general. *(Adopted: 1/19/13 effective 8/1/13)*

**20.9.1.8 The Commitment to Responsible Recruiting Standards.** Recruiting bylaws shall be designed to promote informed decisions and balance the interests of prospective student-athletes, their educational institutions, the Association's member institutions and intercollegiate athletics as a whole. This commitment includes minimizing the role of external influences on prospective student-athletes and their families and preventing excessive contact or pressure in the recruitment process. *(Adopted: 1/19/13 effective 8/1/13)*

**20.9.1.9 The Commitment to Diversity and Inclusion.** The Division I membership believes in and is committed to the core values of diversity, inclusion and equity, because realization of those values improves the learning environment for all student-athletes and enhances excellence within the membership and in all aspects of intercollegiate athletics. The membership shall create diverse and inclusive environments, promote an atmosphere of respect for and sensitivity to the dignity of every person, and include diverse perspectives in the pursuit of academic and athletic excellence. Member institutions, with assistance from the national office, are expected to develop inclusive practices that foster positive learning and competitive environments for student-athletes, as well as professional development and opportunities for athletics administrators, coaches and staff from diverse backgrounds. *(Adopted: 1/19/13 effective 8/1/13)*

**20.9.2 Division I Philosophy.** Members of Division I support the following principles in the belief that the following statements provide further definition of the nature and purposes of the division. These statements are not binding on member institutions, but serve as additional guidance for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences. A member of Division I: *(Revised: 1/19/13 effective 8/1/13)*

- (a) Subscribes to high standards of academic quality, as well as breadth of academic opportunity;
- (b) Strives in its athletics program for regional and national excellence and prominence. Accordingly, its recruitment of student-athletes and its emphasis on and support of its athletics program are, in most cases, regional and national in scope;
- (c) Recognizes the dual objective in its athletics program of serving both the university or college community (participants, student body, faculty-staff, alumni) and the general public (community, area, state, nation);
- (d) Believes in offering extensive opportunities for participation in varsity intercollegiate athletics for both men and women;
- (e) Sponsors at the highest feasible level of intercollegiate competition one or both of the traditional spectator-oriented, income-producing sports of football and basketball. In doing so, members of Division I recognize

- the differences in institutional objectives in support of football; therefore, the division provides competition in that sport in the Bowl Subdivision and the Championship Subdivision;
- (f) Believes in scheduling its athletics contests primarily with other members of Division I, especially in the emphasized, spectator-oriented sports, as a reflection of its goal of maintaining an appropriate competitive level in its sports program;
  - (g) Maintains institutional control over all funds supporting athletics; and
  - (h) Understands, respects and supports the programs and philosophies of other divisions. Occasionally, institutions from other divisions or athletics associations will seek membership in Division I. In such cases, the applicants should be required to meet, over a period of time, prescribed criteria for Division I membership in order to assure that such institutions agree and comply with the principles and program objectives embodied in this statement.

### **20.9.3 Financial Aid Requirements.**

**20.9.3.1 Maximum Limitations.** A member of Division I shall not make an award of financial aid (for which the recipient's athletics ability is considered in any degree) in excess of the number permitted by the provisions of the bylaws governing Division I financial aid awards limitations (see Bylaw 15.5). (*Revised: 1/10/91 effective 9/1/94*)

**20.9.3.2 Minimum Awards.** A member of Division I shall provide institutional financial assistance that equals one of the following: (*Revised: 1/10/91 effective 9/1/94*)

- (a) A minimum of 50 percent of the maximum allowable grants in 14 sports, at least seven of which must be women's sports. If an institution uses indoor track and field, outdoor track and field and cross country to meet the financial aid criterion, it must award the equivalent of at least 80 percent of the full grants for men and 80 percent of the full grants for women in those sports. If the institution counts two of those three sports to meet the financial aid criterion, it must award the equivalent of at least 70 percent of the full grants for men and 70 percent of the full grants for women. If the institution counts indoor and outdoor track and field as one sport, it must award the equivalent of at least 50 percent of the full grants for men and 50 percent of the full grants for women; (*Revised: 1/10/91 effective 9/1/94, 10/27/98 effective 8/1/99*)
- (b) Financial aid representing a minimum aggregate expenditure of \$1,394,580 in 2013-14 (with at least \$697,290 in women's sports) and \$1,419,682 in 2014-15 (with at least \$709,841 in women's sports) exclusive of grants in football and men's and women's basketball, provided the aggregate grant value is not less than the equivalent of 38 full grants, with at least 19 full grants for women. The Administration Cabinet shall adjust the minimum aggregate figure annually to reflect inflation, based on changes in average national tuition charges for regionally accredited institutions. The Administration Cabinet shall announce the revised figure in the fall each year for the following academic year. If the institution does not sponsor men's or women's basketball, the minimum aggregate expenditure must be \$920,707 in 2013-14 and \$937,280 in 2014-15 for the gender without the basketball program, but in no case fewer than the equivalent of 29 full grants for that gender; (*Revised: 1/10/91 effective 9/1/94, 1/10/95, 1/9/96, 1/14/97 effective 9/1/97, 4/15/97 effective 8/1/98, 10/27/98 effective 8/1/99, 4/13/99, 4/11/00, 4/10/01, 4/28/05, 4/27/06, 6/11/07, 11/1/07 effective 8/1/08*)
- (c) A minimum of the equivalent of 50 full grants (at least 25 full grants in women's sports), exclusive of grants awarded in football and men's and women's basketball. If the member institution does not provide men's or women's basketball, it shall sponsor a minimum of 35 full grants in the sports program for the gender without the basketball program; or (*Revised: 1/10/91 effective 9/1/94, 10/27/98 effective 8/1/99, 8/14/02*)
- (d) A minimum of one-half of the required grants or aggregate expenditures cited in (a), (b) or (c) above, for institutions that depend on exceptional amounts of federal assistance to meet students' financial needs. This provision shall be applicable to an institution in a given year if the average per-student allotment of Pell Grant dollars for undergraduates reported to the U.S. Department of Education the previous September is more than one standard deviation above the mean for all reporting Division I member institutions that year. If an institution does not qualify under this provision after having been able to do so the previous year, the institution may continue to use this alternative for one year and shall not be required to meet the provisions of (a), (b) or (c) above until the following year. This provision shall be applicable only to institutions that were members of Division I on September 1, 1990. (*Revised: 1/10/91 effective 9/1/94*)

**20.9.3.2.1 Aid Counted Toward Minimum Requirements.** All institutional financial aid (including aid that is exempted from an equivalency computation per Bylaw 15.5.3.2.2) awarded by the member institution to a counter (per Bylaw 15.5.1) shall be used to meet the appropriate minimum. (*Revised: 1/10/91 effective 9/1/94, 1/11/04, 10/27/05 effective 8/1/06*)

**20.9.3.2.2 Student-Athlete Who Has Exhausted Eligibility or Used Medical Exemption.** Countable financial aid awarded to a student-athlete who has exhausted his or her eligibility in a sport during a previous academic year and countable aid provided to a medically exempt student-athlete per Bylaw 15.5.1.3 may be used to meet the appropriate minimum. (*Adopted: 1/11/94*)

**20.9.3.2.3 Award Requirement.** To be included in reaching the appropriate minimum, the financial aid actually must be awarded. (*Revised: 1/10/91 effective 9/1/94*)

**20.9.3.2.4 Multisport Student-Athlete.** Financial aid awarded to multisport student-athletes shall be counted against the minimum requirements pursuant to Bylaw 15.5.9. (*Revised: 1/10/91 effective 9/1/94*)

**20.9.3.2.5 Emerging Sports.** Financial aid awarded to student-athletes in those emerging sports for women, as defined in Bylaw 20.02.4, may be counted in reaching the appropriate financial aid minimum requirements as well as for revenue distribution. (*Adopted: 1/11/94 effective 9/1/94*)

**20.9.3.2.6 Non-NCAA Sports.** Financial aid awarded in non-NCAA sports per Bylaw 20.9.6.1.1 may be counted in reaching the appropriate minimum, but financial aid awarded to those other than student-athletes (e.g., cheerleaders) shall not be counted. (*Revised: 1/10/91 effective 9/1/94*)

**20.9.3.2.7 Exemptions—No Institutional Athletics Aid.** Member institutions that did not award any athletically related financial aid in any sport as of January 11, 1991, shall be exempted from the minimum requirements. (*Revised: 1/10/91 effective 9/1/94*)

**20.9.3.2.8 On-Campus Employment.** On-campus employment earnings during the academic year outside the athletics department for which athletics interests of the institution do not intercede on behalf of the student-athlete are not countable for team equivalency purposes per Bylaw 15.02.4.1-(a) but may be counted in reaching the appropriate minimum. (*Adopted: 1/11/94 effective 9/1/94*)

**20.9.3.2.9 Submission of Annual Form.** A member institution must submit its annual form regarding minimum financial aid awards to the NCAA national office not later than September 15. (*Adopted: 11/1/00 effective 8/1/01*)

**20.9.3.2.10 Waiver of Minimum Financial Aid Awards.** The Administration Cabinet, by a two-thirds majority of its members present and voting, may waive the minimum financial aid awards required for Division I membership based on objective evidence that demonstrates circumstances that warrant the waiver of the normal application of that legislation. (*Adopted: 1/9/96, Revised: 11/1/07 effective 8/1/08*)

**20.9.4 Regular-Season Eligibility.** A member institution shall conduct its regular-season competition under eligibility rules at least as stringent as the provisions of Bylaw 14 (see Bylaw 14.1.1).

**20.9.5 Three-Season Requirement.** The institution shall sponsor at least one sport involving an all-male team or a mixed team of males and females and at least one sport involving an all-female team in every sport season. An institution may use a sport to meet the three-season requirement only if the institution has met the minimum contests and participants requirements for sports sponsorship in that sport as set forth in Bylaw 20.9.6.3. (*Revised: 5/8/06*)

**20.9.5.1 Counting Multiseason Sports.** If an institution sponsors the same sport in two different seasons, it may count the sport only in the season in which its team participates in the most contests. To be counted as a fall sport, the majority of an institution's contests or dates of competition would have to occur from September through December; to be counted as a spring sport February through May. (*Revised: 5/8/06*)

**20.9.5.2 Mixed Team.** A mixed team is a varsity intercollegiate sports team on which at least one individual of each gender competes. (*Revised: 5/8/06*)

**20.9.5.3 Single-Gender Institution Exception.** Institutions that sponsor and conduct athletics programs for only one gender need not meet the four-sport/three-season requirement for the other gender. (*Revised: 5/8/06*)

**20.9.5.4 Waiver of Three-Season Requirement.** The Administration Cabinet, by a two-thirds majority of its members present and voting, may waive the requirement that an active member shall conduct at least one sport in every sport season if the institution is precluded by its academic calendar and climatic conditions from conducting a sport in a particular season. (*Revised: 5/8/06, 11/1/07 effective 8/1/08*)

**20.9.6 Sports Sponsorship.** A member institution shall sponsor teams in a minimum of: (*Revised: 1/10/91 effective 9/1/94*)

- (a) Seven varsity intercollegiate sports, including at least two team sports, based on the minimum requirements of Bylaw 20.9.6.3 and involving all-male teams or mixed teams of males and females, and seven varsity intercollegiate sports (of which a maximum of two emerging sports per Bylaw 20.02.4 may be used), including at least two team sports, based on the minimum requirements of Bylaw 20.9.6.3 and involving all-female teams; or (*Revised: 1/10/91 effective 9/1/94, 1/11/94 effective 9/1/94*)
- (b) Six varsity intercollegiate sports, including at least two team sports, based on the minimum requirements of Bylaw 20.9.6.3 and involving all-male teams or mixed teams of males and females, and eight varsity intercollegiate sports (of which a maximum of two emerging sports per Bylaw 20.02.4 may be used), including at least two team sports, based on the minimum requirements of Bylaw 20.9.6.3 and involving all-female teams. (See Bylaws 20.9.9.1 and 20.9.10.1 for additional sports sponsorship requirements for member institutions participating in football.) (*Adopted: 1/16/93 effective 9/1/94, Revised: 1/11/94 effective 9/1/94, 12/15/06*)

**20.9.6.1 Acceptable Sports.** The sports designated to meet the sports sponsorship criteria shall:

- (a) Be among those in which the Association sponsors a championship or emerging sports for women (per Bylaw 20.02.4); (*Revised: 1/11/94 effective 9/1/94*)
- (b) Be recognized by the institution as varsity intercollegiate sports (see Constitution 3.2.4.5); and
- (c) Involve all-male teams, mixed teams of males and females or all-female teams.

**20.9.6.1.1 Waiver.** The Administration Cabinet, by a two-thirds majority of its members present and voting, may approve a request from an active member institution to designate one sport involving all-male teams or mixed teams of males and females and one sport involving all-female teams other than those set forth in Bylaw 20.9.6.1-(a). *(Revised: 1/11/89, 11/1/07 effective 8/1/08, 12/17/10)*

**20.9.6.2 Waiver of Minimum Women’s Sports Sponsorship Criterion.** The Administration Cabinet, by a two-thirds majority of its members present and voting, may grant waivers of the minimum women’s sports sponsorship requirement for active members in accordance with the procedures listed below: *(Revised: 11/1/07 effective 8/1/08)*

- (a) The institution shall submit its request for a waiver, signed by the institution’s president or chancellor, to the NCAA president. The request shall include pertinent information supporting the institution’s request, and it shall be received in the national office (by mail or electronic transmission) not later than October 1. Any request received after that date shall be postmarked not later than September 22. *(Revised: 1/10/90, 3/8/06)*
- (b) The institution shall provide data demonstrating that the ratio of male to female enrollment prohibits the offering of the required number of sports for women; or
- (c) The institution shall provide data demonstrating insufficient student interest in establishing or maintaining the requisite number of teams.
- (d) If the Administration Cabinet votes to reject the institution’s request, the institution shall be placed in a division for which it qualifies or in the restricted membership category pursuant to the provisions of Bylaw 20.2.5.1. *(Revised: 11/1/07 effective 8/1/08)*

**20.9.6.3 Minimum Contests and Participants Requirements for Sports Sponsorship.** In each sport, the institution’s team shall engage in at least a minimum number of intercollegiate contests (against four-year, degree-granting collegiate institutions) each year. In the individual sports, the institution’s team shall include a minimum number of participants in each contest that is counted toward meeting the minimum-contests requirement. The following minimums are applicable: *(Revised: 1/11/94 effective 9/1/94, 1/12/99, 1/25/02 effective 8/1/02, 10/31/02, 4/28/05 effective 8/1/05 1/17/09 effective 8/1/09, 1/15/11 effective 8/1/11)*

Team Sports	Minimum Contests	Individual Sports	Minimum Contests	Minimum Participants
Baseball	27	Women’s Bowling	8	5
Basketball	25	Cross Country	6	5
Field Hockey	11	Equestrian	6	12
Football	9	Men’s Fencing	9	5
Men’s Ice Hockey	25	Women’s Fencing	9	5
Women’s Ice Hockey	20	Golf	8	5
Lacrosse	10	Men’s Gymnastics	9	6
Women’s Rowing	6	Women’s Gymnastics	9	5
Women’s Rugby	9	Rifle	8	4
Women’s Sand Volleyball	8	Skiing	5	5
Soccer	11	Swimming and Diving	6	11
Softball	27	Tennis	12	5
Volleyball	19	Track and Field, Indoor	6	14
Men’s Water Polo	15	Track and Field, Outdoor	6	14
Women’s Water Polo	10	Wrestling	13	7

(Note: The minimum-contest requirements set forth in Bylaws 20.9.6.3.1 through 20.9.6.3.8 apply only to the provisions of this section and do not apply to minimum-contest requirements in Bylaws 14 and 17.)

**20.9.6.3.1 Completion of Contest.** To count as a contest, the institution’s team actually shall participate in and complete the contest. Scheduled contests that are canceled or not completed (in accordance with the playing rules of the sport in question) may not be counted.

**20.9.6.3.2 Counting Multicontest Events in Team Sports.** In the team sports, each game in a doubleheader, tripleheader or tournament shall be counted as one contest.

**20.9.6.3.3 Counting Multiteam Events in Individual Sports.** In the individual sports, not more than three institution-versus-institution meetings shall be counted as contests in any multiteam competition (e.g., quadrangular track and field meet). If the institution achieves a single score in competition with the other competing institutions (e.g., a conference championship), it shall be counted as one contest. *(Revised: 1/10/90 effective 9/1/90, 1/10/95)*



**20.9.6.3.3.1 Meets With No Team Scoring.** In the individual sports, a meet at which no team scoring is kept counts as a contest for the purpose of meeting the minimum-contest requirement, provided at least the minimum number of participants per Bylaw 20.9.6.3 participate on the institution's team.

**20.9.6.3.3.2 Regional Cross Country Qualifying Meets.** An institution may count participation in a regional cross country meet in meeting the minimum-contest requirement, provided the institution meets the minimum-participant requirement per Bylaw 20.9.6.3 and no qualifying standards exist for participation in the meet. (*Adopted: 1/11/94*)

**20.9.6.3.4 Individual Sports—One or More Sites.** In the individual sports, if the minimum number of student-athletes participating on one or more teams, at one or more sites, on behalf of the institution on the same day equals or exceeds the minimum number of participants per Bylaw 20.9.6.3, an institution may use the competition as a contest in meeting the minimum-contest requirements (see Bylaw 17.02.6.1). (*Adopted: 1/16/93*)

**20.9.6.3.4.1 Exception—Multiday Meets—Track and Field.** In track and field, if the number of student-athletes participating in a multiday meet on behalf of the institution during the course of the entire meet equals or exceeds the minimum number of participants per Bylaw 20.9.6.3 and the meet is conducted on consecutive days, an institution may use the competition as a contest in meeting the minimum-contest requirements. (*Adopted: 1/17/09 effective 8/1/09*)

**20.9.6.3.5 Contests in Two Seasons.** If an institution sponsors the same sport in two different seasons of the same academic year, it may count contests in both seasons, provided regular varsity competition is sponsored in both seasons and is so listed on the institution's official schedule in that sport.

**20.9.6.3.6 Contests vs. Club Teams.** A contest against a collegiate institution's club team may not be counted toward meeting minimum-contest requirements. However, a member is not precluded from scheduling club teams.

**20.9.6.3.6.1 Exception—Women's Sand Volleyball.** One dual, one-day contest against a four-year collegiate institution's club team per year may count toward meeting minimum-contest requirements. A multi-opponent tournament (see Bylaw 20.9.6.3.8) may count toward meeting minimum-contest requirements if not more than 40 percent of the participating teams are club teams affiliated with four-year collegiate institutions. (*Adopted: 1/15/11 effective 8/1/11*)

**20.9.6.3.7 Indoor Track and Field and Outdoor Track and Field.** A member institution may receive credit for sponsoring both indoor track and field and outdoor track and field, provided its team participates in a total of at least 12 indoor and outdoor meets during the year, including at least four indoor and four outdoor meets.

**20.9.6.3.8 Women's Sand Volleyball.** The following additional criteria shall apply to women's sand volleyball: (*Adopted: 1/15/11 effective 8/1/11*)

- (a) Not less than three of the minimum eight contests shall be dual, one-day competitions in which five two-person teams compete (institution versus institution on a single day on which no other competition occurs); and
- (b) The remaining number of minimum contests shall be dual, one-day competitions in which five two-person teams compete or be multi-opponent competitions. For a tournament to qualify as a contest, it must culminate in the determination of a winner based on the performance of each institution's five, two-person teams.

**20.9.6.3.9 Waivers.**

**20.9.6.3.9.1 Minimum Contests and Participants.** The Administration Cabinet, by a two-thirds majority of its members present and voting, may approve waivers of the minimum number of intercollegiate contests or the minimum number of participants in a contest in situations in which unforeseen circumstances beyond the institution's control have prevented the completion of a scheduled competition or the participation of the required minimum number of individuals and, despite a good-faith effort, the institution was unable to engage in at least the required minimum number of intercollegiate contests. (*Revised: 1/10/90, 4/24/03 effective 8/1/03, 11/1/07 effective 8/1/08*)

**20.9.6.3.9.2 Minimum Contests.** The Administration Cabinet, by a two-thirds majority of its members present and voting, may approve an additional waiver of the minimum number of intercollegiate contests in a situation in which: (*Revised: 11/1/07 effective 8/1/08*)

- (a) The member institution can document that it had scheduled (for that academic year) the appropriate minimum number of contests under enforceable game contracts executed in writing;
- (b) An opponent canceled a game that it had contracted to play that academic year; and
- (c) Despite a good-faith effort, the institution was unable to re-arrange its schedule to play the appropriate minimum number of contests.



## **20.9.7 Scheduling—Sports Other Than Football and Basketball.**

**20.9.7.1 Scheduling Requirement—Sports Other Than Football, Basketball, Men's Swimming and Diving, Men's Indoor and Outdoor Track and Field, and Wrestling.** In sports other than football, basketball, men's swimming and diving, men's indoor and outdoor track and field, and wrestling that an institution uses to meet the Division I sports sponsorship criteria, an institution shall schedule and play 100 percent of its contests against Division I opponents to meet the minimum number of contests specified in Bylaw 20.9.6.3. The institution shall schedule and play at least 50 percent of its contests beyond the number specified in Bylaw 20.9.6.3 against Division I opponents. *(Adopted: 1/10/91 effective 9/1/94, Revised: 4/29/04 effective 8/1/04, 1/15/11 effective 8/1/11, 6/3/13)*

**20.9.7.2 Scheduling Requirement—Men's Swimming and Diving, Men's Indoor and Outdoor Track and Field, and Wrestling.** In men's swimming and diving, men's indoor and outdoor track and field, and wrestling, an institution shall schedule and play at least 50 percent of its contests against Division I opponents to satisfy the minimum number of contests specified in Bylaw 20.9.6.3. *(Adopted: 4/29/04 effective 8/1/04, Revised: 1/15/11 effective 8/1/11, 6/3/13)*

### **20.9.7.3 Application of Scheduling Requirements—Sports Other Than Football and Basketball.**

**20.9.7.3.1 Reclassifying Opponents.** A reclassifying institution shall be counted as a Division I opponent in the year the reclassifying institution must comply with Division I scheduling requirements (year two of reclassifying). *(Adopted: 1/10/92 effective 9/1/94, Revised: 1/11/94, 6/3/13)*

**20.9.7.3.2 Multiteam Events—Individual Sports.** In individual sports, if a multiteam event is not scored by division, an institution may use the event to satisfy the scheduling requirements, provided at least two-thirds of the institutions competing in the event are Division I members. If a multiteam event is scored by division, there is no minimum required percentage of participating Division I institutions. Regardless of whether a multiteam event is scored by division, an institution that is using a multiteam event to satisfy the scheduling requirements must satisfy the minimum required number of participants, per Bylaw 20.9.6.3. *(Adopted: 1/10/92 effective 9/1/94, Revised: 1/11/94, 6/3/13)*

**20.9.7.3.3 Exception.** The scheduling criteria in Bylaws 20.9.7.1 and 20.9.7.2 shall not apply in sports in which the only championship opportunity is the National Collegiate Championship per Bylaw 18.02.1.1 or sports in which there is no NCAA-sponsored postseason championship. *(Adopted: 1/10/91 effective 9/1/94, Revised: 1/9/96 effective 8/1/96, 6/3/13)*

**20.9.7.3.4 Waiver—Situations Beyond Institutional Control.** The Administration Cabinet, by a two-thirds majority of its members present and voting, may approve waivers of the scheduling requirement in situations beyond the control of the institution (e.g., weather conditions or natural disasters) that prevent the completion of scheduled competition. *(Adopted: 1/9/96, Revised: 11/1/07 effective 8/1/08, 6/3/13)*

## **20.9.8 Basketball Scheduling.**

**20.9.8.1 Four-Game Limit.** An institution may schedule and play not more than four basketball games, including any contest (e.g., scrimmage, exhibition), in an academic year against institutions that are not members of Division I. *(Revised: 3/1/12)*

**20.9.8.2 One-Third of Contests in Home Arena.** An active or reclassifying member must play at least one-third of its regular-season basketball contests in the arena regularly used for the institution's home games. *(Revised: 1/11/94 effective 9/2/94, 3/10/04, 1/15/11 effective 8/1/11)*

**20.9.8.2.1 Multiple Home Arenas.** In meeting the home-arena requirement, an institution may use more than one arena, provided each arena is located within a 30-mile radius of the institution's main campus and each arena is used annually by the institution for at least two home basketball contests.

**20.9.8.3 One-Third of Women's Contests Away From Home or at a Neutral Site.** An active member or a reclassifying member must play at least one-third of its regular-season women's basketball contests away from home or at a neutral site. A reclassifying member is required to apply scheduling criteria beginning with year two of the reclassifying process. *(Adopted: 1/15/11 effective 8/1/11)*

### **20.9.8.4 Counting Contests.**

**20.9.8.4.1 Membership Classification of Opponents.** In determining whether an institution meets the scheduling criteria, each opponent shall be counted as it was classified on August 1 of the academic year involved.

**20.9.8.4.1.1 Reclassifying Opponents.** A reclassifying institution shall be counted as a Division I opponent in the year the reclassifying institution must comply with Division I scheduling requirements (year two of the reclassifying process). *(Adopted: 4/15/97, Revised: 4/24/03 effective 8/1/03)*

**20.9.8.4.1.2 Waiver.** The Administration Cabinet, by a two-thirds majority of its members present and voting, may grant a waiver of the provisions of Bylaw 20.9.8.4.1 in cases of reclassification of an opponent when there is an enforceable game contract, executed in writing, or in the case of similar contractual problems. *(Revised: 11/1/07 effective 8/1/08)*

**20.9.9 Football Bowl Subdivision Requirements. [FBS]** An institution classified in the Football Bowl Subdivision shall meet the additional requirements listed below. *(Revised: 12/15/06)*

**20.9.9.1 Sports Sponsorship. [FBS]** The institution shall sponsor a minimum of 16 varsity intercollegiate sports, including football, based on the minimum sports sponsorship and scheduling requirements set forth in Bylaws 20.9.6 and 20.9.6.3, including a minimum of six sports involving all-male teams or mixed teams of males and females, and a minimum of eight varsity intercollegiate sports (of which a maximum of two emerging sports per Bylaw 20.02.4 may be used) based on the minimum sports sponsorship and scheduling requirements set forth in Bylaws 20.9.6 and 20.9.6.3 and involving all-female teams, subject to the waiver provision in Bylaw 20.9.6.2. *(Revised: 1/11/94 effective 9/1/94, 4/25/02 effective 8/1/04)*

**20.9.9.2 Football Scheduling Requirement. [FBS]** The institution shall schedule and play at least 60 percent of its football games against members of the Football Bowl Subdivision. The institution shall schedule and play at least five regular-season home games against Football Bowl Subdivision opponents. For purposes of satisfying the scheduling requirement, a contest shall be considered a home contest if it is played in the stadium in which an institution conducts at least 50 percent of its home contests. In addition, an institution may use one home contest against a Football Bowl Subdivision member conducted at a neutral site to satisfy the home-game requirement. *(Revised: 4/25/02 effective 8/1/04, 1/12/04, 12/15/06)*

**20.9.9.2.1 Exception—Football Championship Subdivision Opponent. [FBS]** Each year, a Football Bowl Subdivision institution may count one contest against a Football Championship Subdivision opponent to satisfy the football-scheduling requirement specified in Bylaw 20.9.9.2, provided the Football Championship Subdivision opponent has averaged 90 percent of the permissible maximum number of grants-in-aid per year in football over a rolling two-year period. *(Adopted: 4/28/05, Revised: 12/15/06)*

**20.9.9.2.2 Membership Classification of Opponents. [FBS]** In determining whether an institution meets the scheduling criteria of the Football Bowl Subdivision, each opponent shall be counted as it was classified on September 1 of the academic year involved. *(Revised: 12/15/06)*

**20.9.9.2.2.1 Reclassifying Opponents. [FBS]** A reclassifying institution shall be counted as a Football Bowl Subdivision opponent in the year the reclassifying institution must meet the Football Bowl Subdivision scheduling requirements (year two of the reclassifying process). *(Adopted: 4/15/97, Revised: 3/10/04, 12/15/06)*

**20.9.9.2.2.2 Waiver. [FBS]** The members of the Administration Cabinet representing Football Bowl Subdivision conferences, by a two-thirds majority of its members present and voting, may grant a waiver of the provisions of Bylaw 20.9.9.2.2 in cases of reclassification of an opponent when there is an enforceable game contract, executed in writing, or in the case of similar contractual problems. *(Revised: 12/15/06, 11/1/07 effective 8/1/08)*

**20.9.9.2.3 Canceled Games. [FBS]** A canceled game shall not be counted toward meeting the Football Bowl Subdivision scheduling criterion unless the members of the Administration Cabinet representing Football Bowl Subdivision conferences, by a two-thirds majority of its members present and voting, approve a waiver of the criterion in a situation in which: *(Revised: 12/15/06, 11/1/07 effective 8/1/08)*

- (a) The member institution can document that it had scheduled (for that academic year) the appropriate minimum percentage of contests with members of the Football Bowl Subdivision, under enforceable game contracts executed in writing; *(Revised: 12/15/06)*
- (b) An opponent canceled a game that it had contracted to play that academic year; and
- (c) Despite a good-faith effort, the institution was unable to re-arrange its schedule to play the appropriate percentage of contests with members of the Football Bowl Subdivision. *(Revised: 12/15/06)*

**20.9.9.2.4 Alaska, Hawaii or Puerto Rico. [FBS]** Games played in Alaska, Hawaii or Puerto Rico shall not be counted for purposes of meeting the subdivision's scheduling requirement if such games are exempt from counting toward the playing-season limitations. *(Adopted: 1/10/92)*

**20.9.9.3 Football-Attendance Requirements. [FBS]** Once every two years on a rolling basis, the institution shall average at least 15,000 in actual or paid attendance for all home football games. *(Revised: 4/25/02 effective 8/1/04, 4/28/05 effective 8/1/05)*

**20.9.9.3.1 Counting Attendance.**

**20.9.9.3.1.1 Actual Attendance.** For purposes of computing actual attendance figures, an individual may be counted if any one of the following conditions applies: *(Revised: 4/25/02 effective 8/1/04, 4/28/05 effective 8/1/05)*

- (a) Attendees are issued tickets that are collected on admission to the game and retained; *(Revised: 4/25/02 effective 8/1/04)*
- (b) Attendees enter through and are counted by a turnstile that is monitored by a representative of the department of athletics who verifies in writing the accuracy of the count on a per-game basis; or *(Revised: 4/25/02 effective 8/1/04)*
- (c) Attendees enter through a gate at which a representative of the department of athletics counts them individually with a manual counter, and the representative provides a written statement verifying the accuracy of the count on a per-game basis. *(Revised: 4/25/02 effective 8/1/04)*

**20.9.9.3.1.2 Paid Attendance. [FBS]** For purposes of computing paid attendance figures, tickets must be sold for at least one-third of the highest regular established ticket price as established prior to the season, regardless of whether they are used for admission. Tickets sold at less than one-third of the highest regular established price may be counted as paid attendance only if they are used for admission. Student attendance may be counted as paid attendance if the student pays at least one-third of the highest regular established ticket price or, if the student actually attends the game and any one of the following conditions applies: *(Adopted: 4/28/05 effective 8/1/05)*

- (a) The student paid an athletics fee;
- (b) The student paid an institutional fee of which a certain portion was allocated to the department of intercollegiate athletics; or
- (c) The student paid no athletics fee, but the institution allocated to the department of intercollegiate athletics a certain portion of tuition income or general operating funds as the equivalent of a student athletics fee.

**20.9.9.3.1.2.1 Student Attendance. [FBS]** Student attendance must be verified through one of the following methods: *(Adopted: 4/28/05 effective 8/1/05)*

- (a) Such students are issued tickets that are collected on admission to the game and retained;
- (b) Such students enter through and are counted by a turnstile (which is not used by others in attendance) that is monitored by a representative of the department of athletics who verifies in writing the accuracy of the count on a per-game basis; or
- (c) Such students enter through a gate (that is not used by others in attendance) at which a representative of the department of athletics counts them individually with a manual counter, and the representative provides a written statement verifying the accuracy of the count on a per-game basis.

**20.9.9.3.1.2.1.1 Noncounted Students. [FBS]** Student-athletes and cheerleaders scheduled by the institution to be at the game and students performing services at the stadium (e.g., concessionaires, ticket takers, parking-lot attendants, ushers, groundskeepers) shall not be counted toward meeting the attendance requirements. *(Adopted: 4/28/05 effective 8/1/05)*

**20.9.9.3.1.2.2 Exchange of Tickets With Opponent. [FBS]** For an institution to meet the Football Bowl Subdivision attendance requirements, tickets for a football contest obtained by an institution through an exchange agreement or a purchase agreement with another institution may be used only if sold for at least one-third of the highest regular established ticket price and are used to attend the game. *(Adopted: 4/28/05 effective 8/1/05, Revised: 12/15/06)*

**20.9.9.3.2 Certified Audit. [FBS]** In meeting the football-attendance requirements of the Football Bowl Subdivision, an institution must undertake an annual certified audit verifying its football attendance. The audited football-attendance figures must be received in the NCAA national office not later than the February 15 following the completion of the football season, and NCAA national office staff shall verify compliance with all the Football Bowl Subdivision attendance requirements. The certified audit and materials (including the ticket manifest) must be available for inspection for a four-year period. *(Revised: 10/17/05, 12/15/06)*

**20.9.9.4 Additional Financial Aid Requirements. [FBS]** The institution shall satisfy the following additional financial aid requirements: *(Adopted: 4/25/02 effective 8/1/04)*

- (a) Provide an average of at least 90 percent of the permissible maximum number of overall football grants-in-aid per year during a rolling two-year period; and
- (b) Annually offer a minimum of 200 athletics grants-in-aids or expend at least \$4 million on grants-in-aid to student-athletes in athletics programs.

**20.9.9.4.1 Type of Financial Aid Counted. [FBS]** The institution shall count only athletically related financial aid awarded to counters (as defined in Bylaw 15.02.3). *(Adopted: 3/10/04 effective 8/1/04)*

**20.9.9.4.2 Exception—National Service Academies. [FBS]** The national service academies are exempt from all financial aid requirements set forth in Bylaw 20.9.7. *(Adopted: 4/25/02 effective 8/1/04)*

**20.9.9.5 Noncompliance with Football Bowl Subdivision Criteria. [FBS]**

**20.9.9.5.1 Notice of Noncompliance. [FBS]** An institution that fails to satisfy any of the Football Bowl Subdivision membership requirements shall receive notice of such noncompliance. After receiving notice, any further noncompliance with the Football Bowl Subdivision requirements within a 10-year period shall cause the institution to be placed in restricted membership. *(Adopted: 8/5/04, Revised: 12/15/06)*

**20.9.9.5.2 Restricted Membership. [FBS]** While in restricted membership, the institution shall not be eligible for postseason football competition. At the conclusion of the one-year period, the institution shall be granted membership in its preferred subdivision, provided the institution complies with the subdivision's criteria. If the member does not meet the criteria of any subdivision at the end of the restricted membership period, the institution may continue to be classified as a Division I member in sports other than football, provided the institution satisfies the Division I membership requirements set forth in Bylaws



20.9.3 through 20.9.7. A Division I member that loses Football Bowl Subdivision status must comply with the multidivision classification requirements set forth in Bylaw 20.4 to regain such status. (*Adopted: 8/5/04, Revised: 12/15/06*)

**20.9.9.6 Waivers. [FBS]** There shall be no waivers of the Football Bowl Subdivision membership requirements. (*Adopted: 4/24/03 effective 8/1/03, Revised: 12/15/06*)

**20.9.10 Football Championship Subdivision Requirements. [FCSD]** An institution classified as a Football Championship Subdivision member shall meet the additional requirements listed below. (*Revised: 12/15/06*)

**20.9.10.1 Sports Sponsorship. [FCSD]** The institution shall sponsor in Division I a minimum of: (*Revised: 1/15/11*)

- (a) Seven varsity intercollegiate sports, including football, based on the minimum requirements of Bylaws 20.9.6 and 20.9.6.3 and involving all-male teams or mixed teams of males and females, and seven varsity intercollegiate sports (of which a maximum of two emerging sports per Bylaw 20.02.4 may be utilized) based on the minimum requirements of Bylaws 20.9.6 and 20.9.6.3 and involving all-female teams, subject to the waiver provision in Bylaw 20.9.6.2; or (*Revised: 1/10/91 effective 9/1/94, 1/11/94 effective 9/1/94*)
- (b) Six varsity intercollegiate sports, including football, based on the minimum requirements of Bylaws 20.9.6 and 20.9.6.3 and involving all-male teams or mixed teams of males and females, and eight varsity intercollegiate sports (of which a maximum of two emerging sports per Bylaw 20.02.4 may be utilized) based on the minimum requirements of Bylaws 20.9.6 and 20.9.6.3 and involving all-female teams, subject to the waiver provision in Bylaw 20.9.6.2. (*Revised: 1/11/94 effective 9/1/94*)

**20.9.10.2 Football Scheduling Requirement. [FCSD]** The institution shall schedule and play more than 50 percent of its football games against Football Bowl Subdivision or Football Championship Subdivision members. (*Revised: 12/15/06*)

**20.9.10.2.1 Membership Classification of Opponents. [FCSD]** In determining whether an institution meets the scheduling criteria of the Football Championship Subdivision, each opponent shall be counted as it was classified on September 1 of the academic year involved. (*Revised: 12/15/06, 1/15/11*)

**20.9.10.2.1.1 Reclassifying Opponents. [FCSD]** A reclassifying institution shall be counted as a Football Championship Subdivision opponent in the year the reclassifying institution must comply with Football Championship Subdivision scheduling requirements (year two of the reclassifying process). (*Adopted: 4/15/97, Revised: 3/10/04, 12/15/06, 1/15/11*)

**20.9.10.2.1.2 Waiver. [FCSD]** The Football Championship Subdivision Governance Committee, by a two-thirds majority of its members present and voting, may grant a waiver of the provisions of Bylaw 20.9.10.2.1 in cases of reclassification of an opponent when there is an enforceable game contract, executed in writing, or in the case of similar contractual problems. (*Revised: 12/15/06, 1/15/11*)

**20.9.10.2.2 Canceled Games. [FCSD]** A canceled game shall not be counted toward meeting the Football Championship Subdivision scheduling criterion unless the Football Championship Subdivision Governance Committee, by a two-thirds majority of its members present and voting, approves a waiver of the criterion in a situation in which: (*Revised: 12/15/06, 1/15/11*)

- (a) The member institution can document that it had scheduled (for that academic year) the appropriate minimum percentage of contests with members of the Football Championship Subdivision, under enforceable game contracts executed in writing; (*Revised: 12/15/06*)
- (b) An opponent canceled a game that it had contracted to play that academic year; and
- (c) Despite a good-faith effort, the institution was unable to re-arrange its schedule to play the appropriate percentage of contests with Football Championship Subdivision members. (*Revised: 12/15/06*)

**20.9.10.2.3 Alaska, Hawaii or Puerto Rico. [FCSD]** Games played in Alaska, Hawaii or Puerto Rico shall not be counted for purposes of meeting the subdivision's scheduling requirement if such games are exempt from counting toward the playing-season limitations. (*Adopted: 1/10/92, 1/15/11*)

**20.9.10.2.4 Geographical Waiver—Football Championship Subdivision. [FCSD]** The Football Championship Subdivision Governance Committee, by a two-thirds majority of its members present and voting, may waive the provisions of Bylaw 20.9.10.2 for a Football Championship Subdivision institution that does not offer athletically related financial aid in football, or which offers fewer than 20 percent of the maximum allowable number of scholarships in the Football Championship Subdivision and was classified in Division II prior to September 1993, if it is determined that fewer than six other championship subdivision football programs exist within a 500-mile radius of the institution's campus that do not offer athletically related financial aid in football or which offer fewer than 20 percent of the maximum allowable number of scholarships in the Football Championship Subdivision and were classified in Division II prior to September 1993. An institution must calculate the percentage based upon the amount of athletically related financial aid received by its student-athletes, as opposed to including all institutional aid. (*Adopted: 1/16/93 effective 8/1/93, Revised: 1/10/95, 12/15/06, 1/15/11*)

**FIGURE 20-1  
General Requirements for Division I Membership**

	Sports Sponsorship: Number of Sports			Football Scheduling Requirement	Football Attendance Requirement	Men's Basketball Scheduling Requirement		Women's Basketball Scheduling Requirement		Scheduling Requirement— Sports Other Than Football and Basketball	Financial Aid Requirement <sup>2</sup>
	All-Male or Mixed-Team Sports	All-Female Sports	Minimum Number of Team Sports								
Division I	7  OR  6	7  OR  8	2-All male/mixed 2-All female			Active or reclassifying member:	All but four games against Division I teams. At least 1/3 of regular-season contests must be played in home arena	Active or reclassifying member:	All but four games against Division I teams. At least 1/3 of regular-season contests must be played in home arena or at a neutral site.	Sports used to meet sports sponsorship criteria: An institution shall schedule and play 100% of its contests against Division I opponents to meet minimum number of contests. <sup>1</sup>  50% of remaining contests against Division I opponents.	a) 50% of maximum allowable grants in each sport <sup>3</sup> or b) Minimum aggregate expenditure of \$1,394,580 (with at least \$697,290 in women's sports) in 2013-14 (excluding football and men's and women's basketball). Grant value may not be less than 38 full grants (with at least 19 for women) <sup>4</sup> or c) Equivalent of 25 full grants in men's sports and 25 full grants in women's sports (exclusive of grants in football and men's and women's basketball) <sup>5</sup>
Football Bowl Subdivision (FBS)	8 Including football  OR 7 Including football  OR 6 Including football	8  OR 9  OR 10	2-All male/mixed 2-All female	At least 60% of all games must be played against FBS members and at least five home games against members of FBS	Average 15,000 in actual or paid attendance for home football games during a rolling two-year period.						In addition to Division I requirement, a) provide an average of at least 90% of permissible maximum number of football grants-in-aid during a rolling two-year period and b) Annually offer a minimum of 200 athletics grants-in-aid or spend \$4 million on athletics grants-in-aid annually.
Football Championship Subdivision (FCS)	7 Including football  OR 6 Including football	7  OR 8	2-All male/mixed 2-All female	At least 50% of all games must be played against FBS or FCS members	NONE						No additional requirements.

<sup>1</sup> In men's swimming and diving, men's indoor and outdoor track and field, and wrestling, an institution shall schedule and play at least 50% of its contests against Division I opponents to satisfy the minimum number of contests.

<sup>2</sup> For institutions that depend on exceptional amounts of federal assistance to meet students' financial needs, the institution must provide a minimum of one-half of the required grants or aggregate expenditures cited in (a), (b) or (c) above. This provision shall be applicable to an institution in a given year if the average per-student allotment of Pell Grant dollars for undergraduates reported to the U.S. Department of Education the previous September is more than one standard deviation above the mean for all reporting Division I member institutions that year. If an institution does not qualify under this provision after having been able to do so the previous year, the institution may continue to use this alternative for one year and shall not be required to meet the provisions of (a), (b) or (c) until the following year. This provision shall be applicable only to institutions that were members of Division I on September 1, 1990.

<sup>3</sup> If an institution uses indoor track and field, outdoor track and field and cross country to meet the financial aid criterion, it must award the equivalent of at least 80% of the full grants for men and 80% of the full grants for women in these sports. If the institution counts two of those three sports to meet the financial aid criterion, it must award the equivalent of at least 70% of the full grants for men and 70% of the full grants for women. If the institution counts indoor and outdoor track and field as one sport, it must award the equivalent of at least 50% of the full grants for men and 50% of the full grants for women.

<sup>4</sup> If the institution does not sponsor men's or women's basketball, the minimum aggregate expenditure must be \$920,707 in 2013-14 for men or for women, but not fewer than the equivalent of 29 full grants for men or for women.

<sup>5</sup> If the institution does not sponsor men's or women's basketball, it must provide a minimum of 35 full grants in men's sports and 35 full grants in women's sports.



# Committees

## 21.02 Definitions and Applications.

**21.02.1 Association-Wide Committees. [\*]** Association-wide committees deal with issues that affect all members of the Association and perform duties necessary to the on-going operation of the Association. Association-wide committees are comprised of members from each of the Association's divisions. (*Adopted: 1/14/97 effective 8/1/97*)

**21.02.2 Common Committees. [\*]** Common committees deal with issues that apply to more than one division of the Association. Common committees perform duties necessary to the on-going operation of the applicable divisions and are comprised of members from the applicable divisions. (*Adopted: 1/14/97 effective 8/1/97*)

**21.02.3 Federated Committees. [\*]** Federated committees deal with issues that apply to a specific membership division. Federated committees perform duties necessary to the on-going operation of that division and are comprised only of members from that division. (*Adopted: 1/14/97 effective 8/1/97*)

**21.02.4 Districts. [#]** For purposes of committee composition, the geographical districts are as follows: (*Adopted: 10/30/03*)

- (a) District 1—Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont;
- (b) District 2—Delaware, New Jersey, New York, Pennsylvania, Puerto Rico, West Virginia;
- (c) District 3—Alabama, District of Columbia, Florida, Georgia, Kentucky, Louisiana, Maryland, Mississippi, North Carolina, South Carolina, Tennessee, Virginia;
- (d) District 4—Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin;
- (e) District 5—Iowa, Kansas, Missouri, Nebraska, North Dakota, Oklahoma, South Dakota;
- (f) District 6—Arkansas, New Mexico, Texas;
- (g) District 7—Arizona, Colorado, Idaho, Montana, Utah, Wyoming; and
- (h) District 8—Alaska, California, Hawaii, Nevada, Oregon, Washington.

**21.02.5 Conflict of Interest.** A cabinet or committee member shall not participate in the cabinet or committee's discussion or vote on any action that might bring direct or indirect financial benefit to the member of any organization in which the member is financially interested (other than the member's institution or the conference of which it is a member). A violation of this rule by a member of a cabinet or committee shall not invalidate the action taken by the cabinet or committee if, following disclosure of the conflict of interest, the cabinet or committee authorizes, ratifies or approves the action by a vote sufficient for the purpose, without counting the vote of the cabinet or committee member with the conflict of interest, and the Leadership Council approves such action. All cabinet and committee members shall agree to this policy prior to cabinet or committee service and shall abide by the policy at all times. The current conflict of interest policy is located on the NCAA website (NCAA.org) or may be obtained from the NCAA national office. (*Adopted: 1/13/09*)

## 21.1 Playing Rules Oversight Panel. [#]

**21.1.1 Composition. [#]** The panel shall consist of 12 members, including six members from Division I and three representatives each from Divisions II and III. A single conference may not have more than one representative on the panel. (*Adopted: 4/28/05*)

**21.1.2 Method of Selection. [#]** Three of the six Division I representatives shall be appointed by the Division I Championships/Sports Management Cabinet (these appointees must be current members of the cabinet) and one of those three must have experience working with playing rules. The remaining three shall be appointed by the Division I Collegiate Commissioners Association (CCA). Two of those three shall have experience working with playing rules. One of the three Divisions II and III representatives shall be appointed by the divisions' Championships Committees (these appointees must be current members of the divisions' Championships Committees). The remaining two representatives in Divisions II and III will be appointed at large and one of the two per each division shall have experience working with playing rules. (*Adopted: 4/28/05, Revised: 11/1/07 effective 8/1/08*)

**21.1.2.1 Definition of “Working with Playing Rules.” [#]** The following experience will be considered to be the equivalent of “working with playing rules”: officiating experience, previous service on a rules committee, previous service on a committee with responsibility for playing rules administration or coaching. *(Adopted: 4/28/05)*

**21.1.3 Term of Office. [#]** At-large members of the panel will serve four-year terms. Championships/Sports Management Cabinet and Championships Committee appointments will serve for the duration of their cabinet or committee term. *(Adopted: 4/28/05, Revised: 11/1/07 effective 8/1/07)*

**21.1.4 Duties. [#]** The panel shall: *(Adopted: 4/28/05)*

- (a) Oversee all NCAA playing rules committees. This includes approval of playing rules recommendations and oversight of other issues pertaining to playing rules;
- (b) Recommend the establishment and dissolution of playing rules committees;
- (c) Monitor playing rules maintained outside of the NCAA;
- (d) Be responsible for all research and communication pertaining to the administration of playing rules, including the advancement of budgetary recommendations from the playing rules committees and to ensure consistency among different sports, when appropriate (for example, policies controlling fighting or abusive language);
- (e) Review and act on requests from any division to exempt it from applying or delaying implementation of a playing rule for financial reasons;
- (f) Review all playing rules changes pertaining to finances, safety and image of the game; and
- (g) Oversee the selection process for secretary-rules editors, approve the selections of secretary-rules editors and review waiver requests for secretary-rules editor term limits. *(Adopted: 1/8/07)*

**21.1.5 Meetings. [#]** The Playing Rules Oversight Panel shall meet three times annually (e.g., March, June, August) after the playing rules committees for each season have completed their meetings. *(Adopted: 4/28/05)*

**21.1.6 Special Operating Rules. [#]**

**21.1.6.1 Review Process. [#]** A recommendation from any rules committee shall be considered valid unless the Playing Rules Oversight Panel determines that the recommendation harms the image of the games, creates an unsafe environment for student-athletes or places an unreasonable financial burden on the membership. *(Adopted: 4/28/05)*

**21.1.6.1.1 Rules Committee Involvement. [#]** The Playing Rules Oversight Panel may request that playing rules committee chairs and/or secretary-rules editors present their case to the Playing Rules Oversight Panel when proposed changes are controversial or unusually complicated. *(Adopted: 4/28/05)*

## **21.2 Association-Wide Committees—General Committees.**

**21.2.1 Selection, Term of Office and Operation. [#]**

**21.2.1.1 Method of Selection.** Each division’s governance structure shall appoint members to serve on the general Association-wide committees of the Association, consistent with that division’s committee-appointment procedures. The membership of each committee shall include representatives from each of the Association’s membership divisions, including each subdivision of Division I. *(Revised: 1/10/90, 1/16/93, 1/14/97 effective 8/1/97, 11/1/01, 5/13/08)*

**21.2.1.2 Term of Office. [#]** Unless otherwise specified, a member of the committees listed in this bylaw shall be appointed for one four-year term. A former member may be appointed to an additional term after three years have elapsed. An individual who has served two terms on a committee may not serve further on that committee. A member’s term of service shall commence on the first day of September following the member’s election or appointment. *(Revised: 5/13/08)*

**21.2.1.3 Operation. [#]** The Executive Committee also shall ratify policies regarding length, location and expenses of Association-wide committee meetings. *(Revised: 1/10/90, 1/16/93, 1/14/97 effective 8/1/97, 11/01/01, 5/13/08)*

**21.2.2 Committee on Competitive Safeguards and Medical Aspects of Sports. [#]**

**21.2.2.1 Composition. [#]** The Committee on Competitive Safeguards and Medical Aspects of Sports shall consist of 20 members, including five positions allocated for men, five allocated for women and 10 unallocated. The membership of the committee shall be constituted as follows: *(Revised: 10/28/99, 11/1/01)*

- (a) Two athletics directors or senior woman athletics administrators, one man and one woman;
- (b) One member who is an active coach;
- (c) One member active in exercise physiology research;
- (d) Three members from the field of medicine, of whom at least one shall be a woman, one shall be a primary-care team physician and one shall be a board certified orthopedic specialist; *(Revised: 1/10/91, 10/30/03)*
- (e) One man and one woman, one of whom is responsible for the total athletics training programs at member institutions; *(Revised: 1/10/95)*

- (f) One member representing the field of law;
- (g) One member of the NCAA Football Rules Committee;
- (h) One member representing secondary school interests. This individual shall be the National Federation of State High School Associations' staff liaison to the Sports Medicine Advisory Board and shall be eligible for reappointment without restriction; *(Revised: 4/26/01)*
- (i) One member active in sports-medicine research; *(Revised: 1/10/90)*
- (j) One member with expertise in drug testing;
- (k) One member with expertise in the area of drug education; *(Revised: 10/28/99, 11/1/01)*
- (l) One student-athlete from each division (who shall have one combined vote) shall serve as a member of the committee. The Division I student-athlete may serve on the committee up to one year after completion of his or her intercollegiate athletics eligibility. The Division II and Division III student-athlete may serve on the committee up to two years after completion of his or her intercollegiate athletics eligibility; and *(Revised: 11/01/01)*
- (m) Two members selected at large.

**21.2.2.2 Duties. [#]** The committee, subject to the direction of the Executive Committee, shall: *(Revised: 10/31/02)*

- (a) Promote and sponsor research to address relevant health and safety issues;
- (b) Promote education to enhance the health and safety of student-athletes;
- (c) Operate a national injury surveillance program to monitor injury trends and enhance safety in intercollegiate athletics;
- (d) Deter the use of NCAA banned substances in order to promote fair competition and safety;
- (e) Facilitate outreach activities to enhance student-athlete health and safety; and
- (f) Provide a health and safety perspective on relevant legislation and policy.

### **21.2.3 Honors Committee. [#]**

**21.2.3.1 Composition. [#]** The Honors Committee shall consist of nine members, including one current or former chancellor or president from a member institution, one member from each division and subdivision of Division I and three nationally distinguished citizens, one of which must be a former NCAA honors recipient. Division II and Division III will be represented each by a member of the Management Council. Two positions shall be allocated for men, two allocated for women and five unallocated. *(Revised: 1/13/98, 11/1/07)*

**21.2.3.2 Term of Office.** A member's term of service shall commence on the day following adjournment of the NCAA Convention following the member's appointment. *(Adopted: 1/14/08)*

**21.2.3.3 Duties. [#]** The committee shall receive nominations for the Theodore Roosevelt Award, the Silver Anniversary Awards, the Today's Top 10 Awards, the Award of Valor and the Inspiration Award and shall select the recipients of those awards. *(Revised: 7/25/12)*

### **21.2.4 Minority Opportunities and Interests Committee. [#]**

**21.2.4.1 Composition. [#]** The Minority Opportunities and Interests Committee shall consist of 18 members, including a current chancellor or president from each division. There shall be six members from Division I, six members from Division II and six members from Division III. One student-athlete from each division (who shall have one combined vote) shall serve as a member of the committee. The Division I student-athlete may serve on the committee up to one year after completion of his or her intercollegiate athletics eligibility. The Division II and Division III student-athletes may serve on the committee up to two years after completion of their athletics eligibility. Committee membership shall include a minimum of eight ethnic minorities, including at least four males and four females. *(Adopted: 1/10/91, Revised: 11/1/01, 8/11/11)*

**21.2.4.2 Duties. [#]** The committee shall review issues related to the interests of ethnic minority student-athletes, NCAA minority programs and NCAA policies that affect ethnic minorities. *(Adopted: 1/10/91)*

### **21.2.5 Olympic Sports Liaison Committee. [#]**

**21.2.5.1 Composition. [#]** The Olympic Sports Liaison Committee shall consist of 14 members, including at least one from each division. One student-athlete from each division (who shall have one combined vote) shall serve as a member of the committee. The Division I student-athlete may serve on the committee up to one year after completion of his or her intercollegiate athletics eligibility. The Division II and Division III student-athletes may serve on the committee up to two years after completion of his or her athletics eligibility. *(Adopted: 1/10/91, Revised: 11/1/01)*

**21.2.5.2 Duties. [#]** The committee shall: *(Adopted: 1/10/91)*

- (a) Act as a liaison between the Association, the U.S. Olympic Committee and national governing bodies; and
- (b) Study and make recommendations concerning the Association's appropriate role in the involvement of student-athletes in international athletics.

## **21.2.6 Postgraduate Scholarship Committee. [#]**

**21.2.6.1 Composition. [#]** The Postgraduate Scholarship Committee shall consist of seven members, including two positions allocated for men, two allocated for women and three unallocated, one of which shall be a former recipient of an NCAA postgraduate scholarship.

**21.2.6.2 Duties. [#]** The committee shall be responsible for planning and administering the Association's postgraduate scholarship program for student-athletes who have distinguished themselves academically and athletically.

## **21.2.7 Research Committee. [#]**

**21.2.7.1 Composition. [#]** The Research Committee shall consist of 10 members, including four representatives from Division I, two each from Divisions II and III and two unallocated. In addition, three positions shall be allocated for men, three allocated for women and four unallocated. The membership of the committee shall consist of at least three athletics administrators and at least three faculty athletics representatives with appropriate research experience. (*Revised: 1/13/98, 11/1/01, 8/7/03*)

**21.2.7.2 Duties. [#]** The committee shall:

- (a) Evaluate, supervise and coordinate the Association's research activities;
- (b) Make recommendations to the Executive Committee regarding expenditures of Association funds for research projects; and
- (c) Make recommendations concerning research topics in intercollegiate athletics.

## **21.2.8 Committee on Sportsmanship and Ethical Conduct. [#]**

**21.2.8.1 Composition. [#]** The Committee on Sportsmanship and Ethical Conduct shall consist of 11 members. One student-athlete from each division (who shall have one combined vote) shall serve as a member of the committee. The Division I student-athlete may serve on the committee up to one year after completion of his or her intercollegiate athletics eligibility. The Division II and Division III student-athlete may serve on the committee up to two years after completion of his or her athletics eligibility. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/01/01*)

**21.2.8.2 Duties. [#]** The committee shall be responsible for promoting sportsmanship and ethical conduct within the Association. (*Adopted: 1/14/97 effective 8/1/97*)

## **21.2.9 Walter Byers Scholarship Committee. [#]**

**21.2.9.1 Composition. [#]** The Walter Byers Scholarship Committee shall consist of six members, including one position allocated for a man, one allocated for a woman and four unallocated. (*Adopted: 1/10/90, Revised: 5/2/13*)

**21.2.9.2 Duties. [#]** The committee shall be responsible for planning and administering the Association's Walter Byers Scholarship program for student-athletes who have distinguished themselves academically and athletically. (*Adopted: 1/10/90*)

## **21.2.10 Committee on Women's Athletics. [#]**

**21.2.10.1 Composition. [#]** The Committee on Women's Athletics shall consist of 18 members, including a current chancellor or president from each division. There shall be six members from Division I, six members from Division II and six members from Division III. Six positions shall be allocated for men, six allocated for women and six unallocated. One student-athlete from each division (who shall have one combined vote) shall serve as a member of the committee. The Division I student-athlete may serve on the committee up to one year after completion of his or her intercollegiate athletics eligibility. The Division II and Division III student-athlete may serve on the committee up to two years after completion of his or her athletics eligibility. (*Revised: 1/11/89, 11/01/01, 4/29/04 effective 8/1/04, 4/24/08, 8/11/11*)

**21.2.10.2 Duties. [#]** The committee shall: (*Revised: 4/24/03*)

- (a) Study and make policy recommendations concerning opportunities for women in athletics at the institutional, conference and national levels;
- (b) Study and make policy recommendations concerning other issues directly affecting women's athletics; and (*Revised: 4/24/03*)
- (c) Appoint and oversee the Woman of the Year Selection Committee and the process. (*Adopted: 4/24/03*)

## **21.3 Association-Wide Committees—Rules Committees Without Championships Administration Responsibilities.**

### **21.3.1 Selection, Composition, Duties, Term of Office and Operation. [#]**

**21.3.1.1 Method of Selection. [#]** Each division's governance structure shall appoint members to serve on the rules committees without championships administration responsibilities. The Playing Rules Oversight Panel shall oversee the selection process for secretary-rules editors and approve selections for those positions. The secretary-rules editor may be re-appointed but is limited to a term not to exceed eight years in length and shall be



a nonvoting member of the committee. The membership of each committee shall include representatives from each of the Association's membership divisions. *(Revised: 1/11/89, 1/10/91, 1/16/93, 1/14/97 effective 8/1/97, 11/01/01, 4/24/03, 1/8/07)*

**21.3.1.1 Waiver—Secretary-Rules Editor Term Limit. [#]** Due to extenuating circumstances, the Playing Rules Oversight Panel may waive the secretary-rules editor's eight-year term limit; however, the term shall not be extended by more than four additional years. *(Adopted: 4/24/03, Revised: 1/8/07)*

**21.3.1.2 Composition Requirements. [#]** At least 25 percent of the positions on each rules committee shall be filled by athletics directors, associate or assistant athletics directors, senior woman athletics administrators, individuals who are employed full-time as administrators by member conferences, or individuals who are employed both part-time as administrators by member conferences and full-time by member institutions. Further, at least 50 percent of the total positions on each rules committee shall be filled by coaching staff members. In order for a district to be represented, at least five of its active members shall sponsor the sport involved on an intercollegiate basis. *(Revised: 1/10/90, 4/27/00 effective 8/1/00)*

**21.3.1.3 Duties. [#]** Subject to the final authority of the Playing Rules Oversight Panel, each rules committee shall establish and maintain rules of play in its sport consistent with the sound traditions of the sport and of such character as to ensure good sportsmanship and safe participation by the competitors. These playing rules shall be common for all divisions of the Association, and differences among the divisions shall not be permitted, except for the division-specific playing regulations developed to address significant financial impact and approved by the divisions and the Playing Rules Oversight Panel. Playing rules committees shall have the authority to permit rules experimentation in the nontraditional/nonchampionship season without Playing Rules Oversight Panel approval. Experimentation in the regular season shall be subject to Playing Rules Oversight Panel review. *(Revised: 1/10/92 effective 8/1/92, 1/14/97 effective 8/1/97, 4/28/05, 12/2/05)*

**21.3.1.4 Term of Office.** Unless otherwise specified, a member of the committees listed in this bylaw shall be appointed for one four-year term. A former member may be appointed to an additional term after three years have elapsed. An individual who has served two terms on a committee may not serve further on that committee. A member's term of service shall commence on the first day of September following the member's election or appointment. *(Revised: 5/13/08)*

**21.3.1.5 Cooperation with Other Organizations. [#]** A rules committee may cooperate with other national organizations in the development of common playing rules. *(Revised: 1/14/97 effective 8/1/97)*

**21.3.1.6 Operation. [#]** The Executive Committee shall ratify policies regarding the length, location and expenses of association-wide committee meetings. *(Adopted: 1/14/97 effective 8/1/97)*

**21.3.2 Baseball Rules Committee. [#]** The Baseball Rules Committee shall consist of nine members and shall be constituted as follows: *(Revised: 1/11/89)*

- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor; and
- (b) One member shall be elected chair.

**21.3.3 Basketball Rules Committee, Men's. [#]** The Men's Basketball Rules Committee shall consist of 13 members and shall be constituted as follows: *(Revised: 1/10/91)*

- (a) There shall be at least two representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
- (b) Six members shall be from Division I, three members shall be from Division II, three members shall be from Division III and an additional member shall be secretary-rules editor; and
- (c) One member shall be elected chair. *(Revised: 1/10/91)*

**21.3.4 Basketball Rules Committee, Women's. [#]** The Women's Basketball Rules Committee shall consist of 13 members and shall be constituted as follows: *(Revised: 1/11/89)*

- (a) There shall be at least two representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
- (b) Six members shall be from Division I, three members shall be from Division II, three members shall be from Division III and an additional member shall be secretary-rules editor; and *(Revised: 1/11/89)*
- (c) One member shall be elected chair.

**21.3.5 Football Rules Committee. [#]** The Football Rules Committee shall consist of 13 members and shall be constituted as follows: *(Revised: 1/16/93)*

- (a) There shall be at least two representatives from each of the following four geographical regions: Districts 1 and 2; District 3; Districts 4 and 5; and Districts 6, 7 and 8;
- (b) Six members shall be from Division I, three members shall be from Division II, three members shall be from Division III and an additional member shall be secretary-rules editor; and *(Revised: 1/16/93)*
- (c) One member shall be elected chair. *(Revised: 1/16/93)*



**21.3.6 Ice Hockey Rules Committee, Men's and Women's. [#]** The Men's and Women's Ice Hockey Rules Committee shall consist of 13 members and shall be constituted as follows: (*Revised: 1/11/89, 1/14/97 effective 8/1/97, 4/27/00, 4/26/01*)

- (a) Six members shall be from Division I, one member shall be from Division II, four members shall be from Division III, one member shall be from Division II or III and an additional member shall be secretary-rules editor; (*Revised: 1/14/97 effective 8/1/97, 4/26/01*)
- (b) Within Divisions I and III, one-half of the members shall represent men's ice hockey interests and one-half of the members shall represent women's ice hockey interests; and (*Adopted: 4/27/00*)
- (c) One member shall be elected chair.

**21.3.7 Lacrosse Rules Committee, Men's. [#]** The Men's Lacrosse Rules Committee shall consist of nine members and shall be constituted as follows:

- (a) Four members shall be from Division I, one member shall be from the Division II and three members shall be from Division III. One additional member shall serve as a nonvoting secretary-rules editor; and
- (b) One member shall be elected chair.

**21.3.8 Lacrosse Rules Committee, Women's. [#]** The Women's Lacrosse Rules Committee shall consist of nine members and shall be constituted as follows: (*Adopted: 4/28/05 effective 8/1/05*)

- (a) Four members shall be from Division I, one member shall be from Division II and three members shall be from Division III. One additional member shall serve as a nonvoting secretary-rules editor; and
- (b) One member shall be elected chair.

**21.3.9 Soccer Rules Committee, Men's and Women's. [#]** The Men's and Women's Soccer Rules Committee shall consist of nine members and shall be constituted as follows: (*Adopted: 1/11/89*)

- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor;
- (b) Within each division, one-half of the members shall represent men's soccer interests, and one-half of the members shall represent women's soccer interests; and
- (c) One member shall be elected chair.

**21.3.10 Softball Rules Committee, Women's. [#]** The Women's Softball Rules Committee shall consist of nine members and shall be constituted as follows: (*Adopted: 1/9/96*)

- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be secretary-rules editor; and
- (b) One member shall be elected chair.

**21.3.11 Swimming and Diving Rules Committee, Men's and Women's. [#]** The Men's and Women's Swimming and Diving Rules Committee shall consist of nine members and shall be constituted as follows: (*Adopted: 1/14/12 effective 8/1/12*)

- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be a secretary-rules editor; and
- (b) One member shall be elected chair.

**21.3.12 Track and Field Rules Committee, Men's and Women's. [#]** The Men's and Women's Track and Field Rules Committee shall consist of nine members and shall be constituted as follows: (*Adopted: 1/14/12 effective 8/1/12*)

- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be a secretary-rules editor; and
- (b) One member shall be elected chair.

**21.3.13 Volleyball Rules Committee, Women's. [#]** The Women's Volleyball Rules Committee shall consist of nine members and shall be constituted as follows:

- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and one additional member shall serve as nonvoting secretary-rules editor; and
- (b) One member shall be elected chair. (*Adopted 11/1/01, Revised: 4/26/01 effective 8/1/01*)

**21.3.14 Wrestling Rules Committee. [#]** The Wrestling Rules Committee shall consist of nine members and shall be constituted as follows: (*Adopted: 1/14/12 effective 8/1/12*)

- (a) Four members shall be from Division I, two members shall be from Division II, two members shall be from Division III and an additional member shall be a secretary-rules editor; and
- (b) One member shall be elected chair.

## 21.4 Common Committees—Committees With Playing Rules and Championships Administration Responsibilities.

### 21.4.1 Selection, Composition, Duties, Term of Office and Operation. [#]

**21.4.1.1 Method of Selection. [#]** Each division's governance structure shall appoint members to serve on the committees with playing rules and championships administration responsibilities. The Playing Rules Oversight Panel shall oversee the selection process of secretary-rules editors and approve the selections for those positions. The secretary-rules editor may be reappointed but is limited to a term not to exceed eight years in length and shall be a nonvoting member of the committee. The membership of each committee shall include representatives from each of the Association's membership divisions. *(Revised: 1/11/89, 1/14/97 effective 8/1/97, 11/1/01, 4/24/03, 1/8/07)*

**21.4.1.1.1 Waiver—Secretary-Rules Editor Term Limit. [#]** Due to extenuating circumstances, the Playing Rules Oversight Panel may waive the secretary-rules editor's eight-year term limit; however, the term shall not be extended by more than four additional years. *(Adopted: 4/24/03, Revised: 1/8/07)*

**21.4.1.2 Composition Requirements. [#]** For committees that administer Division I, Division II, Division III and National Collegiate Championships in individual sports, at least 25 percent of the positions on each committee shall be filled by athletics administrators (athletics directors, associate or assistant athletics directors, senior woman athletics administrators, individuals who are employed full time as administrators by member conferences, or individuals who are employed both part time as administrators by member conferences and full time by member institutions). Further, at least 50 percent of the total positions on each rules committee shall be filled by coaching staff members. For committees that administer Division I, Division II, Division III and National Collegiate Championships in team sports, at least 50 percent of the positions on each committee shall be filled by athletics administrators. Further, at least 50 percent of the total positions on each rules committee shall be filled by coaching staff members. In order for a district to be represented on a committee for which district representation is specified, at least five of its active members shall sponsor the sport on an intercollegiate basis. *(Revised: 1/10/90, 1/10/95, 4/27/00 effective 8/1/00)*

**21.4.1.2.1 Exception—Men's and Women's Skiing Committee. [#]** The Men's and Women's Skiing Committee shall be exempt from the composition requirements set forth in Bylaw 21.4.1.2. *(Adopted: 11/1/00)*

**21.4.1.3 Special Operating Rules. [#]** Each committee shall act as one body to formulate playing rules and determine general policies for the administration of the NCAA championships under its jurisdiction. Division subcommittees, composed of committee members from the respective divisions, shall be responsible for administering the respective division championships.

**21.4.1.4 Advisory Committees. [#]** The governing sports committee may appoint individuals not already serving on a sports committee to an advisory committee.

#### 21.4.1.5 Duties. [#]

**21.4.1.5.1 Rules of Play. [#]** Subject to the final authority of the Playing Rules Oversight Panel, each rules committee shall establish and maintain rules of play in its sport consistent with the sound traditions of the sport and of such character as to ensure good sportsmanship and safe participation by the competitors. These playing rules shall be common for all divisions of the Association, and differences among the divisions shall not be permitted, except for the division-specific playing regulations developed to address significant financial impact and approved by the divisions and the Playing Rules Oversight Panel. Playing rules committees shall have the authority to permit rules experimentation in the nontraditional/nonchampionship season without Playing Rules Oversight Panel approval. Experimentation in the regular season shall be subject to Playing Rules Oversight Panel review. *(Revised: 1/10/92 effective 8/1/92, 1/14/97 effective 8/1/97, 4/28/05, 12/2/05)*

**21.4.1.5.2 National Records. [#]** In sports for which national records are maintained, each committee shall be responsible for approval of such national records.

**21.4.1.5.3 Cooperation with Other Organizations. [#]** A rules committee may cooperate with other national organizations in the development of common playing rules. *(Revised: 1/14/97 effective 8/1/97)*

**21.4.1.5.4 Operation. [#]** The Executive Committee shall ratify policies regarding the length, location and expenses of common committee meetings. *(Adopted: 1/14/97 effective 8/1/97)*

**21.4.1.6 Term of Office.** Unless otherwise specified, a member of the committees listed in this bylaw shall be appointed for one four-year term. A former member may be appointed to an additional term after three years have elapsed. An individual who has served two terms on a committee may not serve further on that committee. A member's term of service shall commence on the first day of September following the member's election or appointment. *(Revised: 5/13/08)*

**21.4.2 Bowling Committee, Women's. [#]** The Women's Bowling Committee shall consist of seven members, including the secretary-rules editor, who shall be a nonvoting member. *(Revised: 10/28/10)*

**21.4.3 Rifle Committee, Men's and Women's. [#]** The Men's and Women's Rifle Committee shall consist of seven members, including the secretary-rules editor.

**21.4.4 Skiing Committee, Men's and Women's. [#]** The Men's and Women's Skiing Committee shall consist of seven members and shall be constituted as follows: *(Revised: 1/11/89)*

- (a) Two members shall represent men's skiing interests, two members shall represent women's skiing interests and three unallocated; *(Revised: 1/11/89, 4/11/00)*
- (b) One member shall be selected from the West skiing region, two members shall be selected from the East skiing region, three members shall be selected at large and one member shall be secretary-rules editor; and *(Revised: 1/11/89)*
- (c) Two members shall be coaches who represent downhill Alpine skiing and two members shall be coaches who represent Nordic skiing. The secretary-rules editor may be counted towards satisfying this requirement. *(Revised: 11/1/00 effective 8/1/01)*

**21.4.5 Water Polo Committee, Men's. [#]** The Men's Water Polo Committee shall consist of six members and shall be constituted as follows: *(Revised: 10/28/97, 1/12/99 effective 8/1/99, 1/8/07, representation shall be achieved through normal attrition)*

- (a) Two members shall be from the East region;
- (b) Two members shall be from the West region;
- (c) One member shall be selected at large; and
- (d) An additional member shall be secretary-rules editor.

**21.4.6 Water Polo Committee, Women's. [#]** The Women's Water Polo Committee shall consist of six members. There shall be three members from Division I, one member from Division II, one member from Division III and an additional member shall be secretary-rules editor. *(Adopted: 4/27/00 effective 8/1/00)*

## **21.5 Common Committees—Committees With Only Championship Administration Responsibilities.**

### **21.5.1 Selection, Composition, Duties, Term of Office and Operation. [#]**

**21.5.1.1 Method of Selection. [#]** Each applicable division's governance structure shall nominate and select the members and chair of each committee. *(Revised: 1/14/97 effective 8/1/97, 11/01/01)*

**21.5.1.2 Duties. [#]** Each committee shall be responsible for developing policies and procedures governing the administration and conduct of the NCAA championships under its jurisdiction, subject to the approval of the applicable division's governance structure and the requirements, standards and conditions prescribed by Bylaw 31. Policies and procedures governing the administration of National Collegiate Championships applicable to more than one division also shall be subject to the ratification of the Executive Committee. (See Bylaw 31 for committee duties related to the administration of championships.) *(Revised: 1/14/97 effective 8/1/97, 11/1/07 effective 8/1/07)*

**21.5.1.3 Term of Office. [#]** Unless otherwise specified, a member of committees listed in this bylaw shall be appointed for one four-year term. A former member may be appointed to an additional term after three years have elapsed. An individual who has served two terms on a committee may not serve further on that committee. A member's term of service shall commence on the first day of September following the member's election or appointment. *(Revised: 5/13/08)*

**21.5.1.4 Special Operating Rules. [#]** Each committee shall act as one body to determine general policies for the administration of championships. *(Revised: 1/14/97 effective 8/1/97)*

**21.5.1.5 Selection Criteria. [#]** Each committee shall have the authority to establish championships selection criteria, including requirements to use regular-season playing rules that conform with rules used in NCAA championships under its jurisdiction in those sports for which the Association does not maintain playing rules.

**21.5.1.6 Regional Advisory Committees. [#]** Regional advisory committees may be appointed by each championships committee. *(Revised: 1/14/97 effective 8/1/97)*

**21.5.1.7 Operation. [#]** The Executive Committee shall ratify policies regarding the length, location and expenses of common committee meetings. *(Adopted: 1/14/97 effective 8/1/97)*

**21.5.2 Ice Hockey Committee, Women's. [#]** The Women's Ice Hockey Committee shall consist of four members. There shall be three members from Division I and one member from Division II. *(Adopted: 4/27/00, Revised: 4/25/02 effective 8/1/02)*

## 21.6 Common Committees—Committees With Governance Administration Responsibilities.

**21.6.1 Selection. [#]** Each applicable division's governance structure shall appoint members to serve on the common committees with governance administration responsibilities. The membership of each committee shall include representatives from each of the Association's applicable membership divisions, including each subdivision of Division I. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/01*)

**21.6.2 Operation. [#]** The Executive Committee shall ratify policies regarding the length, location and expenses of common committee meetings. (*Adopted: 1/14/97 effective 8/1/97*)

### 21.6.3 International-Student Records Committee. [#]

**21.6.3.1 Composition. [#]** The committee shall consist of six members, including two Division I representatives, two Division II representatives and two representatives who may be from either Division I or Division II. (*Adopted: 4/27/00, Revised: 5/9/07, 4/30/09, composition to be achieved by normal attrition, 10/29/09*)

**21.6.3.2 Duties. [#]** The committee shall assist in reviewing initial-eligibility standards for international students. (*Adopted: 4/27/00*)

**21.6.3.3 Terms. [#]** Committee members shall be appointed for one three-year term. Members may be appointed to an additional term(s) on the committee after three years have elapsed. An individual who has served three consecutive terms on the committee may not serve further on that committee. (*Adopted: 4/27/00, Revised: 11/1/01*)

### 21.6.4 High School Review Committee. [#]

**21.6.4.1 Composition. [#]** The committee shall consist of nine members and shall be constituted as follows: (*Adopted: 4/26/07*)

- (a) One admissions officer of a Division I or Division II institution;
- (b) One staff member of a Division I or Division II institution or conference office;
- (c) One representative from the Division I Academic Cabinet; (*Revised: 11/1/07 effective 8/1/08*)
- (d) One representative from the Division II Academic Requirements Committee;
- (e) Four representatives from the secondary-school community; and
- (f) One member selected at large from either the secondary-school community or a Division I or II institution or conference office.

**21.6.4.2 Duties. [#]** The committee shall have the authority to establish policies and procedures related to the academic review of high schools and to determine the validity of a high school (e.g., core courses, curriculum, grades) for the purpose of meeting initial-eligibility requirements. A review may result in a determination that a high school shall not be used for the purpose of meeting initial-eligibility requirements. The policies and procedures for the review and determination of the validity of a high school shall be approved by the Division I Academic Cabinet and the Division II Academic Requirements Committee. (See Bylaw 14.1.2.2.) (*Adopted: 4/26/07, Revised: 11/1/07 effective 8/1/08*)

**21.6.4.3 Terms. [#]** Committee members shall be appointed to one four-year term. A member's term of service shall commence on the first day of July following the member's appointment. A member may be appointed to one additional term. An individual who has served two terms may not be re-appointed. Terms of service shall run concurrently with Division I Academic Cabinet and Division II Academic Requirements Committee service for members filling those roles. (*Adopted: 4/26/07, Revised: 11/1/07 effective 8/1/08*)

**21.6.4.4 Appeals. [#]** The committee determination shall be final, binding and conclusive and shall not be subject to further review by any other authority. (*Adopted: 4/26/07, Revised: 11/1/07 effective 8/1/08*)

### 21.6.5 Student Records Review Committee. [#]

**21.6.5.1 Composition. [#]** The committee shall consist of nine members and shall be constituted as follows: (*Adopted: 4/26/07*)

- (a) One admissions officer of a Division I or Division II institution;
- (b) One staff member of a Division I or Division II institution or conference office;
- (c) One representative from the Division I Academic Cabinet; (*Revised: 11/1/07 effective 8/1/08*)
- (d) One representative from the Division II Academic Requirements Committee;
- (e) Four representatives from the secondary-school community; and
- (f) One member selected at large from either the secondary-school community or a Division I or II institution or conference office.

**21.6.5.2 Duties. [#]** The committee shall have the authority to establish policies and procedures related to the review of a prospective student-athlete's academic credentials and to determine the validity of a prospective student-athlete's academic credentials for the purpose of meeting initial-eligibility requirements. A review may result in a determination that a prospective student-athlete's academic credentials shall not be used for the



purpose of meeting initial-eligibility requirements. The policies and procedures for the review of academic credentials and the determination of the validity of such credentials shall be approved by the Division I Academic Cabinet and the Division II Academic Requirements Committee. (See Bylaw 14.1.2.2.) (Adopted: 4/26/07, Revised: 11/1/07 effective 8/1/08)

**21.6.5.3 Terms. [#]** Committee members shall be appointed to one four-year term. A member's term of service shall commence on the first day of January following the member's appointment. A member may be appointed to one additional term. An individual who has served two terms may not be re-appointed. Terms of service shall run concurrently with Division I Academic Cabinet and Division II Academic Requirements Committee service for members filling those roles. (Adopted: 4/26/07, Revised: 11/1/07 effective 8/1/08)

**21.6.5.4 Appeals. [#]** The committee determination shall be final, binding and conclusive and shall not be subject to further review by any other authority. (Adopted: 4/27/07, Revised: 11/1/07 effective 8/1/08)

## **21.7 Division I Cabinets and Committees.**

### **21.7.1 Eligibility for Membership.**

**21.7.1.1 "On the Staff."** Individuals serving on Division I cabinets or committees, or as Division I representatives on Association-wide or common committees shall be salaried on a regular basis by a Division I institution or conference and perform a regular staff function representing at least 50 percent of the normal workload for a staff member at that institution or conference, unless otherwise specified. In addition, a conference office staff member must be employed at a single or multisport conference that meets the requirements for automatic qualification and he or she must be nominated by a multisport conference set forth in Constitution 4.2.1. (Adopted: 1/14/97 effective 8/1/97, Revised: 10/31/02 effective 8/1/03, 1/8/07)

**21.7.1.1.1 Modification in Employment Status.** If a cabinet or committee member's employment status is altered to the extent that the individual no longer meets this requirement, the individual shall be replaced. (Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08)

**21.7.1.1.2 Individuals on Sabbatical or Temporary Leave.** An individual on sabbatical or other temporary leave for a period not exceeding 12 consecutive months may be considered to be "on the staff" and eligible for cabinet or committee membership. An individual on terminal leave or on leave in excess of 12 consecutive months shall not be eligible to serve on a cabinet or committee. (Adopted: 1/14/97 effective 8/1/97)

**21.7.1.1.3 Waiver of Replacement Requirement.** The Administration Cabinet, subject to ratification by the Board of Directors, shall have the authority to waive this provision or to approve a delayed replacement if it deems that an immediate replacement would be detrimental to the work of the cabinet or committee involved. (Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08)

**21.7.1.1.4 Exception—Secretary-Rules Editor.** An individual serving as the secretary-rules editor on a sport rules committee shall not be subject to the "on the staff" requirement set forth in Bylaw 21.7.1.1. (Adopted: 8/9/01)

**21.7.1.1.5 Exception—Playing Rules Committees.** Institutional staff members from Division II or Division III institutions that sponsor a Division I sport may serve on that sport's playing rules committee as a Division I representative, provided at least 25 percent of the institutions that sponsor the sport are Division II or III institutions. (Adopted: 1/8/07 effective 8/1/07)

**21.7.2 Representation.** No subdivision shall have more than 50 percent representation on any committee unless approved by a vote of at least 85 percent of the Board of Directors. The Board of Directors, the Leadership Council, the Legislative Council, the cabinets and football-related committees are not subject to this requirement. (Adopted: 1/14/97 effective 8/1/97, Revised: 6/4/07, 11/1/07 effective 8/1/08)

**21.7.2.1 Exception.** The following committees shall not be subject to the requirement that no subdivision shall have more than 50 percent representation on any committee: (Adopted: 10/27/98, Revised: 8/8/02, 10/31/02, 4/29/04, 5/30/07)

- (a) Baseball Committee;
- (b) Men's Basketball Committee;
- (c) Women's Basketball Committee;
- (d) Men's Basketball Issues Committee; (Adopted: 8/8/02)
- (e) Women's Basketball Issues Committee; (Adopted: 8/8/02)
- (f) Committee on Academic Performance;
- (g) Committee on Infractions;
- (h) Committee on Student-Athlete Reinstatement;
- (i) Men's Gymnastics Committee; (Adopted: 4/24/03)
- (j) Women's Gymnastics Committee; (Adopted: 11/1/01)



- (k) Infractions Appeals Committee;
- (l) Initial-Eligibility Waivers Committee; (*Adopted: 4/28/11*)
- (m) Men's Soccer Committee;
- (n) Women's Soccer Committee;
- (o) Women's Softball Committee;
- (p) Women's Volleyball Committee; and
- (q) Wrestling Committee. (*Adopted: 1/14/08*)

### **21.7.3 Appointments, Methods of Selection and Term of Office. [◆]**

**21.7.3.1 Appointment of Cabinets—Conference Representation. [◆]** Each conference listed in Constitution 4.2.1-(a) shall have one representative on each of the cabinets. Each of the conferences listed in Constitution 4.2.1-(b) shall have at least two representatives on the cabinets in the aggregate. (*Adopted: 1/14/97 effective 8/1/97, Revised: 12/15/06, 11/1/07 effective 8/1/08*)

**21.7.3.1.1 Conference Rotations. [◆]** In consultation with the conferences listed in Constitution 4.2.1-(b), the Leadership Council shall assure that there is a fair rotation among those conferences of representation on more than two cabinets at any one time, and that over any period of time there is a fair rotation of representation on each cabinet. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

#### **21.7.3.1.2 Appointments.**

**21.7.3.1.2.1 Appointments by Conferences. [◆]** Subject to the provisions of this section, each conference shall appoint its cabinet representatives. (*Adopted: 1/14/97 effective 8/1/97*)

**21.7.3.1.2.2 Coordination of Appointments. [◆]** The conferences represented in each subdivision (as identified in Constitution 4.2.1) shall review the open cabinet positions in the subdivision and shall coordinate the appointments to those positions to assure consistency with the compositional requirements of each cabinet and with the diversity requirements in Constitution 4.02.5. (*Adopted: 1/14/97 effective 8/1/97, Revised: 12/15/06, 11/1/07 effective 8/1/08*)

**21.7.3.1.2.3 Review of Appointments. [◆]** Each subdivision must meet the requirements of Constitution 4.02.5 when making appointments to the cabinets. The Board of Directors shall review the appointments from each subdivision and may direct any subdivision or conference to revise its appointments. (*Adopted: 1/14/97 effective 8/1/97, Revised: 4/24/03, 11/1/07 effective 8/1/08*)

**21.7.3.1.2.4 Chairs. [◆]** Each cabinet shall elect one of its members to serve for a period of not more than two years as chair. At least once in every three chair rotations on each cabinet, a Football Championship Subdivision or Division I Subdivision member shall serve as chair. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

**21.7.3.2 Term of Office of Cabinets.** The term of office for members of cabinets shall be as follows: (*Adopted: 11/1/07 effective 8/1/08*)

- (a) Members of cabinets shall be appointed for one four-year term. A former cabinet or committee member may be appointed to an additional term on that cabinet or committee after three years have elapsed. An individual who has served two terms on a cabinet or committee may not serve further on that cabinet or committee;
- (b) The terms of office of Football Bowl Subdivision positions and Football Championship Subdivision and Division I Subdivision positions shall expire on a staggered basis to provide for continuity. Members may be appointed for less than full terms;
- (c) The terms of office shall commence on the first day of July following the member's appointment;
- (d) Members who serve more than one-half of a term shall be considered to have served a full term;
- (e) A conference may remove its representative to a cabinet during a term; and
- (f) The chair of each cabinet may recommend to the Administration Cabinet that a member be replaced if he or she is not properly discharging his or her duties.

**21.7.3.3 Appointment of Committees.** The Administration Cabinet shall make committee appointments. When specified, committees that are subordinate to a cabinet (and their chairs) shall be appointed by that cabinet. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

**21.7.3.4 Term of Office of Committees.** The term of office for members of committees shall be as follows: (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

- (a) Unless otherwise specified, members of committees shall be appointed for one four-year term. A former committee member may be appointed to an additional term on that committee after three years have elapsed. An individual who has served two terms on a committee may not serve further on that committee;
- (b) Unless otherwise specified, a term of office shall commence on the first day of September following the member's appointment;

- (c) Members who serve more than one-half of a term shall be considered to have served a full term; and
- (d) The chair of each committee may recommend to the applicable cabinet or the Administration Cabinet that a member be replaced if he or she is not properly discharging his or her duties.

**21.7.4 Meeting Length and Sites.** The Administration Cabinet, subject to ratification by the Board of Directors, is responsible for developing policies governing the length, sites and expenses related to Division I cabinet and committee meetings (see Bylaw 31.7.2). *(Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08)*

## **21.7.5 Cabinets.**

### **21.7.5.1 Academic Cabinet.**

**21.7.5.1.1 Composition.** The Academic Cabinet shall consist of 21 members, including one member from each of the conferences listed in Constitution 4.2.1-(a) and 10 members from among the conference listed in Constitution 4.2.1-(b). *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/27/00 effective 8/1/00, 11/01/01, 4/25/02 effective 8/1/02, 12/15/06, 11/1/07 effective 8/1/08)*

**21.7.5.1.1.1 Student-Athlete Representation.** One member of the Division I Student-Athlete Advisory Committee shall serve as a member of the cabinet in an advisory capacity and may serve on the cabinet up to one year after completion of his or her intercollegiate athletics eligibility. *(Adopted: 11/1/01, Revised: 8/7/03, 11/1/07 effective 8/1/08)*

**21.7.5.1.2 Duties.** The cabinet shall: *(Adopted: 11/1/07 effective 8/1/08)*

- (a) Be responsible for review and consideration of the portions of Division I legislation that relate to principles of sound academic requirements;
- (b) Work with the Division II governance structure to ensure that consistent policies exist when possible, while maintaining each division's philosophy and legislative intent;
- (c) Study issues and make policy or legislative recommendations concerning relationships between the Association and the nation's two-year colleges as represented by established regional and national organizations;
- (d) Oversee academic policies;
- (e) Establish, manage and monitor eligibility standards-related policies; and
- (f) Administer waivers as specified by legislation and/or policy. *(Revised: 1/16/10 effective 5/1/10)*

**21.7.5.1.3 Committees.** The following committees shall report directly to the Academic Cabinet. *(Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08)*

#### **21.7.5.1.3.1 Initial-Eligibility Waivers Committee.**

**21.7.5.1.3.1.1 Composition.** The Initial-Eligibility Waivers Committee shall consist of 20 members appointed by the Administration Cabinet. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/15/97, 10/30/03, 11/1/07 effective 8/1/08)*

**21.7.5.1.3.1.2 Term of Office.** A member's term of office shall commence on the first day of January following the member's appointment. *(Adopted: 4/27/00)*

**21.7.5.1.3.1.3 Duties.** The committee shall be responsible for: *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/16/10 effective 5/1/10)*

- (a) Oversight of the process for reviewing requests for waivers of the initial-eligibility requirements in accordance with Bylaw 14.3.1.4. The policies and procedures for the review of such waivers shall be approved by the Academic Cabinet; and
- (b) Consideration of appeals of staff decisions related to initial-eligibility waiver requests.

**21.7.5.1.3.1.4 Appeals.** After the NCAA staff has acted on an initial-eligibility matter, the involved institution may appeal the decision to the Initial-Eligibility Waivers Committee. The committee determination shall be final, binding and conclusive and shall not be subject to further review by any other authority. *(Adopted: 4/15/97, Revised: 11/1/07 effective 8/1/08, 1/16/10 effective 5/1/10)*

#### **21.7.5.1.3.2 Progress-Toward-Degree Waivers Committee.**

**21.7.5.1.3.2.1 Composition.** The Progress-Toward-Degree Waivers Committee shall consist of 14 members appointed by the Administration Cabinet. *(Revised: 4/24/03, 11/1/07 effective 8/1/08, 10/27/11 effective 4/1/12)*

**21.7.5.1.3.2.2 Duties.** The committee shall be responsible for: *(Revised: 1/16/10 effective 5/1/10, 10/27/11 effective 4/1/12)*

- (a) Oversight of the process for reviewing requests for waivers of all progress-toward-degree requirements set forth in Bylaw 14.4.3 and all full-time enrollment requirements of Bylaw 14.1.8. The policies and procedures for the review of such waivers shall be approved by the Academic Cabinet; and

- (b) Oversight of the process for reviewing requests for waivers of the two-year college transfer requirements set forth in Bylaw 14.5.4 and 14.5.5. The policies and procedures for the review of such waivers shall be approved by the Academic Cabinet; and
- (c) Consideration of appeals of staff decisions related to waiver requests for which the committee has jurisdiction.

**21.7.5.1.3.2.3 Appeals.** After the NCAA staff has acted on a progress-toward-degree or two-year college transfer matter, the involved institution may appeal the decision to the Progress-Toward-Degree Waivers Committee. The committee's determination shall be final, binding and conclusive and shall not be subject to further review by any other authority. *(Adopted: 1/16/10 effective 5/1/10, 10/28/11 effective 4/1/12)*

**21.7.5.1.4 Common Committees.** The following committees shall report to the Academic Cabinet regarding issues related to Division I: *(Adopted: 11/1/07 effective 8/1/08)*

- (a) International-Student Records Committee;
- (b) High School Review Committee; and
- (c) Student Records Review Committee.

#### **21.7.5.2 Administration Cabinet.**

**21.7.5.2.1 Composition.** The Administration Cabinet shall consist of 21 members, including one member from each of the conferences listed in Constitution 4.2.1-(a) and 10 members from among the conferences listed in Constitution 4.2.1-(b). *(Adopted: 11/1/07 effective 8/1/08)*

**21.7.5.2.1.1 Student-Athlete Representation.** One member of the Division I Student-Athlete Advisory Committee shall serve as a member of the cabinet in an advisory capacity and may serve on the cabinet up to one year after completion of his or her intercollegiate athletics eligibility. *(Adopted: 11/1/07 effective 8/1/08)*

**21.7.5.2.2 Duties.** The cabinet shall: *(Adopted: 11/1/07 effective 8/1/08)*

- (a) Oversee the administrative functions related to the management of the governance structure;
- (b) Appoint the members of the substructure (e.g., committees);
- (c) Administer the legislation and policies and procedures related to Division I membership; and
- (d) In conjunction with the Research Committee:
  - (1) Evaluate, supervise and coordinate research activities;
  - (2) Make recommendations regarding expenditures of funds for research projects; and
  - (3) Make recommendations concerning research topics in intercollegiate athletics.

**21.7.5.2.3 Association-Wide Committees.** The following committees shall report to the Administration Cabinet regarding issues related to Division I: *(Adopted: 11/1/07 effective 8/1/08)*

- (a) Honors Committee;
- (b) Postgraduate Scholarship Committee;
- (c) Research Committee; and
- (d) Walter Byers Scholarship Committee.

#### **21.7.5.3 Amateurism Cabinet.**

**21.7.5.3.1 Composition.** The Amateurism Cabinet shall consist of 21 members, including one member from each of the conferences listed in Constitution 4.2.1-(a) and 10 members from among the conferences listed in Constitution 4.2.1-(b). *(Adopted: 11/1/07 effective 8/1/08)*

**21.7.5.3.1.1 Student-Athlete Representation.** One member of the Division I Student-Athlete Advisory Committee shall serve as a member of the cabinet in an advisory capacity and may serve on the cabinet up to one year after completion of his or her intercollegiate athletics eligibility. *(Adopted: 11/1/07 effective 8/1/08)*

**21.7.5.3.2 Duties.** The cabinet shall: *(Adopted: 11/1/07 effective 8/1/08)*

- (a) Be responsible for review and consideration of the portions of Division I legislation that relate to principles of amateurism;
- (b) Study issues and make policy or legislative recommendations concerning the relationship between intercollegiate athletics and professional teams and organizations;
- (c) Study issues and make policy or legislative recommendations related to commercialism and the use of student-athletes' names and likenesses; and
- (d) Serve as the final appellate body for cases involving the determination of facts related to the certification of amateur status per Bylaw 12.01.1.

**21.7.5.3.3 Amateurism Fact-Finding Committee.** The Amateurism Fact-Finding Committee shall report directly to the Amateurism Cabinet. *(Adopted: 8/3/06, Revised: 11/1/07 effective 8/1/08)*

**21.7.5.3.3.1 Composition.** The Amateurism Fact-Finding Committee shall consist of 15 members appointed by the Amateurism Cabinet. *(Adopted: 8/3/06, Revised: 11/1/07 effective 8/1/08)*

**21.7.5.3.3.2 Duties.** The committee shall be responsible for making a determination of facts related to the certification of a prospective student-athlete's amateur status on request of an institution. An institution may make such a request if it disagrees with the determination of facts rendered by the NCAA Eligibility Center. *(Adopted: 8/3/06, Revised: 11/1/07 effective 8/1/08)*

**21.7.5.3.3.3 Appeals.** After the Amateurism Fact-Finding Committee has acted on an amateurism certification case, the involved institution may appeal the determination of facts to the Amateurism Cabinet. The cabinet determination shall be final, binding and conclusive and shall not be subject to further review by any other authority. *(Adopted: 8/3/06, Revised: 11/1/07 effective 8/1/08)*

#### **21.7.5.4 Awards, Benefits, Expenses and Financial Aid Cabinet.**

**21.7.5.4.1 Composition.** The Awards, Benefits, Expenses and Financial Aid Cabinet shall consist of 21 members, including one member from each of the conferences listed in Constitution 4.2.1-(a) and 10 members from among the conferences listed in Constitution 4.2.1-(b). *(Adopted: 11/1/07 effective 8/1/08)*

**21.7.5.4.1.1 Student-Athlete Representation.** One member of the Division I Student-Athlete Advisory Committee shall serve as a member of the cabinet in an advisory capacity and may serve on the cabinet up to one year after completion of his or her intercollegiate athletics eligibility. *(Adopted: 11/1/07 effective 8/1/08)*

**21.7.5.4.2 Duties.** The cabinet shall: *(Adopted: 11/1/07 effective 8/1/08)*

- (a) Be responsible for review and consideration of the portions of Division I legislation that relate to principles of awards, benefits, expenses and financial aid;
- (b) Study issues and make policy or legislative recommendations related to the provision of financial aid to student-athletes; and
- (c) Study issues and make policy or legislative recommendations related to the provision of awards, benefits and expenses to student-athletes (excluding awards, benefits and expenses related to NCAA championships).

#### **21.7.5.5 Championships/Sports Management Cabinet.**

**21.7.5.5.1 Composition.** The Championships/Sports Management Cabinet shall consist of 32 members with conference representation and weighted votes identical to the Legislative Council as set forth in Constitution 4.6.1-(a) through 4.6.1-(c). *(Adopted: 1/14/97 effective 8/1/97, Revised: 8/5/99, 12/15/06, 11/1/07 effective 8/1/08, 5/2/13 effective 8/1/13)*

**21.7.5.5.1.1 Student-Athlete Representation.** One member of the Student-Athlete Advisory Committee shall serve as a member of the cabinet in an advisory capacity and may serve on the cabinet up to one year after completion of his or her intercollegiate athletics eligibility. *(Adopted: 11/1/01, Revised: 11/1/07 effective 8/1/08)*

**21.7.5.5.2 Duties.** The cabinet shall: *(Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08)*

- (a) In championships administration:
  - (1) Supervise qualification and/or selection procedures for National Collegiate Championships and Division I championships;
  - (2) Review recommendations from sports committees regarding the administration of those championships;
  - (3) Process other issues related to the administration of those championships; and
  - (4) Act for the Leadership Council as the final authority regarding championships matters in Division I or in a National Collegiate Championship that are subject to appeal to the Leadership Council (excluding appeals of championships selection or assignment in championships competition). *(Revised: 11/1/07 effective 8/1/08)*
- (b) In working with the Association-wide Committee on Competitive Safeguards and Medical Aspects of Sports:
  - (1) Assist in the collection and development of pertinent information regarding desirable training methods, prevention and treatment of sports injuries, usage of sound safety measures at the college level, drug education and drug testing;
  - (2) Assist in the dissemination of such information as might be brought appropriately to the attention of the membership and adopt recommended policies and standards designed to further the above objectives; and
  - (3) Assist in the supervision of the Association's drug-education and drug-testing programs under the direction of the Executive Committee.



- (c) In other areas:
- (1) Be responsible for issues involving postseason football contests, college all-star football and basketball contests and exempted contests. The Football Bowl Subdivision members shall vote on bowl subdivision football matters; the Football Championship Subdivision members shall vote on championship subdivision football matters; and all members, regardless of subdivision, shall vote on all other matters; and *(Revised: 12/15/06)*
  - (2) Be responsible for issues involving playing and practice seasons.
- (d) In conjunction with the Association-wide Olympic Sports Liaison Committee: *(Adopted: 8/5/99)*
- (1) Act as a liaison between Division I, the U.S. Olympic Committee and national governing bodies; and
  - (2) Study and make recommendations concerning the division's and the Association's appropriate role and the involvement of student-athletes in international athletics.

**21.7.5.5.3 Committees.** The committees listed in this section shall report directly to the Championships/Sports Management Cabinet. *(Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08)*

**21.7.5.5.3.1 Men's Basketball Issues Committee.**

**21.7.5.5.3.1.1 Composition.** The composition of the Men's Basketball Issues Committee shall consist of 16 voting members, including eight members appointed by and representing the Football Bowl Subdivision (one allocated for a current head men's basketball coach or designated alternate coach); six members appointed by and representing the Football Championship Subdivision and Division I Subdivision (one allocated to a current head men's basketball coach or designated alternate coach); and two men's basketball student-athletes, one representing the Football Bowl Subdivision and one representing the Football Championship and Division I Subdivisions. Serving as an ex officio member without a vote shall be a member of the Men's Basketball Committee. *(Adopted: 4/27/00, Revised: 8/8/02, 12/15/06)*

**21.7.5.5.3.1.2 Duties.** The committee shall ensure appropriate oversight of men's basketball is maintained, with emphasis on recruiting activities, enhance the development and public perception of the sport and make recommendations on policy issues unrelated to legislative and playing rules changes. *(Adopted: 4/27/00, Revised: 8/8/02)*

**21.7.5.5.3.2 Women's Basketball Issues Committee.**

**21.7.5.5.3.2.1 Composition.** The composition of the Women's Basketball Issues Committee shall consist of 16 voting members, including eight members appointed by and representing the Football Bowl Subdivision (one allocated for a current head women's basketball coach or designated alternate coach); six members appointed by and representing the Football Championship Subdivision and Division I Subdivision (one allocated to a current head women's basketball coach or designated alternate coach); and two women's basketball student-athletes, one representing the Football Bowl Subdivision and one representing the Football Championship and Division I Subdivisions. Serving as an ex officio member without a vote shall be a member of the Women's Basketball Committee. *(Revised: 12/15/06)*

**21.7.5.5.3.2.2 Duties.** The committee shall ensure appropriate oversight of women's basketball is maintained, with emphasis on recruiting activities, enhance the development and public perception of the sport and make recommendations on policy issues unrelated to legislative and playing rules changes.

**21.7.5.5.3.3 Football Issues Committee.**

**21.7.5.5.3.3.1 Composition.** The Football Issues Committee shall consist of 24 members and shall be constituted as follows: *(Adopted: 1/14/97 effective 8/1/97, Revised: 10/28/97, 8/5/99, 11/1/01, 4/29/04 effective 8/1/04)*

- (a) One representative from each of the Football Bowl Subdivision conferences identified in Constitution 4.2.1-(a); *(Revised: 12/15/06)*
- (b) One representative from each of the Football Championship Subdivision conferences from which representatives may be selected to the Football Championship Subdivision Governance Committee. If a conference that qualifies for a representative pursuant to this provision has a representative on the Football Championships Committee, the same representative shall serve on both committees; *(Revised: 10/29/04, 12/15/06, 8/7/08)*
- (c) Two student-athletes. One student-athlete shall be from the Football Bowl Subdivision and one student-athlete shall be from the Football Championship Subdivision; *(Revised: 12/15/06)*
- (d) One representative of the Football Bowl Subdivision and one representative of the Football Championship Subdivision shall be a current head football coach; and *(Adopted: 4/29/04 effective 8/1/04, Revised: 12/15/06)*
- (e) One representative from the Football Rules Committee. *(Adopted: 8/7/08)*



**21.7.5.5.3.2 Duties.** The committee shall be responsible for the review of issues related to football in Division I, including postseason bowl games (see Bylaw 18.7.2). Football Bowl Subdivision and Football Championship Subdivision members shall vote separately on football issues that pertain only to their respective subdivision. (*Adopted: 1/14/97 effective 8/1/97, Revised: 10/28/97, 12/15/06, 8/7/08, 10/27/11 effective 4/1/12*)

**21.7.5.5.4 Association-Wide Committees.** The following committees shall report to the Championships/Sports Management Cabinet regarding issues related to Division I: (*Adopted: 11/1/07 effective 8/1/08*)

- (a) Committee on Competitive Safeguards and Medical Aspects of Sports;
- (b) Olympic Sports Liaison Committee; and
- (c) Playing Rules Oversight Panel (for information purposes only).

**21.7.5.5.5 Sports Committees.**

**21.7.5.5.5.1 Rules Committees without Championships Responsibilities.** These Association-wide committees shall report to the Championships/Sports Management Cabinet for Division I review of the playing rules developed by those committees, which are applicable to all divisions. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

**21.7.5.5.5.2 Rules Committees with Championships Responsibilities.** These common committees shall report to the Championships/Sports Management Cabinet for Division I review of the playing rules developed by those committees, which are applicable to all divisions. Each committee shall act as one body to formulate playing rules and to administer championships in those sports in which there is only a National Collegiate Championship. Division I members of each committee shall be responsible for administering the Division I championship in the sport. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

**21.7.5.5.5.2.1 Administration of Championships.** Each committee shall develop policies and procedures governing the administration of the NCAA championships under its jurisdiction, subject to the approval of the Championships/Sports Management Cabinet, and shall control, direct and supervise the conduct of said championships subject to the requirements, standards and conditions prescribed by Bylaw 31. The Men's and Women's Track and Field Committee shall be responsible for the Association's cross country, indoor track and field, and outdoor track and field championships. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

**21.7.5.5.5.2.2 Regional Advisory Committees.** Regional advisory committees may be appointed by each sports committee as prescribed by the Championships/Sports Management Cabinet. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

**21.7.5.5.5.3 Committees with Championships Administration and Sports Issues Responsibilities.** These sports committees shall be responsible for administering the Division I championships or National Collegiate Championships in the applicable sport. Those committees that administer National Collegiate Championships may include representatives from member institutions in Divisions II and III. Those committees administering Division I championships may meet with committees administering championships in the same sport in other divisions as appropriate for the efficient administration of the championships. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

**21.7.5.5.5.3.1 Composition Requirements.** For committees that administer championships in individual sports, at least 25 percent of the positions on each sports committee shall be filled by athletics administrators (e.g., athletics directors, associate or assistant athletics directors, senior woman administrators, individuals who are employed full time as administrators by member conferences, or individuals who are employed both part time as administrators by member conferences and full time by member institutions). For committees that administer championships in team sports, at least 50 percent of the positions on each sports committee shall be filled by athletics administrators. (*Adopted: 1/14/97 effective 8/1/97*)

**21.7.5.5.5.3.2 Duties.** Each committee shall be responsible for: (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

- (a) Developing policies and procedures governing the administration and conduct of the NCAA championships under its jurisdiction, subject to the approval of the Championships/Sports Management Cabinet and the requirements, standards and conditions prescribed by Bylaw 31; and (*Revised: 11/1/07 effective 8/1/08*)
- (b) The review of issues related to the applicable sport (except for those sports in which specific issues committees exist). (*Adopted: 11/1/07 effective 8/1/08*)

**21.7.5.5.5.3.3 Championships Selection Criteria.** Each committee shall have the authority to establish championships selection criteria, including requirements to use regular-season playing rules that conform with rules used in NCAA championships under its jurisdiction in those sports for which the Association does not maintain playing rules. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

**21.7.5.5.3.4 Regional Advisory Committees.** Regional advisory committees may be appointed by each sports committee as prescribed by the Championships/Sports Management Cabinet. *(Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08)*

**21.7.5.5.3.5 Baseball Committee.** The Baseball Committee shall consist of 10 members, including one member from each of the eight Division I baseball regions and two members selected at large. Six members shall be representatives from Football Bowl Subdivision institutions, and four members shall be representatives from Football Championship and Division I Subdivision institutions. *(Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98, 12/15/06)*

**21.7.5.5.3.6 Men's Basketball Committee.** The Men's Basketball Committee shall consist of 10 members, including one member from each of the four Division I men's basketball regions and six members selected at large. Not more than three committee members shall represent any single region. Six members shall be representatives from Football Bowl Subdivision institutions, and four members shall be representatives from Football Championship and Division I Subdivision institutions. *(Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98, 12/15/06)*

**21.7.5.5.3.6.1 Term of Office.** Committee members shall be appointed for a five-year term. *(Adopted: 4/25/02 effective 8/1/02)*

**21.7.5.5.3.7 Women's Basketball Committee.** The Women's Basketball Committee shall consist of 10 members, including one member from each of the four Division I women's basketball regions and six members selected at large. Not more than three committee members shall be from any single region. Six members shall be representatives from Football Bowl Subdivision institutions, and four members shall be representatives from Football Championship and Division I Subdivision institutions. *(Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98, 4/27/06, composition will be achieved through normal attrition, 12/15/06)*

**21.7.5.5.3.7.1 Term of Office.** Committee members shall be appointed for a five-year term. *(Adopted: 4/25/02 effective 8/1/02)*

**21.7.5.5.3.8 Men's and Women's Fencing Committee.** The Men's and Women's Fencing Committee shall consist of eight members. Four members shall represent men's fencing interests, including one representative from each of the four men's fencing regions. Four members shall represent women's fencing interests, including one representative from each of the four women's fencing regions. *(Adopted: 1/14/97 effective 8/1/97)*

**21.7.5.5.3.9 Field Hockey Committee.** The Field Hockey Committee shall consist of six members. One member shall be selected from each of the five Division I field hockey regions, and one member shall be selected at large. *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/12/99 effective 8/1/99)*

**21.7.5.5.3.10 Football Championship Committee.** The Football Championship Committee shall consist of one representative from each conference that is eligible for and applies for automatic qualification for participation in the Division I Football Championship. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/20/99 effective 8/1/99, 12/15/06, 8/7/08)*

**21.7.5.5.3.11 Men's Golf Committee.** The Men's Golf Committee shall consist of six members. *(Adopted: 1/14/97 effective 8/1/97, Revised: 10/28/97 effective 8/1/98, 4/20/99 effective 8/1/99, 10/28/99)*

**21.7.5.5.3.12 Women's Golf Committee.** The Women's Golf Committee shall consist of six members. *(Adopted: 10/28/99)*

**21.7.5.5.3.13 Men's Gymnastics Committee.** The Men's Gymnastics Committee shall consist of six members, including at least two representatives from each of the two men's gymnastics regions (East and West) and two representatives selected at large. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/24/03)*

**21.7.5.5.3.14 Women's Gymnastics Committee.** The Women's Gymnastics Committee shall consist of seven members, including six members from Division I and one member from Division II or III. The six Division I members shall include one member from each of the six women's gymnastics regions and the Division II or III member may represent any of the six women's gymnastics regions. Further, the Division II or III member shall be excluded in determining whether the 50 percent administrator committee composition requirements per Bylaw 21.4.1.2 are met. *(Adopted: 1/14/97 effective 8/1/97, Revised: 10/28/99 effective 8/1/00, 4/26/01)*

**21.7.5.5.3.15 Men's Ice Hockey Committee.** The Men's Ice Hockey Committee shall consist of one member from each conference that is eligible for and applies for automatic qualification into the Division I Men's Ice Hockey Championship. Institutional staff members from Division II or Division III institutions that sponsor Division I men's ice hockey may serve on the committee. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/26/01, 10/29/09 effective 8/1/10, 4/29/10 effective 8/1/10)*

**21.7.5.5.5.3.16 Men's Lacrosse Committee.** The Men's Lacrosse Committee shall consist of five members, including two representatives from the North region, two representatives from the South region and one representative from the West region. Of the five members, at least two must be coaches. *(Revised: 4/27/06)*

**21.7.5.5.5.3.17 Women's Lacrosse Committee.** The Women's Lacrosse Committee shall consist of six members, including one representative from each of the four regions (Northeast, Mid-Atlantic, South and West/Midwest) and two representatives selected at large. Not more than two committee members shall be from any single region. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/20/99 effective 8/1/99, 4/27/00, 4/24/03 effective 8/1/03, 5/18/05, 4/27/06, composition will be achieved through normal attrition)*

**21.7.5.5.5.3.18 Women's Rowing Committee.** The Women's Rowing Committee shall consist of seven members, including one from each of the five Division I women's rowing regions and two selected at large. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/26/01 effective 8/1/01)*

**21.7.5.5.5.3.19 Men's Soccer Committee.** The Men's Soccer Committee shall consist of 10 members, including one member from each of the eight Division I men's soccer regions and two members selected at large. Not more than two members may be appointed from the same region. Six members shall be representatives from Football Bowl Subdivision institutions, and four members shall be representatives from Football Championship and Division I Subdivision institutions. *(Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98, 1/12/99 effective 8/1/99, 12/15/06, 1/15/11 effective 8/1/11)*

**21.7.5.5.5.3.20 Women's Soccer Committee.** The Women's Soccer Committee shall consist of 10 members, including one member from each of the eight Division I women's soccer regions and two members selected at large. Not more than two members of the committee may be appointed from the same region. Six members shall be representatives from Football Bowl Subdivision institutions, and four members shall be representatives from Football Championship and Division I Subdivision institutions. *(Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98, 1/12/99 effective 8/1/99, 4/26/01 effective 8/1/01, 12/15/06, 10/30/08)*

**21.7.5.5.5.3.21 Women's Softball Committee.** The Women's Softball Committee shall consist of 10 members, including one representative from each of the six regions. Not more than two members of the committee may be appointed from the same region. Six members shall be representatives from Football Bowl Subdivision institutions, and four members shall be representatives from Football Championship and Division I Subdivision institutions. *(Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98, 4/20/99 effective 8/1/99, 4/27/00, 12/15/06)*

**21.7.5.5.5.3.22 Men's and Women's Swimming and Diving Committee.** The Men's and Women's Swimming and Diving Committee shall consist of 10 members. Four members of the committee shall represent men's swimming interests and four members shall represent women's swimming interests, including three positions allocated for men, three allocated for women and two unallocated. An additional two members shall represent diving interests, one representing men's diving and one representing women's diving. *(Adopted: 1/14/12 effective 8/1/12)*

**21.7.5.5.5.3.23 Men's and Women's Tennis Committee.** The Men's and Women's Tennis Committee shall consist of 12 members. Six members of the committee shall represent men's tennis interests and six members shall represent women's tennis interests, including four positions allocated for men, four allocated for women and four unallocated. Not more than one of the six members representing men's tennis interests shall be selected from any one of the six Division I men's tennis regions. Not more than one of the six members representing women's tennis interests shall be selected from any one of the six Division I women's tennis regions. *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/12/99 effective 8/1/99, 11/1/00, 10/27/06)*

**21.7.5.5.5.3.24 Men's and Women's Track and Field Committee.** The Men's and Women's Track and Field Committee shall consist of 12 members. Six members of the committee shall represent men's track and field interests and six members shall represent women's track and field interests, including four positions allocated for men, four allocated for women and four unallocated. *(Adopted: 1/14/12 effective 8/1/12)*

**21.7.5.5.5.3.25 Men's Volleyball Committee.** The Men's Volleyball Committee shall consist of three members. One member shall be selected from each of the three Division I men's volleyball regions. *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/12/99 effective 8/1/99)*

**21.7.5.5.5.3.26 Women's Volleyball Committee.** The Women's Volleyball Committee shall consist of 10 members, including one member from each of the eight Division I women's volleyball districts and two members selected at large. Six members shall be representatives from Football Bowl Subdivision institutions, and four members shall be representatives from Football Championship and Division I Subdivision institutions. *(Adopted: 1/14/97 effective 8/1/97, Revised: 10/27/98, 1/12/99 effective 8/1/99, 12/15/06)*

**21.7.5.5.3.27 Wrestling Committee.** The Wrestling Committee shall consist of six members. *(Adopted: 1/14/12 effective 8/1/12)*

**21.7.5.6 Recruiting and Athletics Personnel Issues Cabinet.**

**21.7.5.6.1 Composition.** The Recruiting and Athletics Personnel Issues Cabinet shall consist of 21 members, including one member from each of the conferences listed in Constitution 4.2.1-(a) and 10 members from among the conferences listed in Constitution 4.2.1-(b). *(Adopted: 11/1/07 effective 8/1/08)*

**21.7.5.6.1.1 Student-Athlete Representation.** One member of the Student-Athlete Advisory Committee shall serve as a member of the cabinet in an advisory capacity and may serve on the cabinet up to one year after completion of his or her intercollegiate athletics eligibility. *(Adopted: 11/1/07 effective 8/1/08)*

**21.7.5.6.2 Duties.** The cabinet shall: *(Adopted: 11/1/07 effective 8/1/08)*

- (a) Be responsible for review and consideration of the portions of Division I legislation that relate to principles of recruiting and athletics personnel;
- (b) Study issues and make policy or legislative recommendations related to the recruiting process; and
- (c) Study issues and make policy or legislative recommendations related to athletics personnel issues.

**21.7.6 Committees Reporting to the Leadership Council.**

**21.7.6.1 Association-Wide Committees.** The following committees shall report to the Leadership Council regarding issues related to Division I: *(Revised: 11/1/07 effective 8/1/08)*

- (a) Committee on Women's Athletics;
- (b) Minority Opportunities and Interests Committee; and
- (c) Committee on Sportsmanship and Ethical Conduct.

**21.7.6.2 Student-Athlete Advisory Committee.**

**21.7.6.2.1 Composition.** The Student-Athlete Advisory Committee shall consist of one student-athlete from each of the conferences identified in Constitution 4.2.1. The members shall be selected by the Administration Cabinet from a pool of three nominees from each of the represented conferences. *(Revised: 11/1/07 effective 8/1/08)*

**21.7.6.2.2 Term of Office.** A student-athlete member shall not serve more than two years on the committee but may request appointment for another two-year term, subject to the Administration Cabinet's approval. A member's term of office shall commence on the first day of July following the member's appointment. *(Adopted: 1/14/97 effective 8/1/97, Revised: 4/26/01 effective 8/1/01, 11/1/07 effective 8/1/08, 4/29/10)*

**21.7.6.2.3 Role with Leadership Council and Legislative Council.** The Student-Athlete Advisory Committee shall designate one of its members to participate in each meeting of the Leadership Council in an advisory capacity and one of its members to participate in each meeting of the Legislative Council in an advisory capacity. *(Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08)*

**21.7.6.2.4 Duties.** The committee shall receive information and explanations of divisional activities and legislation, review and react to topics referred to it by other governance entities and comment to the governance structure on any divisional subject of interest. *(Adopted: 1/14/97 effective 8/1/97)*

**21.7.7 Committees Reporting to the Legislative Council.**

**21.7.7.1 Committee on Institutional Performance.** The Committee on Institutional Performance shall be appointed as provided in Bylaw 22.1 and its duties assigned as set forth in Bylaw 22.1.2. (See Bylaws 22 and 33 for details regarding the committee and the division's procedures related to the institutional performance program.) *(Adopted: 1/14/97 effective 8/1/97, Revised: 1/19/13)*

**21.7.7.2 Legislative Review/Interpretations Committee.**

**21.7.7.2.1 Composition.** The Legislative Review/Interpretations Committee shall consist of nine members, including a minimum of two members representing each subdivision and a minimum of one director of athletics/senior woman administrator, one campus compliance administrator, one conference administrator and one faculty athletics representative. *(Adopted: 8/5/04)*

**21.7.7.2.2 Duties.** The committee shall: *(Adopted: 8/5/04)*

- (a) Determine interpretations of all legislation;
- (b) Review interpretations issued by the membership services staff and, if necessary, modify such interpretations;
- (c) Respond to requests from the member institutions to interpret Division I bylaws (see Constitution 5.4.1.2.1); and
- (d) Identify interpretations to be incorporated into the NCAA Division I Manual.

**21.7.7.2.3 Special Operating Rules.** The committee shall not have the authority to alter interpretations that have been approved by the Legislative Council. Its decision shall be binding unless overturned on appeal to the Legislative Council at its regularly scheduled meeting. *(Adopted: 8/5/04, Revised: 11/1/07 effective 8/1/08)*



### 21.7.7.3 Committee on Student-Athlete Reinstatement.

**21.7.7.3.1 Composition.** The Committee on Student-Athlete Reinstatement shall consist of five members. *(Adopted: 11/1/01, Revised: 1/9/06, 11/1/07 effective 8/1/08)*

**21.7.7.3.1.1 Student-Athlete Representation.** One member of the Student-Athlete Advisory Committee shall serve as a member of the committee in an advisory capacity and may serve up to one year after completion of his or her collegiate athletics eligibility. The student-athlete member shall attend all in-person meetings and participate on teleconferences not associated with an appeal of a reinstatement case. *(Adopted: 1/9/06, Revised: 11/1/07 effective 8/1/08)*

**21.7.7.3.2 Term of Office.** Committee members shall be appointed for one three-year term. A member's term of service shall commence on the first day of July following the member's appointment. A committee member may be appointed to a second three-year term on the committee. An individual who has served two terms on the committee may not serve further on the committee. *(Adopted: 11/1/01, Revised: 8/4/05, 11/1/07 effective 8/1/08)*

**21.7.7.3.3 Duties.** The committee shall have the authority under Bylaw 14.11 to determine all matters pertaining to the policies and procedures for the restoration of eligibility of a student-athlete who is ineligible for intercollegiate competition as a result of a violation of NCAA legislation and for waivers of legislation for which the committee has been authorized to act. *(Adopted: 1/11/01, Revised: 11/1/07 effective 8/1/08)*

**21.7.7.3.3.1 Application of Eligibility Rules.** In fulfilling the duties set forth above, the following shall apply: *(Adopted: 1/11/01, Revised: 11/1/07 effective 8/1/08)*

- (a) **Authority of the Student-Athlete Reinstatement Staff.** Subject to review by the Legislative Council, the student-athlete reinstatement staff is authorized to apply the eligibility rules of the division.
- (b) **Appeals.** After the student-athlete reinstatement staff has acted on a reinstatement matter or waiver, the involved institution or conference may appeal the decision to the Committee on Student-Athlete Reinstatement. The committee's determination shall be final, binding and conclusive and shall not be subject to further review by any other authority. *(Revised: 4/20/09)*

### 21.7.8 Committees Reporting to Board of Directors.

#### 21.7.8.1 Division I Presidential Advisory Group.

**21.7.8.1.1 Composition.** Giving due weight to gender and ethnic diversity, the Division I Presidential Advisory Group shall consist of one president or chancellor from each of the conferences identified in Constitution 4.2.1-(b), seven of whom shall be the current conference representatives on the Board of Directors. As a minimal goal, the group membership shall include at least one person who is an ethnic minority and at least one person of each gender, and a single member shall not be considered to meet both minimums. *(Adopted: 4/28/05, Revised: 3/8/06, 12/15/06)*

**21.7.8.1.2 Term of Office.** The conferences identified in Constitution 4.2.1-(b) shall be authorized to determine the term of office of the committee members. *(Adopted: 4/28/05, Revised: 12/15/06, 1/14/08)*

**21.7.8.1.3 Duties.** The Division I Presidential Advisory Group shall advise and provide input to the seven members of the committee who serve on the Board of Directors. Members of the Presidential Advisory Group who represent Football Championship Subdivision conferences shall have the authority to act on behalf of the Football Championship Subdivision members of the Board of Directors on legislative issues that relate to championship subdivision football, subject to review by the full Board of Directors. *(Adopted: 4/28/05, Revised: 12/15/06, 1/14/09, 4/30/09)*

**21.7.8.2 Committee on Academic Performance.** The Committee on Academic Performance shall administer the academic performance program. *(Adopted: 4/29/04, Revised: 11/1/07 effective 8/1/08, 7/31/13)*

**21.7.8.2.1 Composition.** The committee shall consist of 15 members including a minimum of two chancellors or presidents, one faculty athletics representative, one director of athletics, one senior woman administrator and one conference administrator. The committee shall include at least two members from each of the three Division I membership subdivisions. All committee members should be on the staff of a Division I active institution or conference. A president or chancellor member shall serve as chair. After the chair has served two full terms, the Board of Directors may extend his or her term at two-year intervals. *(Adopted: 4/29/04, Revised: 3/8/06, 8/7/08, 7/31/13)*

**21.7.8.2.2 Duties.** The Committee on Academic Performance shall: *(Adopted: 4/29/04, Revised: 7/31/13)*

- (a) Oversee the process governing data collection, analysis and calculation used to determine the academic progress rate (APR), the graduation success rate (GSR) and the process governing data collection of the academic performance census (APC);
- (b) Formulate and revise as needed, a statement of the established operating policies and procedures of the academic performance program;
- (c) Determine the appropriate standards on which penalties or rewards apply and notify members of such standards;



- (d) Identify and notify institutions or teams that fail to satisfy the appropriate standards under which historical penalties apply;
- (e) Identify and notify institutions or teams that demonstrate academic excellence under the academic performance program;
- (f) Oversee the administration of a public recognition program for institutions or teams that demonstrate academic excellence under the academic performance program;
- (g) Hear appeals (or waivers) of institutions or teams subject to penalties and any other matters of appeal pursuant to the legislation and policies and procedures of the academic performance program;
- (h) Recommend changes to the academic performance program based on research data analysis and practical experience;
- (i) Interpret academic performance program legislation and policies; and
- (j) Perform any other duties directly related to the administration of the academic performance program.

**21.7.8.3 Committee on Infractions.** The Committee on Infractions shall be appointed and its duties assigned as provided in Bylaw 19.3. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)

**21.7.8.4 Infractions Appeals Committee.** The Infractions Appeals Committee shall be appointed and its duties assigned as provided in Bylaw 19.4. (*Adopted: 1/14/97 effective 8/1/97, Revised: 11/1/07 effective 8/1/08*)



# Institutional Performance Program

## 22.01 General Principle.

The central purpose of the institutional performance program of the Association shall be to validate the fundamental integrity of member institutions' athletics programs through a verified and evaluated institutional self-study. The involvement of peer reviewers external to the institution shall provide the verification and evaluation of the methodology and results of the self-study. (Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)

## 22.1 Committee on Institutional Performance.

The Administration Cabinet shall appoint a Committee on Institutional Performance that shall be responsible for administration of the NCAA Division I Institutional Performance Program. (Adopted: 1/19/13)

**22.1.1 Composition.** The committee shall consist of 18 members and shall be constituted as follows: (Adopted: 1/19/13)

- (a) One chancellor or president from each subdivision of Division I;
- (b) Two chancellors or presidents selected at large;
- (c) One chancellor or president who is a current member of the Division I Board of Directors;
- (d) Thirteen subject matter experts; and
- (e) One member of the Division I Student-Athlete Advisory Committee, who shall serve as a member of the committee in an advisory capacity and may serve on the committee up to one year after completion of his or her intercollegiate athletics eligibility.

**22.1.1.1 Chair.** One chancellor or president shall serve as chair of the committee. (Adopted: 1/19/13)

**22.1.2 Duties.** The duties of the Committee on Institutional Performance include, but are not limited to, making recommendations to the Division I Board of Directors related to the following: (Adopted: 1/19/13)

- (a) Establishment of an ongoing and efficient review process for all Division I institutions;
- (b) Development of a streamlined educational/orientation program for all Division I institutions;
- (c) Oversight and monitoring of submission deadlines and implementation of identified penalties for nonsubmission;
- (d) Consideration of an accountability/recognition spectrum;
- (e) Evaluation of the effectiveness and/or relevancy of current data to determine if new or more useful data points should be added;
- (f) Re-evaluation of the committee composition once the institutional performance program is finalized;
- (g) Recommendation of ongoing changes to the program as necessary, including the adjustment of metrics based on research data analysis and practical experience;
- (h) Determination of successful completion of the program for reclassifying institutions in collaboration with the Administration Cabinet; and
- (i) Collaboration with the appropriate groups in the Division I governance structure to identify trends and propose changes to Division I legislation, if necessary.

## 22.2 Mandatory Self-Study and External Peer Review.

Each member institution, at least once every 10 years, shall complete an institutional self-study, verified and evaluated through external peer review. The self-study shall encompass the following operating principles in three basic areas as set forth in Bylaws 22.2.1, 22.2.2 and 22.2.3. (Note: Between April 28, 2011, and August 1, 2014, no active Division I institution shall begin the institutional performance program process.) (Adopted: 1/16/93 effective 1/1/94, Revised: 1/14/97 effective 8/1/97, 4/28/11, 4/26/12, 1/19/13)

## **22.2.1 Governance and Commitment to Rules Compliance.**

**22.2.1.1 Institutional Control, Presidential Authority and Shared Responsibilities.** The Association's principle of institutional control vests in the institution the responsibility for the conduct of its athletics program, including the actions of its staff members and representatives of its athletics interests. In fulfilling this principle, the institution shall demonstrate that:

- (a) The institution's governing board provides oversight and broad policy formulation for intercollegiate athletics in a manner consistent with other units of the institution;
- (b) The chancellor or president is assigned ultimate responsibility and authority for the operation, fiscal integrity and personnel of the athletics program; and *(Revised: 3/8/06, 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*
- (c) Appropriate campus constituencies have the opportunity, under the purview of the president or chancellor, to provide input into the formulation of policies relating to the conduct of the athletics program and to review periodically the implementation of such policies. *(Revised: 3/8/06)*

**22.2.1.2 Rules Compliance.** Membership in the Association places the responsibility on each institution to ensure that its staff, student-athletes and other individuals and groups representing the institution's athletics interests comply with the applicable Association rules and regulations. Consistent with this responsibility, the institution shall demonstrate that:

- (a) It has in place a set of written policies and procedures that are clearly communicated to athletics staff members and those individuals outside athletics who have rules-compliance responsibilities. These written policies and procedures must assign specific responsibilities in the areas of rules compliance, including assignment of direct accountability for rules compliance to the individual the president or chancellor assigns overall responsibility for the athletics program; *(Revised: 3/8/06, 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*
- (b) In critical and sensitive areas, institutional compliance procedures provide for the regular participation of persons outside of the athletics department. The responsibility for admission, certification of academic standing, evaluation of academic performance and administration of financial aid for student-athletes must be vested in the same agencies that have authority in these matters for students in general; *(Revised: 1/8/07 effective 8/1/08 beginning the third athletics certification cycle)*
- (c) Rules compliance is the subject of a continuous, comprehensive educational effort to a wide range of constituencies; *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*
- (d) A clear and unambiguous commitment to rules compliance is a central element in all personnel matters for individuals involved in the intercollegiate athletics program; and
- (e) At least once every four years, its rules-compliance program is the subject of evaluation by an authority outside the athletics department. The evaluation shall include a review of the following program areas: *(Revised: 4/24/03 effective 8/1/03, 4/30/09)*
  - (1) Governance and organization;
  - (2) Initial-eligibility certification;
  - (3) Continuing-eligibility certification;
  - (4) Transfer-eligibility certification;
  - (5) Academic Performance Program;
  - (6) Financial aid administration, including individual and team limits;
  - (7) Recruiting (e.g., contacts and evaluations, official and unofficial visits);
  - (8) Camps and clinics;
  - (9) Investigations and self-reporting of rules violations;
  - (10) Rules education;
  - (11) Extra benefits;
  - (12) Playing and practice seasons;
  - (13) Student-athlete employment;
  - (14) Amateurism; and
  - (15) Commitment of personnel to rules-compliance activities.

## **22.2.2 Academic Integrity.**

**22.2.2.1 Academic Standards.** The Association's fundamental principles indicate that an intercollegiate athletics program shall be designed and maintained as a vital component of the institution's educational system, and student-athletes shall be treated consistently with the student body. Consistent with this philosophy, the institution shall demonstrate that: *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle, 4/28/11)*

- (a) The institution admits all student-athletes as regularly enrolled, degree-seeking students in accordance with the regular, published entrance requirements that apply to all applicants; *(Revised: 4/30/09)*
- (b) The institution admits only student-athletes who have reasonable expectations of obtaining academic degrees;
  - (1) If the academic profile of entering student-athletes, as a whole or for any student-athlete subgroup, is lower than that of other student-athletes or comparable student-body groups or subgroups, the contrast shall be analyzed and explained by appropriate institutional authorities. *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*
  - (2) If the measures of academic performance of student-athletes, as a whole or for any student-athlete subgroup, are lower than that of other student-athletes or comparable student-body groups or subgroups, this disparity shall be analyzed, explained and, if necessary, addressed (through specific plans for improvement) by appropriate institutional authorities. *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*
- (c) Academic standards and policies applicable to student-athletes are consistent with those adopted by the institution for the student body in general or conference or Association standards, whichever are higher; *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*
- (d) Written policies related to scheduling are established in all sports to minimize student-athletes' conflicts with class time and/or final examination periods due to participation in intercollegiate athletics, consistent with the provisions of Constitution 3.2.4.14; and *(Adopted: 3/10/04)*
- (e) Assessment, evaluation and plans for improvement exist to ensure acclimation, retention and academic success for student-athletes with special academic needs and student-athletes who are admitted through the institution's special-admission process or, for those institutions without a special-admission process, student-athletes in the lower quartile of the institution's student academic profile. *(Adopted: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*

**22.2.2.2 Academic Support.** Members of the Association have the responsibility to conduct intercollegiate athletics programs in a manner designed to protect and enhance the educational experience of student-athletes and to assure proper emphasis on educational objectives. Consistent with this responsibility, the institution shall demonstrate that: *(Revised: 4/27/06, 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*

- (a) Adequate academic support services are available for student-athletes;
- (b) Student-athletes are encouraged and assisted in reaching attainable academic goals of their own choosing;
- (c) When it is determined that individual student-athletes have special academic needs, these needs are addressed either through institutional programming or through student-athlete support services; *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*
- (d) The support services are evaluated and approved at least once every four years by appropriate academic authorities outside the department of intercollegiate athletics (e.g., faculty members or academic administrators of the institution); *(Revised: 3/11/09)*
- (e) There is a commitment to the fair and equitable treatment of student-athletes, in support of their academic endeavors; and *(Adopted: 3/10/04, Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*
- (f) Academic improvement plans developed during the previous self-study have been implemented. Following initial campus approval of an academic-improvement plan, if the plan is modified or not fully implemented, the institution shall provide a written explanation prepared and approved by appropriate institutional authorities. *(Adopted: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle, Revised: 4/28/11)*

**22.2.3 Gender, Diversity and Student-Athlete Well-Being.** *(Revised: 3/10/04)*

**22.2.3.1 Gender Issues.** It is a principle of the Association to conduct and promote its athletics programs free from gender bias. In accordance with this fundamental principle, the institution shall: *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*

- (a) Have implemented its approved gender-equity plan from the previous self-study. If the plan was modified or not fully implemented, provide an acceptable explanation from appropriate institutional authorities; *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*
- (b) Demonstrate that it is committed to, and has progressed toward, fair and equitable treatment of both male and female student-athletes and athletics department personnel; and
- (c) Formally adopt a written plan for the future of the intercollegiate athletics program that ensures the institution maintains, or continues progress toward, a program that is equitable for both genders. The plan shall include measurable goals the institution intends to achieve, steps the institution will take to achieve those goals, persons responsible and timetables. *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*



**22.2.3.2 Diversity Issues.** It is a principle of the Association to promote respect for and sensitivity to the dignity of every person and to refrain from discrimination. In accordance with this fundamental principle, the institution shall: *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*

- (a) Have implemented its approved minority issues plan from the previous self-study. If the plan was modified or not fully implemented, provide an acceptable explanation from appropriate institutional authorities; *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*
- (b) Demonstrate that it is committed to, and has progressed toward, fair and equitable treatment of all student-athletes and athletics department personnel with diverse racial, ethnic and other backgrounds; and *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*
- (c) Formally adopt a written plan for the future of the intercollegiate athletics program that ensures the institution maintains, or continues progress toward, a program that expands equitable opportunities and support for student-athletes and athletics personnel with diverse racial, ethnic and other backgrounds. The plan shall include measurable goals the institution intends to achieve, steps the institution will take to achieve those goals, persons responsible and timetables. *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*

**22.2.3.3 Student-Athlete Well-Being.** Conducting the intercollegiate athletics program in a manner designed to protect and enhance the physical and educational well-being of student-athletes is a basic principle of the Association. In accordance with this fundamental principle, the institution shall; *(Revised: 3/10/04, 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*

- (a) Provide evidence that the well-being of student-athletes and the fairness of their treatment is monitored, evaluated and addressed on a continuing basis;
- (b) Have established grievance or appeal procedures available to student-athletes in appropriate areas; and
- (c) Provide evidence that the institution has in place programs that protect the health of and provide a safe and inclusive environment for each of its student-athletes. *(Revised: 1/8/07 effective 8/1/08 beginning with the third athletics certification cycle)*

## **22.3 Institutional Performance Program Decisions.**

The Committee on Institutional Performance shall base its decisions regarding the status of an institution's athletics program on information contained in the institution's self-study report, the peer-review team's written report, the institution's written response to the review team's report and evidence presented at hearings or in-person appearances before the committee. Actions of the Committee on Institutional Performance regarding an institution's certification status shall be announced publicly. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

**22.3.1 Categories of Institutional Performance.** Based on the information presented, the Committee on Institutional Performance shall determine an institution's institutional performance program status consistent with the following three categories: *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

**22.3.1.1 Certified.** A certified institution shall have been deemed to be in substantial conformity with the operating principles in Bylaw 22.2. *(Adopted: 1/16/93 effective 1/1/94)*

**22.3.1.2 Certified with Conditions.** An institution that is certified with conditions shall have been deemed to be in substantial conformity with the operating principles in Bylaw 22.2, subject to the institution's fulfillment of certain corrective actions in a reasonable, specified period of time. An institution may evidence fulfillment of these corrective actions prior to the time specified by the committee. *(Adopted: 1/16/93 effective 1/1/94)*

**22.3.1.3 Not Certified.** An institution that is not certified shall have been deemed to be not in substantial conformity with the operating principles in Bylaw 22.2 and shall be subject to the fulfillment of certain corrective actions in a reasonable, specified period of time. An institution may evidence fulfillment of these corrective actions prior to the time specified by the committee. *(Adopted: 1/16/93 effective 1/1/94)*

**22.3.2 Postponement of Institutional Performance Program Decision.** The committee reserves the right to postpone the institutional performance program decision of an institution under either of the following conditions: *(Adopted: 1/16/93 effective 1/1/94, Revised: 8/11/98, 1/19/13)*

- (a) When its self-study is deemed to be inadequate (e.g., lacking accuracy, openness or campus-wide participation). If the institution does not respond to the committee's concerns in a period of time specified by the committee, it may be placed in restricted-membership status. Such an institution shall not be eligible for an institutional performance program decision until an appropriate self-study is completed; or
- (b) In instances in which the committee, during its deliberations, concludes that the institution has not received adequate notice from the peer-review team of a problem significant enough to affect the institution's institutional performance program status. The postponement allows the committee to seek written clarification from the institution and the peer-review team chair prior to rendering a decision as to the institutional performance program status of the institution.

**22.3.3 Corrective Action.** When problems are identified pursuant to the institutional performance program process, a member institution shall take corrective action. Requirements for taking corrective action, and a record

of such action, shall be a routine aspect of the institutional performance program process and shall be available for review during later institutional performance program decisions. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

**22.3.3.1 Demonstration of Fulfillment of Corrective Action.** The Committee on Institutional Performance shall require institutions to demonstrate that corrective actions identified by the committee have been taken in the specified period of time and, upon such a showing, shall grant the institution full institutional performance status. *(Adopted: 1/16/93 effective 1/1/94, 1/19/13)*

**22.3.3.2 Failure to Take Corrective Actions.** An institution that fails to conduct an appropriate self-study or to make an effective effort to correct problems in its athletics program may be denied an institutional performance program decision following the expiration of a reasonable, specified period of time during which the institution may rectify its deficiencies. *(Adopted: 1/16/93 effective 1/1/94, 1/19/13)*

**22.3.3.2.1 Not Certified—Restricted Membership.** If, following the expiration of the time period specified in Bylaw 22.3.3.2, the Committee on Institutional Performance determines that serious problems remain in the institution's athletics program, the institution shall be placed in a restricted-membership category, consistent with the provisions of Bylaw 20.2.5.1. *(Adopted: 1/16/93 effective 1/1/94, 1/19/13)*

**22.3.3.2.2 Not Certified—Loss of Membership.** If, at the end of the restricted-membership year specified in Bylaw 22.3.3.2.1, the Committee on Institutional Performance concludes that the concerns cited previously related to the institution's athletics department still are not being addressed properly, the institution shall forfeit its membership in the Association. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/15/11 effective 8/1/11, 1/19/13)*

**22.3.4 Action on Referrals from Committee on Infractions.** The Committee on Institutional Performance may review and alter an institution's status upon referral from the Committee on Infractions. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

**22.3.5 Appeals.** Following a hearing before the Committee on Institutional Performance, an institution may appeal the decision of the Committee on Institutional Performance pursuant to this section to the Legislative Council (see Bylaw 33 for hearing procedures). *(Adopted: 1/16/93 effective 1/1/94, Revised: 11/1/07 effective 8/1/08, 1/19/13)*

## 22.4 Conference Assistance.

Conference offices may assist member institutions in the regular review of the institution's commitment to compliance with the rules of the Association and in the development and maintenance of institutional compliance objectives and strategies. Conference records of ongoing institutional compliance efforts shall be subject to review by the peer-review team. Conferences also may serve as facilitators in the institutional performance program process, linking participating institutions and external review teams as follows: *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

- (a) Participating in the orientation process for institutions scheduled for review;
- (b) Accompanying peer-review teams on campus visits of conference members; and
- (c) Ensuring that conference members develop, implement and report corrective actions identified as a normal part of the institutional performance program process.

## 22.5 Other Assistance.

An institution may obtain other assistance in carrying out the responsibilities specific to the institutional performance program set forth in Bylaw 22.4, subject to the prior approval of the Committee on Institutional Performance. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*



# Executive Regulations

## 31.01 General Principles.

**31.01.1 Names of Championships.** All NCAA championships (see Bylaw 18.3) have formal designations that identify their appropriate category and sport classification (see Bylaw 31.02.2). The name of each championship is the property of the Association (see Bylaw 31.6).

**31.01.2 Postseason Championship Opportunities.** NCAA championships are intended to provide national-championship competition among the best eligible student-athletes and teams at the conclusion of the respective sport seasons, with consideration for regional structures that may be approved for certain championships.

**31.01.3 Size of Championship Fields.** The size of all NCAA championships fields shall be established by the Championships/Sports Management Cabinet to provide for efficient management of the events, adequate NCAA championship opportunities relative to the nationwide quality of competition and sound economic administration of the financial resources of the Association and its championships. (See Bylaw 31.3.1 for the criteria to be considered in establishing the size of the championship field.) *(Revised: 11/1/07 effective 8/1/08)*

**31.01.4 Economy of Operation.** Every sports committee (see Bylaws 21.02.1 through 21.02.3) and games committee (Bylaw 31.1.2) shall exercise all possible economy in the conduct of an NCAA championship.

## 31.02 Definitions and Applications.

**31.02.1 Automatic Qualification.** Automatic qualification is the automatic entry into a championship field by a team or individual student-athletes representing a member conference recommended by the appropriate sports committee and approved by the Championships/Sports Management Cabinet (see Bylaw 31.3.4). *(Revised: 11/1/07 effective 8/1/08)*

### 31.02.2 Championships Classification and Terminology.

**31.02.2.1 Team Championships.** Team championships are those conducted for the team sports (see Bylaw 17.02.12.1). The title of a team championship is always singular and is identified as a National Collegiate Championship or a division championship (e.g., National Collegiate Women's Water Polo Championship, Division I Men's Basketball Championship).

**31.02.2.2 Individual-Team Championships.** Individual-team championships are those conducted for the individual sports (see Bylaw 17.02.12.2). The title of an individual-team championship is always plural, reflecting the fact that both individual and team championships are determined (e.g., National Collegiate Men's Gymnastics Championships, Division I Women's Tennis Championships).

**31.02.3 Core Conference.** A core conference is a multisport conference that has been elected to membership and, as a result of legislation, is identified in the applicable sections of Constitution 4 related to representation in the NCAA governance structure. *(Adopted: 1/15/11 effective 8/1/11)*

**31.02.4 Misconduct.** Misconduct in an NCAA championship is any act of dishonesty, unsportsmanlike conduct, unprofessional behavior or breach of law, occurring from the time the championship field is announced through the end of the championship, that discredits the event or intercollegiate athletics. *(Revised: 8/15/89, 8/13/92, 1/14/08 effective 8/1/08)*

## 31.1 Administration of NCAA Championships.

**31.1.1 Authority of Championships/Sports Management Cabinet, Leadership Council, Board of Directors and/or Executive Committee and Sports Committees.** As specified in Bylaw 18.1, all NCAA championships shall be conducted in accordance with the general policies established by the Championships/Sports Management Cabinet, Leadership Council, Board of Directors and/or Executive Committee and shall be under the control, direction and supervision of the appropriate sports committees, subject to the standards and conditions set forth in these executive regulations. Additional policies of an administrative nature are set forth in the respective championships handbooks and are to be followed in the administration of NCAA championships. *(Revised: 8/9/07, 11/1/07 effective 8/1/08)*

**31.1.1.1 Waivers.** The NCAA president shall be authorized to grant waivers of executive regulations governing the conduct of an NCAA championship when warranted by special and unusual circumstances.

**31.1.1.2 Appeal of Decisions of Sports Committees.** An appeal of a decision of a governing sports committee, or a subcommittee designated by it, concerning questions of individual or institutional eligibility or the conduct of a championship will not be considered by the Championships/Sports Management Cabinet at any time during the championship or 48 hours immediately preceding the beginning of the championship. During such period, the governing sports committee, or a subcommittee designated by it, shall be the final authority in acting upon appeals concerning the conduct of the championship, subject to the provisions of Bylaw 31.2 regarding institutional and individual eligibility questions. *(Revised: 11/1/07 effective 8/1/08)*

**31.1.2 Games Committee.** The governing sports committee shall appoint a games committee to supervise actively the conduct of each championship session. The games committee conducting any NCAA championship shall limit participation to eligible student-athletes and may limit the number of entries or reject any application for entry in any event in order that the competition shall best promote the welfare and interest of the sport involved.

**31.1.3 Sites and Dates.** The governing sports committees recommend to the Championships/Sports Management Cabinet the sites and dates for all NCAA championships. *(Revised: 11/1/07 effective 8/1/08)*

**31.1.3.1 Championships/Sports Management Cabinet Approval.** Championships/Sports Management Cabinet approval shall be obtained before final site commitments are made to the host institution or any other individual or organization associated with the management of an NCAA championship. However, in the sports of baseball, basketball, field hockey, football, ice hockey, lacrosse, soccer, softball and volleyball, the governing sports committees are authorized to select sites for preliminary rounds of competition without prior Championships/Sports Management Cabinet approval. *(Revised: 11/1/07 effective 8/1/08)*

**31.1.3.2 Site Selection.** The governing sports committees shall evaluate prospective sites for NCAA championships in terms of the specific criteria approved by the Championships/Sports Management Cabinet. The division championships committees may assign specific priorities to these criteria for their respective championships. These shall be specified in the appropriate championships handbooks. A governing sports committee that desires to use additional criteria shall obtain Championships/Sports Management Cabinet approval before doing so. *(Revised: 11/1/07 effective 8/1/08)*

**31.1.3.2.1 Criteria for Site Determination.** The following criteria are to be used in the evaluation of sites for all competition in NCAA championships:

- (a) Quality and availability of the facility and other necessary accommodations;
- (b) Revenue potential (e.g., a financial guarantee or guideline that ensures fiscal responsibility and is appropriate for the particular event, as recommended by the governing sports committee and approved by the Championships/Sports Management Cabinet); *(Revised: 11/1/07 effective 8/1/08)*
- (c) Attendance history and potential;
- (d) Geographical location; and
- (e) Championships operating costs. *(Revised: 11/1/01)*

**31.1.3.2.1.1 Site Bid Information.** Sports committees shall submit information related to championships site bids in a format approved by the Championships/Sports Management Cabinet. *(Adopted: 11/1/01, Revised: 11/1/07 effective 8/1/08)*

**31.1.3.2.2 Nonpredetermined Site.** If a championship site is not predetermined, the governing sports committee may award the site to the higher-ranked team if the above criteria, and any priorities established by the respective division championships committee, are met.

**31.1.3.2.3 On-Campus Versus Off-Campus Sites.** Preference shall be given to conducting competition on the grounds or in the buildings of educational institutions unless there are compelling reasons, based on evaluation of the criteria in Bylaw 31.1.3.2.1 (which shall be applied to both on-campus and off-campus sites), to conduct the competition in an off-campus facility. In those instances in which it is advisable to conduct the competition at off-campus sites, the host institution(s) shall have complete control, supervision and management of the facility being used. *(Revised: 12/3/90)*

**31.1.3.2.4 Reconsideration of Host Institution.** The Championships/Sports Management Cabinet may reconsider the designation of a host institution for an NCAA championship if that institution's team or individual student-athletes are not eligible to compete in the championship. *(Revised: 11/1/07 effective 8/1/08)*

**31.1.3.2.5 Nonrevenue Championships Site Assignment.** In championships that do not generate revenue and for which only 25 percent of the bracket is seeded, seeded teams shall have the opportunity to host preliminary rounds. Conference opponents shall be avoided in the first two rounds of the championships. *(Adopted: 4/28/11 effective 8/1/11)*

**31.1.3.3 Concluding Dates.** NCAA championships competition shall be concluded not later than May 31 each year unless later dates are approved by the Championships/Sports Management Cabinet. (Note: The



Division I Baseball Championship, the Division I Men's Golf Championships, and the Division I Men's and Women's Outdoor Track and Field Championships have been granted waivers by the Championships/Sports Management Cabinet.) (*Revised: 11/1/07 effective 8/1/08*)

**31.1.4 Day of Competition.** NCAA championships competition may be scheduled or conducted on any day, provided the governing sports committee has received the prior approval of the Championships/Sports Management Cabinet and the following regulations are applied. (*Revised: 4/22/98, 8/11/98, 11/1/07 effective 8/1/08*)

**31.1.4.1 Institutional Policy.** If a participating institution has a written policy against competition on a particular day for religious reasons, it shall submit its written policy to the governing sports committee on or before September 1 of each academic year in order for it or one of its student-athletes to be excused from competing on that day. The championship schedule shall be adjusted to accommodate that institution. (*Adopted: 4/22/98, Revised: 8/11/98, 10/28/99, 5/2/13*)

**31.1.4.2 Individual Championships.** In individual championships, an athlete must compete according to the institution's policy regarding Sunday competition (if the institution has no policy against Sunday competition, the athlete shall compete on Sunday if required by the schedule).

**31.1.4.3 Rescheduling.** If an emergency develops that causes postponement of an NCAA championship, or if the competitive situation dictates a more expeditious completion of the meet or tournament, Sunday competition may be permitted, provided the competing institutions are agreeable and advance approval is obtained from the Championships/Sports Management Cabinet. (*Revised: 11/1/07 effective 8/1/08*)

**31.1.4.4 Noon Start Time.** NCAA competition conducted on Sunday may not begin prior to noon, local time.

**31.1.4.4.1 Exception.** Sports committees may reschedule competition to begin prior to noon on Sunday if extenuating circumstances arise (e.g., weather) that would affect the health and safety of the participants or otherwise cause postponement of the championship. (*Adopted: 4/25/02, Revised: 10/30/03*)

**31.1.4.4.2 Exception—Men's Golf and Women's Rowing Championships.** In instances in which the final day of the men's golf or women's rowing championships occurs on a Sunday, competition may begin prior to noon. (*Adopted: 10/31/02 effective 8/1/03, Revised: 1/14/12*)

**31.1.5 Squad Limits.** In any championship in which a squad limit has been established by the governing sports committee or by the bylaws, the number of eligible student-athletes in competitive uniform at the start of the competition shall not exceed the prescribed number. An institution that is advised that it is in violation of this regulation and that does not promptly conform to it automatically shall forfeit the competition. There shall be no inordinate delay of the competition to allow the institution to conform to the rule.

### **31.1.6 Playing Rules.**

**31.1.6.1 Non-NCAA Rules, Men's Sports.** In those men's sports in which the Association does not publish rules, the NCAA championships shall be conducted according to the following, except where those rules are superseded by modifications made by the appropriate governing sports committee (see Bylaw 18.6):

- (a) Fencing—U.S. Fencing Association Rules;
- (b) Golf—U.S. Golf Association Rules;
- (c) Gymnastics—International Gymnastics Federation Rules;
- (d) Rifle—USA Shooting Rules; (*Revised: 4/20/11*)
- (e) Tennis—U.S. Tennis Association Rules; and
- (f) Volleyball—U.S. Volleyball Association Rules.

**31.1.6.2 Non-NCAA Rules, Women's Sports.** In those women's sports in which the Association does not publish rules, the NCAA championships shall be conducted according to the following, except where those rules are superseded by modifications made by the appropriate governing sports committee (see Bylaw 18.6):

- (a) Fencing—U.S. Fencing Association Rules;
- (b) Field Hockey—International Field Hockey Rules;
- (c) Golf—U.S. Golf Association Rules;
- (d) Gymnastics—International Gymnastics Federation Women's Code of Points with the U.S. Gymnastics Federation Class I, Competition I-B rules and the USGF modifications;
- (e) Rifle—USA Shooting Rules; (*Revised: 4/20/11*)
- (f) Rowing—U.S. Rowing Rules; and (*Adopted: 1/14/97*)
- (g) Tennis—U.S. Tennis Association Rules.

**31.1.7 Logo Restrictions—Bench Personnel.** The logo restrictions on student-athletes' apparel set forth in Bylaw 12.5.4 shall apply during NCAA championships to all personnel (e.g., coaches, trainers, managers) who are on the team bench for practices and games or who participate in NCAA news conferences. (*Adopted: 8/11/98 effective 8/1/99; those contracts between institutions and apparel manufacturers or distributors that include logo specifications may be honored, provided such contracts were in effect before 8/11/98*)

**31.1.8 Logo Restrictions—Noncompeting Participants.** The logo restriction on student-athletes' apparel set forth in Bylaw 12.5.4 shall apply to commercial logos on uniforms worn by band members, cheerleaders, dance team members and the institution's mascot during NCAA championship events. (*Adopted: 8/11/98 effective 8/1/99*)

**31.1.9 Medical Disqualification.** The student-athlete's team physician shall examine each athlete injured during NCAA competition and make a recommendation to the athlete, the coach and the chair of the governing sports committee, or the chair's designated representative, as to the advisability of continued participation or disqualification of the athlete. In the absence of said team physician, the NCAA tournament physician, as recommended by the host institution and approved by the governing sports committee, shall examine the injured athlete and make a recommendation as noted above. The chair of the governing sports committee, or the chair's designated representative, shall be responsible for enforcement of the medical recommendation if it involves disqualification.

**31.1.10 Misconduct.** Each games committee shall hold a pretournament meeting with the coaches of participating institutions to review and explain the policies related to misconduct (as defined in Bylaw 31.02.4).

**31.1.10.1 Hearing Opportunity.** An act of misconduct may be found upon an administrative hearing granted to the student-athlete or the institutional representative involved by the governing sports committee or the games committee authorized to act for it.

**31.1.10.2 Misconduct Incident During Competition.** If the act of misconduct occurs during the competition, under normal circumstances the individual shall be allowed to complete the competition in which he or she is participating at the time of the incident. An administrative hearing shall be held at the conclusion of the day's competition, during a break in the continuity of the championship (e.g., between rounds of a basketball tournament) when no competition is being conducted or at the conclusion of the championship. However, if the act of misconduct is so flagrant that it obviously violates the principles of fair play and sportsmanship, the games committee may immediately withdraw the student-athlete or institutional representative from the competition and conduct the hearing following this action. Other acts of misconduct may be dealt with at the governing sports committee's convenience. (*Revised: 8/13/92*)

**31.1.10.3 Penalty for Misconduct.** A governing sports committee (or the games committee authorized to act for it) may impose any one or a combination of the following penalties on an institution or any student-athlete or representative of an institution guilty of misconduct: (*Revised: 1/14/08 effective 8/1/08*)

- (a) Public or private reprimand of the individual;
- (b) Disqualification of the individual from further participation in the NCAA championship involved;
- (c) Banishment of the individual from participation in one or more following championships of the sport involved;
- (d) Cancellation of payment to the institution of the Association's travel guarantee for the individuals involved;
- (e) Withholding of all or a portion of the institution's share of revenue distribution;
- (f) Banishment of the institution from participation in one or more following championships in which its team in that sport otherwise would be eligible to participate;
- (g) Disqualification of an institution for a period of time from serving as host institution for one or more NCAA championships; (*Revised: 8/13/92*)
- (h) Cancellation of all or a portion of the honorarium for hosting an NCAA championship; and (*Revised: 8/13/92*)
- (i) Financial or other penalties different from (a) through (h) above, but only if they have prior approval of the Championships/Sports Management Cabinet. (*Revised: 8/13/92, 11/1/07 effective 8/1/08*)

**31.1.10.4 Ban from Following Championship.** When a student-athlete or institutional representative is banned from participation in a following championship, such penalty shall be applied to the next tournament(s) in which the individual's team is involved and the individual otherwise is eligible to participate. In the case of an individual event, the penalty shall be applied to the next meet(s) or tournament(s) for which the individual qualifies and otherwise is eligible to participate.

**31.1.10.5 Review of Action.** Any action related to misconduct may be reviewed by the governing sports committee upon request of any institution participating in the championship.

### **31.1.11 Failure to Adhere to Policies and Procedures.**

**31.1.11.1 Financial Penalties.** A governing sports committee may assess a financial penalty against an institution for failure of any of its representatives to adhere to the policies and procedures governing championships administration, subject to review by and appeal to the Championships/Sports Management Cabinet. The institution may be assessed: (*Revised: 11/1/07 effective 8/1/08*)

- (a) One hundred dollars per team or \$50 per individual, up to a \$600 maximum penalty, for failure to adhere to published procedures for the submission of regular-season results, availability questionnaires and/or entry forms; (*Revised: 10/29/09*)

- (b) One hundred dollars, cancellation of all or a portion of the Association's travel guarantee, or all or a portion of the institution's share of revenue distribution for failure to adhere to published managerial and administrative policies and procedures; (*Revised: 5/7/90*)
- (c) Cancellation of all or a portion of the honorarium for hosting an NCAA championship for failure to submit the financial report within 60 days following the competition, as specified in Bylaw 31.4.1.1; or (*Revised: 5/7/90*)
- (d) Financial or other penalties different from (a), (b) and (c) above, but only if they have prior approval of the Championships/Sports Management Cabinet. (*Revised: 5/7/90, 11/1/07 effective 8/1/08*)

**31.1.11.2 Late-Entry Fines.** Institutions shall not be charged entry fees for teams or student-athletes competing in NCAA championships, but governing sports committees may establish late-entry fines, subject to the approval of the Championships/Sports Management Cabinet. (*Revised: 11/1/07 effective 8/1/08*)

**31.1.12 Awards.** The Association has created standard participant and commemorative awards for individuals and teams that participate in NCAA championships. The number of such awards for each championship shall be determined by the governing sports committee, subject to the approval of the Championships/Sports Management Cabinet. These official NCAA awards shall be the only awards presented by the Association to teams and individuals for participation in NCAA championships competition and shall be the only awards presented at the site of an NCAA championship. "At the site" is intended to include the period from the time access to the site is available to spectators until all patrons have left the facility or area used for competition. (See Figure 16-1, Figure 16-2 and Figure 16-3 for additional limitations on awards.) (*Revised: 8/8/02, 11/1/07 effective 8/1/08*)

**31.1.12.1 Additional Awards.** Subject to the director of athletics' discretion, an institution may purchase standard participant or commemorative awards at the level in which the institution competed. The NCAA awards form shall be used to purchase additional awards. (*Adopted: 8/8/02, Revised: 10/28/04, 5/18/05*)

**31.1.12.2 Other Permissible Awards.** The above provision places no restriction on the presentation of awards at banquets or meetings held in conjunction with the championship or at sites other than that of the championships competition itself.

**31.1.13 Admission and Tickets.** Admission shall be charged at all NCAA championships unless a governing sports committee determines that charging admission is not feasible because of facility configuration or the expense relative to the event's attendance history. Ticket prices shall be determined by the respective games committees with the approval of the governing sports committee.

**31.1.14 Restricted Advertising and Sponsorship Activities.** The following activities are restricted when they occur in conjunction with NCAA championships. Other restrictions are set forth in the championships handbooks.

**31.1.14.1 Advertising.** Advertising policies of the Association are designed to exclude those advertisements that do not appear to be in the best interests of higher education. The NCAA president shall have the authority to rule in cases in which doubt exists concerning acceptable advertisers and advertising copy of game programs, broadcasts and telecasts of NCAA championships; however, the following expressly are prohibited: (*Revised: 8/15/89, 1/14/12*)

- (a) Alcoholic beverages (except as specified below);
- (b) Cigarettes and other tobacco products; and
- (c) Organizations promoting gambling.

**31.1.14.1.1 Malt Beverages, Beer and Wine Advertisements.** Advertising of malt beverages, beer and wine products that do not exceed six percent alcohol by volume may be used in game programs. Such advertisements, however, shall not compose more than 14 percent of the space in the program devoted to advertising or not more than 60 seconds per hour of any telecast or broadcast (either a single 60-second commercial or two 30-second commercials).

**31.1.14.1.2 Sponsorships.** A championships activity or promotion may not be sponsored by liquor, tobacco, beer or wine companies at any time. (*Revised: 1/14/12*)

**31.1.14.1.2.1 Professional Sports Organizations.** A professional sports organization may serve as a financial sponsor of an NCAA championship competition event, provided the organization is not publicly identified as such. A professional sports organization may serve as a financial sponsor of an activity or promotion that is ancillary to the competition event and may be publicly identified as such. The NCAA may receive financial contributions from a professional sports organization for sponsorship of a specific NCAA championship competition event, including ancillary activities and promotions. (*Adopted: 1/14/12*)

**31.1.15 Availability of Alcoholic Beverages.** Alcoholic beverages shall not be sold or otherwise made available for public consumption at any championship event sponsored by or administered by the Association, nor shall any such beverages be brought to the site during the championship (during the period from the time access to the site is available to spectators until all patrons have left the facility or area used for competition).

## 31.2 Eligibility for Championships.

**31.2.1 Institutional Eligibility.** To be eligible to enter teams or individual student-athletes in NCAA championships, an institution shall recognize the sport involved as a varsity intercollegiate sport (see Bylaw 17.02.12) and shall meet the institutional requirements set forth in Bylaw 18.4.2 applicable to the division in which the institution is a member or for which it is petitioning for eligibility in a sport. An institution that holds membership in a member conference may not enter teams or individuals in an NCAA championship unless they are eligible for such competition under the rules of that conference [see Bylaw 18.4.2.1-(a)].

**31.2.1.1 Commitment to Participate.** Eligible members in a sport who are not also members of the National Association of Intercollegiate Athletics will participate (if selected) in the NCAA championship or in no postseason competition in that sport. *(Revised: 8/12/91)*

**31.2.1.2 Deadline.** The institutional eligibility requirements for entry into NCAA championships (see Bylaw 18.4.2) must be met by the following dates [in addition to the deadline for the academic reporting form required for Division I set forth in Bylaw 18.4.2.2-(c)]:

- (a) September 15 for fall championships;
- (b) December 1 for winter championships; and
- (c) March 1 for spring championships.

**31.2.1.2.1 Compliance with Deadline.** Any dues check or approved form required shall be received in the national office by the applicable date or must have been postmarked not later than seven days prior to the applicable date.

**31.2.1.3 Deadline Waivers.** Institutions that fail to meet a deadline for institutional eligibility in NCAA championships may appeal to the Championships/Sports Management Cabinet for a waiver. If the appeal is granted, the institution may be restored to eligibility for NCAA championships. *(Revised: 11/1/07 effective 8/1/08)*

**31.2.1.3.1 Penalty for Additional Waiver.** An institution that fails to meet a deadline again may be granted a waiver only on payment of a penalty of \$150 for the second offense and \$300 for each offense thereafter during the five-year period preceding the last offense. On approval of such waiver and receipt of the institution's check in the national office, the institution may compete in NCAA championships, provided it is otherwise eligible. *(Revised: 1/11/07 effective 8/1/08)*

**31.2.1.4 Joint-Declaration Program.** The NCAA and the National Association of Intercollegiate Athletics together administer a joint-declaration program in those men's and women's sports in which there is a date conflict between the national championships of the two organizations. In such championships, each institution that holds membership in both organizations must declare by mid-September each year whether it will participate (if selected) in the NAIA championship, in the NCAA championship or in no postseason competition in that sport. An institution that fails to submit the joint-declaration form by the established deadline shall be ineligible to compete in both associations' championships in the particular sport(s) that year. An appeal process exists for such institutions and such an appeal may be submitted to the Championships/Sports Management Cabinet. *(Revised: 11/1/07 effective 8/1/08)*

**31.2.1.5 National Collegiate Championships.** In team sports in which the NCAA offers only one national championship, all member institutions in good standing are eligible to compete in accordance with the requirements of division membership (see Bylaw 20.9) and institutional eligibility (per Bylaw 31.2.1).

**31.2.1.6 Division Championships.** In those sports in which national-championship competition is offered in more than one division, only those member institutions that meet the membership requirements of the respective divisions or requirements applicable to institutions competing outside their membership divisions are eligible to compete in such division championships (see Bylaw 20).

**31.2.1.7 Eligibility Requirements.** Institutional eligibility for all championships shall be limited to member institutions that meet institutional eligibility requirements (see Bylaw 31.2.1) and any additional requirements specified in the applicable championships handbooks.

**31.2.1.7.1 Eligibility of Participants.** An institution shall advise the NCAA championships staff if a student-athlete who has participated in regular-season competition becomes ineligible before the date on which the governing sports committee selects championship participants, as indicated in the appropriate championships handbook.

**31.2.1.7.1.1 Failure to Report Ineligible Student-Athlete Prior to Selection.** If an institution fails to report an ineligible student-athlete prior to being selected to participate in the championship, the governing sports committee may declare the institution ineligible to participate in the tournament for one or two years.

**31.2.1.7.1.2 Discovery of Ineligibility of Student-Athlete After Selection.** If an institution fails to report an ineligible student-athlete and the omission is not discovered until after the institution is selected to participate in the championship, necessitating the institution's withdrawal from the championship, that withdrawal shall be considered as one of the years of ineligibility, provided another institution participates in the championship in place of the disqualified institution. If the discovery



of the ineligible student-athlete occurs so near the beginning of the championship that the governing sports committee does not have a reasonable period of time to replace the disqualified institution in the bracket, that fact shall be taken into consideration in determining the number of years the disqualified institution shall be ineligible to participate.

**31.2.1.7.1.3 Review of Violation of Terms of Availability Questionnaire.** When an institution is alleged to have violated the terms of an availability questionnaire (e.g., failing to report an ineligible student-athlete), it may be represented at the meeting of the governing sports committee when the committee determines whether a violation occurred and, if so, whether the institution shall be ineligible to participate in the tournament for one or more years. The institution may submit a written statement or make an in-person presentation, or both. After the governing sports committee has ruled on the matter, its decision shall be final unless the institution appeals the decision to the Championships/Sports Management Cabinet. The Championships/Sports Management Cabinet shall hear the appeal at one of its regularly scheduled meetings unless there are compelling reasons to conduct a special meeting for such purpose. *(Revised: 11/1/07 effective 8/1/08)*

**31.2.1.7.2 Protest Regarding Eligibility of Team.** Any team that has been duly certified as eligible for an NCAA championship shall not be withheld from participation because of any protest made or filed during the progress of the competition or during a period 24 hours immediately before the championship. If there is a break in the continuity of the championship (e.g., between rounds of a basketball tournament) when no competition is being conducted, a team may be withheld from further competition in the championship, provided such protest is made or filed at least 24 hours before the next segment of the championship.

**31.2.2 Individual Eligibility.** All student-athletes, regardless of division, must meet the eligibility standards established for NCAA championships competition. The general and academic eligibility requirements are set forth in detail in Bylaw 14, in which there also is reference to other legislation regarding eligibility of the individual student-athlete. Other specific requirements for eligibility for NCAA championships (e.g., authority of the Academic Cabinet, Committee on Student-Athlete Reinstatement, amateur status certification and ineligibility for use of banned drugs) are set forth in Bylaws 10.3, 10.4 and 18.4.1. The Executive Committee may require the student-athletes to certify their eligibility for championships competition. *(Revised: 3/5/97, 11/1/07 effective 8/1/08)*

**31.2.2.1 Eligibility Requirements.** A member institution shall not enter a student-athlete, as an individual or as a member of a team, in an NCAA championship unless the student-athlete satisfies the relevant eligibility requirements of Bylaw 14. *(Revised: 8/15/89)*

**31.2.2.2 Protest of Eligibility Status.** Any student-athlete duly certified by the institution for an NCAA championship shall not be withheld from participation because of any protest made or filed during the championship or during the 24 hours immediately before the championship. If there is a break in the continuity of the championship (e.g., between rounds of a basketball tournament) when no competition is being conducted, the student may be withheld from further competition in the championship, provided the protest is made or filed at least 24 hours before the next segment of the championship.

**31.2.2.3 Participation While Ineligible.** When a student-athlete competing as an individual or representing the institution in a team championship is declared ineligible following the competition, or a penalty has been imposed or action taken as set forth in Bylaw 19.9.7-(g) or 19.13 of the NCAA enforcement program, the Committee on Infractions may require the following: *(Revised: 4/26/01)*

- (a) **Individual Competition.** The individual's performance may be stricken from the championships records, the points the student has contributed to the team's total may be deleted, the team standings may be adjusted accordingly, and any awards involved may be returned to the Association. For those championships in which individual results are recorded by time, points or stroke totals (i.e., cross country, golf, gymnastics, indoor track and field, outdoor track and field, rifle, swimming and skiing), the placement of other competitors may be altered and awards presented accordingly. For those championships in which individual results are recorded by advancement through a bracket or head-to-head competition, the placement of other competitors shall not be altered. *(Revised: 8/15/89, 4/26/01)*
- (b) **Team Competition.** The record of the team's performance may be deleted, the team's place in the final standings may be vacated, and the team's trophy and the ineligible student's award may be returned to the Association. *(Revised: 4/26/01)*

**31.2.2.4 Institutional Penalty for Ineligible Participation.** When an ineligible student-athlete participates in an NCAA championship and the student-athlete or the institution knew or had reason to know of the ineligibility, the NCAA Committee on Infractions may assess a financial penalty. *(Revised: 4/26/01 effective 8/1/01)*

**31.2.3 Ineligibility for Use of Banned Drugs.** Bylaw 18.4.1.5 provides that a student-athlete who as a result of a drug test administered by the NCAA is found to have used a substance on the list of banned drug classes, shall be declared ineligible for further participation in postseason and regular-season competition during the time period ending one calendar year after the collection of the student-athlete's positive drug-test specimen. The student-athlete shall be charged with the loss of a minimum of one season of competition in all sports if the



season of competition has not yet begun or a minimum of the equivalent of one full season of competition in all sports if the student-athlete tests positive during his or her season of competition (the remainder of contests in the current season and contests in the following season up to the period of time in which the student-athlete was declared ineligible during the previous year). The student-athlete shall remain ineligible until the student-athlete tests negative (in accordance with the testing methods authorized by the Executive Committee) and the student-athlete's eligibility is restored by the Committee on Student-Athlete Reinstatement. If the student-athlete participates in any contests from the time of collection until the confirmation of the positive result, he or she must be withheld from an equal number of contests after the 365-day period of ineligibility. *(Revised: 1/16/93, 1/9/96 effective 8/1/96, 1/14/97 effective 8/1/97, 3/10/04, 4/28/05 effective 8/1/05, 11/1/07)*

**31.2.3.1 Breach of NCAA Drug-Testing Program Protocol.** A student-athlete who is in breach of the NCAA drug-testing program protocol (e.g., no-show) shall be considered to have tested positive for the use of any drug other than a "street" drug. *(Revised: 4/28/05 effective 5/1/05, 4/26/12 effective 8/1/12)*

**31.2.3.1.1 Tampering with Drug-Test Sample.** A student-athlete who is involved in a case of clearly observed tampering with an NCAA drug-test sample, as documented per NCAA drug-testing protocol by a drug-testing crew member, shall be charged with the loss of a minimum of two seasons of competition in all sports if the season of competition has not yet begun for that student-athlete or a minimum of the equivalent of two full seasons of competition in all sports if the student-athlete is involved in the tampering with a drug-test sample during his or her season of competition (the remainder of contests in the current season and contests in the following two seasons up to the period of time in the initial season in which the student-athlete was declared ineligible). The student-athlete shall remain ineligible for all regular-season and postseason competition during the time period ending two calendar years (730 days) after the student-athlete was involved in the tampering with a drug-test sample and until the student-athlete tests negative (in accordance with the testing methods authorized by the Executive Committee) and the student-athlete's eligibility is restored by the Committee on Student-Athlete Reinstatement. *(Adopted: 4/26/12 effective 8/1/12, for tests occurring on or after August 1, 2012, Revised: 6/19/12)*

**31.2.3.2 Testing Positive on More than One Occasion.** If the student-athlete tests positive for the use of any banned drug other than a "street drug" after having previously tested positive for any banned drug other than a "street drug" he or she shall lose all remaining regular-season and postseason eligibility in all sports. If the student-athlete tests positive for the use of a "street drug" after having tested positive for the use of any banned drug, he or she shall lose a minimum of one additional season of competition in all sports and also shall remain ineligible for regular-season and postseason competition during the time period ending one calendar year (365 days) after the period of ineligibility for any prior positive drug tests has expired. Bylaw 18.4.1.5.2 also provides that the Executive Committee shall adopt a list of banned drugs and authorize methods for drug testing of student-athletes on a year-round basis. *(Revised: 4/28/05 effective 8/1/05, 6/17/08, 5/27/10)*

**31.2.3.3 Appeals.** An institution may appeal the duration of ineligibility to the Committee on Competitive Safeguards and Medical Aspects of Sports (or a designated subcommittee). In all sports, the committee may reduce the legislated penalty to withholding the student-athlete from the next 50 percent of the season of competition or provide complete relief from the legislated penalty. If the committee requires the student-athlete to fulfill the legislated penalty or be withheld from the next 50 percent of the season of competition in all sports, the student-athlete shall remain ineligible until the prescribed penalty is fulfilled, the student-athlete retests negative and the student-athlete's eligibility is restored by the Committee on Student-Athlete Reinstatement. *(Adopted: 4/28/05 effective 8/1/05)*

**31.2.3.4 Banned Drugs.** The following is the list of banned-drug classes. The Committee on Competitive Safeguards and Medical Aspects of Sports (or a designated subcommittee) has the authority to identify specific banned drugs and exceptions within each class. The institution and student-athlete shall be held accountable for all drugs within the banned-drug classes regardless of whether they have been specifically identified. The current list of specific banned drugs and exceptions is located on the NCAA website (NCAA.org) or may be obtained from the NCAA national office. *(Revised: 8/15/89, 7/10/90, 12/3/90, 5/4/92, 5/6/93, 10/29/97, 4/26/01, 2/10/06)*

- (a) Stimulants; *(Revised: 2/10/06)*
- (b) Anabolic agents; *(Revised: 2/10/06)*
- (c) Alcohol and beta blockers (banned for rifle only); *(Revised: 2/10/06, 2/5/09)*
- (d) Diuretics and other masking agents; *(Revised: 2/10/06, 5/29/07)*
- (e) Street drugs; *(Revised: 2/10/06)*
- (f) Peptide hormones and analogues; *(Revised: 2/10/06)*
- (g) Anti-estrogens; and *(Adopted: 10/27/06 effective 8/1/07)*
- (h) Beta-2 agonists. *(Adopted: 2/5/09)*

**31.2.3.4.1 Drugs and Procedures Subject to Restrictions.** The use of the following drugs and/or procedures is subject to certain restrictions and may or may not be permissible, depending on limitations expressed in these guidelines and/or quantities of these substances used. *(Revised: 8/15/89)*

- (a) Blood Doping. The practice of blood doping (the intravenous injection of whole blood, packed red blood cells or blood substitutes) is prohibited, and any evidence confirming use will be cause for action consistent with that taken for a positive drug test. (*Revised: 8/15/89, 5/4/92*)
- (b) Local Anesthetics. The Executive Committee will permit the limited use of local anesthetics under the following conditions:
  - (1) That procaine, xylocaine, carbocaine or any other local anesthetic may be used, but not cocaine; (*Revised: 12/9/91, 5/6/93*)
  - (2) That only local or topical injections can be used (intravenous injections are not permitted); and
  - (3) That use is medically justified only when permitting the athlete to continue the competition without potential risk to his or her health.
- (c) Manipulation of Urine Samples. The Executive Committee bans the use of substances and methods that alter the integrity and/or validity of urine samples provided during NCAA drug testing. Examples of banned methods are catheterization, urine substitution and/or tampering or modification of renal excretion by the use of diuretics, probenecid, bromantan or related compounds, and epites-tosterone administration. (*Revised: 8/15/89, 6/17/92, 7/22/97*)
- (d) Beta-2 Agonists. The use of beta-2 agonists is permitted by inhalation only. (*Adopted: 8/13/93*)
- (e) Additional Analysis. Drug screening for select nonbanned substances may be conducted for nonpunitive purposes. (*Revised: 8/15/89*)

**31.2.3.4.2 Positive Drug Test—Non-NCAA Athletics Organization.** A student-athlete under a drug-testing suspension from a national or international sports governing body that has adopted the World Anti-Doping Agency (WADA) code shall not participate in NCAA intercollegiate competition for the duration of the suspension. (*Adopted: 1/14/97 effective 8/1/97, Revised: 4/28/05 effective 8/1/05*)

**31.2.3.5 Medical Exceptions.** Exceptions for the banned-drug classes of stimulants, anabolic agents, alcohol and beta blockers (for rifle only), diuretics and other masking agents, peptide hormones and analogues, anti-estrogens, and beta-2 agonists may be made by the Executive Committee for those student-athletes with a documented medical history demonstrating the need for regular use of such a drug. (*Revised: 8/5/99, 9/26/06, 10/27/06 effective 8/1/07, 2/5/09*)

**31.2.3.6 Methods for Drug Testing.** The methods and any later modifications authorized by the Executive Committee for drug testing of student-athletes shall be summarized and posted on the NCAA website. Copies of the modifications shall be available to member institutions.

**31.2.3.7 Events Identified for Drug Tests.** The Executive Committee shall determine the regular-season and postseason competition for which drug tests shall be made and the procedures to be followed in disclosing its determinations.

**31.2.3.8 Individual Eligibility—Team Sanctions.** Executive regulations pertaining to team-eligibility sanctions for positive tests resulting from the NCAA drug-testing program shall apply only in the following situation: If a student-athlete is declared ineligible prior to an NCAA team championship or a postseason football game and the institution knowingly allows him or her to participate, all team-ineligibility sanctions shall apply (the team shall be required to forfeit its awards and any revenue distribution it may have earned, and the team's and student-athlete's performances shall be deleted from NCAA records). In the case of postseason football contests, the team's and student-athlete's performances shall be deleted from NCAA records. (*Revised: 1/10/90*)

### 31.3 Selection of Teams and Individuals for Championships Participation.

A list of the institutions in good standing shall be supplied by the NCAA president to the chair of each governing sports committee and to the athletics director of the host institution. This list should be observed carefully to assure that no entries are accepted from or invitations extended to ineligible institutions.

**31.3.1 Size of Championships Fields.** The size of all NCAA championships fields shall be established by the Championships/Sports Management Cabinet to provide for efficient management of the events, adequate NCAA championship opportunities relative to the nationwide quality of competition and sound economic administration of the financial resources of the Association and its championships. (*Revised: 8/13/93, 1/14/97 effective 8/1/97, 11/1/07 effective 8/1/08*)

**31.3.2 Selection Decisions of Sports Committees.** The determination of sites, selection of teams or individuals, or their site assignment in championships competition made by a governing sports committee (or a designated subcommittee) may not be appealed.

**31.3.3 Criteria for Selection of Participants—Divisions I and II.** The following criteria shall be employed by a governing sports committee in selecting participants for NCAA championships competition, and a governing sports committee that wishes to use additional criteria must obtain Championships/Sports Management Cabinet approval before doing so: (*Revised: 11/1/07 effective 8/1/08*)

- (a) Won-lost record;
- (b) Strength of schedule; and
- (c) Eligibility and availability of student-athletes for NCAA championships.

**31.3.3.1 Countable Competition.** For NCAA team-championship selection purposes, competition is countable only when the teams played are varsity intercollegiate teams of four-year, degree-granting institutions that conduct a majority of their competition in that team sport against varsity intercollegiate teams (see Constitution 3.2.4.5) of United States four-year, degree-granting institutions. Competition against service teams, professional teams, semiprofessional teams, amateur teams, two-year colleges and club teams shall be excluded.

**31.3.3.2 Qualifying Standards.** Individuals and teams required to meet performance standards to qualify for NCAA championships competition shall achieve such standards in meets held during the same academic year as the particular championship.

**31.3.3.3 Ineligible Student-Athletes on Team.** The presence on a varsity squad of one or more student-athletes who are ineligible, or unavailable due to injury, for NCAA championships competition does not necessarily disqualify that team from consideration. If such a student-athlete contributed materially to the team's success during the season, the committee may choose not to select that team, based on the conclusion that without the use of the ineligible or unavailable student-athlete, the team would not have been able to compile the record that brought it before the committee for consideration. It shall be the responsibility of the governing sports committee to apply the criterion "contributed materially" to each case as it arises.

**31.3.3.4 Removal of Committee Member from Selection Process.** If a team or individual athlete(s) of the institution of a member of the governing sports committee is under consideration for selection, that person shall withdraw from the committee deliberations while that team or individual(s) is being considered and shall not participate in any discussion or vote affecting that particular selection. The chair of the committee may appoint a replacement if it is deemed necessary. In the event the chair must withdraw, an individual shall be appointed by him or her to assume the responsibilities of the chair. The new chair may appoint a replacement to fill the vacancy if it is deemed necessary. In the event a replacement is appointed, geographical representation on the committee shall be maintained insofar as possible.

**31.3.3.5 Exclusion of Institution Reclassifying from Division II to Division I.** In all sports, any Division II institution that has forwarded to the national office written notice of its intention to change its membership classification to Division I per Bylaw 20.5.1 or that has petitioned to reclassify to Division I per Bylaw 20.5.2.1 no longer shall be eligible to participate in any following NCAA Division II championship. (*Adopted: 1/11/94 effective 8/1/94*)

**31.3.4 Automatic Qualification.** Each governing sport committee shall forward annually to the Championships/Sports Management Cabinet those conferences that should receive automatic qualification for their teams or individual student-athletes into NCAA championships. Prior to forwarding the list of conferences to receive automatic qualification, a governing sport committee shall ensure that the member conference meets the requirements specified in Bylaws 31.3.4.1 through 31.3.4.7. A member conference may appeal to the Championships/Sports Management Cabinet the automatic-qualification review of the sport committee and the committee's decision to find, or not find, a conference qualified for automatic-qualification status. The decision of the Championships/Sports Management Cabinet on such appeals will be final. (*Revised: 1/14/97 effective 8/1/97, 4/27/00, 11/1/07 effective 8/1/08*)

**31.3.4.1 Requirements—Division Championship.** To be eligible for automatic qualification in a Division Championship, a member conference must meet the following requirements: (*Revised: 1/9/06 effective 8/1/06*)

- (a) Conference competition must be conducted in the applicable sport and the conference champion in that sport must be determined not later than the date on which participants are selected for the NCAA championship, either by regular in-season conference competition or a conference meet or tournament, as indicated at the time of application. If a conference's competition to determine its automatic qualifier is unexpectedly terminated (e.g., due to inclement weather), the conference may designate its qualifier, provided it has established objective criteria for making that designation and has communicated that information to the appropriate sports committee by a specified deadline. (*Revised: 8/13/93*)
- (b) In the event of a tie for the conference championship, the conference shall have the responsibility of determining which team or individual shall represent the conference in NCAA competition. If a play-off is held, such competition shall be considered conference competition, not NCAA competition.
- (c) In sports other than championship subdivision football, a conference may establish subdivisions and conduct competition within each subdivision to determine a conference champion, as long as each subdivision consists of at least four members. Conferences with subdivisions of four members must conduct double round-robin competition within each subdivision, plus a postseason tournament, to determine their champion. Conferences with subdivisions of five or more members may conduct either single or double round-robin competition within each subdivision, plus a postseason tournament to determine their champion. (Note: This regulation does not apply to Division I men's or women's basketball. In those sports, a conference may conduct either double round-robin, in-season competition, or a minimum of 14 conference games in order to determine its champion.) (*Revised: 12/9/91, 10/18/95, 10/27/98, 12/15/06*)
- (d) In championship subdivision football, football-playing conferences that subdivide into five or more teams are required to conduct a single round-robin competition within each division and develop a formula

for determination of the conference champion, which must be approved by the Football Championship Committee prior to the start of the season. A postseason championship game is not required. (*Adopted: 10/27/98, Revised: 12/15/06*)

- (e) The conference must maintain and actively enforce compliance with eligibility rules at least as stringent as those in Bylaw 14 applicable to its members. The use of an ineligible student-athlete by a team in a conference that has been granted automatic qualification may result in the involved team being denied the right to be the automatic entry in the NCAA championship. The governing sports committee may recommend loss of the automatic-qualification privilege for the conference during the season in which the violation occurred or for a future championship.
- (f) All eligible member institutions must agree to participate in the appropriate NCAA championship. If a conference champion is ineligible to compete, declines to compete or cannot compete for any reason, automatic qualification shall be withdrawn for that year and the remaining conference members shall be considered at large. Automatic qualification for a conference shall not be withdrawn if a conference champion declines to compete in an NCAA championship for reasons related to written religious policies against competition on certain days. Under such circumstances, the conference's second-place team (as determined by the conference) shall receive the automatic bid to the NCAA championship. (*Revised: 4/20/99*)
- (g) All institutions may hold membership in only that conference in the sport in which automatic qualification is sought and may participate in only that conference's process to determine the automatic qualifier. (*Adopted: 12/5/94*)

**31.3.4.2 Requirements—National Collegiate Championship. [#]** To be eligible for automatic qualification in a National Collegiate Championship, a member conference must meet the following general requirements: (*Adopted: 1/9/06 effective 8/1/06*)

- (a) Have at least six active members that sponsor the applicable sport in any division (Note: A provisional member in the process of becoming an NCAA member cannot be used to meet the requisite number.);
- (b) The six active members must have conducted conference competition together for the preceding two years in the applicable sport;
- (c) There shall be no waivers of the two-year waiting period; and
- (d) Any new member added to a conference that is eligible for an automatic bid shall be immediately eligible to represent the conference as the automatic qualifier.

**31.3.4.3 Notification—Automatic Qualification in Jeopardy.** A governing sports committee must issue a written warning one year in advance to a conference that is in jeopardy of losing its automatic qualification. (Note: This regulation does not apply to the following championships in which a play-in system has been established: baseball, women's softball, women's volleyball and men's soccer.) (*Adopted: 10/3/06*)

**31.3.4.4 Additional Requirements, Sports Other Than Men's Basketball.**

**31.3.4.4.1 Multi-Sport Conference.** To be considered eligible for automatic qualification in a particular sport, a multi-sport conference (see Bylaw 20.02.5) must be a core conference (see Bylaw 31.02.3) and must include six institutions that sponsor the sport and conduct conference competition together. (*Revised: 4/27/00, 10/00, 4/29/04 effective 8/1/04, 8/5/04, 1/15/11 effective 8/1/11*)

**31.3.4.4.2 Single-Sport Conference.** To be considered for automatic qualification in a particular sport, a single-sport member conference for a sport sponsored by less than 50 percent of the Division I membership must include six institutions that have conducted conference competition together the preceding two years in the sport in question at the Division I level. (*Adopted: 8/5/04, Revised: 4/27/06 effective 8/1/06*)

**31.3.4.4.3 Grace Period.** A conference shall remain eligible for automatic qualification for two years following the date of the withdrawal of the institution(s) that causes the conference's membership to fall below six institutions that sponsor the sport and conduct conference competition together provided the conference maintains at least five Division I members. (*Adopted: 8/5/04, Revised: 1/15/11 effective 8/1/11*)

**31.3.4.5 Additional Requirements, Men's Basketball.** To be considered eligible for automatic qualification in men's basketball, a member conference must be a core conference (see Bylaw 31.02.3) and must meet the requirements of Bylaw 20.02.5. (*Revised: 8/14/90, 12/3/90, 4/27/00, 4/29/04 effective 8/1/04, 1/15/11 effective 8/1/11*)

**31.3.4.5.1 Grace Period.** A conference shall remain eligible for automatic qualification for two years following the date of withdrawal of the institution(s) that causes the conference's membership to fall below seven institutions that sponsor the sport and conduct conference competition together, provided the conference maintains at least six Division I members (see Bylaw 20.02.5). (*Adopted: 4/27/00, Revised: 4/29/04 effective 8/1/04, 1/15/11 effective 8/1/11*)

**31.3.4.6 Sports Groupings for Automatic Qualification.** For purposes of evaluating criteria for automatic qualification, the various sports shall be grouped as follows:



- (a) **Team Sports**—baseball, basketball, field hockey, football, ice hockey, lacrosse, soccer, softball, volleyball and water polo. In this category, subject to the approval of the Championships/Sports Management Cabinet, a sport committee may grant exceptions to the six-team requirement for sports that are sponsored by less than 30 percent of the membership, provided the conference previously included six teams that sponsored the sport; *(Revised: 4/26/07 effective 8/1/07, 11/1/07 effective 8/1/08)*
- (b) **Timed Individual Sports**—indoor track and field, outdoor track and field, and swimming; and
- (c) **Other Individual Sports**—cross country, fencing, golf, gymnastics, rifle, skiing, tennis and wrestling. In this category, a sports committee may grant exceptions to the six-team requirement, subject to the approval of the Championships/Sports Management Cabinet. *(Revised: 11/1/07 effective 8/1/08)*

**31.3.4.7 Limitations on Automatic-Qualifying Positions.**

**31.3.4.7.1 Team Sports Other Than Men's Basketball.** In team sports, per Bylaw 31.3.4.6-(a), excluding football and any team sport in which automatic qualification is not offered, the sport committee must award, if a sufficient number of applications for automatic qualification exist, at least 50 percent of the championship field to conferences that meet automatic-qualification criteria and provide play-in criteria. In sports other than men's volleyball, men's water polo and women's water polo, the remaining 50 percent of the championship field shall be reserved for at-large teams. It will be the responsibility of the Championships/Sports Management Cabinet to determine if a conference play-in to a championship field is to be administered by the NCAA championships staff or by the member conference. *(Adopted: 4/20/99, Revised: 11/1/07 effective 8/1/08, 4/28/11 effective 8/1/11)*

**31.3.4.7.2 Men's Basketball.** In men's basketball, subject to the championships-access guarantee afforded to the subdivisions as set forth in Constitution 4.01.2.3.1 (e.g., all contests that are part of the championship shall be administered and funded by the NCAA and broadcast on television and any team that participates in the championship shall be awarded at least one financial unit), there shall be a minimum of 34 at-large selections and the remainder of the championship field automatic-qualifying positions. All competition in the championship is to be administered by the NCAA championships staff. *(Adopted: 4/20/99, Revised: 12/15/06)*

**31.3.5 Selection of Balance of Championship Field.** Once the official representative(s) of each qualifying conference is determined, the governing sports committee responsible for selection of the balance of the championship field shall consider objectively and without prejudice the competitive records of all other eligible student-athletes and teams (including representatives of the other members of the conferences receiving automatic qualification). To the best of its ability, the committee shall select the most highly qualified individuals and teams to complete the championship field in accordance with the regional structure, if any, approved for the particular championship.

**31.3.6 Institution Trademarks.** Participation in a national collegiate championship constitutes acquiescence by the member institution that the Association may use the institution's name, mascot and other identifying marks in championship-related activities, including television, promotion, licensing and merchandising programs incident to the championship. Revenues derived from such activities, less expenses, will be remitted to the member institution. *(Revised: 11/3/93)*

**31.4 Financial Administration of Championships.**

**31.4.1 Host Institution's Responsibility.** The host institution shall administer the finances of an NCAA championship in accordance with this bylaw and consistent with the institution's championship budget, as submitted to and approved by the governing sports committee and the Championships/Sports Management Cabinet. *(Revised: 11/1/07 effective 8/1/08)*

**31.4.1.1 Institution's Financial Report.** A financial report from each championship site shall be submitted to the NCAA president not later than 60 days following the conclusion of the competition and shall bear certification of the athletics director of the host institution. The report shall be submitted on a form approved and provided by the NCAA president.

**31.4.1.1.1 Exclusions.** A host institution or sponsoring agency shall not include in its financial report or in its percentage of net receipts television rights fees or income from the sale of championships merchandise. Also, income from program advertising or sales shall not be included in the host institution's or sponsoring agency's financial report or percentage of net receipts if the program is produced and sold under contract between the NCAA and an outside agency.

**31.4.2 Gross Receipts.** Gross receipts shall be all revenues derived from the championship, including:

- (a) Sale of tickets. All tickets shall be accounted for at face value and shall become a part of gross receipts. Working passes may be provided to bona fide working personnel, including media representatives; and participation passes may be provided to student-athletes competing in the championship and to their coaches, athletics trainers, managers and other members of the participating institution's official party as defined by the Association;



- (b) Net revenue from marketing items sold at the site through or by an outside agency under contract with the NCAA;
- (c) Program sales and advertising;
- (d) Radio, television and movie rights; and
- (e) Any other income derived from the operation of the championship.

**31.4.2.1 Exclusions.** Income from concessions and parking shall accrue to the host institution or sponsoring agency and is not included in gross receipts. Sales commissions received by a host institution for selling marketing items on behalf of an outside agency under contract with the NCAA shall accrue to the host institution.

**31.4.2.2 Waiver.** Any waiver of the policies for the gross receipts of championships shall be approved in advance by the Championships/Sports Management Cabinet. *(Revised: 11/1/07 effective 8/1/08)*

**31.4.3 Game Expenses.** Game expenses are the actual costs directly related to the administration and conduct of the championship, including specifically the costs for tickets (printing, selling and collecting), sales taxes, ushers, game officials, police, buildings and grounds expenses, printing of related materials, motion pictures of the competition (if authorized by the Championships/Sports Management Cabinet), reasonable entertainment, expenses of selection committees authorized by the governing sports committee and any other out-of-pocket expense directly related to the administration and conduct of the championship. *(Revised: 12/2/90, 11/1/07 effective 8/1/08)*

**31.4.3.1 Exclusions.** The following items shall not be included as game expenses:

- (a) The cost of permanent equipment, local transportation of competing teams and on-campus facility rental charges; and
- (b) A fee or honorarium for athletics department staff members of the host institution (e.g., athletics director, assistant athletics director, business manager, ticket manager, coach, sports information director or athletic trainer). (Note: Such a payment could be made from the host institution's share of net receipts.)

**31.4.3.2 Waiver.** Any waiver of the policies for game expenses shall be approved in advance by the Championships/Sports Management Cabinet. *(Revised: 11/1/07 effective 8/1/08)*

**31.4.4 Institutional Allowance.** The host institution may share in the balance remaining after game expenses are deducted from the gross receipts. Television rights fees and income from championships programs and merchandise produced and sold under contract between the NCAA and an outside agency shall not be included in determining the host institution's allowance.

**31.4.4.1 Permissible Allowances.** The amount of institutional allowance varies with the sport, the level of championships competition, whether there is a common site for men's and women's championships and whether an institution's facilities are used. The permissible institutional allowances (from balance of gross receipts after deduction of game expenses) are as follows: *(Revised: 5/1/89, 5/1/96)*

	TEAM <sup>(1,2,3)</sup>		INDIVIDUAL <sup>(1,2,3)</sup>	
	Preliminary	Final	Preliminary	Final
One day	\$575	\$1,150	\$700	\$1,725
Two days	\$750	\$1,450	\$870	\$2,000
Three or more days	\$920	\$1,725	\$1,150	\$2,300

<sup>1</sup> For championships conducted at institutional facilities, hosts may deduct the permissible allowance above or a maximum of 15 percent of gross receipts after deduction of game expenses (20 percent for the NCAA Division I Men's Basketball Championship), whichever is greater.

<sup>2</sup> For championships conducted at off-campus facilities, hosts may deduct the permissible allowance or a maximum of 10 percent of gross receipts after deduction of game expenses, upon the recommendation of the sports committee and approval of the Championships/Sports Management Cabinet. *(Revised: 11/1/07 effective 8/1/08)*

<sup>3</sup> Amount is doubled for common-site men's and women's championships.

**31.4.4.2 Restrictions.** The following restrictions apply to allowances to host institutions:

- (a) If an institution's department of intercollegiate athletics must pay a rental fee for the use of an on-campus facility, such fee shall be deducted from the institution's share of the net receipts or allowance; and
- (b) No other sum from the receipts of an NCAA championship, or from any other source, shall be paid to the college or university sponsoring or acting as host of the NCAA championship except to cover actual and necessary expenses directly incurred in the conduct of the championship as noted in Bylaw 31.4.3.

**31.4.5 Administrative Expenses.** After the institution's allowance has been deducted, the athletics director of the host institution shall forward the remaining receipts to the NCAA president, who then deducts administrative expenses and costs incurred by governing sports committees and their representatives in matters of championships administration.

**31.4.6 Transportation and Per Diem Expenses.** Subject to the availability of funds, the Association may pay transportation and per diem expenses incurred by teams and individual competitors in NCAA championships competition. Transportation expenses and per diem allowances for the official traveling parties of competing institutions in Division I and National Collegiate team championships and individual-team championships shall be determined and provided in accordance with policies and procedures established by the Championships/Sports Management Cabinet. On an annual basis, the Championships/Sports Management Cabinet shall determine and announce to the membership the percentage of transportation expense and the per diem allowance to be paid in each championship for the next academic year. *(Revised: 4/26/07, 11/1/07 effective 8/1/08)*

**31.4.7 Net Receipts.** After payment of game and administrative expenses for each championship, the NCAA president shall place the remaining money in the general operating fund of the Association to be used to cover other expenses of the Association and to be apportioned among member institutions, as directed by the Championships/Sports Management Cabinet. *(Revised: 8/14/90, 11/1/07 effective 8/1/08)*

**31.4.8 Distribution of Forfeited Receipts.** An institution may be obligated to return its share of the revenue distribution for permitting an ineligible student-athlete to participate in the championship (see Bylaw 31.2.2.4). If so, such funds shall be distributed among other member institutions according to a formula prescribed by the Championships/Sports Management Cabinet. *(Revised: 8/14/90, 11/1/07 effective 8/1/08)*

**31.4.9 Publication of Championships Financial Summaries.** A financial summary of each championship shall be published on the Association's website.

## **31.5 Financial Administration of Postseason Football.**

Requirements that must be met in order for an institution to participate in a postseason bowl game are set forth in Bylaw 18.7 and the NCAA postseason football handbook. *(Adopted: 11/1/00, Revised: 1/14/2012 effective 4/1/12)*

## **31.6 Rights to NCAA Properties and Marketing Restrictions.**

**31.6.1 Names and Marks.** The NCAA owns or controls all rights and interests in its name, logo, seal, trademarks and service marks, whether registered or unregistered, including "National Collegiate Athletic Association," "NCAA," "National Collegiate Championships," "NCAAction," "College Sports USA," "The Final Four," "Women's Final Four," "College World Series," "Women's College World Series" and "Stagg Bowl." The NCAA will prosecute infringement of identical or confusingly similar marks. The NCAA shall maintain control over the nature and quality of the goods and services rendered under the marks; therefore, no use of the marks by others will be permitted in advertising, in association with commercial services or related to the sale of merchandise without the specific approval of the NCAA. *(Revised: 1/29/90)*

**31.6.2 Concessions.** The following conditions or restrictions apply to all concession items sold at any event sponsored or administered by the Association:

- (a) Any merchandise or material bearing any name, logo, trademarks or service marks of the Association that is to be sold or distributed at an event sponsored or administered by the Association must have the prior approval of the NCAA president before being sold or distributed. No such merchandise or material shall be sold or distributed by anyone except as designated by the NCAA. The host institution may sell merchandise that features an institutional mark or logo at NCAA competition only if it has guaranteed a sellout of the NCAA merchandise at the site of the competition and has agreed to provide the NCAA championship 25 percent of gross sales (after sales taxes) of all non-NCAA championship merchandise. The quantity of NCAA merchandise sent to the site shall have the prior approval of the president and shall not be less than a comparable shipment to a comparable site of the previous year's championship. *(Revised: 12/4/89)*
- (b) The NCAA owns the rights to all concession items other than food or drink sold at such events. Any merchandise or material to be sold at such events must have prior approval of the NCAA president, and any unapproved material shall be barred from the premises. If the championship facility has prior contractual commitments with outside vendors, the NCAA will endeavor to work with such vendors to advance the policies of the Association in the sale of concession items as herein stated.
- (c) The NCAA may authorize others, including the host institution or the coaches organization for the sport involved in the particular event, to sell and distribute concession items.
- (d) Income payable to the NCAA from the sale of concession items other than food and drink at such events shall be included in the gross receipts of the championship.
- (e) No alcoholic beverages shall be sold or dispensed for public consumption at any event sponsored by or administered by the Association.

**31.6.3 Programs.** The Association reserves the right to publish and sell any program sold or distributed for an NCAA championship. It may award such rights for any championship to another party or agency, provided it retains the right to review and approve the content of all programs to be sold or distributed, and provided it shall receive a guaranteed amount or predetermined percentage of program receipts. All financial arrangements for official programs produced by the host institution or sponsoring agency shall be reflected in the proposed budget,

and receipts and expenses shall be entered in the financial report of the championship. If the program is produced and sold under contract between the NCAA and an outside agency, income from program advertising or sales shall not be included in the host institution's or sponsoring agency's financial report or percentage of net receipts.

**31.6.4 Championships Properties.** The Association owns all rights to each and all of its championships as listed in Bylaw 18.3. These rights include, in addition to the rights with respect to participation and admission, rights to television (live and delayed), radio broadcasting, filming and commercial photography. In addition, the NCAA reserves the right to sell items developed through the NCAA marketing program at the site of a championship.

**31.6.4.1 Awarding Media Rights.** Television, radio and film rights shall be awarded in such a manner as to advance most fully the following interests:

- (a) Gate attendance;
- (b) Promotion of interest in the sport;
- (c) Promotion of intercollegiate athletics as a part of collegiate education; and
- (d) Promotion of the Association and its purposes and fundamental policy.

**31.6.4.1.1 Procedures.** Specific policy decisions under the foregoing are determined by the governing sports committee for the particular championship, subject to the approval of the Championships/Sports Management Cabinet. Negotiations with respect to the awarding of any such rights shall be conducted by the president, who shall have authority to determine the specific terms and conditions and to execute contracts for the awarding of such rights on behalf of the Association. Inquiries concerning all such rights should be directed to the NCAA president at the national office. In accordance with the policies stated herein, the NCAA president shall negotiate with any party interested in such rights when they are available. *(Revised: 11/1/07 effective 8/1/08)*

**31.6.4.2 Marketing, Licensing, Promotional and Public Affairs Initiatives.** The NCAA president shall be responsible for the oversight and administration of all marketing, licensing, promotions and public affairs initiatives. The NCAA president shall have the authority to appoint ad hoc advisory groups to provide assistance in developing policies to review any initiative and to provide assistance in addressing emerging issues. In addition, negotiations with respect to awarding merchandising, marketing and licensing rights (including corporate partner rights) shall be conducted by the NCAA president, who shall have the authority to determine the specific terms and conditions and to execute and enforce contracts for the awarding of such rights on behalf of the Association. The NCAA Executive Committee, at its discretion, may request a detailed accounting of any marketing, licensing, promotional and public affairs initiative that resulted in the awarding of a contract on behalf of the Association. The Board of Directors and the Leadership Council may request a report related to any matter handled by the NCAA president under the authority of this legislation. *(Adopted: 1/11/00, Revised: 11/1/07 effective 8/1/08)*

**31.6.4.3 Photographs, Films and Videotapes.** The Association reserves all rights to the use of still photographs, films and videotapes of its championships. All rights to photograph, film and videotape NCAA championships may be assigned to media representatives for news purposes but otherwise are to be controlled exclusively by NCAA Productions, a unit of the NCAA. NCAA Productions shall film or videotape certain NCAA championships, as recommended by the governing sports committees and approved by the Championships/Sports Management Cabinet. Its cost in filming or videotaping an NCAA championship and producing prints or video dubs for the NCAA library of films shall be entered as an item of game expenses for that championship. The filming or videotaping of NCAA championships by parties other than NCAA Productions (i.e., participating institutions or commercial film production companies) for any purpose other than news purposes may be permitted only with the advance written consent of the NCAA president. *(Revised: 11/1/07 effective 8/1/08)*

**31.6.4.3.1 Exception.** An institution is permitted to designate one videographer and one photographer to be present at NCAA championship events for the purpose of capturing video and still photographs of the institution's participation in the event for the sole use of the institution (i.e., not to be released for commercial purposes). *(Adopted: 1/9/06)*

**31.6.4.4 Use of Action Footage on Television News Programs.** The games committee of a particular NCAA championship for which exclusive rights have not been awarded may permit single television stations or television and/or cable networks to broadcast action footage of the championship (on a live or delayed basis) on their regular television news programs (see Bylaw 31.6.4.4.1). Unless otherwise approved by the NCAA, these broadcasts shall not exceed three minutes of action footage of the championship unless broadcast rights have been secured from the Association by payment of a rights fee. The NCAA president shall be authorized to interpret and apply these provisions as necessary.

**31.6.4.4.1 News Program.** A "news program" shall be a regularly scheduled program devoted exclusively to general news and/or sports news. Sports-entertainment programs do not qualify under this provision.

**31.6.4.5 Live Microphone on Coach.** The placement of microphones on a team coach or in team huddles and bench areas for television, radio or motion-picture purposes is prohibited in all NCAA championships.

**31.6.4.6 Promotional Announcements for Professional Sports Contests.** During the telecast of any NCAA championship, there shall be not more than two promotional announcements for telecasts of professional sports contests. Each such announcement shall not exceed 15 seconds in length. It may be of a billboard nature only and shall not include any action footage of any professional sports contest. If less than the complete championship segment is televised, only one such professional announcement shall be permitted. These restrictions shall not apply to postseason bowl games. *(Revised: 8/15/89)*

## **31.7 General Financial Management.**

**31.7.1 General Operating Budget.** The Executive Committee shall adopt a budget for the ensuing fiscal year prior to the end of any current fiscal year. The budget, as approved, shall list all anticipated revenues and expenses for the coming fiscal year, including transfers to or withdrawals from reserve funds.

### **31.7.2 Committee and Delegate Finances.**

**31.7.2.1 Allowable Expenses, Committee Members.** Any member of an NCAA committee who is entitled under the following regulations to reimbursement of expenses for attendance at a committee meeting shall not receive such payment if the member fails to remain in actual attendance at the meeting for its entire duration as announced in advance. However, in any special case in which a committee member for valid reasons is granted permission by the chair for late arrival or early departure, the member shall receive reimbursement in full.

**31.7.2.1.1 Transportation Allowances.** A committee member may be reimbursed for the following transportation expenses:

- (a) **Commercial Airline.** The member must travel by coach (or “super saver”); and *(Revised: 8/12/91, 1/13/98 effective 2/1/98, 1/12/99)*
- (b) **Automobile.** The member may claim mileage for the round trip at the NCAA approved rate, based on the most direct route between the two points. *(Revised: 1/13/98 effective 2/1/98, 6/20/08)*

**31.7.2.1.1.1 Travel to Competition Site.** A committee member or designated representative who travels to the site of preliminary competition in an NCAA championship via either ground or coach-class air may claim mileage at the NCAA approved rate based on the most direct route between the two points. *(Revised: 1/13/98, 6/20/08)*

**31.7.2.1.2 Per Diem Allowance.** A per diem allowance of \$75 may be claimed for each day or part thereof away from home, except that not more than one day’s travel each way may be claimed. The Association shall pay single-room and tax charges for committee members attending scheduled committee meetings or on Association business. Incidental charges shall be the responsibility of the committee member. *(Revised: 8/3/95, 1/13/98)*

**31.7.2.1.3 Actual Expenses.** The Leadership Council or Executive Committee for Association-wide issues may authorize reimbursement of actual expenses if circumstances warrant. *(Revised: 11/1/07 effective 8/1/08)*

**31.7.2.1.4 Meeting Frequency.** Payment of expenses for attendance at meetings of an NCAA standing committee shall be limited to two meetings per year unless otherwise authorized by the Leadership Council or Executive Committee for Association-wide issues. *(Revised: 8/5/99, 11/1/07 effective 8/1/08)*

**31.7.2.1.5 Meeting Site.** Recommendations for sites of meetings of standing and special committees shall be submitted by the committee chair to the NCAA president and are subject to the following conditions:

- (a) Committees that meet only once per year are required to meet in the Indianapolis metropolitan area in alternate years; *(Revised: 10/28/97, 1/12/99)*
- (b) Committees that meet more than once per year are required to conduct at least one-half of the meetings in the Indianapolis metropolitan area (e.g., one of two or two of four); and *(Revised: 10/28/97, 1/12/99)*
- (c) All meetings of NCAA committees shall be held within the 48 contiguous states.

**31.7.2.1.6 Special Committees.** The expense allowance for any special committees or for individuals engaged in official Association business shall be determined by the Leadership Council or Executive Committee for Association-wide issues. *(Revised: 11/1/07 effective 8/1/08)*

**31.7.2.1.7 Sports Committees.** Meetings of sports committees shall be held at places and times other than those authorized for the championships of their respective sports and shall be limited to two days (plus travel time) for purposes of the NCAA per diem expense allowance, unless other arrangements have been approved by the Leadership Council or Executive Committee for Association-wide issues. *(Revised: 11/1/07 effective 8/1/08)*

**31.7.2.1.8 Expense Allowance for Conventions.** An expense allowance shall not be granted for committee meetings held in connection with a Convention of the Association, except that standing committees that regularly meet before or after an Association Convention shall be entitled to one day’s per diem for each



day or part thereof on Association business, subject to the approval of the Leadership Council or Executive Committee for Association-wide issues. *(Revised: 11/1/07 effective 8/1/08)*

**31.7.2.1.9 Approval of Payments.** All expense payments shall be approved in writing by the committee chair or, in the case of the Leadership Council, Board of Directors and Executive Committee, by the secretary-treasurer or the NCAA president. *(Revised: 11/1/07 effective 8/1/08)*

**31.7.2.1.10 Waivers.** The NCAA president, subject to the approval of the Leadership Council or Executive Committee for Association-wide issues, may grant such waivers of the committee-expense regulations in particular cases as are deemed advisable to prevent hardship upon a committee or an individual committee member. *(Revised: 11/1/07 effective 8/1/08)*

### **31.7.2.2 Allowable Delegate Expenses.**

**31.7.2.2.1 Meetings of Other Organizations.** Delegates of the Association attending meetings of other organizations (e.g., various sports federations) shall be entitled to the same expense allowances as those outlined in Bylaw 31.7.2.

**31.7.2.2.2 Games Committees for International Competition.** NCAA delegates to games committees appointed to supervise international competition may not receive expenses to more than one meeting during each academic year.

**31.7.2.2.3 Governing Boards of Other Organizations.** NCAA delegates to the governing boards of other organizations may claim an expense allowance for each regular or special meeting of the particular board.

**31.7.2.3 Prohibition Against Funding Olympics.** Income from the Association's championships shall not be allocated to the Olympic fund.

### **31.7.3 Defense and Indemnification.**

**31.7.3.1 Conditions for Defense and Indemnification.** The Association shall defend and indemnify any present or former employee, committee member, or agent of the Association who is or was a party to or is threatened to be made a party to, or who is to be subpoenaed to be deposed or to give evidence in any civil, criminal, administrative, or investigative action or proceeding, including those brought by the Association, provided all of the following conditions are met: *(Adopted: 11/1/01)*

- (a) The individual requesting defense and indemnification is being named as a party or subpoenaed to be deposed or to give evidence by reason of the fact that the individual is or was an employee, committee member, or agent of the Association or is or was serving at the request of the Association as a director, officer, employee, or agent of another association, corporation, partnership, joint venture, trust, or other enterprise;
- (b) The individual is determined to have been acting within the scope of the individual's duties to the Association;
- (c) The individual is determined to have been acting in good faith and in a manner the individual reasonably believed to be in or not opposed to the best interest of the Association in the performance of the individual's duties to the Association. In respect to any alleged criminal action or proceeding, the individual also must be determined to have had no reasonable cause to believe the alleged conduct was unlawful;
- (d) The individual promptly and timely notifies the Association's general counsel of the actual or threatened service of process, subpoena, notice of deposition, or other legal process before incurring attorney fees or other expenses;
- (e) The individual accepts counsel provided or approved by the Association and agrees to accede to the legal strategies approved by the Association's general counsel, including any settlement determinations. In the event that the individual wishes to hire other counsel or not accede to the Association's legal strategies, the Association shall not be obligated to defend or indemnify the individual, except when it is determined that a conflict of interest exists with the Association such that retaining separate counsel is warranted; and
- (f) The individual agrees to repay any expenses, including attorney fees, incurred in bringing or defending a civil or criminal action or proceeding paid by the Association in advance of the final disposition of such action or proceeding, if it is ultimately determined that the individual is not entitled to be indemnified by the Association as authorized in this bylaw.

**31.7.3.1.1 Determination Regarding Conditions for Defense and Indemnification.** The determination as to whether indemnification is appropriate because the conditions of Bylaw 31.7.3.1 have been satisfied shall be made: *(Adopted: 11/1/01)*

- (a) By the NCAA Executive Committee Administrative Subcommittee by a majority vote of a quorum consisting of members who are not parties to such action or proceeding. The vote may be taken by electronic mail, telephone, facsimile or in person; *(Revised: 1/12/09)*
- (b) If such a quorum is not obtainable, or, even if obtainable, if a quorum of disinterested Executive Committee members so directs, by independent legal counsel in a written opinion; or
- (c) By the NCAA president if so delegated by the Executive Committee.



**31.7.3.1.2 Definition of Committee.** For purposes of Bylaw 31.7.3, committee shall include all Association committees, boards, cabinets, councils, subcommittees and panels. *(Adopted: 11/1/01)*

**31.7.3.1.3 Termination of Action or Proceeding.** The termination of any action or proceeding by judgment, order, settlement, conviction, or upon a plea of nolo contendere or its equivalent, shall not, of itself create a presumption in regard to these determinations. However, if an individual is adjudged to be liable for negligence or misconduct in the performance of the individual's duty to the Association, there shall be no indemnification unless and only to the extent that the court in which such action or suit was brought shall determine that, despite the adjudication of liability but in view of all circumstances of the case, such individual is fairly and reasonably entitled to indemnity for such expenses as the court shall deem proper. *(Adopted: 11/1/01)*

**31.7.3.2 Insurance.** The Association may purchase and maintain insurance on behalf of any individual who is or was an employee, committee member, or agent of the Association, or is or was serving at the request of the Association as a director, officer, employee, or agent of another association, corporation, partnership, joint venture, trust, or other enterprise against any liability asserted against the individual and incurred by the individual in any such capacity or arising out of the individual's status as such, whether or not the Association would have the power to indemnify the individual against such liability under the provisions of Bylaw 31.7.3. *(Adopted: 11/1/01)*

**31.7.3.3 Definition of Indemnification.** For purposes of this bylaw, indemnification shall consist of payment against expenses (including attorney's fees), judgments, fines, and amounts paid in settlement actually and reasonably incurred by the individual in connection with such action or proceeding. *(Adopted: 11/1/01)*

### **31.7.4 Insurance.**

**31.7.4.1 Primary Liability.** Host institutions and sponsoring agencies of NCAA championships shall have in place primary comprehensive general public liability insurance coverage with combined single limits of at least \$1 million per occurrence for bodily injury and property damage and shall provide the national office with the appropriate insurance certificates unless statutes of the state in which the host institution or sponsoring agency is located provide a lesser maximum recovery limit and documentation of that statute is on file at the NCAA national office. *(Revised: 12/2/90)*

**31.7.4.2 Excess Liability.** The Association maintains excess liability insurance coverage for the conduct of NCAA championships. The coverage includes bodily injury and property damage resulting from accidents.

**31.7.4.3 Injury, Death and Dismemberment.** Basic athletics-injury, catastrophic-injury, and accidental death and dismemberment insurance for participants in NCAA championships shall be provided by the Association.

## **31.8 Personnel.**

**31.8.1 Employment.** In accordance with Constitution 4.1.2-(b), the NCAA president is authorized to employ such persons as may be necessary to conduct efficiently the business of the Association. The number of administrative personnel that may be employed shall be determined at the beginning of each fiscal year and may not be increased without the approval of the Executive Committee. The NCAA president may employ as many nonadministrative personnel as may be necessary.

**31.8.1.1 Affirmative Action.** In the selection of personnel for appointment, the policies and procedures of the Association's affirmative-action program, as approved by the Executive Committee, shall be followed.

**31.8.2 Staff Operations.** The national office staff operations shall be reviewed by the Executive Committee. Among the items to be reviewed are:

- (a) Employee compensation;
- (b) Employee benefits; and
- (c) Allegations of improper conduct by any member of the national office staff as reported to the NCAA president.

**31.8.2.1 Employee Compensation and Benefits.** The Executive Committee shall review staff compensation and benefits at least once each year.

**31.8.2.2 Pension Trust Plan.** The policies for the retirement benefits of the staff are set forth in the NCAA Pension Trust Plan, which was adopted and may be revised by the Executive Committee.

## **31.9 National Statistics Program.**

A national statistics program shall be maintained for active member institutions in baseball, men's and women's basketball, field hockey, football, men's and women's ice hockey, men's and women's lacrosse, men's and women's soccer, softball, and men's and women's volleyball. The Championships/Sports Management Cabinet shall oversee the statistics program and approve its policies and procedures. The national statistics program policies and procedures shall be published on the NCAA website. *(Revised: 4/14/06, 11/1/07 effective 8/1/08, 10/30/08)*

# Institutional Performance Program Policies and Procedures

## 33.1 Self-Study and External Peer Review.

The requirements and procedures set forth in this bylaw apply to the institutional self-study and institutional performance program process specified in Constitution 3 and 6 and Bylaw 22. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

## 33.2 External Peer-Review Teams.

**33.2.1 Function.** External peer-review teams shall be responsible for reviewing the institution's self-study report, conducting campus visits and offering comments to the review team's chair. The peer-review team shall approach the institution's self-study report and the campus visit with two objectives: *(Adopted: 1/16/93 effective 1/1/94)*

- (a) To verify that the self-study process involved campus-wide participation, and that the self-study report reflects accurately the operation of the institution's athletics program; and
- (b) To evaluate the institution's performance in relation to the fundamental operating principles specified in Bylaw 22.2.

**33.2.2 Composition.** External peer-review teams shall be established to ensure that participating member institutions are evaluated by broadly representative teams composed of experienced educational and athletics personnel with substantial campus experience. Peer-review teams shall consist of two to four peer reviewers. Peer-review team members shall be appointed by the Committee on Institutional Performance and represent institutions in Division I. One NCAA staff member shall accompany and support each team on evaluation site visits. Any NCAA staff member with a personal relationship or institutional affiliation that reasonably would result in the appearance of prejudice shall refrain from participating in any manner in the involved institution's activities related to the institutional performance program. *(Adopted: 1/16/93 effective 1/1/94, Revised: 8/10/94, 1/19/13)*

### 33.2.3 Selection and Assignment of Peer-Review Teams.

**33.2.3.1 Opportunity of Institution to Review List of Potential Peer Reviewers.** Prior to the establishment of a peer-review team and the appointment of its chair, an institution shall be given the opportunity to review a list of potential peer reviewers and chairs for purposes of suggesting removal of those who seem inappropriate or unacceptable to the institution. The authority for establishing the composition of peer-review teams shall rest with the Committee on Institutional Performance. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

**33.2.3.2 Establishment of Team.** As a general rule, peer-review teams shall be established according to the needs, size and subdivision of the institution to be reviewed, and the coverage of topic areas, without regard to the specific constituent groups with which individual peer reviewers are identified. *(Adopted: 1/16/93 effective 1/1/94)*

#### 33.2.3.2.1 Pool of Peer Reviewers.

**33.2.3.2.1.1 Basic Qualifications.** To be included in the pool of peer reviewers, an individual shall be from a Division I institution or conference and shall have a general knowledge of intercollegiate athletics (as evidenced by positions held, committee service, etc.), and: *(Adopted: 1/16/93 effective 1/1/94)*

- (a) Hold the position of president or chancellor, faculty athletics representative, director of athletics or senior woman administrator at a member institution (although recent retirees may be included in the pool under special circumstances); or *(Revised: 3/8/06)*
- (b) Have recognized expertise, skills or experience in particular areas addressed in the institutional performance program (e.g., senior compliance administrator, business manager, admissions officer, registrar, financial aid administrator, vice president for fiscal or academic affairs, vice president overseeing athletics). *(Revised: 1/19/13)*

**33.2.3.2.1.2 Women and Ethnic Minorities.** The pool of peer reviewers shall include sufficient numbers of women and members of ethnic groups to ensure their frequent assignment to peer-review teams. *(Adopted: 1/16/93 effective 1/1/94)*

**33.2.3.3 Modification of Composition of Team by Chair of Team.** The chair of the peer-review team, in consultation with the NCAA staff and the institution, shall have the authority to modify the composition of the team on the basis of the preliminary analysis of the self-study report. *(Adopted: 1/16/93 effective 1/1/94)*

**33.2.3.4 Conflict of Interest.** Former and current employees, consultants or alumni shall not be assigned as peer reviewers to an institution with which they are so affiliated. Further, an individual shall not be allowed to serve as a peer reviewer of an institution that is in the same conference as the individual's conference. *(Adopted: 1/16/93 effective 1/1/94)*

**33.2.3.5 Peer-Review Team Chair.** The review team's chair shall be one of the peer reviewers. The chair shall be responsible for preparing the team's written recommendation(s) regarding institutional performance. The recommendation(s) shall be based upon the breadth of institutional participation and the depth of discussion at the institutional level, and adherence to the program's broad operating principles. The review team's chair also shall represent the team before the Committee on Institutional Performance and during appeals hearings. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

**33.2.4 Techniques and Documentation Used by Peer-Review Team.** The review team shall employ traditional evaluative techniques (e.g., review existing records, conduct in-person interviews of key personnel) to determine whether the institution's stated policies and procedures are engaged and functioning. Three documents also shall be of primary importance in this process: *(Adopted: 1/16/93 effective 1/1/94)*

- (a) The self-study instrument itself, which shall be completed by the institution for review by the external peer-review team;
- (b) A user's guide, which shall assist the institution through the self-study process, with specific attention to appropriate campus involvement and particular topics on which the institution shall focus; and
- (c) Instructions for peer-review teams, to orient team members in their work, including the review of written documents and appropriate topics for discussion in campus interviews.

### **33.3 Institutional Performance Program Schedule of Participating Institutions.**

**33.3.1 Determination of Schedule.** The Committee on Institutional Performance shall determine the institutional performance program schedule, which shall be based on the following principles, insofar as is practicable: *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

- (a) Institutions from the same conference should be evenly scheduled throughout the institutional performance program cycle so as to reduce the burden on the conference office.
- (b) Conferences may suggest a schedule for their member institutions.
- (c) The institutional performance program should be scheduled in conjunction with the institution's regional accreditation insofar as is possible for those institutions that so desire.
- (d) When the above principles are not operative, procedures of random selection will be used.

**33.3.1.1 Institutional Performance Program Schedule for New Division I Members.** New Division I members shall be scheduled as soon as practicable after the effective date of their active membership. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

**33.3.1.2 Modification of Schedule.** An institution may apply to the Committee on Institutional Performance for modification of its place in the schedule upon a showing of special need. The committee shall, at its discretion, revise the schedule if practicable, provided the modification does not extend beyond the 10-year self-study period. *(Adopted: 1/16/93 effective 1/1/94, Revised: 10/12/95, 1/14/97 effective 8/1/97, 1/19/13)*

**33.3.1.3 Postponement of Institutional Performance Decision for Restricted Members or Those Involved in NCAA Investigations.** The Committee on Institutional Performance may, at its discretion, delay or postpone the participation of an institution classified in a restricted-membership status or of an institution that is subject to the discontinuation of a sport(s) program(s) as a result of NCAA repeat-violator penalties, when the committee determines it is in the best interests of the Association to do so. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

### **33.4 Self-Study Process and Report Procedures.**

**33.4.1 Time Period for Beginning and Completing Self-Study.** Institutions shall be permitted eight to 10 months to complete their institutional performance program self-studies and shall be permitted to begin their self-studies at any time. *(Adopted: 1/16/93 effective 1/1/94, Revised: 10/14/05, 1/19/13)*

**33.4.2 Use of Outside Consultants.** Institutions shall not be prohibited from using outside consultants in conducting self-studies (e.g., organizing or facilitating an institution's self-study process). However, the institution's own personnel shall be responsible for generating the substance of the self-study report. Peer-review teams shall evaluate institutions on their role in the development of the content of self-study reports. *(Adopted: 1/16/93 effective 1/1/94)*

**33.4.3 Submission of Self-Study Report.** Institutions shall be required to submit self-study reports and any supporting documentation to the NCAA staff sufficiently in advance of the evaluation visit. The Committee on Institutional Performance shall perform a preliminary analysis of the report based upon directives or criteria established by the Committee on Institutional Performance. Any decisions to delay the processing of a report shall be made only after consulting with NCAA staff members and with the chair of the Committee on Institutional Performance. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

**33.4.4 Confidentiality of Report.** Institutional self-study reports shall be treated as confidential by the NCAA, peer-review teams and the Committee on Institutional Performance. Institutions, however, shall be permitted to distribute reports and supporting documentation at their discretion. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

## 33.5 Orientation.

**33.5.1 Timing and Purpose of Orientation.** An NCAA staff member shall conduct an orientation for each institution at least one year in advance of the institution's initial-scheduled evaluation site visit. The primary purpose of the orientation visit shall be to discuss with institutional and conference personnel the purpose, process, implications and expectations of the institutional performance program. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

**33.5.2 Conference Role.** Conference staff representatives may participate during an orientation at the conference's expense. *(Adopted: 1/16/93 effective 1/1/94)*

## 33.6 Peer-Review Evaluation Visit.

**33.6.1 Purpose of Visit.** The primary purpose of the evaluation visit shall be to verify and evaluate the institution's self-study report and self-study process. *(Adopted: 1/16/93 effective 1/1/94)*

**33.6.2 Notice of Visit.** An institution shall receive notice at least one year in advance of its evaluation visit. *(Adopted: 1/16/93 effective 1/1/94)*

**33.6.3 Duties of Chair of Peer-Review Team.** The chair of the peer-review team shall be responsible for at least the following duties in conjunction with evaluation visits: *(Adopted: 1/16/93 effective 1/1/94)*

- (a) Consulting with NCAA staff on arrangements and preparations for evaluation visits;
- (b) Delegating tasks to be performed during the visit among team members and staff, in accordance with their areas of expertise;
- (c) Conducting meetings of the visiting team at the end of each day of the visit to summarize findings and to identify remaining tasks to be performed;
- (d) Meeting with an institution's president or chancellor to discuss informally the nature of the information to be presented in the exit interview; *(Revised: 3/8/06)*
- (e) Coordinating and leading the exit interview at the completion of the visit;
- (f) Ensuring that the peer-review team's report is reflective of the visit's findings; *(Revised: 10/14/05)*
- (g) Communicating with the Committee on Institutional Performance regarding the evaluation visit and peer-review team report, including appearing in person, if necessary, before the committee regarding an institution's institutional performance program status; *(Revised: 1/19/13)*
- (h) Evaluating the performance of the peer reviewers serving on the teams; and
- (i) Otherwise ensuring that the team fulfills its responsibilities and the objectives specified for evaluation visits.

**33.6.4 Conference Role.** Conference staff representatives may accompany peer-review teams on evaluation visits at the conference's expense. The role of the conference during the visit shall be determined on a case-by-case basis by the chair of the peer-review team in consultation with the conference. *(Adopted: 1/16/93 effective 1/1/94)*

**33.6.5 Exit Interviews.** The entire peer-review team shall participate in an exit interview with the president or chancellor and other individuals invited by the president or chancellor. *(Adopted: 1/16/93 effective 1/1/94, Revised: 3/8/06)*

**33.6.6 Rules Violations Discovered during Evaluation Visit.** Possible violations of NCAA rules discovered during evaluation visits that relate to specific operating principles (per Bylaw 22.2) shall be communicated to the committee, the institution and the conference in the peer-review team's report. The institution shall be reminded of its obligation to self-report violations per Constitution 2.8.1. The institution's response to these findings shall be a factor in the institutional performance program decision. *(Adopted: 1/16/93 effective 1/1/94, Revised: 10/12/95, 1/19/13)*

**33.6.7 Release of Information Regarding Evaluation Visit.** Until the Committee on Institutional Performance renders its decision, information released regarding evaluation visits shall be limited to statements of the visit's status (whether the visit has not been made, is in progress or has been completed) and the identities of peer-review team members assigned to the institution. Before the formal assessment, the NCAA, peer-review team



and the institution shall not publicize information regarding the visit and shall respond only to inquiries with the public information just described. Following release of the committee's decision, the institution is at liberty to release any information regarding the visit. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

### **33.7 Report of Peer-Review Team.**

**33.7.1 Submission of Report.** Following the evaluation visit, a draft of the peer-review team's report shall be provided to the president or chancellor of the institution. The president or chancellor shall have two weeks from the date that the draft is received to correct any factual errors contained in the team's report. A copy of the report then will be submitted to the Committee on Institutional Performance as soon as practicable following the president or chancellor's review. A copy of the report also will be provided to the president or chancellor and to the institution's conference. *(Adopted: 1/16/93 effective 1/1/94, Revised: 8/10/94, 3/8/06, 1/19/13)*

**33.7.2 Content of Report.** The peer-review team's report shall include the following: *(Adopted: 1/16/93 effective 1/1/94, Revised: 10/14/05, 1/19/13)*

(a) An evaluation of the institution's self-study process as to openness, thoroughness and breadth of participation; and

(b) An evaluation of the institution's adherence to the institutional performance program's operating principles.

**33.7.3 Institutional Reaction to Report.** The institution may submit a written reaction to the peer-review report. It shall be sent to the Committee on Institutional Performance and shall be limited to correction of factual errors; the presentation of new, relevant information not considered by the team; and proposed corrective actions for remedying deficiencies. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

### **33.8 Institutional Performance Program Decision.**

**33.8.1 Basis of Institutional Performance Program Decision.** The institutional performance program decisions of the Committee on Institutional Performance shall be based upon the criteria set forth in Bylaw 22.3. *(Adopted: 1/16/93 effective 1/1/94, Revised: 1/19/13)*

**33.8.2 Conflict of Interest—Committee Members.** Committee members shall recuse themselves from institutional performance program decisions in which they may have potential conflicts of interest. Former and current employees, consultants or alumni shall not take part in certification decisions regarding an institution with which they are so affiliated. Further, an individual shall not take part in decisions regarding an institution that is in the same conference as the individual's conference. *(Adopted: 8/10/94, Revised: 1/19/13)*

**33.8.3 Appearance by Institutional Representatives.** At the request of the committee, institutional representatives may be asked to appear in person to clarify factual discrepancies and other matters at the time the committee is rendering its institutional performance program decision. *(Adopted: 1/16/93 effective 1/1/94, 1/19/13)*

**33.8.4 Request for Hearing.** The committee shall be obligated to honor an institution's request for a hearing related to a decision by the committee regarding the institution's institutional performance program status. *(Adopted: 1/16/93 effective 1/1/94, Revised: 10/12/95, 1/19/13)*

**33.8.5 Announcement of Institutional Performance Program Decision.** The committee, after notifying the institution of its institutional performance program decision (and before final appeals are heard), will publicize the institution's name and the committee's decision regarding the institution's institutional performance program status through a standard press-release-type report. While other information related to the peer-review team's report or the actions of the Committee on Institutional Performance shall be considered confidential between the institution and the NCAA, the institution may release information regarding the committee's decision at its own discretion. *(Adopted: 1/16/93 effective 1/1/94, 1/19/13)*



# Instructions for Online Manual (Bylaw) Search

The legislation included in this Manual is available online via the NCAA Legislative Services Database for the Internet (LSDB*i*) at [www.ncaa.org](http://www.ncaa.org). The LSDB*i* Manual is updated throughout the year to reflect any adopted legislation, modifications of wording, incorporations of interpretations or editorial revisions. Therefore, the LSDB*i* Manual includes the most up-to-date legislation available and a mechanism for quickly searching and locating legislation through a variety of user-friendly methods.

In order to access LSDB*i* from the NCAA homepage, run the mouse over “Legislation and Governance” and click “LSDB*i*.” On the top menu bar, select “Search,” then select “Legislation.” The information included below provides directions related to using the Manual (Bylaw) search function.

For more information, contact the NCAA academic and membership affairs staff at 317/917-6222.

## Manual (Bylaw) Search

The Manual (Bylaw) Search screen is provided to allow the LSDB*i* user to search the NCAA Division I Manual. To perform a search, enter data into the fields and click on the “Go Search” button. This will list all bylaws that match the search criteria. The following information will describe each search criteria field and explain its use in detail.

**That Reference** — This field allows the user to select which manual to search (Division I, II or III).



— Click on the reminder icon to set the division default.

**Article #** — This field allows the user to select and search any one article or all articles. All matching occurrences of the search terms within the selected article are returned. The default for this field is “all.” Unless the user is searching for a specific bylaw, it is recommended that the default setting be used.

**Specified Legislative Cite** — This allows the user to input any specific bylaw number. All matching occurrences of the search terms with the selected bylaw and “sub bylaws” are retrieved. For example, if “13.2” is entered, Bylaws 13.2, 13.2.1, 13.2.3, 13.2.3.1 and so forth will be searched and/or returned depending on any additional search criteria.

— Select the radio button before “Article #” or “Specified Legislative Cite” to identify which of the two criteria will be used in the search.

**Include adopted** — Select this check box to include bylaws that are adopted but not yet effective. The box is checked by default. Deselect the box to exclude bylaws that are adopted but not yet effective.

**Word List Search Fields** — The word list search field allows the user to search for specific words or phrases in the legislation in a similar manner as one would look for specific words or phrases in a printed index. If no words or phrases are included in a blank word list, it will not be used in the search.

The following information provides an explanation of the fields in the search rows:

**Word List Usage** — The first pull-down list (from the left) has three possible entries:

- **At Least One of the Words** — If any one of the words in the word list is in the bylaw text or title (as specified by the location field explained below), the bylaw will be returned. A space between words in the word list serves as an “or.”
- **All of the Words** — All of the words in the word list must be in the bylaw text or title (as specified by the location field explained below) for the bylaw to be returned. A space between words in the word list serves as an “and.”
- **The Phrase** — The entire word list must match, verbatim, text in either the bylaw or bylaw title (as specified by the location field explained below) for the bylaw to be returned.

**Word List Field** — The text entry field allows the user to enter words to be searched. Multiple words may be entered separated by a space or spaces.

**Location** — The location pull-down list allows the user to select where the words in the word list usage field will be searched.

- **Text** — The word list item(s) must appear in the bylaw text. The title is not searched.
- **Title** — The word list item(s) must appear in the bylaw title. The text is not searched.
- **Both** — The word list item(s) must appear in both the bylaw text and bylaw title.
- **Either** — The word list item(s) may appear in either the bylaw text or bylaw title.

**Multiple Word Lists** — Multiple word lists can be specified by selecting the “Advanced Search” link in the upper-right corner of the “Legislation Search” title bar. Use the word list operators to combine word lists.

**Word List Operator** — This field allows the user to specify how the word list usage items will be combined to conduct a search.

- **And** — Both the word list in the same row and the word list in the row below it must be present for the bylaw to be returned.
- **Or** — Either the word list in the current row or the word list in the row below it must be present for the bylaw to be returned.

## Other Buttons

**Use Last Search Values** — This button will redisplay the search input page with all of the search criteria from the last search. The user may then make changes and perform another search by clicking on the “Go Search” button.

**Reset For New Search** — This button will clear all search fields and return the search page to its default settings.

## Additional Search Features and Help

For LSDB*i* help and to view LSDB*i* tools and tips, click the “Resources” links at the top of the search page.

The screenshot shows the LSDBi Legislation Search interface. At the top, there is a navigation bar with links: Close LSDBi, Logout, Home, Search, Proposals, Case Management/Waivers, Academic Performance Program, Resources, and Eligibility Center. Below this is a "Staff" link. The main content area is titled "Legislation Search" and includes an "Advanced Search" link. The search criteria are as follows:

- Legislation**
  - That reference: Division I
  - Article #: All
  - Specified Legislative Cite: (empty field)
  - Include adopted
- Text**
  - The legislation must contain: At least one of the words member in the Title
- Change History**
  - Include only legislation changed since August 1, 2010.

At the bottom of the search area are three buttons: "Go Search", "Use Last Search Values", and "Reset for New Search". On the right side, there is a "Notice about legislation" box stating that the LSDBi legislative text is updated after legislation is adopted, amended, or revised, and that it may differ from hardcopy NCAA divisional manuals. The footer of the page reads "© 2011 NCAA Terms and Conditions | Privacy".

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The NCAA salutes the more than  
**450,000** student-athletes  
participating in **23** sports at more  
than **1,100** member institutions



# **Mountain West Handbook 2013-2014**

**United States Air Force Academy**

**Boise State University**

**California State University, Fresno**

**Colorado State University**

**University of Hawaii, Manoa (*Football*)**

**University of Nevada**

**University of New Mexico**

**San Diego State University**

**San José State University**

**UNLV**

**Utah State University**

**University of Wyoming**



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# MOUNTAIN WEST MEMBER INSTITUTIONS

## **Air Force Academy**

Michelle Johnson, Superintendent  
Mark Wells, Faculty Athletics Representative  
Hans Mueh, Director of Athletics  
Marti Gasser, Senior Woman Administrator

## **Boise State University**

Robert Kustra, President  
Roger Munger, Faculty Athletics Representative  
Mark Coyle, Director of Athletics  
Christina Van Tol, Senior Woman Administrator

## **California State University, Fresno**

Joseph Castro, President  
Dawn Lewis/David Schecter, Faculty Athletics  
Representatives  
Thomas Boeh, Director of Athletics  
Michael Boele, Senior Woman Administrator

## **Colorado State University**

Anthony A. Frank, President  
James Francis, Faculty Athletics Representative  
Jack Graham, Director of Athletics  
Christine Susemihl, Senior Woman Administrator

## **University of Hawaii, Manoa (*Football*)**

Tom Apple, Chancellor  
Peter Nicholson, Faculty Athletics Representative  
Ben Jay, Director of Athletics  
Marilyn Moniz-Kaho'ohanohano, Senior Woman  
Administrator

## **University of Nevada**

Marc Johnson, President  
Doug Knuth, Director of Athletics  
TBD, Faculty Athletics Representative  
Rhonda Lundin, Senior Woman Administrator

## **University of New Mexico**

Robert Frank, President  
Breda Bova, Faculty Athletics Representative  
Paul Krebs, Director of Athletics  
Janice Ruggiero, Senior Woman Administrator

## **San Diego State University**

Elliot Hirshman, President  
Patrick Papin, Faculty Athletics Representative  
Jim Sterk, Director of Athletics  
Jenny Bramer, Senior Woman Administrator

## **San José State University**

Mo Qayoumi, President  
Bill Campsey, Faculty Athletics Representative  
Gene Bleymaier, Director of Athletics  
Liz Jarnigan, Senior Woman Administrator

## **UNLV**

Neil Smatresk, President  
Brackley Frayer, Faculty Athletics Representative  
Tina Kunzer-Murphy, Acting Director of Athletics  
Lisa Kelleher, Senior Woman Administrator

## **Utah State University**

Stan Albrecht, President  
Ken White, Faculty Athletics Representative  
Scott Barnes, Director of Athletics  
Jana Doggett, Senior Woman Administrator

## **University of Wyoming**

Robert J. Sternberg, President  
Alyson Hagy, Faculty Athletics Representative  
Tom Burman, Director of Athletics  
Molly Moore, Senior Woman Administrator

# **PHILOSOPHY OF THE MOUNTAIN WEST**

The Mountain West is an association of universities dedicated to excellence in their primary missions in teaching, research and service to their publics. The Mountain West has identified with the following goals:

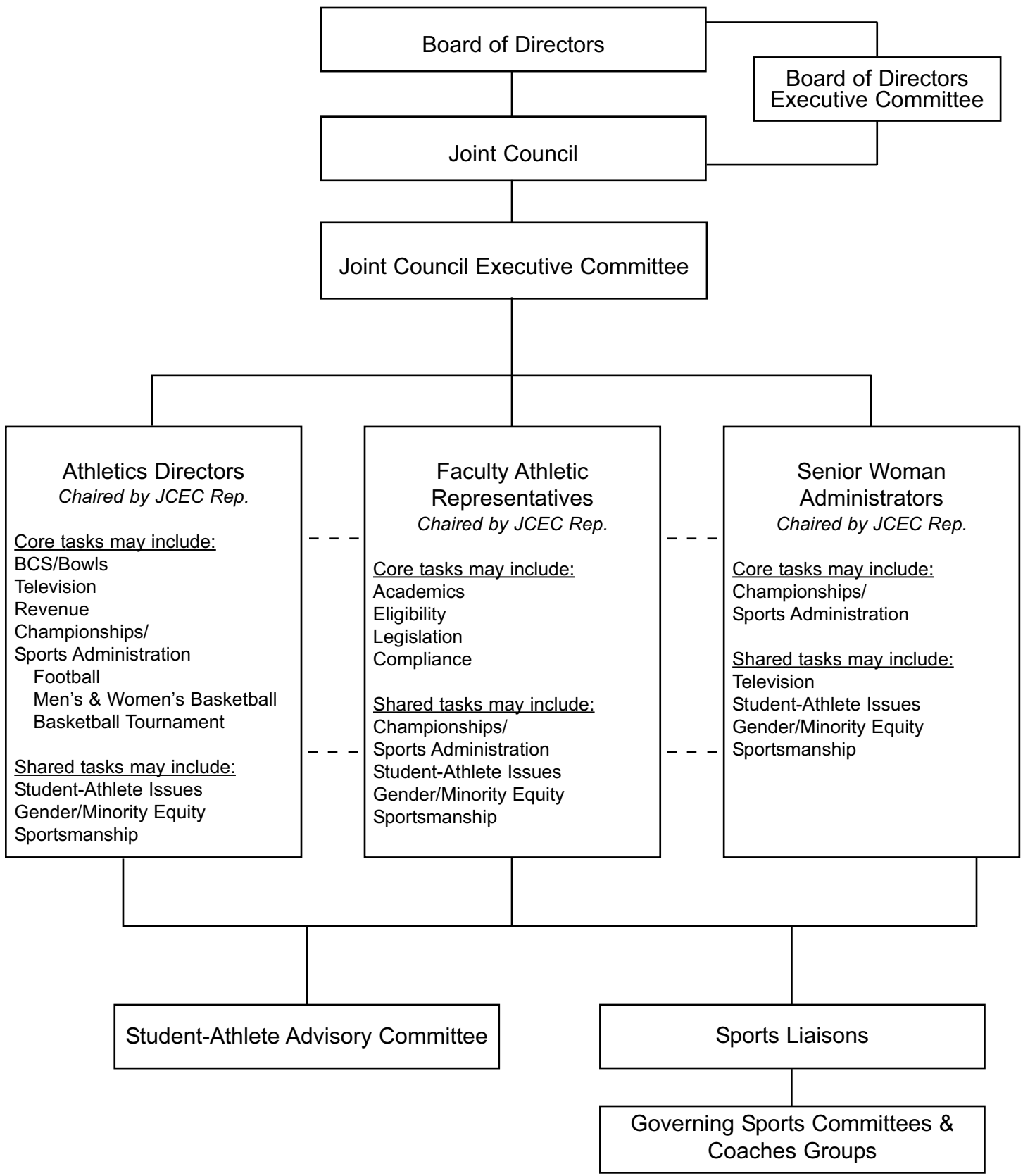
1. To foster intercollegiate athletic programs in a manner that will enhance the academic advancement, character development, athletic potential and well-being of student-athletes;
2. To commit to integrity and equity in intercollegiate athletics;
3. To contend for national championships in all conference-sanctioned sports;
4. To enhance the financial position of the Conference;
5. To strengthen the participation and commitment of fans and friends of MWC institutions;
6. To be a leading voice in national governance; and
7. To be innovative and visionary in the approach to intercollegiate athletics.

The Mountain West will actively monitor progress toward the stated goals, evaluating the Conference and its member institutions by using numerous categories of assessment and various measuring mechanisms. The results will shape the activities and direction of the Conference relative to its philosophy and goals. (Revised June 2007)

## **MOUNTAIN WEST GENDER EQUITY STATEMENT**

The Mountain West affirms the value of gender equity in its intercollegiate athletics programs. Gender equity is the fair distribution of overall athletics opportunities, supported by equitable benefits and resources available to all men and women. (Adopted April 2005)

# Mountain West Conference Organizational Chart



## Rule 1

# CONFERENCE GOVERNANCE

**1.1 Governance.** The Mountain West has three governance components: The Board of Directors, the Joint Council and the Conference Commissioner.

## **1.2 Board of Directors**

**1.2.1 Composition.** The Board of Directors shall consist of nine representatives: one representative from each member institution. The representative of each member institution shall be the chief executive officer of the institution.

**1.2.2 Duties.** The authority and responsibility of managing the business and affairs of the Mountain West shall rest with the Board of Directors and it shall decide all policy.

**1.2.3 Terms.** A member of the Board of Directors shall hold office until such time that he or she no longer is the chief executive office of the respective institution.

### **1.2.4 Board of Directors Executive Committee**

**1.2.4.1 Composition.** The Board of Directors Executive Committee shall consist of four members, the current chair, the incoming chair, the preceding year's chair and the Conference representative to the NCAA Board of Directors. (Revised June 2003)

**1.2.4.2 Terms.** Unless otherwise stated, three representatives shall rotate annually in accordance with the annual rotation of the chair, while the fourth representative shall be determined by the term of the Conference representative to the NCAA Board of Directors. In the event a member's rotation onto the Executive Committee coincides with his or her term(s) on the NCAA Board of Directors, the next person in the chair rotation shall serve on the Executive Committee to ensure a four-person composition is maintained. (Revised June 2003)

**1.2.4.3 Duties.** With the exception of major policy issues, the Board of Directors Executive Committee shall act on behalf of the Board of Directors, in the interim between meetings of the Board of Directors, on issues normally addressed by the Board of Directors. The committee also shall hear appeals of Mountain West institutions related to penalties assessed following the violation of a Mountain West rule(s). The committee shall communicate throughout the year as appropriate and serve as frequent counsel to the Commissioner, particularly the current chair. (Revised June 2003, Revised January 2009)

**1.2.5 Chair of the Board of Directors and Board of Directors Executive Committee.** The President of a Mountain West (MW) institution shall serve as the chair of the Board of Directors and Board of Directors Executive Committee each academic year and shall rotate as set forth in Appendix B. A president new to the MW shall not begin service on the Board of Directors Executive Committee or serve as chair of the Board of Directors until his or her respective institution has been a member of the MW for at least one academic year. A newly appointed president shall not begin service on the Board of Directors Executive Committee or serve as chair of the Board of Directors until at least one academic year has passed since the beginning of his or her tenure. If the new president's tenure begins during a year in which the former president was scheduled to rotate onto the Board of Directors Executive Committee (and ultimately into the Board of Directors chair position), the Board of Directors shall adjust the rotation accordingly to allow the new president sufficient time to acclimate. In the event the current chair is unable to complete his or her term as chair, the incoming chair shall move into the chair position and the remainder of the rotation shall be moved upward for that



academic year. At the conclusion of that academic year, the Board of Directors shall review the Board of Directors Executive Committee composition and the chair position to determine the Board of Directors Executive Committee and chair rotation for the next and subsequent academic years. (Adopted June 2011)

**1.3 Joint Council.** The Joint Council shall develop recommendations and policy initiatives that shall be presented to the Board of Directors for action.

**1.3.1 Composition.** The Joint Council shall consist of three (3) representatives from each member institution: a director of athletics, senior woman administrator and faculty athletics representative; and one student-athlete representative who shall serve in a nonvoting, ex officio capacity.

**1.3.2 Designation of Representatives.** Representatives shall be named by the chief executive officer of each member institution. Each chief executive officer shall provide to the Conference office a written statement containing the names of the individuals who shall represent the institution.

**1.3.3 Substitute Representatives.** A chief executive officer may appoint substitutes for his or her representatives on the Joint Council without revoking the appointment of the representative. The chief executive office shall give written or telephonic notification of the substitution to the Commissioner prior to the Joint Council meeting. The substitute shall then act as the institutional representative only at the designated meeting.

**1.3.4 Organization.** The Joint Council shall conduct its business through four designated governance groups: the Joint Council Executive Committee, Faculty Athletics Representatives, Directors of Athletics and Senior Woman Administrators and, when necessary, ad hoc committees formed of members of the Joint Council. The chair of the Joint Council shall be a faculty athletics representative and the chair shall name a parliamentarian. (Revised April 2006)

**1.3.5 Purpose and Functions.** The primary function of the Joint Council shall be to consider matters of significance to the Conference and its members and to make recommendations as to the resolution of those matters to the Mountain West Board of Directors. The four designated governance groups and ad hoc committees shall have defined functions and shall bring recommendations to the Joint Council for its deliberation (a description of the designated governance groups and their functions is contained in Rule 1.3.7 – Designated Governance Groups). Questions within the purview of the groups shall constitute the business of the Joint Council. The Mountain West commitment to the principle of academic integrity in all of its activities, as stated in the Conference Statement of Philosophy, will be supported by its governance structure. The membership of the ad hoc committees shall be recommended by the Joint Council Executive Committee and approved by the Joint Council. Conference staff and individuals who are not members of the Joint Council may be appointed as ex officio members of the standing and ad hoc committees as approved by the Joint Council Executive Committee. (Revised April 2006)

**1.3.6 Joint Council Meeting Protocol.** Meetings of the Joint Council shall be conducted as follows:

**1.3.6.1 Roberts Rules of Order – In-Person Meetings.** Conference meetings shall be conducted under the parliamentary rules contained in the current edition of Robert's Rules of Order, Newly Revised.

**1.3.6.1.1 Conference Calls.** In order to reconsider an issue determined on a conference call, six affirmative votes (including the institution seeking reconsideration) are required to present the issue for reconsideration. The institution seeking reconsideration shall obtain and present (in writing) to the Conference office the six affirmative votes. (Adopted April 2007)

**1.3.6.2 Quorum.** Seventy-five percent or more of the member institutions constitutes a quorum. If a quorum is not present, no official business shall be conducted.

**1.3.6.3 Voting.** Each member institution shall have one vote. The chief executive officer of each member institution shall determine the individual who casts the vote on behalf of the institution. If no institutional representatives are present, the institution forfeits its vote.

**1.3.6.4 Regular Meeting.** The Joint Council shall hold a minimum of two regular meetings each year. At each regular meeting, the Joint Council shall establish a time and place for the next regular meeting(s).

**1.3.6.5 Notice of Meeting.** Notice of a regular meeting shall be given to each member in writing at least 45 days prior to the meeting. Notice of special meetings shall be given in writing at least 10 days prior to the meeting or with 48 hours of notice by telephone. Notice of any meeting, regular or special, may be waived by unanimous consent of the member institutions.

**1.3.6.6 Special Meeting.** Special meetings or telephone conferences may be called by the chair of the Joint Council or the Commissioner.

**1.3.6.7 Agenda.**

**1.3.6.7.1 Date for Agenda Items.** The chair of the Joint Council, in consultation with the Commissioner, shall set the agenda. All agenda items (with the appropriate rationale and intent) for a regular meeting shall be submitted to the Commissioner at least 30 days prior to the meeting.

**1.3.6.7.2 Alteration of Agenda.** Additions or changes to the meeting agenda shall be permitted at the beginning of meetings. If an objection to an addition or change is raised, a vote of 75 percent of the member institutions present is required to make the addition or change.

**1.3.6.7.3 Classification of Agenda Items.** Items forwarded to the Joint Council for action shall be classified into three groups: information items, consent package action items, and action items for separate consideration. Any Joint Council member may request that an item be removed from the consent package and placed on the agenda with items for separate consideration. No discussion of items remaining in the consent package shall be permitted. A single vote shall be taken on all items remaining in the consent package.

**1.3.6.8 Changes to Conference Rules.** Amended or newly adopted Conference rules shall remain in place for a minimum of two years. If new information becomes available or changes to NCAA rules impact the original determination on the issue, the rule could be changed prior to the two-year time period. (Adopted April 2007)

**1.3.7 Designated Governance Groups.** The Joint Council shall consist of four designated governance groups: the Joint Council Executive Committee, Faculty Athletics Representatives, Directors of Athletics and Senior Woman Administrators. Each group shall have duties as specified below. (Revised April 2006)

**1.3.7.1 Joint Council Executive Committee**

**1.3.7.1.1 Composition.** The Joint Council Executive Committee shall consist of five members, a faculty athletics representative (chair), director of athletics, senior woman administrator, and two non-voting, ex officio members; the incoming chair and the Conference Commissioner (or designee). [see Appendix C]

**1.3.7.1.2 Terms.** Unless otherwise stated, the representative of each group shall rotate annually in alphabetical order of the member institutions (see Appendix C). The chair of the Joint Council also shall serve as chair of the Joint Council Executive Committee.

**1.3.7.1.3 Duties.** The Joint Council Executive Committee voting members shall present the recommendations of the Joint Council to the Board of Directors at its annual meeting. The Joint Council Executive Committee also shall recommend to the Joint Council individuals for membership on Joint Council ad hoc committees and task forces to address specific issues not assigned to one of the other designated governance groups. The Joint Council Executive Committee shall act on behalf of the Joint Council, in the interim between Joint Council meetings, on issues normally addressed by the Joint Council (the Joint Council retains authority to approve all actions of the Joint Council Executive Committee) and communicate throughout the year as appropriate. (Revised April 2006)

**1.3.7.1.3.1 NCAA Committees.** The Joint Council Executive Committee, in consultation with the Commissioner, shall recommend to the Joint Council the representatives to the Division I governance councils and cabinets, Division I sport committees and association-wide committees.

**1.3.7.1.3.2 MW Sportsmanship.** The Joint Council Executive Committee shall recommend to the Joint Council a code of conduct for student-athletes, coaches and athletics department personnel. The committee shall pursue alleged violations and implement procedures and penalties. The committee also shall hear appeals of sportsmanship decisions. (Revised April 2006)

### **1.3.7.2 Faculty Athletics Representatives** (Revised April 2006).

**1.3.7.2.1 Composition.** The faculty athletics representatives' designated governance group shall consist of each institution's faculty athletics representative. The chair of the Joint Council (and Joint Council Executive Committee) shall be the chair of the faculty athletics representatives' designated governance group. (Revised April 2006)

**1.3.7.2.2 Terms.** A member of the faculty athletics representatives' designated governance group shall hold office until such time that he or she no longer is faculty athletics representative of the respective institution. (Revised April 2006)

**1.3.7.2.3 Core Tasks.** The primary duties of the faculty athletics representatives are in the areas of academics, eligibility, Conference and NCAA legislation, compliance and maintaining the Mountain West Handbook. (Revised April 2006)

**1.3.7.2.3.1 Academics and Eligibility.** The faculty athletics representatives shall review all matters affecting the academic eligibility status of Conference student-athletes, including waivers of MW eligibility rules and NCAA waivers administered by the Conference (e.g., hardship waivers, medical absence waivers and international competition waivers). (Revised April 2006)

**1.3.7.2.3.2 Conference and NCAA Legislation.** The faculty athletics representatives shall review proposed NCAA legislation and Conference rule

changes and forward recommendations to the Joint Council. In the case of NCAA legislation, institutional legislative positions shall be forwarded to the Conference Division I governance representative(s). (Revised April 2006)

**1.3.7.2.3.3 Compliance.** The faculty athletics representatives shall monitor Conference and NCAA rules violations. Such violations shall be reviewed quarterly and findings shall be reported to the Joint Council. When necessary, the faculty athletics representatives shall review requests for appeals to rulings on specified Handbook provisions. (Revised April 2006)

**1.3.7.2.3.4 MW Handbook.** The faculty athletics representatives shall update and revise Conference rules consistent with Conference and NCAA actions. (Revised April 2006)

**1.3.7.2.4 Shared Tasks.** The faculty athletics representatives shall have shared tasks in the areas of championships and sports administration, gender/minority equity, sportsmanship and student-athlete well-being. (Revised April 2006)

**1.3.7.2.4.1 Championships and Sports Administration.** The faculty athletics representatives shall review recommendations on regular season and championship management when such recommendations affect a student-athlete's academic progress/eligibility. (Revised April 2006)

**1.3.7.2.4.2 Gender/Minority Equity.** All designated governance groups shall monitor and review matters pertaining to issues related to the gender and minority equity efforts of the Conference and the Conference office. These may include, but are not limited to, an annual review of access, diversity and inclusiveness of minorities and women at all levels of the athletics programs of member institutions. The designated governance groups also shall advocate processes and proposals to foster equity in the athletics programs of the Conference. (Revised April 2006)

**1.3.7.2.4.3 Sportsmanship.** All designated governance groups shall monitor sportsmanship issues that affect the Conference and the respective institutions.

**1.3.7.2.4.4 Student-Athlete Well-Being.** All designated governance groups shall examine problems and issues that affect the academic, athletics and campus life environments of all student-athletes. (Revised April 2006)

### **1.3.7.3 Directors of Athletics.**

**1.3.7.3.1 Composition.** The director of athletics' designated governance group shall consist of each institution's director of athletics. The athletics director representative to the Joint Council Executive Committee shall be the chair of the director of athletics' designated governance group. (Revised April 2006)

**1.3.7.3.2 Terms.** A members of the directors of athletics' designated governance group shall hold office until such time that he or she no longer is the director of athletics of the respective institution. (Revised April 2006)

**1.3.7.3.3 Core Tasks.** The primary duties of the directors of athletics are in the areas of Bowl Championship Series (BCS) and postseason football games, television, revenue, championships and sports administration. (Revised April 2006)

**1.3.7.3.3.1 BCS/Postseason Football Bowl Games.** The directors of athletics shall be responsible for all decisions related to the BCS and postseason football bowl games. (Revised April 2006)

**1.3.7.3.3.2 Television.** The directors of athletics shall evaluate Conference television contracts, policies and operations and provide leadership in the development of new television contracts and policies. (Revised April 2006)

**1.3.7.3.3.3 Revenue.** The directors of athletics shall be responsible for formulating revenue distribution formulas for review by the Board of Directors. (Revised April 2006)

**1.3.7.3.3.4 Championships and Sports Administration.** The athletics directors shall review recommendations on regular season and championship management issues in the sports of football and men's and women's basketball. The athletics directors also shall review proposals to amend Conference and/or NCAA legislation relative to the administration of regular season and championship competition in men's and women's basketball and regular season and postseason competition in football. (Revised April 2006)

**1.3.7.3.4 Shared Tasks.** The directors of athletics shall have shared responsibilities in the areas of student-athlete well-being, gender/minority equity and sportsmanship. (Revised April 2006)

**1.3.7.3.4.1 Gender/Minority Equity.** All designated governance groups shall monitor and review matters pertaining to issues related to the gender and minority equity efforts of the Conference and the Conference office. These may include, but are not limited to, an annual review of access, diversity and inclusiveness of minorities and women at all levels of the athletics programs of member institutions. The designated governance groups also shall advocate processes and proposals to foster equity in the athletics programs of the Conference. (Revised April 2006)

**1.3.7.3.4.2 Sportsmanship.** All designated governance groups shall monitor sportsmanship issues that affect the Conference and the respective institutions. (Revised April 2006)

**1.3.7.3.4.3 Student-Athlete Well-Being.** All designated governance groups shall examine problems and issues that affect the academic, athletics and campus life environments of all student-athletes. (Revised April 2006)

#### **1.3.7.4 Senior Woman Administrators.**

**1.3.7.4.1 Composition.** The senior woman administrators' designated governance group shall consist of each institution's senior woman administrator. The senior woman administrator representative to the Joint Council Executive Committee shall be the chair of the senior woman administrators' designated governance group. (Revised April 2006)

**1.3.7.4.2 Terms.** A members of the senior woman administrators' designated governance group shall hold office until such time that she no longer is senior woman administrator of the respective institution. (Revised April 2006)

**1.3.7.4.3 Core Tasks.** The primary duties of the senior woman administrators are in the areas of championships and sports administration. (Revised April 2006)



**1.3.7.4.3.1 Championships and Sports Administration.** The senior woman administrators shall review recommendations on regular season and championship management issues for all sports except football and men's and women's basketball. The senior woman administrators also shall review proposals to amend Conference and/or NCAA legislation relative to the administration of regular season and championship competition in all sports except football and men's and women's basketball. (Revised April 2006)

**1.3.7.4.3.2 Television.** The senior woman administrators shall have responsibilities in matters related to the televising of sports other than football and men's and women's basketball. (Revised April 2006)

**1.3.7.4.4 Shared Tasks.** The senior woman administrators shall have shared responsibilities in the areas of student-athlete well-being, gender/minority equity and sportsmanship. (Revised April 2006)

**1.3.7.4.4.1 Gender/Minority Equity.** All designated governance groups shall monitor and review matters pertaining to issues related to the gender and minority equity efforts of the Conference and the Conference office. These may include, but are not limited to, an annual review of access, diversity and inclusiveness of minorities and women at all levels of the athletics programs of member institutions. The designated governance groups also shall advocate processes and proposals to foster equity in the athletics programs of the Conference. (Revised April 2006)

**1.3.7.4.4.2 Sportsmanship.** All designated governance groups shall monitor sportsmanship issues that affect the Conference and the respective institutions. (Revised April 2006)

**1.3.7.4.4.3 Student-Athlete Well-Being.** All designated governance groups shall examine problems and issues that affect the academic, athletics and campus life environments of all student-athletes. (Revised April 2006)

#### **1.3.7.5 Recognition Committee.**

**1.3.7.5.1 Composition.** The committee shall consist of six representatives: two faculty athletics representatives, two directors of athletics and two senior woman administrators. [See Appendix D for committee terms and rotation.] (Revised April 2006)

**1.3.7.5.2 Duties.** The Recognition Committee shall review applications and recommend award recipients for the Albert C. Yates, Commissioner's, Sportsmanship and Student-Athlete of the Year awards. Additionally, the committee is charged with reviewing applications and recommending Conference nominees for the NCAA Woman of the Year and Sportsmanship awards. (Revised April 2006)

#### **1.3.7.6 Student-Athlete Advisory Committee.**

**1.3.7.6.1 Composition.** The committee shall consist of ten members: one student-athlete representative from each member institution and one faculty athletics representative. (Revised April 2006)

**1.3.7.6.2 Duties.** The Student-Athlete Advisory Committee shall review and react to legislation and other topics referred to it from Conference governance groups or

committees related to student athlete experience. The recommendations of the committee shall be forwarded to the appropriate governance group. (Revised April 2006)

**1.3.7.7 Mountain West Committee Service.** Mountain West members selected for service on Conference committees shall abide by Mountain West and NCAA rules and policies related to the Conference committee service. The Mountain West may require the resignation of Mountain West representatives to Conference committees for violations of Mountain West and/or NCAA rules/policies or when a Conference member provides notice to the Mountain West of its intent to resign from the Conference. [See Mountain West Bylaw 1.04, Appendix A.] (Revised August 2012)

**1.4 Commissioner.** The Commissioner is the chief administrative officer of the Conference and shall report directly to the Board of Directors. The Commissioner shall have the responsibility and authority to execute Conference policy, shall facilitate communication between the Joint Council, the Joint Council Executive Committee, Board of Directors and Board of Directors Executive Committee and shall serve as an ex officio member of the Joint Council Executive Committee.

**1.5 Revenue Sharing.** [Revenue sharing documentation shall be retained in the Conference office.]

## Rule 2

# NCAA GOVERNANCE

**2.1 NCAA Governance Issues.** The Mountain West shall adhere to the following principles related to the NCAA Division I Board of Directors, Division I Leadership Council, Division I Legislative Council, Division I Cabinets and NCAA Association-Wide committees. Diversity shall be considered when selecting persons to nominate for NCAA positions.

**2.1.1 Division I Board of Directors.** The Mountain West chief executive officers will select the Conference representative to the Division I Board of Directors.

**2.1.2 Division I Leadership and Legislative Councils.** The Mountain West Joint Council Executive Committee, in consultation with the Commissioner, shall recommend to the Joint Council the Conference Leadership and Legislative Council representatives. (Revised May 2008)

**2.1.3 Division I Cabinets, Sport Committees and NCAA Association-Wide Committees.** The Mountain West Joint Council Executive Committee, in consultation with the Commissioner, shall recommend to the Joint Council, the Conference representatives to the Division I administrative and sport committees. The Joint Council Executive Committee shall recommend members of the Joint Council, Conference staff, or qualified institutional staff members. Mountain West coaches and athletics department staff members shall be considered for vacancies on NCAA sport committees. (Revised June 2002 and May 2008)

**2.1.3.1 NCAA Committee Service.** Mountain West members selected for service on NCAA committees shall abide by Mountain West and NCAA rules and policies related to the NCAA committee service. The Mountain West may require the resignation of Mountain West representatives to NCAA committees for violations of Mountain West and/or NCAA rules/policies or when a Mountain West member provides notice to the Mountain West of its intent to resign from the Conference. [See Mountain West Rule 1.04, Appendix A.] (Revised August 2012)

**2.1.4 Voting.** Each institution shall determine a process to review and arrive at a position on NCAA legislation. Thereafter, each institution's president shall submit to the Conference office, by a specified date, its position on legislation to be considered by the Division I Legislative Council. A majority vote of the institutions shall determine the Conference voting position by the Legislative Council representative. In the case of a tie, the Commissioner shall cast the deciding vote. (Revised April 2006 and May 2008)

**2.1.5 Communication.** Mountain West representatives to NCAA governance councils and cabinets shall meet by conference call on a quarterly basis to communicate to one another the issues being discussed in the respective councils and cabinets. (Adopted May 2008)

**2.2 Legislative Review Process.** The Conference shall review NCAA legislative proposals and Division I Leadership Council, Legislative Council and Board of Directors material as follows:

- a. Each institution's faculty athletics representative shall communicate with institutional personnel on NCAA legislative issues. (Revised April 2006)
- b. The Conference office shall inform each institution's faculty athletics representative of NCAA legislative mailings and, when necessary, forward NCAA legislative packages to the faculty athletics representative. (Revised April 2006)

- c. A series of conference calls shall be conducted to review NCAA legislative proposals prior to the NCAA Leadership Council and Board of Directors meetings. (Adopted April 2006)
- d. Prior to each Division I Legislative Council/Board of Directors meeting, the Conference office shall provide the faculty athletics representative with electronic vote/comment forms to submit the institution's position on legislative items or Legislative Council/Board of Directors agenda items. (Revised April 2006 and May 2008)
- e. The faculty athletics representative shall review the legislative material and Division I Legislative Council/Board of Directors material with the appropriate individuals on campus (e.g., President, Director of Athletics, Senior Woman Administrator, compliance coordinator, coaches, etc). (Revised April 2006 and May 2008)
- f. Each institution shall submit electronically its votes and comments to the Conference office by specified dates. The Conference office staff shall compile the information and forward it to Legislative Council and Board of Directors representatives. (Revised April 2006 and May 2008)
- g. In the event of close votes on certain legislative proposals/issues, a conference call shall be conducted to discuss the proposals/issues.

**2.3 Mountain Pacific Sports Federation.** The Mountain West Joint Council Executive Committee, in consultation with the Commissioner, shall recommend to the Joint Council the Mountain Pacific Sports Federation representative. The representative may be a member of the Joint Council or a representative from an institution that participates in the Mountain Pacific Sports Federation. The costs associated with participation in Mountain Pacific Sports Federation meetings shall be incurred by the institution of the representative. Diversity shall be considered when making the selections. The term shall be for one year and representatives may be re-appointed. (Revised April 2002 and April 2004).

## Rule 3

# ELIGIBILITY

**3.1 Student-Athlete.** The term “student-athlete” as used in this section is the same as defined by NCAA Bylaw 12.02.5.

**3.2 Eligibility for Regular Season Competition and NCAA Championships.** Except where this Handbook establishes additional requirements or standards, Mountain West rules governing financial aid, recruiting and transfer are consistent with those of NCAA Bylaws 13-15.

**3.2.1 Nonqualifiers.** The provisions in this section shall apply to Mountain West and non-Mountain West Sports. (Adopted June 2002; revised November 2002 and June 2003)

**3.2.1.1 High School.** Beginning with the 2003-04 academic year nonqualifiers as defined by the NCAA, whose first full-time collegiate enrollment occurs at a Mountain West institution, shall be permanently ineligible for practice and competition at any Mountain West institution.

**3.2.1.2 Two-Year College Transfers.** A nonqualifier who transfers to a Mountain West institution from a two-year college shall be eligible for competition, practice and financial aid provided student-athlete meets applicable NCAA transfer and progress toward degree requirements. (Revised January 2013)

**3.2.1.3 Four-Year College Transfers.** A nonqualifier who transfers to a Mountain West institution from a four-year college shall be eligible for competition, practice and financial aid provided the following conditions are met:

- a. The student has satisfactorily completed a minimum of 48-semester or 72-quarter hours of transferable degree credit acceptable toward any baccalaureate degree program at the certifying institution;
- b. The student has attended a four-year college as a full-time student for at least four semesters or six quarters (excluding summer terms);
- c. The student has a cumulative grade-point average of at least 2.0, and
- d. The student has met all applicable NCAA progress toward degree and transfer rules as well as any applicable Conference rules. (Revised November 2002)

**3.2.1.3.1 Exception – International Students.** A nonqualifier who transfers to a Mountain West institution after having spent at least one academic year at a foreign institution receives an exception to Rule 3.2.1.3 provided the only item missing from his or her high school academic record is a standardized test score (i.e., ACT or SAT) as certified by the NCAA Initial Eligibility Clearinghouse. All other NCAA initial eligibility requirements must be met. (Revised December 2003).

**3.2.1.4 Transfer – 4-2-4.** A nonqualifier who attends a four-year collegiate institution, transfers to a two-year collegiate institution and then to the certifying institution shall be eligible immediately if the student-athlete meets applicable NCAA transfer and progress toward degree requirements. (Revised January 2013)

**3.2.1.4.1 Transfer – 2-4-4 and 4-2-4-4.** A nonqualifier who is a 2-4-4 or 4-2-4-4 transfer and who has earned an associate of arts degree prior to transfer to the MW institution meets the associate of arts degree requirement and would be eligible upon transfer to an



MW institution provided the student-athlete meets all other MW and NCAA transfer and academic requirements. (Adopted April 2004).

**3.2.1.5 NCAA Academic Redshirts.** A student-athlete who is classified as an academic redshirt in accordance with NCAA rules, shall not be subject to the MW nonqualifier rule. (Adopted January 2013)

**3.2.1.6 Partial Approval of NCAA Initial Eligibility Waivers.** A student-athlete who is granted partial approval of an NCAA initial eligibility waiver (previously referred to as a partial qualifier) shall be permitted to compete for a Mountain West institution after all NCAA initial eligibility waiver requirements and applicable progress toward degree requirements are met. (Adopted October 2007).

### 3.3 Eligibility Forms and Procedures

**3.3.1 Historical Report.** An historical report shall be prepared by each member institution for every first-time freshman, transfer or re-entering student-athlete prior to the time the student-athlete first reports for practice. The form must be completed and signed by the appropriate institutional official (compliance officer or faculty athletics representative) prior to the student-athlete's first competition. These forms shall be retained by the member institution and shall be available to the Conference upon request.

**3.3.2 Squad List.** A squad list shall be compiled prior to the first day of competition for each team that the member institution sponsors as a part of its intercollegiate athletics program and shall be signed by the appropriate institutional official (compliance officer, faculty athletics representative and/or director of financial aid). Squad lists shall be revised to cover any changes made to a squad prior to the competition of any student-athlete added to the list and shall be signed by the appropriate institutional official. Squad lists shall be forwarded to the Conference office twice a year; once prior to a team's first competition and once at the end of the academic year or the completion of the season, whichever is later.

**3.4 Minimum Grade-Point Average for Competition.** To be eligible for competition, a Mountain West student-athlete shall meet the grade-point average requirements set forth by the NCAA. (Revised June 2005)

**3.4.1 Eligibility Between Terms.** Certification of a student-athlete's eligibility between terms shall take place in accordance with applicable NCAA rules. Student-athletes participating in football bowl games between terms must be certified in accordance with applicable NCAA rules prior to being permitted to compete on behalf of the institution in the football bowl game. (Revised July 2003, August 2003 and June 2005)

**3.4.1.1 Exception.** An institution that participates in a football bowl game that occurs within three working days after the final date the institution's grades are due shall receive an exception to the certification between terms provision. Institutions that meet the conditions of the exception shall have certified all football student-athletes at the start of that academic year. (Revised December 2003)

**3.5 Medical Hardship Waivers.** A student-athlete may request and be granted an additional season of competition by the Conference for injury or illness in accordance with NCAA Bylaw 14.2.4. The request for medical hardship waiver shall be submitted to the Conference office by a staff member (i.e., faculty athletics representative, director of athletics, senior woman administrator, compliance coordinator) of the member institution at which the illness or injury occurred. In the case of a transfer, if the member institution the student-athlete was attending at the time of the injury or illness refuses to pursue a medical hardship waiver, the institution to which the student-athlete transferred may submit the medical hardship waiver and all supporting documentation to the Conference office. The Conference office shall review the request and

make a determination based on legislated NCAA criteria. The Conference office shall report to the faculty athletics representatives' designated governance group the outcome on all medical hardship waiver requests on a bi-annual basis. The faculty athletics representatives' designated governance group shall report the actions taken to the Joint Council on a bi-annual basis. (Revised April 2006)

**3.5.1 Appeals.** Appeals of Conference medical hardship waiver decisions shall be reviewed by the NCAA Committee on Student-Athlete Reinstatement. All such appeals shall be submitted by Conference offices. A member institution that would like the Conference to submit an appeal of a medical hardship waiver decision to the NCAA shall submit such a request to the faculty athletics representatives' designated governance group. The faculty athletics representatives shall review the request and make a determination as to whether it should be submitted to the NCAA. (Revised April 2006)

**3.6 Intra-Conference Transfer.** A student-athlete who has received athletically-related financial aid or a student-athlete who was a varsity athletics award winner at the United States Air Force Academy, and who transfers, directly or indirectly, from one Conference institution to another shall meet the following requirements prior to being permitted to compete at the certifying institution:

- a. The student-athlete shall complete an academic year in residence at the certifying institution;
- b. The student-athlete shall forfeit one season of competition; and
- c. The student-athlete shall not receive athletically-related financial aid during the academic year in residence.

This rule shall not apply if the student-athlete's previous institution discontinues the student-athlete's sport.

## Rule 4

# SPORTSMANSHIP

**4.1 Conference Statement on Sportsmanship.** All aspects of intercollegiate athletics contests shall be conducted in a sporting manner. It is the responsibility of each member institution in the Mountain West to ensure that all individuals associated with its athletics program conduct themselves in an appropriate fashion. All members of the Conference shall exhibit ethical behavior at all NCAA and Conference competition. Conference members shall conduct themselves in accordance with the rules of the NCAA and Conference and the playing rules of the respective sports. Each member institution shall create a healthy environment for competition. Administrators, coaches, student-athletes and spectators, as well as others involved in intercollegiate athletics in the Conference, share this responsibility.

**4.1.1 Annual Institutional Meeting.** Annually, each institution shall conduct a meeting at the beginning of the academic year to discuss ethics and sportsmanship. The meeting shall include all athletic administrators and staff, all coaches, all spirit group advisors, the band director and other appropriate individuals. The institution's president shall deliver a message outlining the Conference and institutional philosophy on ethics and sportsmanship.

**4.2 Acts in Violation of Sportsmanship Policy.** Conduct by athletes, coaches and other institutional athletics personnel who act in an unsporting manner is subject to sanction. Violations of the Conference sportsmanship policy shall include, but are not limited to the following:

- a. Striking, attempting to strike or otherwise physically abusing an official, coach, spectator or student-athlete;
- b. Intentionally inciting participants or spectators to inappropriate action;
- c. Using obscene gestures or unduly provocative language or action toward a game official, Conference personnel, another institution, a student-athlete or personnel of another institution, coach or spectator.
- d. Ejection from a contest.
- e. Publicly criticizing or disparaging a game official, the Conference or its personnel, another institution, a student-athlete or personnel of another institution.

**4.3 Processing Alleged Violations.** Allegations of misconduct generally shall be reported to the Commissioner within 24 hours of the incident. Within 72 hours of learning of an alleged violation of this section, the Commissioner, in consultation with the institution, shall establish a timetable and investigate the alleged violation. Within the same 72-hour period, unless extenuating circumstances exist and/or the involved parties agree to an adjusted timeframe, the Commissioner shall determine an appropriate penalty, which may be the acceptance of the institution's action.

The institution may appeal the Commissioner's findings and decision to the Joint Council Executive Committee. All decisions to appeal must have the written approval of the institution's president. The decision to appeal shall be made immediately and in no case shall the time to make such decision exceed 24 hours. The Joint Council Executive Committee then shall hear the appeal as expeditiously as possible. Telephone conference calls are an acceptable means for the parties involved to process the alleged violations. The decision of the JCEC may be appealed to the full Joint Council. The decision of the full Joint Council may be appealed to Board of Directors, which shall be the final authority on all such matters. All such appeals shall be processed as expeditiously as possible, and the enforcement of any penalties shall be held in abeyance until a final resolution to the case is achieved. [Revised May 2009]

**4.4 Penalties.** Below are the penalties for acts in violation of the Mountain West sportsmanship policy. The Commissioner, at his discretion, may impose alternatives to the sanctions listed below if he deems it warranted.

**4.4.1 Physical Abuse.** An individual who strikes, attempts to strike or otherwise physically abuses an official, coach, spectator or student-athlete shall be subject to the following penalties:

**4.4.1.1 First Offense.** Suspension for at least one contest and public announcement through standard Conference channels. The penalty shall not exceed one season of competition.

**4.4.1.2 Subsequent Offenses.** Suspension for at least two contests and public announcement through standard Conference channels. The penalty shall not exceed one season of competition.

**4.4.1.3 Statute of Limitations.** An individual penalized for physical abuse shall have that offense retained on the permanent record for the duration of his/her time in the Mountain West, thus subjecting that individual to cumulative penalties for future offenses.

**4.4.2 Incitement to Inappropriate Action.** An individual who intentionally incites participants or spectators to inappropriate action shall be subject to the following penalties:

**4.4.2.1 First Offense.** Suspension for at least one contest and public announcement through standard Conference channels. The penalty shall not exceed one season of competition.

**4.4.2.2 Subsequent Offenses.** Suspension for one season of competition and public announcement through standard Conference channels.

**4.4.2.3 Statute of Limitations.** An individual penalized for incitement to inappropriate action shall have that offense retained on the permanent record for the duration of his/her time in the Mountain West, thus subjecting that individual to cumulative penalties for future offenses.

**4.4.3 Obscene Gestures and Language.** An individual who uses obscene gestures or unduly provocative language or action toward a game official, Conference personnel, another institution, a student-athlete or personnel of another institution, coach or spectator shall be subject to the following penalties:

**4.4.3.1 First Offense.** Public reprimand.

**4.4.3.2 Subsequent Offenses.** Suspension for at least two contests and public announcement through standard Conference channels. The penalty shall not exceed one season of competition.

**4.4.3.3 Statute of Limitations.** An individual penalized for obscene gestures or language shall have his/her record expunged one calendar year from the date of the latest offense, provided that individual has no further offenses during that time period.

**4.4.4 Ejection from a Contest.** An individual who is ejected from a contest shall be subject to the following penalties:

**4.4.4.1 First Offense.** Private reprimand, unless aggravating circumstances require a more stringent penalty.

**4.4.4.2 Second Offense.** Suspension for at least one contest and public announcement through standard Conference channels. The penalty shall not exceed one season of competition.

**4.4.4.3 Subsequent Offenses.** Suspension for at least two contests and public announcement through standard Conference channels. The penalty shall not exceed one season of competition.

**4.4.4.4 Statute of Limitations.** An individual penalized for ejections from a contest shall have his/her record expunged one calendar year from the date of the latest offense, provided that individual has no further offenses during that time period.

**4.4.5 Public Criticism.** An individual affiliated with (e.g., over whom the institution and/or Conference has jurisdiction) a member institution or the Mountain West who publicly criticizes or disparages a game official, the Conference or its personnel, another institution, student-athlete or personnel of another institution shall be subject to the following penalties:

**4.4.5.1 First Offense.** Public reprimand.

**4.4.5.2 Second Offense.** Suspension for at least one contest and public announcement through standard Conference channels. The penalty shall not exceed one season of competition.

**4.4.5.3 Subsequent Offenses.** Suspension for at least two contests and public announcement through standard Conference channels. The penalty shall not exceed one season of competition.

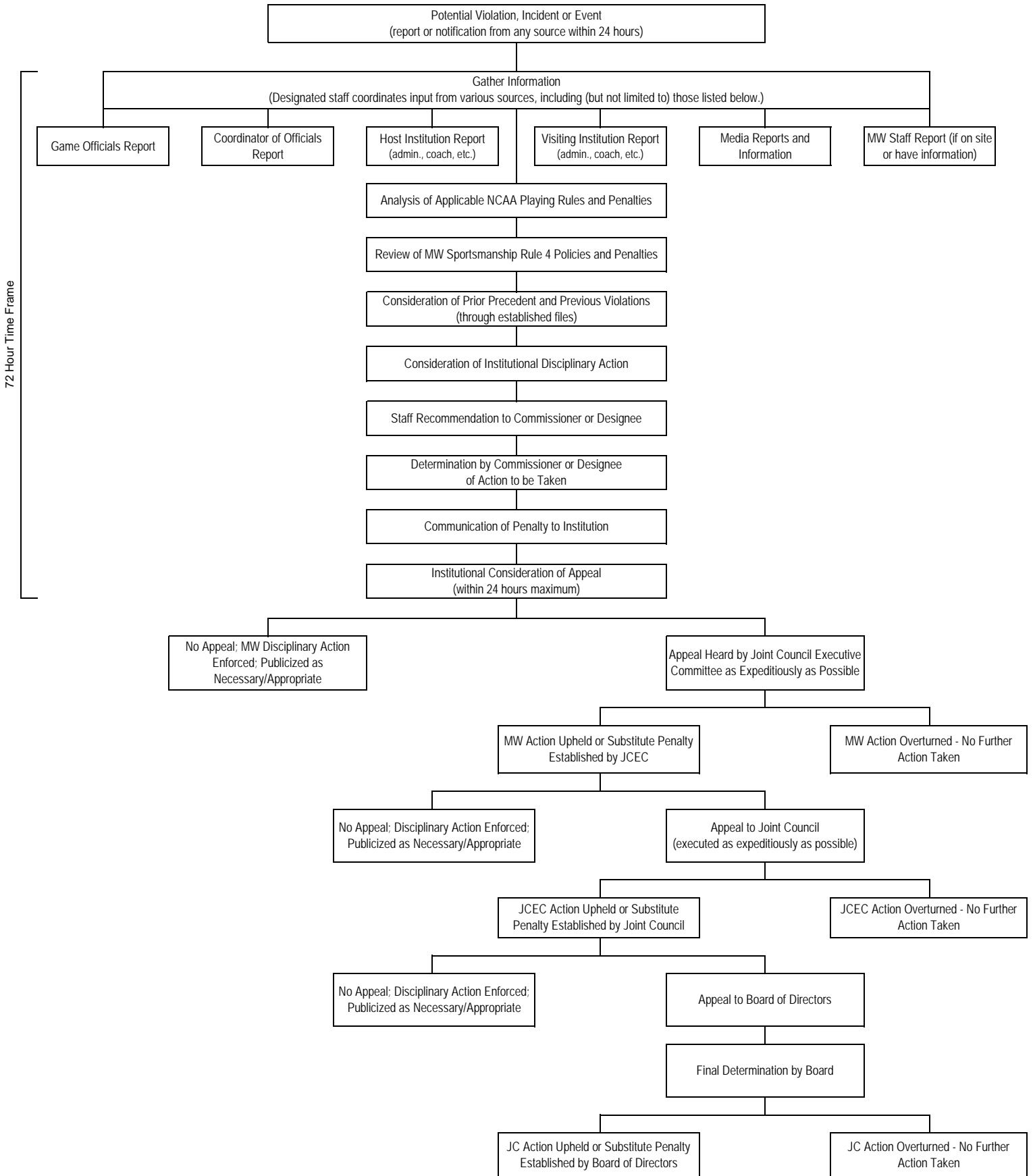
**4.4.5.4 Statute of Limitations.** An individual penalized for public criticism shall have his/her record expunged one calendar year from the date of the latest offense, provided that individual has no further offenses during that time period.

**4.4.6 Suspension From a Contest.** Suspension from a contest(s) shall be imposed beginning with the next regular or postseason contest(s), shall be served in consecutive contests, and may be carried over from one season to the next. The individual suspended may be banned from attending a contest(s) during the period of the suspension. In the case of a student-athlete or coach, if the individual is permitted to attend a contest, he or she shall not be permitted to travel with the team to the contest and must make (and pay for) their own transportation and admission arrangements. A suspended coach or player may not be in the team bench area, may not participate in pre-game warm-ups or other game-related on-court/on-field activities and must stay at least 100 feet away from the team locker room. A suspended coach may participate in practice activities at home or on a road trip while suspended. A suspended student-athlete may participate in practice activities with the team for a home game, and while the team is at home prior to its departure for a road game, but may not participate in practice activities on a road trip. In cases involving a multiple-game road trip that exceeds the length of the suspension, parameters for the suspended individual's travel and activities shall be determined on a case-by-case basis, considering the unique circumstances involved and prior precedent (if applicable).

[Modified October 2004 by MW governance structure; modified October 2006 by MW Joint Council, modified April 18, 2007 by MW Joint Council, updated editorially May 21, 2007; modified June 3, 2007 by MW Board of Directors; updated editorially July 19, 2007; revised February 13, 2008.]



## MW Sportsmanship Rule 4 Enforcement Procedures



## Rule 5

# COMPLIANCE PROCEDURES

**5.1 Institutional Responsibility.** Each member institution shall enforce eligibility rules and regulations of the NCAA and the Conference. Each member institution shall inform student-athletes, coaches, staff, institutional personnel, fans, spectators and all other individuals associated with or working on behalf of the athletics program of current rules, regulations and policies. The institution shall have the primary responsibility for the conduct of its intercollegiate athletics program. This responsibility shall include institutional control, budget control, institutional staff-member control, student-athlete control, and control of representatives of the institution's athletics interest. Each member institution shall investigate, document and self-report any institutional violations and infractions. Each institution shall act on inquiries and cooperate fully with the NCAA and the Conference on issues related to alleged rules violations. Member institutions also shall follow compliance procedures and guarantee due process to those persons affected. Last, member institutions shall enforce the rulings imposed upon the institution by the NCAA or the Mountain West Board of Directors.

**5.2 Administration of Conference Enforcement Procedures.** The Commissioner shall be responsible for the administration of Conference enforcement procedures.

**5.2.1 Violations of Conference Rules.** If a member institution fails to apply the provisions of the Conference Handbook, the institution shall be deemed to be in violation of the Conference rules and shall be subject to the Conference enforcement procedures.

**5.2.1.1 Allegations of Violations.** The Commissioner (or designee) shall inform the institution of any information indicating alleged violations of Conference rules. The institution shall investigate the matter and inform the Commissioner or designee of its findings.

**5.2.1.2 Self-Reported Violations of the Conference Handbook.** An institution shall self-report any violation of the Conference Handbook to the Commissioner (or designee). The self-report shall be in writing and shall specify the rule that was violated, the circumstances surrounding the violation and the corrective action taken by the institution to ensure that such a violation will not occur in the future. The Commissioner shall apply any pre-approved penalties. The Mountain West Board of Directors Executive Committee shall determine all other penalties.

**5.2.1.3 Modified Decisions and Penalties.** The Mountain West Board of Directors Executive Committee may, if it so chooses, modify the decision or penalty but may not increase the penalty.

**5.2.2 Appeals.** The decision of the Commissioner may be appealed to the Board of Directors Executive Committee. Such appeal shall be made by the involved institution within fourteen days after receiving the notice of the Commissioner's decision.

**5.2.2.1 Scope of Appeal.** The scope of an appeal shall be limited to a review of the record. The decision shall be rendered only after affording an institution an opportunity to present oral or written arguments concerning the record. All decisions on appeal are final and are not subject to further review except under the provisions of Rule 5.2.2.2.

**5.2.2.2 New Evidence or Prejudicial Error.** The Board of Directors Executive Committee shall consider a request to reopen a case upon receipt of new evidence of fact or of prejudicial error in the hearing or appeals procedure. A decision not to reopen a case is not subject to further appeal.

**5.3 Violations of NCAA Rules.** The investigation of alleged violations and the reporting of violations are an institutional obligation. Once that it has been determined that a violation has occurred, the institution shall be subject to NCAA enforcement procedures. The Commissioner (or designee) may assist the institution in processing violations of NCAA rules by assisting the institution in:

- a. Determining whether the violation will be viewed as secondary or major by the NCAA Enforcement staff;
- b. Identifying any mitigating circumstances;
- c. Determining the appropriate institutional action that should be taken;
- d. Determining appropriate penalties that would likely be accepted by the Enforcement staff, the NCAA Committee on Infractions, the NCAA Student-Athlete Reinstatement staff or the NCAA Student-Athlete Reinstatement Committee;
- e. Processing major violations through summary disposition or the Committee on Infractions or,
- f. Processing any eligibility appeals through the NCAA Student-Athlete Reinstatement staff or the NCAA Student-Athlete Reinstatement Committee.

**5.3.1 Self-Reported Violations – Level I, Level II and Level III.** An institution shall report all Level I (severe breach of conduct), Level II (significant breach of conduct) and Level III (breach of conduct) violations to the Commissioner (or designee). The self-report shall be in writing and shall specify the rule that was violated, the circumstances surrounding the violation and the corrective action taken by the institution to ensure that such a violation will not occur in the future. Once reviewed by the Conference office, the institution shall report the violation(s) to the NCAA Enforcement staff via the NCAA's online self-reporting program. The institution shall list the Conference office as an additional contact so the Conference may receive follow-up correspondence from the NCAA on violation(s) reported to the Enforcement staff. (Revised August 2013)

**5.3.2 Self-Reported Violations – Level IV.** An institution shall report all Level IV (incidental infraction) violations to the Commissioner (or designee). The self-report shall be in writing and shall specify the rule that was violated, the circumstances surrounding the violation and the corrective action taken by the institution to ensure that such a violation will not occur in the future. The Commissioner (or designee) shall process the Level IV violation and apply applicable penalties as set forth on the Level IV Penalty Schedule. (Revised April 2006) (Revised August 2013)

**5.3.3 Level I or Level II Violations (Infractions).** An institution involved in a Level I or Level II infractions case shall inform the Commissioner on the actions of the NCAA and shall provide the Commissioner with copies of all written correspondence forwarded to and received from the NCAA. (Revised April 2006) (Revised August 2013)

**5.3.3.1 Reporting of Level I and Level II.** The Commissioner shall inform the chair of the Board of Directors of all Level I and Level II violations involving a member institution. (Revised August 2013)

**5.3.4 Repeated Violations.** The Commissioner (or designee) shall receive copies of all self-reports to the NCAA. If there is a pattern of repeated violations, the Commissioner shall inform the chair of the Board of Directors. [See NCAA Bylaw 19.1 for additional information on NCAA Level I-Level IV violations.] (Revised August 2013)

**5.4 Communication and Correspondence.** Communication with the Conference office by member institutions, or between member institutions, regarding possible violations of the Conference Handbook or NCAA rules shall be initiated by the director of athletics or designated representative. In the event a Conference member discovers a potential violation of the Handbook or NCAA rules and wishes to make allegations against that member to the Conference, the director of athletics must present such charges in a written statement to the Conference office with a copy to the President of his/her institution. This report must be accompanied by any letters or newspaper accounts, videotapes or other supporting documents. Any written charges of alleged violations shall be stated with such particularity as the circumstances may permit. The Conference office shall forward the report to the director of athletics and the President of the institution accused of the violations.

**5.5 Annual Report – Commissioner.** The Commissioner shall present an annual report to the Board of Directors during its spring meeting. The report shall include a summary, by institution, of all violations of NCAA legislation and/or Conference rules, and any action taken by the NCAA or the Commissioner (in Conference matters) as well as any other information relevant to compliance.

## Rule 6

# INTERPRETIVE POLICIES

**6.1 Interpretations.** Interpretations of any rule or provision of the MW Handbook or the NCAA Manual may be requested by any member institution's chief executive officer, director of athletics, senior woman administrator, faculty athletics representative, compliance coordinator or the Conference Commissioner. Interpretations shall include a determination of applicability of a rule to an actual or hypothetical situation. All authorized institutional representatives must seek interpretations in all cases for which they are in doubt.

**6.1.1 Verbal Requests.** The Commissioner (or designee) shall make verbal interpretations in response to verbal requests for interpretation. In response to a verbal request, the Commissioner may require that the request be submitted in writing.

**6.1.2 Written Requests.** The following procedures must be followed when written requests are received by the Conference office.

**6.1.2.1 Requests Initiated by the Commissioner.** If the Commissioner (or designee) determines that a ruling or provision of the Handbook needs clarification, he or she may make written explanation for the need for the clarification on his or her own initiative. It will then be handled as any other written request.

**6.1.2.2 Requests Initiated by an Institution.** Written requests initiated by member institutions shall be signed by either the chief executive officer, director of athletics, senior woman administrator, faculty athletics representative or compliance coordinator.

**6.1.2.3 Responses to Written Requests.** Within five working days of receipt of a written request, the Commissioner (or designee) shall issue a written response. A copy of both the request and response shall be sent to all member institutions. A copy will be retained in the Conference office to be available upon request.

## **6.2 Appeals of MW Handbook Interpretations and Rulings.**

**6.2.1 Appeal of the Commissioner's Interpretation or Ruling.** Appeals of interpretations or rulings made by the Commissioner (or designee) may be made in the following situations.

- a. For matters of student-athlete eligibility, the Commissioner's (or designee's) rulings and interpretations may be appealed. The appeal must be presented in writing to the faculty athletics representatives' designated governance group within five working days of receipt of the written interpretation or ruling. The appeal must include the original written request and the Commissioner's (or designee's) response and must be forwarded to the chair of the faculty athletics representatives' designated governance group. The committee shall meet either by telephone conference or in person, and issue a written ruling on the appeal within 30 days. (Revised April 2006)
- b. For other rules and interpretations, the Commissioner's (or designee's) rulings and interpretations may be appealed in writing to the faculty athletics representatives' designated governance group within five working days of receipt of the written interpretation or ruling. The appeal must include the original written request and the Commissioner's (or designee's) response and must be forwarded to the chair of the faculty athletics representatives' designated governance group. The group shall meet either by telephone conference or in person, and issue a written ruling on the appeal within 30 days. (Revised April 2006)



- c. The ruling of the Commissioner (or designee) shall stand until such time as the faculty athletics representatives' designated governance group has ruled on the appeal. (Revised April 2006)
- d. The decision of the faculty athletics representatives' designated governance group shall become effective immediately and shall not be retroactive. If, however, an institution appeals the decision of the group, the decision of the Commissioner shall stand until such time as the Joint Council renders a decision on the matter. (Revised April 2006)

**6.2.2 Appeal of Committee Decisions.** The following procedures must be followed when appealing the decision of a designated governance group. (Revised April 2006)

- a. Appeal of a governance group decision may be made to the Joint Council. The appeal must be in writing and received by the Joint Council within five working days of receipt of the governance group's decision. The appeal must include the original request, the Commissioner's (or designee's) response, the appeal to the governance group, and the group's decision. This information shall be forwarded to the chair of the Joint Council for consideration at its next meeting or conference call. All members of the Joint Council shall receive all information related to the appeal, prior to the next meeting or conference call of the Joint Council. (Revised April 2006)
- b. No representative of an appellant institution may vote on the appeal.
- c. Votes shall be by secret ballot. A majority vote of those present is required for each finding.
- d. The decision of the Joint Council shall become effective immediately or at a time specified by the Joint Council. The decision shall not be retroactive.

## Rule 7

# AWARDS

**7.1 Mountain West Scholar-Athlete Award.** Student-athletes who participate in a Mountain West-sponsored sport shall be named Mountain West Scholar-Athletes provided they meet the following criteria:

- a. Have completed at least two semesters or three quarters at the certifying institution;
- b. Have earned at least a 3.5 cumulative grade-point average at the certifying institution (including graduate and undergraduate work) and;
- c. Have competed in at least one varsity contest.

The institution's faculty athletics representative shall be responsible for informing the Conference office of the student-athletes who qualify for the award. Scholar-Athlete Award recipients shall receive an engraved award from the Conference office. Those who participate in multiple sports (e.g., cross country and track and field) shall receive a single award. (Revised May 2013)

**7.2 Mountain West Academic All-Conference.** Student-athletes who participate in a Mountain West-sponsored sport shall be named Mountain West Academic All-Conference provided they meet the following criteria:

- a. Have participated significantly in at least 50 percent of their team's contests and;
- b. Have earned a cumulative grade-point average of 3.0 at the certifying institution.

The institution's faculty athletics representative, after consultation with coaches to ascertain "significant" participation, shall be responsible for informing the Conference office of the students who qualify for the award. Academic All-Conference honorees shall receive a certificate from the Conference office. Those who participate in both indoor and outdoor track shall receive a single award. (Revised May 2013)

**7.3 Mountain West Scholar-Athlete of the Year Award.** Two awards shall be presented annually to the male and female student-athletes who best exemplify the term "scholar-athlete" by achieving excellence in academics, athletics and community/campus service over the course of their career. (Revised May 2010)

**7.3.1 Criteria.** The awards shall be presented to one male and one female student-athlete who meet the following criteria:

- a. Participated in a Mountain West-sponsored sport(s). (Revised May 2013)
- b. Exhausted athletic eligibility in all varsity sports in which they participated.
- c. Academic Achievement: All nominees shall have earned at least a 3.5 cumulative GPA at the recommending institution (including undergraduate and graduate work).
- d. Athletic Achievement: All nominees shall have demonstrated athletic achievement and brought recognition to his/her institution.
- e. Community/Campus Service: All nominees shall have demonstrated significant contributions to the campus or community through service opportunities.

### 7.3.2 Procedures

- a. The faculty athletics representative at each member institution shall serve as the point person for receiving and completing the nomination packets.
- b. The nominee shall complete applicable forms.
- c. The nominee shall submit an official academic transcript with his or her nomination form.
- d. The Conference office shall distribute the nomination packet to the faculty athletics representative at each member institution in April of each year. Nominations shall be forwarded to the Conference office no later than June 15. (July 2011)
- e. The Recognition Committee shall review all nominations and select the award winners. The award shall be presented on campus the following fall. (Revised April 2006)

**7.4 Mountain West Athlete of the Year Award.** Two awards shall be presented annually, to the male and female student-athletes who achieved athletic excellence during the current academic year. (Adopted May 2010)

**7.4.1 Criteria.** The awards shall be presented to one male and one female student-athlete who meet the following criteria:

- a. Participated in a MW-sponsored sport(s). (Revised May 2013)
- b. Athletic Achievement: All nominees shall have demonstrated superior athletic achievement and brought significant recognition to his/her team, institution and the Conference.
- c. Academic Achievement: All nominees shall be in good academic standing with the nominating institution and meet all NCAA, MW and institutional academic eligibility requirements through the completion of their season of competition. (Revised July 2011)
- d. Character/Conduct: All nominees shall demonstrate good character and conduct on and off the playing field.

**7.4.2 Procedures**

- a. The sports information director at each member institution shall serve as the point person for receiving and completing the nomination packets.
- b. The nominee shall complete applicable forms.
- c. The Conference office shall distribute the nomination packet to the sports information director at each member institution in April of each year. Nominations shall be forwarded to the Conference office no later than June 15. (Revised July 2011)
- d. Award winners will be selected by a majority vote of the member institutions. Each institution will submit one vote per award. The award shall be presented on campus the following fall.

**7.5 Mountain West Hal Rothman Sportsmanship Award.** Two awards may be presented annually to the male and female student-athletes who best exemplify the term “sportsmanship” as defined in the nomination criteria for the annual NCAA Sportsmanship Award. (Adopted April 2005)

**7.5.1 Procedures**

- a. The faculty athletics representative at each member institution shall serve as the point person for receiving and completing the nomination packets.
- b. It should be noted that the Committee on Sportsmanship and Ethical Conduct (CSEC) has eliminated its requirement for a “demonstrative sportsmanship act” in order to maximize the recognition of student-athletes who are outstanding contributors to their sports, teams and universities. However, such acts will be weighted more heavily when evaluating candidates.
- c. The Recognition Committee shall select one male and female winner from nominations submitted by the membership to represent the Conference among a national pool of candidates for the national and divisional awards, which are chosen by the Committee on Sportsmanship and Ethical Conduct (CSEC). The award shall be presented on campus the following fall.

**7.6 Albert C. Yates Distinguished Service Award.** The Albert C. Yates Distinguished Service Award is presented to an individual who has performed significant service in support of the Mountain West

**7.6.1 Criteria.** The award shall be presented in the spring to the individual who meets the following criteria:

- a. The award recipient shall be a current or former athletics administrator, faculty athletics representative, other institutional staff member or other individual who has supported and promoted the Mountain West.
- b. The award recipient shall be an individual who has served, supported and promoted the goals and ideals of the Mountain West or member institution and who, through personal effort and dedication, has enhanced the ability of the Conference and/or its member institutions to provide a positive experience for the student-athletes enrolled at any member institution.

**7.6.2 Procedures**

- a. Each Joint Council member may nominate one individual each year with a maximum of three nominations per institution each year. Any individual who meets the criteria may be nominated regardless of their affiliation.
- b. The Conference office shall send nomination forms to Joint Council members during the first week in February. Nominations shall be forwarded to the Conference office and postmarked no later than April 1.
- c. Nomination forms MUST be signed by the president/chancellor of the nominating university before the nominees are submitted for consideration. In the event one or more nominees are presented by an MW institution other than their own, the president/chancellor of the nominating institution(s) must contact the president/chancellor of the nominees’ institutions for their approval prior to submitting the application. (Revised June 2007)
- d. The Recognition Committee shall annually review the nominations and make the selection. (Revised April 2006)
- e. The award recipient shall be recognized at the spring meeting of the Joint Council. (Revised April 2006)

- f. In an effort to recognize only one award winner per academic year, nominees not selected for that year's award may be submitted in subsequent years, provided they continue to meet the criteria for selection.
- g. The award recipient shall receive an appropriate award to recognize receipt of this award.
- h. It shall not be mandatory that the award be presented each year.

**7.7 Commissioner's Award.** The Commissioner's Award is presented to an individual who has achieved a singularly distinguished accomplishment that has significantly enhanced the Mountain West or a member institution.

**7.7.1 Criteria.** The award shall be presented in the spring to the individual who meets the following criteria:

- a. The award recipient may be any person associated with the Mountain West and may be a current or former athletics administrator, faculty athletics representative, coach, Conference office staff member or an individual not associated directly with any institution or the Conference office.
- b. The award recipient shall be an individual who, through dedicated effort and service has achieved a singularly distinguished accomplishment, during the current academic year, that has significantly enhanced the Mountain West or a member institution.

**7.7.2 Procedures**

- a. Each Joint Council member may nominate one individual each year with a maximum of three nominations per institution each year. Any individual who meets the criteria may be nominated regardless of their affiliation.
- b. The Conference office shall send nomination forms to Joint Council members during the first week in February. Nominations shall be forwarded to the Conference office and postmarked no later than April 1.
- c. The Recognition Committee shall annually review the nominations and make the selection. (Revised April 2006)
- e. The award recipient shall receive an appropriate award to recognize receipt of this award.
- f. It shall not be mandatory that the award be presented each year.
- g. This award will not generally be given to coaches for achieving an outstanding record or having a very successful year in as much as the "Coach-of-the-Year" award, in each sport, is designed to recognize these achievements. This award could be given to a coach for achievements, other than team success or in very unusual circumstances, i.e. a national championship, for team-related accomplishments.

**7.8 Sport Awards.** Official Conference awards shall be presented in all sports. The awards for each sport are set forth in the sport regulations section of this handbook.



## Rule 8

# HANDBOOK AMENDMENT PROCESS

**8.1 Amendment Process.** A proposed amendment to the rules of the Handbook shall be submitted in writing to the faculty athletics representatives designated governance group. All proposals shall be referred to the faculty athletics representatives designated governance group to develop appropriate language. (Revised April 2006)

**8.1.1 Amendment to Amendment.** A proposed amendment to a provision of the Articles, Bylaws or Handbook may be amended at any annual or called meeting. A proposed amendment requires a 75 percent vote of Conference member institutions in good standing.

**8.1.2 Effective Date.** Amendments approved by the Board of Directors shall become effective immediately unless otherwise specified.

## Rule 9

# TELEVISION AND RADIO POLICIES

**9.1 Television.** Conference policies and procedures relative to the televising of Mountain West championships or regular-season competition involving member institutions shall be under the jurisdiction of the director of athletics' designated governance group. The senior woman administrators' designated governance group shall have responsibilities in matters related to the televising of sports over which the group has jurisdiction. Actions of both groups shall be subject to the oversight of the Joint Council. Television policies adopted by the Joint Council shall be considered as regulations guiding the conduct of Conference television operations. Significant policy issues and contract ratification decisions shall be forwarded to the Board of Directors as necessary and appropriate. Broadcast rights to all Conference championships are the exclusive property of the Mountain West. Entities that have not purchased the rights to broadcast a championship shall not air, either live or delayed, description of any game action. (Revised April 2006)

**9.2 Conference Television Contract.** The Mountain West has contractual agreements with various Networks which grant these entities rights for Mountain West programming. The agreements are legally binding with regard to the rights and responsibilities of the parties. The policies and procedures for implementation of these agreements shall be coordinated by the Conference office in accordance with the respective contractual provisions. Conference members shall not enter into agreements that conflict with the contractual rights of the parties and/or existing Conference policies. (Revised April 2006, August 2013)

**9.3 Disputes Involving Telecasts Rights.** Any disputes involving telecast rights of member institutions and potential conflicts with the Mountain West contractual agreements shall be resolved through appropriate Conference channels. (Revised April 2006, August 2013)

**9.4 Non-Conference Game Contract Requirements.** Conference institutions shall include a clause in non-conference game contracts which states that "the television and radio rights shall reside with the host conference and institution in accordance with their contractual agreements in effect at the time." (Revised August 2013)

**9.5 Radio Policies.** The director of athletics' and senior woman administrators' designated governance groups shall be empowered to resolve administrative questions regarding radio rights related to the sports over which each group has jurisdiction, subject to the approval of the Joint Council. Significant policy issues shall be forwarded to Board of Directors as necessary and appropriate. Broadcast rights to all Conference championships are the exclusive property of the Mountain West. Entities that have not purchased the rights to broadcast a championship shall not air, either live or delayed, description of any game action. (Revised April 2006)

**9.5.1 Visiting Radio Rights.** Broadcasting rights shall be jointly granted by the athletics directors of the host and visiting Conference institutions for Conference games. Neither party shall permit its radio entity to broadcast into the home area of the other party without approval of the other party. This in no way precludes the broadcasting of events by stations in the same market or the overlapping of radio signals from different markets. Granting of broadcasting rights to a visiting non-conference institution shall be at the discretion of the host institution's athletics director. (Revised August 2013)

**9.5.2 Broadcast Facilities.** A visiting Conference team shall be provided normal facilities for one official non-university radio outlet by the home institution at no charge. For Conference games, member institutions may provide available press box space to a second official non-university radio outlet, provided the outlet is a non-English speaking station. Provision of facilities for visiting non-conference institutions shall be at the discretion of the host institution's athletics director. (Revised August 2013)

**9.5.3 Rights Fees.** The host Conference institution shall determine the rights fees to be charged to visiting broadcasting entities (both primary and secondary/unofficial), but the philosophy shall be not to charge a rights fee to the flagship station of a visiting Conference institution.

**9.5.4 National Radio Broadcast Rights – All Sports.** The following shall govern Mountain West national and satellite radio broadcasts in all sports. (Revised April 2006)

- a. The Mountain West owns the exclusive rights (subject to existing contractual provisions with television rights holders) to distribute all Mountain West events via national and international over-the-air and satellite radio. (Adopted April 2006, Revised August 2013)
- b. The Conference office shall serve as the contact for national radio broadcast arrangements. All agreements for national radio broadcasts shall be negotiated and coordinated through the Conference office. There shall be no agreements for national radio broadcasts in basketball and/or football entered into at the institutional level. (Revised August 2013)
- c. Revenues generated from such agreements shall accrue to the Conference office. (Revised April 2002, Revised August 2013)

## SPORT REGULATIONS

General Regulations.....	Regulation 1-1
Baseball.....	Regulation 2-1
Men’s Basketball .....	Regulation 3-1
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Women’s Tennis .....	Regulation 13-1
Men’s and Women’s Track and Field.....	Regulation 14-1
Volleyball .....	Regulation 15-1

## Regulation 1

# GENERAL REGULATIONS

**1.1 General.** The Administrative Sport Regulations shall become effective upon the adoption by an affirmative vote of three-fourths of the Board of Directors to become effective on the date provided in the vote adopting the amendment. A proposed amendment may be initiated and submitted in writing to the Board of Directors by any member of the Board of Directors or by action of the Joint Council. If action favorable to an amendment is taken by an affirmative vote of a majority of the members of the Joint Council, the same shall be adopted only after it has been confirmed at the following regular meeting of the Joint Council or approved by the Board of Directors.

**1.1.1 Governing Playing Rules and Regulations.** All regular season competition and Conference championships shall be conducted under NCAA rules, regulations, and format, except when specifically modified by Joint Council action. Any additional rules or regulations made by the Joint Council shall be more stringent than those of the NCAA.

**1.2 Minimum Sports Sponsorship.** Each member institution shall field varsity teams in football, women's volleyball, men's and women's basketball, one women's team sport and additional men's and women's sports as prescribed by the NCAA to maintain Division I-A membership.

**1.3 Adding or Discontinuing a Conference Championship Sport.** A member institution must notify the Commissioner no later than one year prior to the start of the applicable sports season if the institution intends to begin a Conference sponsored sport during the ensuing academic year. A member institution must notify the Commissioner no later than February 1 if the institution intends to discontinue a conference sponsored sport. (Effective July 1, 2013 for institutions adding or discontinuing a conference championship sport on or after July 1, 2013). (Revised January 2013)

**1.4 Sport Committees.** Each Conference sport shall have a sport committee consisting of the head coach from each Conference institution. Each sport committee shall have an administrative liaison who is a member of the Joint Council. Administrative liaisons shall attend the respective mandatory coaches meetings. The Conference office shall pay (in accordance with established policies) the travel expenses of the administrative liaison to travel to coaches meetings. (Revised April 2006)

**1.4.1 Institutional Voting.** Voting during coaches meetings is governed by the "one vote per sport per institution" premise. If an issue relates only to women's programs, those institutions with women's programs may vote. Conversely, if an issue relates only to men's programs, those institutions with men's programs may vote. If an issue impacts both the men's and women's programs, those institutions with both programs may cast two votes.

**1.4.2 Reintroduction of Issues.** Sport committees shall not reintroduce issues that previously have been resolved for a minimum of two years. If new information becomes available or if changes to NCAA rules impact the original decision, the issue may be reviewed prior to the end of the two-year period. (Adopted April 2007)

**1.5 Artificial Noisemakers.** Artificial noisemakers are prohibited during athletic competition in all sports (except women's soccer regular season play) (Revised December 2012) at all times; this includes non-conference as well as Conference competition. An institution shall not distribute or cause to be distributed artificial noisemakers. Reasonable efforts should be made by the institution to confiscate artificial noisemakers brought into athletic competitions. Institutions shall submit to the Conference office for review items with noisemaking implications prior to purchasing or entering into an agreement for purchase of such items. An institution that violates this provision shall be subject to the following:



- a. First offense: Warning from the Conference office.
- b. Second and subsequent offenses: A financial penalty determined by the Conference Commissioner.  
(Revised October 2003 and April 2004)

**1.6 Meeting of Coaches.** There shall be an annual in-person meeting of the coaches to be held in conjunction with the Conference championship in the sports of men's and women's golf and women's soccer. (Revised December 2008)

**1.6.1 Football.** In the sport of football the annual meeting shall be held in conjunction with media day.

**1.6.2 Baseball, Men's and Women's Basketball, Softball, Women's Swimming and Diving, Men's and Women's Track and Field and Volleyball.** In the sports of baseball, softball, men's and women's basketball, men's and women's tennis, women's swimming and diving, men's and women's track and field and volleyball, the annual meeting shall be held at a date and site determined in consultation with the administrative liaison.

**1.6.3 Cross Country and Men's and Women's Tennis.** In the sports of men's and women's cross country and men's and women's tennis, a conference call shall be conducted in lieu of an in-person meeting. (Revised December 2008)

**1.6.4 Mandatory Participation in Coaches Meetings/Conference Calls.** All head coaches in all Conference sports shall attend/participate in one annual mandatory Conference coaches meeting unless prior approval for being absent is granted by the Commissioner. Any unauthorized absence will result in a one game suspension for the coach to be effective for the first regular season Conference game of the season. If there is no regular season Conference competition, the suspension shall be served on the first day of the Conference championship. The suspended coach is restricted the day of the game from being within 100 feet of the bench area or the team's locker room. In the case of an excused absence, an assistant coach may represent the head coach as an approved substitute. Appeals should be forwarded to the appropriate designated governance group (e.g., athletics directors, senior woman administrators). (Revised April 2006)

**1.6.5 Mandatory Participation in Pre-Championship Meetings/Conference Calls.** A head coach who fails to attend/participate the mandatory pre-championship meeting shall be suspended from the next regular season contest or date of competition. In date of competition sports, the suspension may not exceed one day. Appeals should be forwarded to the appropriate designated governance group (e.g., athletics directors, senior woman administrators). (Adopted October 2006)

**1.6.6 Institutional Votes from Members not in Attendance at Meetings or on Conference Calls.** Coaches and administrators, or designee, must be present at the meeting or on the conference call when voting commences in order to be included in the recorded action. (Adopted October 2006)

**1.7 Interrupted Game Procedures.** All personnel, including game officials, coaches, players, athletics directors, game management personnel and Conference office staff, are required to use best their effort to see that each Conference game is played to its conclusion. The Conference recognizes, however, that emergencies may arise which make a game's completion impossible or inadvisable. Such circumstances may include, but are not limited to, severely inclement weather, natural or man-made disasters, power failure and spectator interference.

### 1.7.1 Definitions.

- a. **Suspend.** To suspend a game is to temporarily halt play for a short duration or to delay the start of a game temporarily.
- b. **Cancel.** To cancel a game is to nullify it either before or after it begins and to make no provision for rescheduling it or for including its score or other performance statistics in Conference records.
- c. **Postpone.** To postpone a game is to (a) defer it to a later date, or (b) suspend it after play has begun and make provision to resume it at a later date with all scores and other performance statistics up to the point of postponement added to those achieved in the resumed portion of the game. (Revised February 2005)
- d. **Terminate.** To terminate a game is to end it short of completion, officially record it as a completed game, and make no provision to resume it at a later date.

**1.7.2 Suspension, Cancellation, Postponement or Termination.** Games should be suspended, canceled, postponed or terminated when circumstances exist such that commencement or continuation of play would pose a threat to the safety of the constituent groups involved with the game (e.g., student-athletes, coaches, officials, trainers, managers, facility personnel, staff, band, cheerleaders, mascots and fans). In the event a game is suspended, the directors of athletics of both participating institutions, game management personnel and the Conference office representative shall meet with the head official. The Conference office representative shall be responsible for communicating with the Commissioner or his designee, who has the sole authority to declare a game canceled, postponed or terminated. If a Conference office representative is not on site, the host institution's athletics director (or designee) shall communicate with the Commissioner or his designee. The home team game management, in consultation with the Conference office representative, shall be responsible for disseminating information regarding such a decision to the teams, media and general public. (Revised November 2003 and February 2005)

**1.7.2.1 Football or Basketball.** If a football or basketball game cannot be continued, and fewer than 30 minutes in basketball or fewer than three quarters in football have been played, the game shall be subject to interrupted game procedures. If the contest is rescheduled, the game shall resume at the point the contest was disrupted with all factors in place at the time of the disruption. If more than 28 minutes in basketball have been played and a team is 30 or more points behind, it shall be declared a completed contest. If more than three quarters in football have been played and a team is 28 or more points behind, it shall be declared a completed contest. If the aforementioned point differences are fewer than indicated, both institutions must agree in order for the contest to be declared completed. (Revised February 2005)

**1.7.3 Authority.** The authority to cancel, postpone or terminate a game is vested only in the Commissioner or his designee. Authority to suspend a game is vested in the head official.

### 1.7.4 Guidelines.

- a. If a game is suspended prior to the start for any reason, every effort shall be made to begin and complete the game within the existing circumstances and in accordance with the guidelines of this section. (Revised February 2005)
- b. If a game is suspended for any reason after play has begun, it should be resumed as soon as conditions are deemed safe by the head official (referee) in consultation with the home team game management personnel, the Conference office and the athletics directors from the two participating institutions (as prescribed above). (Revised February 2005)

- c. A game that is suspended prior to the start shall not be resumed after midnight local time. A game that is suspended after play has begun shall not be resumed beyond two hours following the point of suspension and no later than midnight local time, unless mutually agreed upon by the participating institutions. (Revised February 2005)
- d. If a game is suspended prior to the start for any reason and cannot resume under the applicable guidelines, the two participating institutions, in consultation with the Commissioner, shall attempt to declare the game postponed and reschedule the game at a later date. If the game cannot be rescheduled, it will be considered a cancelled game. (Revised February 2005)
- e. If a game is suspended after play has begun and cannot resume under applicable guidelines, the two institutions, in consultation with the Commissioner, shall attempt to declare the game postponed, and resume it at a later date. (Revised February 2005)

**1.8 Inclement Weather.** All weather-related decisions during a game shall be made by the head game official. The only Conference policy governing weather relates to lightning as follows:

- a. When word reaches the head official that a flash-to-bang count of 30 seconds has been reached, it is strongly recommended that the official immediately stop the game, informing both coaches that the game is being suspended at this point.
- b. The officials shall inform both teams that ALL constituent groups involved with the game (e.g., student-athletes, coaches, officials, trainers, managers, facility personnel, staff, band, cheerleaders, mascots and fans). will go immediately to the designated safe structure or location. (Revised February 2005)
- c. Play will be resumed only when the head official has been notified that at least 30 minutes have passed after the last flash of lightning or sound of thunder.
- d. Suspended play beyond this point is covered by NCAA and Conference rules. (Revised February 2005)

**1.8.1 Lightning Safety – NCAA Guidelines.** The following steps are recommended by the NCAA and National Severe Storm Laboratory (NSSL) to mitigate the lightning hazard:

- a. Designate a chain of command as to who monitors threatening weather and who makes the decision to remove a team or individuals from an athletics site or event. An emergency plan should include planned instructions for participants as well as spectators.
- b. Obtain a weather report each day before a practice or event. Be aware of potential thunderstorms that may form during scheduled intercollegiate athletics events or practices.
- c. Be aware of National Weather Service-issued (NWS) thunderstorm “watches” and “warnings” as well as the signs of thunderstorms developing nearby. A “watch” means conditions are favorable for severe weather to develop in an area; a “warning” means that severe weather has been reported in an area and for everyone to take proper precautions.
- d. Know where the closest “safe structure or location” is to the field or playing area, and know how long it takes to get to that safe structure or location.
- e. Safe structure or location is defined as:

1. Any building normally occupied or frequently used by people, (i.e., a building with plumbing and/or electrical wiring that acts to electrically ground the structure). Avoid using shower facilities for safe shelter and do not use the showers or plumbing facilities during a thunderstorm.
  2. In the absence of a sturdy, frequently inhabited building, any vehicle with a hard metal roof (not a convertible or golf cart) and rolled-up windows can provide a measure of safety. A vehicle is certainly better than remaining outdoors. It is not the rubber tires that make a vehicle a safe shelter, but the hard metal roof, which dissipates the lightning strike around the vehicle. **DO NOT TOUCH THE SIDES OF THE VEHICLE!**
- f. Be aware of how close lightning is occurring. The flash-to-bang method is the easiest and most convenient way to estimate how far away lightning is occurring. Thunder always accompanies lightning, even though its audible range can be diminished due to background noise in the immediate environment, and its distance from the observer. To use the flash-to-bang method, count the seconds from the time the lightning is sighted to when the clap of thunder is heard. Divide this number by five to obtain how far away (in miles) the lightning is occurring. For example, if an individual counts 15 seconds between seeing the first flash and hearing the bang. 15 divided by five equals three; therefore, the lightning flash is approximately three miles away.
- g. Specific lightning-safety guidelines have been developed with the assistance of the NSSL.
1. As a minimum, NSSL staff strongly recommends that by the time the monitor obtains a flash-to bang count of 30 seconds, all individuals should have left the athletics site and reached a safe structure or location.
  2. The existence of blue sky and the absence of rain are not protection from lightning. Lightning can, and does, strike as far as 10 miles away from the rain shaft. It does not have to be raining for lightning to strike.
  3. If no safe structure or location is within a reasonable distance, find a thick grove of small trees surrounded by taller trees or a dry ditch. Assume a crouched position on the ground with only the balls of the feet touching the ground, wrap your arms around your knees and lower your head. Minimize contact with the ground, because lightning current often enters a victim through the ground rather than by a direct overhead strike. **MINIMIZE YOUR BODY'S SURFACE AREA, AND MINIMIZE CONTACT WITH THE GROUND. DO NOT LIE FLAT.** If unable to reach safe shelter, stay away from the tallest trees or objects (such as light poles or flag poles), metal objects (such as fences or bleachers), individual trees, standing pools of water and open fields. Avoid being the highest object in a field. Do not take shelter under a single, tall tree.
  4. A person who feels his or her hair stand on end, or skin tingle should immediately crouch, as described in item 3.
  5. Avoid using the telephone, except in emergency situations. People have been struck by lightning while using a land-line telephone. A cellular phone or a portable remote phone is a safe alternative to land-line phones, if the person and the antenna are located within a safe structure or location, and if all other precautions are followed.
  6. When considering resumption of an athletics activity, NSSL staff recommends that everyone should ideally wait at least 30 minutes after the last flash of lightning or sound of thunder before returning to the field or activity.

7. People who have been struck by lightning do not carry an electrical charge. Therefore, cardiopulmonary resuscitation (CPR) is safe for the responder. If possible, an injured person should be moved to a safer location before starting CPR. Lightning-strike victims who show signs of cardiac or respiratory arrest need emergency help quickly. Prompt, aggressive CPR has been highly effective for the survival of victims of lightning strikes.

**1.9 Conference Championship Sports.** The Mountain West softball and volleyball titles shall be determined on the basis of regular season Conference competition. Other Conference championships shall be held in the following varsity sports (Revised March 2007, Revised June 2008, December 2010, January 2013):

<p><b>WOMEN'S TEAM SPORTS</b> Basketball Soccer</p>	<p><b>MEN'S TEAM SPORTS</b> Basketball Baseball Football</p>
<p><b>WOMEN'S INDIVIDUAL SPORTS</b> Golf Swimming &amp; Diving Tennis Outdoor Track &amp; Field Indoor Track &amp; Field Cross Country</p>	<p><b>MEN'S INDIVIDUAL SPORTS</b> Golf Tennis Outdoor Track &amp; Field Indoor Track &amp; Field Cross Country</p>

### 1.10 Championship Regulations.

**1.10.1 Automatic Qualification.** Teams subject to postseason competition restrictions (NCAA or Mountain West) shall not be eligible to participate in MW championship competition until the restriction expires. In sports where the automatic qualifier is determined by regular season Conference competition, if the regular season champion is subject to postseason competition restrictions, the 2nd place team in the regular season Conference standings will be the league's automatic qualifier. If tie-breaking is necessary to determine the 2nd place team, existing procedures will be applied. The MW Board of Directors will determine the distribution of revenue formula for all member institutions, including those with teams subject to postseason restrictions. (Codified March 2012)

**1.10.2 Awards Selection.** Awards shall be selected and purchased by the Conference office. Awards shall be shipped by the awards supplier directly to the host institution or championship site. Only official Conference awards may be presented at the site of the championship.

**1.10.3 Broadcast Rights.** All radio and television rights to championships shall be negotiated by the Conference office. The radio rights are to be on a non-exclusive, stated fee basis. Television rights are to be on a competitive bid basis.

**1.10.4 Budgeting to Host a Conference Championship.** Expenses for hosting a Conference championship shall be funded through the Conference office budget. The host institution shall prepare a proposed budget for approval, and once approved, the expenses shall be paid by the Conference office.

**1.10.5 Dates and Sites.** Dates and sites for championships shall be determined by the Joint Council. In the event an institution has inadequate facilities to properly conduct a championship, or for other



acceptable reasons, it may pass its scheduled turn in the rotation. In that event, the institution next in the rotation shall be the host institution. No championship shall be held at a site that discriminates on the basis of race, sex, religion or national origin.

**1.10.6 Championship Participation.** Member institutions participating in a recognized sport during the regular season must compete in the Conference championship and take the minimum number of participants to the respective championship. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the costs associated with the team traveling to the championship site. The Conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the Conference Championship results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference championship does not result in a loss to the host institution, the fine shall be included in the Conference championships budget. Waivers of the minimum numbers of participants rule may be granted by the Commissioner.

**1.10.7 Championship Sponsorship.** The Conference shall initiate a championship only when at least fifty percent of the member institutions participate in a sport.

**1.10.8 Championship Management.** The athletics director, senior woman administrator, or the designated representative of the host institution shall be the championship manager. This individual shall be responsible for conducting the business details according to the adopted administrative policies and any additional directions provided by the Conference office.

**1.10.9 Championship Meeting.** A meeting of the institutional representatives and officials shall be held to review championship procedures, local rules and policies.

**1.10.10 Equipment.** The host institution shall be responsible for providing all necessary equipment for the championship. Equipment shall be in compliance with all NCAA regulations.

**1.10.11 Game Management.** The championship shall be properly staffed with appropriate officials, administration, timers, scorers, ticket managers, maintenance and security personnel. Required equipment shall be provided.

**1.10.12 Hospitality.** A hospitality room for participating coaches, contest administration and selected officials and judges should be provided as appropriate.

**1.10.13 Media Relations/Promotions.** Media relations staff, trained statistical crews and sports information services shall be provided. Results are to be distributed to the Conference office and each participating institution.

**1.10.14 Medical, Physician, Certified Athletics Trainer.** Adequate medical and training facilities shall be provided. A certified athletics trainer shall be available at all championship competitions. Training facilities shall be made available to visiting teams. Each competing institution shall be informed as to the availability of training equipment and responsible for its own training supplies. There shall be planned access to a physician and a medical facility, including communication and transportation between the championship site and the medical facility, so that prompt medical service shall be available.

**1.10.15 Practice.** Participants shall be allowed to practice at the championship site, if possible.

**1.10.16 Pre-Championship Information.** Prior to the championship, each competing institution shall be provided with information on local food and lodging, location and times of meetings, publicity needs, key contacts, deadline for entries, practice areas and parking availability.

**1.10.17 Photographers.** All photographers in the competition area must be approved by the host institution and/or the Conference office.

**1.10.18 Public Address System.** A public address system shall be provided for each championship.

**1.10.19 Records.** Records for championships shall be kept on file in the Conference office.

**1.10.20 Starting Times.** Starting times for each championship shall be determined by the Conference office.

**1.10.21 Travel Arrangements.** All teams are responsible for their own reservations for hotel, and air and ground transportation, using the Conference travel service where appropriate. The host institution shall establish a headquarters hotel and attempt to secure favorable rates for participating institutions.

### **1.11 Regular Season Regulations.**

**1.11.1 Crowd Control.** Officials in team sports shall utilize all of the rules and authority vested in them, and enforce the following crowd control procedures in the event of stadium or arena disorder:

**1.11.1.1 Football.** When the referee calls a time out due to any disruptive activity, the public address announcer shall read a prepared statement requesting that the disruptive activity cease immediately. While the public address announcement is being made, the head coach and team captains shall provide visual sideline support for the announcement.

**1.11.1.2 Basketball.** When the referee calls a time out due to any disruptive activity, the head coach and/or athletics director and/or captain shall appeal to the crowd for the activity to cease.

**1.11.1.3 Special Notes.** Once the game is under way, the referee/head official has the responsibility to determine if the situation is too detrimental to continue play and has the authority to stop the game. If a game has been terminated by the referee, the decision to continue the game is solely the authority of the athletics director or his/her designee.

**1.12 Refusal to Play.** At no time is either the home or visiting coach vested with the authority to stop or discontinue play. A coach unilaterally taking his/her team from the playing area or refusing to play may be subject to Conference sanctions and possible forfeiture of the contest.

**1.13 Game Contracts.** Game contracts shall not be exchanged for any Conference contest in any sport. Changes to regularly scheduled game date, time or location shall be submitted to the Conference office for approval by the Commissioner or their designee via the Master Schedule Change Form found in Appendix H.

### **1.14 Squad-Size Limitations.**

**1.14.1 Home Team Squad-Size.** There shall be no squad-size limit on the home team.

**1.14.2 Travel Squad-Size.** Any student-athlete who travels with the team shall be counted against the travel squad-size limitation. No student-athlete may travel with the team in any other capacity (i.e., manager, trainer, statistician, etc.) when his/her presence would cause an excess of the travel squad-size limitation specified for that sport. The number of student-athletes permitted to travel to away contests is limited to the following:

**\*Note:** Regular season refers to regular season conference competition.

Baseball: Regular Season -- 26; Conference Championship -- 27

Basketball: Men's Regular Season -- 15; Women's Regular Season -- 15; Men's and Women's

Conference Tournament – 15
Football: Regular Season – 64; Conference Championship – 64
Golf: Conference Championship – 5
Soccer: Regular Season – 22; Conference Championship – 22
Softball: Regular Season – 20
Swimming & Diving: Conference Championship – 24 (divers count ½) (Revised April 2011)
Tennis: Regular Season – 8; Conference Championship – 8
Cross Country: Conference Championship – 9
Indoor Track & Field: Conference Championship – 28
Outdoor Track & Field: Conference Championship – 28
Volleyball: Regular Season – 15 (Revised December 2010)

**1.15 Ticket Policy.**

**1.15.1 Conference Championships.** Complimentary tickets shall not be issued for championship events. Admission prices for championship events shall be set by the host institution, in consultation with the Conference office. Students shall be admitted at no less than one-half the general admission price.

**1.15.2 Regular Season.** The number of complimentary tickets for visiting teams for regular season events is as follows:

SPORT	COMPLIMENTARY TICKETS
Football	300
Men’s and Women’s Basketball	50 (24 of which are located directly behind the visiting team bench)
Volleyball	28
Women’s Soccer	44 (Revised January 2012)
Baseball	50
Softball	36

**1.15.3 Game Officials.** Institutions shall provide officials with two complimentary tickets to the contest he or she is working, provided the tickets are available.

## Regulation 2

# **BASEBALL**

**2.1 General Rules.** Conference baseball competition shall be conducted in accordance with the rules of the NCAA and the Bylaws and Operating Handbook of the Conference.

**2.2 Format.** The Conference regular season champion shall be determined modified single round-robin schedule. [Single round-robin plus an additional series against four conference opponents.] (Revised February 2013)

**2.3 Non-Conference Schedule.** In order to continue to improve institutional and Conference (RPI), each team shall only schedule games against Division I opponents when its regular-season schedule is finalized. If institutions are unable to play the originally scheduled games (e.g. inclement weather), members will be allowed to complete its schedule with no more than four non-Division I games per season (effective for the 2014 season). (Revised December 2012).

**2.3.1 Penalty – Exceeding Maximum Number of Non-Conference Opponents.** If a team schedules and plays more than four regular season non-division I games (defined as opponents that count in the determination of NCAA RPI) in a given year, the institution shall be assessed a penalty of \$50,000 per occurrence. (Revised April 2003 and October 2003).

**2.4 Regular Season Champion.** The regular season champion shall be determined based on Conference winning percentage. In the event of a tie between two or more teams, such teams shall be considered co-champions.

**2.5 Playing Rules.** All Conference baseball games shall be conducted according to NCAA Baseball Rules, except as modified by Conference regulations.

**2.6 Squad-Size Limitation.** Each head coach shall submit a numerical roster of eligible student-athletes for a Conference series to the opposing coach as well as to the umpire-in-chief prior to the start of the series. (Revised October 2006)

**2.6.1 Visiting Team.** Travel squads for all regular season Conference games shall be 26 student-athletes and shall remain the same for the duration of the trip regardless of the number of games played. If a team exceeds the squad-size limitation, the team shall decrease its travel party size by one full-time coach for the next away-from-home regular season competition after the violation has been reported. (Revised February 2010)

**2.7 Videotape/Scouting Report Exchange.** A coach shall not send film, videotape or give a written or verbal scouting report on a Conference team to any institution outside the Conference.

**2.7.1 Violations of Videotape/Scouting Report Exchange Policy.** A member institution that exchanges videotapes or scouting reports in the sport of baseball with institutions outside the Conference shall be subject to the following:

- a. **First Offense.** Private reprimand of the head coach and the individual who sent the tape to the institution outside the Conference.
- b. **Second Offense.** Written notice to the Conference of the second offense. A copy of the notice shall be kept in the personnel file of the individual in the office of the institution's director of athletics.

- c. **Third Offense.** The Commissioner shall suspend the head coach for one game/competition. Such suspension shall occur immediately following receipt of notice by the Commissioner.

**2.8 Championship Tournament.** A modified seven-team, double-elimination Conference tournament shall be conducted to determine the automatic representative to the NCAA Division I Baseball Championship. [Only the first game of the tournament between the six and seven seeds is single-elimination]. (Revised May 2013)

**2.8.1 Minimum Number of Participants.** Member institutions that sponsor the sport of baseball shall take a minimum of nine participants to the Conference Baseball Championship Tournament. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the costs associated with the team traveling to the championship site. The Conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the conference Baseball Championship Tournament results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference Baseball Championship Tournament does not result in a loss to the host institution, the fine shall be included in the Conference championships budget. Waivers of the minimum number of participants rule may be granted by the Commissioner.

**2.9 Automatic Qualifier.** The Conference tournament champion shall be the automatic representative to the NCAA Division I Men's Baseball Championship. Other Conference teams may accept invitations to participate in postseason tournaments only when conducted or approved by the NCAA.

**2.9.1 Teams Subject to Postseason Competition Restrictions.** Teams subject to postseason competition restrictions (NCAA or Mountain West) shall not be eligible to participate in MW championship competition until the restriction expires. In sports where the automatic qualifier is determined by regular season Conference competition, if the regular season champion is subject to postseason competition restrictions, the 2nd place team in the regular season Conference standings will be the league's automatic qualifier. If tie-breaking is necessary to determine the 2<sup>nd</sup> place team, existing procedures will be applied. The MW Board of Directors will determine the distribution of revenue formula for all member institutions, including those with teams subject to postseason restrictions. (Codified March 2012)

**2.10 Tournament Date.** The Conference championship shall be held the week immediately preceding the day of selection for the NCAA Division I Baseball Championship.

**2.11 Tournament Seeding.** Tournament seeding shall be based on regular season winning percentage in Conference competition.

**2.11.1 Two Way Ties.** In the case of a two-way tie in the final standings, position in the tournament bracket shall be determined as follows:

- a. Comparison of head-to-head competition in regular season Conference play;
- b. Evaluation of results from games of those teams tied during regular season play against those teams finishing ahead of the tied position (utilizing win percentage, if necessary, when evaluating results against those teams), beginning at first place and working down the standings to the tied position or until the tie is broken; (Revised September 2005)
- c. Evaluation of results from games of those teams tied during regular season play against those teams finishing below the tied position (utilizing win percentage, if necessary, when



evaluating results against those teams), beginning at the tied position and working through last place, until the tie is broken; or (Revised September 2005)

- d. A drawing by the Commissioner.

**2.11.2 Multiple-Team Ties.** In the case of a multiple-team tie in the final standings, position in the tournament bracket shall be determined as follows:

- a. Comparison of head-to-head competition in regular season Conference play;
- b. If a tie still exists between three (3) or more teams, evaluation of results from games of those teams tied during regular season play against those teams finishing ahead of the tied position (utilizing win percentage, if necessary, when evaluating results against those teams), beginning at first place and working down the standings to the tied position or until the tie is broken; (Revised September 2005)
- c. Evaluation of results from games of those teams tied during regular season play against those teams finishing below the tied position (utilizing win percentage, if necessary, when evaluating results against those teams), beginning at the tied position and working through last place, until the tie is broken; (Revised September 2005)
- d. If at any time during this analysis, any team(s) should have an advantage over the other team(s) tied at that position, the team(s) holding the advantage shall assume a bracket position higher than the other team(s); or
- e. A drawing by the Commissioner.

**2.12 Cancellation of Championship.** In the event any game of the Conference championship is postponed as a result of inclement weather, it shall be made up or completed on the following day in addition to the regularly-scheduled game(s). A day-night doubleheader may be scheduled if possible.

If the tournament has been started but cannot be completed, the automatic qualifier and Tournament Champion will be determined as follows:

- a. If the tournament has been played through Game 8 or less; the No. 1 seed shall be the tournament champion and automatic representative to the NCAA Division I Baseball Championship.
- b. If the tournament has been played through Game 9; the winner of that game shall be the tournament champion and automatic representative to the NCAA Division I Baseball Championship.
- c. If the tournament has been played through Game 10; the winner of Game 9 shall be the tournament champion and automatic representative to the NCAA Division I Baseball Championship.
- d. If the tournament has been played through Game 11; the highest seed between the two teams competing in Game 10 shall be the tournament champion and automatic representative to the NCAA Division I Baseball Championship. (Revised May 2013)

In the event the Conference championship cannot be completed and no games have been played, the Conference regular season champion shall represent the Conference as the automatic representative to the NCAA Division I Baseball Championship.

**2.13 Cancellation of a Championship Game.** In the event any game of the Conference championship is postponed, it shall be made up or completed on the following day in addition to the regularly scheduled game. A day-night doubleheader may be scheduled if possible.

**2.14 Championship Game Ball.** The official game ball for all Championship games shall be determined by the Conference office.

**2.15 Pre-Championship Meeting.** A pre-championship meeting shall be held the day prior to the start of the Championship. The head coaches of all participating institutions are required to attend the meeting. A head coach who fails to attend the mandatory pre-championship meeting shall be suspended from the next regular season contest or date of competition. In date of competition sports, the suspension may not exceed one day. Appeals should be forwarded to the appropriate designated governance group (e.g., athletics directors, senior woman administrators). Conference office staff and an institutional representative also shall be in attendance at the meeting. (Adopted October 2006)

**2.16 Awards.** The Conference baseball coaches shall select recipients for all awards. Coaches shall not vote for themselves or for their own student-athletes for any of the awards. Should a coach fail to complete the entire ballot, the student-athletes from that team shall not be eligible to receive an award. Policies and procedures governing awards selection are outlined in the MW Baseball Championship Handbook.

**2.16.1 All-Conference Team.** The All-Conference team shall consist of 26 players, 13 first-team and 13 second-team members. All positions should be represented with the following minimums:

- Eight by Position;
- One designated hitter;
- One relief pitcher, and
- Three additional pitchers

**2.16.2 Individual Awards.** Individual awards shall be provided to the Coach of the Year, the Player of the Year, Freshman of the Year and the Pitcher of the Year. (Revised October 2006)

**2.16.3 All-Tournament Team.** The All-Tournament team shall consist of 11 players. All positions should be represented with the following minimums:

- Nine by Position;
- One designated hitter and
- One relief pitcher.

**2.16.4 Individual Tournament Award.** An individual award shall be provided to the Most Valuable Player.

**2.17 Meeting of Coaches.** There shall be an annual in-person meeting of the baseball coaches to be held each year at a site and on a date to be determined in consultation with the administrative liaison. Any changes shall be subject to approval by the Joint Council. Attendance by each head coach is mandatory.

## Regulation 3

# **MEN'S BASKETBALL**

**3.1 General Rules.** Conference basketball competition shall be conducted in accordance with the rules of the NCAA, the Bylaws and Operating Handbook of the Conference and the MW Basketball Game Management Handbook.

**3.2 Format.** A regular season champion shall be determined through an 18-game conference schedule in which each team plays eight Conference opponents twice and two conference opponents once (one home, one away). (Revised May 2013)

**3.3 Regular Season Champion.** The regular season champion shall be determined based on Conference winning percentage. In the event of a tie between two or more teams, such teams shall be considered co-champions.

**3.4 Championship Tournament.** A eleven-team single elimination Conference tournament shall be conducted to determine the automatic representative to the NCAA Division I Men's Basketball Championship. (Revised, 2011, 2012, May 2013)

**3.4.1 Minimum Number of Participants.** Member institutions that sponsor the sport of Men's Basketball shall take a minimum of five participants to the Conference Men's Basketball Championship Tournament. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the costs associated with the team traveling to the championship site. The conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the Conference Men's Basketball Championship Tournament results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference Men's Basketball Championship Tournament does not result in a loss to the host institution, the fine shall be included in the Conference championships budget. Waivers of the minimum number of participants rule may be granted by the Commissioner.

**3.4.2 Open Practice/Media Session.** Institutions participating in the Men's Basketball Conference championship tournament shall participate in the open practice session and the media session that occurs prior to the championship tournament. The Conference office shall determine the time and location of the open practice and media session.

**3.4.3 Arrival at Championship Site.** Time of arrival for institutions participating in the Men's Basketball Conference championship tournament shall be left to the discretion of the institution. However, a team shall arrive no earlier than after classes two days prior to the team's first game (i.e., Tuesday evening for a Thursday game). Institutional oversight shall be provided by each Athletic Director, Senior Woman Administrator and Faculty Athletics Representative. The intent is for all student-athletes to complete classes on the day prior to departure to the tournament site. (Revised April 2004 and May 2006)

**3.5 Automatic Qualifier.** The Conference tournament champion shall be the automatic representative to the NCAA Division I Men's Basketball Championship. Other Conference teams may accept invitations to participate in postseason tournaments only when conducted or approved by the NCAA.

**3.5.1 Teams Subject to Postseason Competition Restrictions.** Teams subject to postseason competition restrictions (NCAA or Mountain West) shall not be eligible to participate in MW championship competition until the restriction expires. In sports where the automatic qualifier is determined by regular season Conference competition, if the regular season champion is subject to

postseason competition restrictions, the 2nd place team in the regular season Conference standings will be the league's automatic qualifier. If tie-breaking is necessary to determine the 2<sup>nd</sup> place team, existing procedures will be applied. The MW Board of Directors will determine the distribution of revenue formula for all member institutions, including those with teams subject to postseason restrictions. (Codified March 2012)

**3.6 Cancellation of Championship.** In the event the Conference championship cannot be started or, if started, cannot be completed, the Conference regular season champion shall represent the Conference as the automatic representative to the NCAA Division I Men's Basketball Championship. (Revised October 2001)

**3.7 Tournament Seeding.** Tournament seeding shall be based on regular season winning percentage in conference competition.

**3.7.1 General Procedure.** All ties shall be broken in the order in which they occur, from top to bottom, in the standings. Tied teams in the higher position shall be considered as separate positions based upon their seeding (e.g., Team A and Team B are tied for first place in the standings. By using the established tie-breaking procedures, it is determined that Team B is the No. 1 seed and Team A is the No. 2 seed. Team C and Team D are tied for fifth place in the standings. When using the tie-breaking formula to break the tie, Teams C and D are compared separately against Team B first, then Team A). When comparing tied teams against positions lower in the standings that are also tied, those lower-tied positions shall be considered a single position for purposes of comparison. (Revised March 2004 and April 2004)

Overall, when comparing records against a single team or a group of teams, the higher winning percentage shall prevail, even if the number of games played against the team or group are unequal (i.e., 2-0 is better than 3-1, but 2-0 is not better than 1-0). **OR** In the case of tied percentages vs. the team or group of 1.000 or .000 the following shall apply: 2-0 is better than 1-0; 0-1 is better than 0-2 (Revised May 2013).

**3.7.2 Two Way Tie.** If two teams tie for a position, and one holds a win-loss advantage during regular season play, that team receives the higher seed. If the two teams split their games during the regular season, each tied team's record shall be compared against the team occupying the highest position in the standings, continuing down through the standings until one team gains the advantage, thereby gaining the higher seed. If two teams remained tied, the tie shall be broken by a comparison of the two teams' NCAA RPI. The team with the better NCAA RPI will gain the advantage. (Revised May 2006)

**3.7.3 Ties Between Three or More Teams.** If three or more teams tie for a position, the combined record of each of the tied teams against the other teams involved in the tie shall be compared until an advantage is gained. If the multiple tie still exists, each of the tied teams' records against the team occupying the highest position in the standings shall be compared, continuing down through the standings until the tie is reduced to a two-team tie, at which time the procedure shall revert to the two-way tie breaking procedure, until the tie is broken. If teams remained tied, the tie shall be broken by a comparison of the two teams' NCAA RPI. The team with the better NCAA RPI will gain the advantage. (Revised May 2006)

**3.8 Arrival at Game Site - Conference Competition.** The visiting team shall arrive at the game site prepared to compete at the scheduled start time. A visiting team that does not arrive at the game site prepared to compete at the scheduled time shall incur the following penalties:

- a. Forfeiture of the contest at the moment the scheduled start time is reached; subject to the approval of the Conference office.

- b. A financial penalty equal to the amount of documented losses incurred by the host institution and the Conference, including but not limited to, ticket refunds, concession losses, facility rental, personnel expenses, television contract rebates, officiating fees and game operations costs. (Revised October 2002 and October 2003)

### **3.9 Scheduling.**

**3.9.1 Conference Schedules.** Scheduling of regular season Conference games shall begin no later than May 1. The scheduling process shall conclude on or about June 1 (date the CBS Sports Network and ESPN require a completed schedule and may select games). The Conference office shall determine the date on which the schedule is released to the institutions and media. (Revised April 2006 and July 1, 2013)

**3.9.2 Non-Conference Schedule.** In order to continue to improve institutional and Conference (RPI), each team shall schedule and play no more than two regular season non-Division I games per season.

**3.9.2.1 Penalty – Exceeding Maximum Number of Non-Conference Opponents.** If a team schedules and plays more than two regular season non-Division I games (defined as opponents that count in the determination of NCAA RPI) in a given year, the institution shall be assessed a penalty of \$50,000 per occurrence. (Revised October 2003)

**3.10 Starting Times for Games.** Institutions shall determine starting times for all games, except those selected for television, and report the times to the Conference office no later than September 1.

**3.10.1 Game Time for Doubleheaders – Television.** The first game of a doubleheader shall begin three hours prior to the second game if the second game is to be televised. If the second game is not televised, the first game shall begin no less than two and one-half hours prior to the second game. If the first game is televised, the second game may begin immediately thereafter at the discretion of the institution. Institutions shall work with television entities to allow for unobtrusive setup of broadcast requirements during the first game of a doubleheader, should the second game be the game being televised.

**3.11 Playing Rules.** All Conference men’s basketball games shall be conducted according to the NCAA Men’s Basketball Rules, except as modified by Conference regulations.

**3.12 Scouting Reports.** A basketball coach shall not send film, videotape or give a written or verbal scouting report on a Conference member to any institution outside the Conference.

### **3.13 Squad-Size Limitations.**

**3.13.1 Regular Season.** Traveling squads shall be limited to 15 student-athletes for all Conference regular season competition. If a team exceeds the squad-size limitation, the team shall decrease its travel party size by one full-time coach for the next away-from-home regular season competition after the violation has been reported. There shall be no squad-size limit for the home team. (Revised October 2006)

**3.13.2 Conference Tournament.** Squad-sizes shall be limited to 15 student-athletes for the Conference tournament. Team benches will be set with 17 chairs for a total of 22 participants allowed on the court level during game play.

### **3.14 Tickets.**



**3.14.1 Complimentary Tickets.** The visiting institution shall be provided 50 complimentary tickets by the host institution, 24 of which shall be located in the area directly behind the visiting team bench.

**3.14.2 Student Ticket Exchange.** There shall be no student exchange ticket arrangement between institutions in the Conference.

**3.15 Videotape/Scouting Report Exchange.** The dissemination of game video and/or scouting information on member institutions to non-conference institutions is prohibited. Video, film or motion pictures shall not be taken for scouting purposes unless the team taping is one of the competing teams. Exchanging videotapes among Conference teams shall be governed by the policies outlined in the MW Basketball Game Management Handbook.

**3.15.1 Violations of Videotape/Scouting Report Exchange Policy.** A member institution that exchanges videotapes or scouting reports in the sport of men's basketball with institutions outside the Conference shall be subject to the following:

- a. **First Offense.** Private reprimand of the head coach and the individual who sent the tape to the institution outside the Conference.
- b. **Second Offense.** Written notice to the Conference of the second offense. A copy of the notice shall be kept in the personnel file of the individual in the office of the institution's director of athletics.
- c. **Third Offense.** The Commissioner shall suspend the head coach for one game/competition. Such suspension shall occur immediately following receipt of notice by the Commissioner.

**3.16 Video.** Video, film or motion pictures shall not be taken for scouting purposes unless the team taping is one of the competing teams. Videotaping shall occur from a center perspective and shall follow the policies are written in the MW Basketball Game Management Handbook.

**3.17 Awards.** The men's basketball coaches shall select recipients for each Conference award at the conclusion of the regular season. Coaches shall not vote for themselves or for their own student-athletes for any of the awards. Should a coach fail to complete the entire ballot, the student-athletes from that team shall not be eligible to receive an award.

**3.17.1 All-Conference Team.** The All-Conference team shall consist of five first team, five second team and five third team student-athletes and five person all-defensive team. Each shall be provided an award from the Conference. (Revised May 2008)

**3.17.2 Individual Awards.** Individual awards shall be provided to the Coach of the Year, the Player of the Year, Defensive Player of the Year, Freshman of the Year, Newcomer of the Year and the Sixth Man of the Year. (Revised December 2001, May 2008)

**3.18 Meeting of Coaches.** There shall be an annual in-person meeting of the men's basketball coaches at a site and on a date to be determined. Attendance by each head coach at the in-person meeting and media day is mandatory.

## Regulation 4

# WOMEN'S BASKETBALL

**4.1 General Rules.** Conference basketball competition shall be conducted in accordance with the rules of the NCAA and the Bylaws and Operating Handbook of the Conference.

**4.2 Format.** A regular season champion shall be determined through an 18-game conference schedule in which each team plays eight Conference opponents twice and two Conference opponents once (one home, one away). (Revised May 2013)

**4.3 Regular Season Champion.** The regular season champion shall be determined based on Conference winning percentage. In the event of a tie between two or more teams, such teams shall be considered co-champions.

**4.4 Championship Tournament.** A eleven-team single elimination Conference tournament shall be conducted to determine the automatic representative to the NCAA Division I Women's Basketball Championship. (Revised, 2011, 2012, May 2013)

**4.4.1 Minimum Number of Participants.** Member institutions that sponsor the sport of Women's Basketball shall take a minimum of five participants to the Conference Women's Basketball Championship Tournament. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the costs associated with the team traveling to the championship site. The conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the Conference Women's Basketball Championship Tournament results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference Women's Basketball Championship Tournament does not result in a loss to the host institution, the fine shall be included in the Conference championships budget. Waivers of the minimum number of participants rule may be granted by the Commissioner.

**4.4.2 Open Practice/Media Session.** Institutions participating in the Women's Basketball Conference championship tournament shall participate in the open practice session and the media session that occurs prior to the championship tournament. The Conference office shall determine the time and location of the open practice and media session.

**4.4.3 Arrival at Championship Site.** Time of arrival for institutions participating in the Women's Basketball Conference championship tournament shall be left to the discretion of the institution. However, a team shall arrive no earlier than after classes two days prior to the team's first game (i.e., Tuesday evening for a Thursday game). Institutional oversight shall be provided by each Athletic Director, Senior Woman Administrator and Faculty Athletics Representative. The intent is for all student-athletes to complete classes on the day prior to departure to the tournament site. (Revised April 2004 and May 2006).

**4.4.4 Championship Game Ball.** The official game ball for all Championship games shall be determined by the Conference office.

**4.4.5 Tournament Date.** The Conference championship shall be held the Tuesday-Saturday immediately preceding the selection for the NCAA Division I Women's Basketball Championship.

**4.5 Automatic Qualifier.** The Conference tournament champion shall be the automatic representative to the NCAA Division I Women's Basketball Championship. Other Conference teams may accept invitations to participate in postseason tournaments only when conducted or approved by the NCAA.

**4.5.1 Teams Subject to Postseason Competition Restrictions.** Teams subject to postseason competition restrictions (NCAA or Mountain West) shall not be eligible to participate in MW championship competition until the restriction expires. In sports where the automatic qualifier is determined by regular season Conference competition, if the regular season champion is subject to postseason competition restrictions, the 2nd place team in the regular season Conference standings will be the league's automatic qualifier. If tie-breaking is necessary to determine the 2<sup>nd</sup> place team, existing procedures will be applied. The MW Board of Directors will determine the distribution of revenue formula for all member institutions, including those with teams subject to postseason restrictions. (Codified March 2012)

**4.6 Cancellation of Championship.** In the event the Conference championship cannot be started or, if started, cannot be completed, the Conference regular season champion shall represent the Conference as the automatic representative to the NCAA Division I Women's Basketball Championship. (October 2001)

**4.7 Tournament Seeding.** Tournament seeding shall be based on regular season winning percentage in Conference competition.

**4.7.1 General Procedure.** All ties shall be broken in the order in which they occur, from top to bottom, in the standings. Tied teams in the higher position shall be considered as separate positions based upon their seeding (e.g., Team A and Team B are tied for first place in the standings. By using the established tie-breaking procedures, it is determined that Team B is the No. 1 seed and Team A is the No. 2 seed. Team C and Team D are tied for fifth place in the standings. When using the tie-breaking formula to break the tie, Teams C and D are compared separately against Team B first, then Team A). When comparing tied teams against positions lower in the standings that are also tied, those lower-tied positions shall be considered a single position for purposes of comparison. (Revised March 2004 and April 2004)

Overall, when comparing records against a single team or a group of teams, the higher winning percentage shall prevail, even if the number of games played against the team or group are unequal (i.e., 2-0 is better than 3-1, but 2-0 is not better than 1-0). **OR** In the case of tied percentages vs. the team or group of 1.000 or .000 the following shall apply: 2-0 is better than 1-0; 0-1 is better than 0-2 (Revised May 2013).

**4.7.2 Two Way Tie.** If two teams tie for a position, and one holds a win-loss advantage during regular season play, that team receives the higher seed. If the two teams split their games during the regular season, each tied team's record shall be compared against the team occupying the highest position in the standings, continuing down through the standings until one team gains the advantage, thereby gaining the higher seed. If two teams remained tied, the tie shall be broken by a comparison of the two teams' NCAA RPI. The team with the better NCAA RPI will gain the advantage. (Revised May 2006)

**4.7.3 Ties Between Three or More Teams.** If three or more teams tie for a position, the combined record of each of the tied teams against the other teams involved in the tie shall be compared until an advantage is gained. If the multiple tie still exists, each of the tied teams' records against the team occupying the highest position in the standings shall be compared, continuing down through the standings until the tie is reduced to a two-team tie, at which time the procedure shall revert to the two-way tie breaking procedure, until the tie is broken. If teams remained tied, the tie shall be broken by a comparison of the two teams' NCAA RPI. The team with the better NCAA RPI will gain the advantage. (Revised May 2006)

## 4.8 Scheduling.

**4.8.1 Conference Schedules.** Scheduling of regular season Conference games shall begin no later than May 1. The scheduling process shall conclude on or about June 1 (date the CBS Sports Network and ESPN require a completed schedule and may select games). The Conference office shall determine the date on which the schedule is released to the institutions and media. (Revised April 2006 and July 1, 2013)

**4.8.2 Non-Conference Schedule.** In order to continue to improve institutional and Conference (RPI), each team shall schedule and play no more than two regular season non-Division I games per season.

**4.8.2.1 Penalty – Exceeding Maximum Number of Non-Conference Opponents.** If a team schedules and plays more than two regular season non-Division I games (defined as opponents that count in the determination of NCAA RPI) in a given year, the institution shall be assessed a penalty of \$50,000 per occurrence. (Revised October 2003)

**4.9 Starting Times for Games.** Institutions shall determine starting times for all games, except those selected for television, and report the times to the Conference office no later than September 1.

**4.10 Game Time for Doubleheaders – Television.** The first game of a doubleheader shall begin three hours prior to the second game if the second game is to be televised. If the second game is not televised, the first game shall begin no less than two and one-half hours prior to the second game. If the first game is televised, the second game may begin immediately thereafter at the discretion of the institution.

**4.11 Playing Rules.** All Conference women's basketball games shall be conducted according to the NCAA Women's Basketball Rules, except as modified by Conference regulations.

**4.12 Arrival at Game Site – Conference Competition.** The visiting team shall arrive at the game site prepared to compete at the scheduled start time. A visiting team that does not arrive at the game site prepared to compete at the scheduled time shall incur the following penalties.

- a. Forfeiture of the contest at the moment the scheduled start time is reached; subject to the approval of the Conference office.
- b. A financial penalty equal to the amount of documented losses incurred by the host institution and the Conference, including but not limited to, ticket refunds, concession losses, facility rental, personnel expenses, television contract rebates, officiating fees and game operations costs. (Revised October 2002 and October 2003)

**4.13 Scouting Reports.** A coach shall not send film, videotape or give a written or verbal scouting report on a Conference team to any institution outside the Conference.

### 4.14 Squad-Size Limitations.

**4.14.1 Regular Season.** Traveling squads shall be limited to 15 student-athletes for all Conference regular season competition. If a team exceeds the squad-size limitation, the team shall decrease its travel party size by one full-time coach for the next away-from home regular season competition after the violation has been reported. There shall be no limit on the squad-size for the home team.

**4.14.2 Conference Tournament.** Squad-sizes shall be limited to 15 student-athletes for the Conference tournament.

#### 4.15 Tickets.

**4.15.1 Complimentary Tickets.** For doubleheader games, the host institution shall provide a total of 50 complimentary tickets to the visiting women's team for use during both games. For single games, 50 complimentary tickets shall be provided to the visiting team, 24 of which shall be located behind the visiting team bench.

**4.15.2 Distribution of Complimentary Tickets.** Complimentary tickets shall be distributed according to the policies of the host institution's ticket office. These policies must be included in the game management information.

**4.15.3 Student Ticket Exchange.** There shall be no student exchange ticket arrangement between institutions in the Conference.

**4.16 Video/Scouting Report Exchange.** The dissemination of game video and/or scouting information on member institutions to non-conference institutions is prohibited. Video, film or motion pictures shall not be taken for scouting purposes unless the team taping is one of the competing teams. Exchanging video among Conference teams shall be governed by the policies outlined in the MW Basketball Game Management Handbook.

**4.16.1 Violations of Video/Scouting Report Exchange Policy.** A member institution that exchanges video/scouting reports in the sport of women's basketball with institutions outside the Conference shall be subject to the following:

- a. **First Offense.** Private reprimand of the head coach and the individual who sent the tape to the institution outside the Conference.
- b. **Second Offense.** Written notice to the Conference of the second offense. A copy of the notice shall be kept in the personnel file of the individual in the office of the institution's director of athletics.
- c. **Third Offense.** The Commissioner shall suspend the head coach for one game/competition. Such suspension shall occur immediately following receipt of notice by the Commissioner.

**4.17 Awards.** The Conference women's basketball coaches shall select recipients for all awards. Coaches shall not vote for themselves or for their own student-athletes. Should a coach fail to complete the entire ballot, the student-athletes from that team shall not be eligible to receive an award. Policies and procedures governing selection are outlined in the MW Men's and Women's Basketball Championship Handbook.

**4.17.1 All-Conference Team.** The All-Conference team shall consist of 10 person first team student-athletes. A five person All Freshman team and a five person All-Defensive team also are recognized. Each shall be provided an award from the Conference. (Revised May 2008, April 2013)

**4.17.2 Individual Awards.** Individual awards shall be provided to the Coach of the Year, the Player of the Year, the Newcomer of the Year, Freshman of the Year, Defensive Player of the Year and the Sixth Player of the Year. (Revised May 2008)

**4.17.3 Tournament Awards.** An all-tournament team shall consist of 5 players. In addition, a tournament MVP shall be chosen.



**4.18 Meeting of Coaches.** There shall be an annual in-person meeting of the women's basketball coaches at a site and on a date to be determined. Attendance by each head coach at the in-person meeting and media day is mandatory. (Revised November 2003)

## Regulation 5

# **MEN'S AND WOMEN'S CROSS COUNTRY**

**5.1 General Rules.** Men's and women's Conference cross country competition shall be conducted in accordance with the rules of the NCAA and the Bylaws and Operating Handbook of the Conference.

**5.2 Championship Determination.** The team and individual Conference champion in men's and women's cross country shall be determined at a Conference championship meet.

**5.3 Conference Schedule.** There shall be no required regular season Conference schedule in the sport of cross country.

### **5.4 Cross Country Conference Championship.**

**5.4.1 Date and Site.** The men's and women's cross country championship shall be conducted the Friday two weeks prior to the NCAA regional meet. Host institutions are allowed to change the date to the Friday before the originally scheduled date, should there be a conflict with a home football game. (Revised April 2010, January 2013)

**5.4.2 Championships Site.** The Mountain West cross country championships shall be conducted either at a golf course, a permanently dedicated cross country course, or a course that is run on a regular basis at a high level of competition. The site shall rotate among member institutions with facilities of sufficient quality to host the Conference championship as outlined in Appendix L. (Revised January 2013)

**5.4.3 Minimum Number of Participants.** Member institutions that sponsor the sport of cross country shall take a minimum of five participants to the Conference Cross Country Championship. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the cost associated with the team traveling to the championship site. The Conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the Conference Cross Country Championships results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference Cross Country Championships does not result in a loss to the host institution, the fine shall be included in the Conference championships budget. Waivers of the minimum number of participants rule may be granted by the Commissioner.

**5.4.4 Pre-Championship Meeting.** A pre-championship meeting shall be held the day prior to the Championship in conjunction with final declarations. The head coaches of all participating institutions are required to attend the meeting. A head coach who fails to attend the mandatory pre-championship meeting shall be suspended from the next regular season contest or date of competition. In date of competition sports, the suspension may not exceed one day. Appeals should be forwarded to the appropriate designated governance group (e.g., athletics directors, senior woman administrators). Conference office staff and an institutional representative also shall be in attendance at the meeting. (Adopted October 2006, Revised December 2008)

**5.5 Playing Rules.** The Conference championships shall be conducted according to the NCAA Men's and Women's Track & Field/Cross Country Rules, except as modified by Conference regulations.

**5.6 Squad-Size Limitations.** The squad-size shall be limited for the cross country championships to a maximum of nine men and nine women for each institution.

## **5.7 Awards.**

**5.7.1 All-Conference Team.** The All-Conference First Team shall be the top 7 finishers at the Conference championship. The All-Conference Second Team shall be finishers 8-14 at the Conference championship.

**5.7.2 Individual Awards.** Individual awards shall be provided to the Coach of the Year, Athlete of the Year and the Freshman of the Year. The Athlete and Freshman of the Year shall be awarded to the top finisher and top freshman finisher respectively at the championship. A freshman, for the purpose of this award, is a student-athlete competing in their 1<sup>st</sup> year (12 calendar months) after the completion of high school. The Coach of the Year shall be voted on by the coaches at the conclusion of the championships and a coach shall not vote for himself or herself. (Revised December 2006, January 2010)

**5.8 Voting Procedure.** Each institution that sponsors both men's and women's teams shall have two votes, one each for the men's and women's programs. Institutions sponsoring either men's or women's but not both shall have one vote.

**5.9 Meeting of Coaches.** There shall be an annual conference call of the men's and women's cross country coaches conducted in December of each year. Participation by each head coach is mandatory (Revised December 2008).

## Regulation 6

# FOOTBALL

**6.1 General Rules.** Conference football competition shall be conducted in accordance with the rules of the NCAA, the Bylaws and Operating Handbook of the Conference, and the current Mountain West Football Game Management Handbook in effect at the time.

**6.2 Championship Determination.** The Conference champion shall be determined via the Mountain West Football Championship Game to be played annually between the winners of the Mountain Division and West Division, respectively. The game shall be played at the home stadium of the divisional champion with the highest Bowl Championship Series (BCS) ranking (or equivalent metric under the new College Football Playoff system). (Revised January 2013)

**6.3 Divisional Champions.** A divisional champion shall be the team with the highest winning percentage in all Conference games, both inter-divisional and intra-divisional, in the division.

- a. **Tie Games.** A tie football game shall count as half-a-game won and half-a-game lost in the standings.

[**Note:** A team ineligible under NCAA or Mountain West rules for post-season (bowl) competition shall not compete in the Championship Game.]

**6.4 Tie-Breaking Procedures.** The MW's bowl partners are not bound by the final standings and may select participants at their discretion, except as specified in the contractual agreements, in consultation with the Conference office. Every effort shall be made to protect the league champion(s) in the bowl selection process. Co-champions and other ties in the standings will remain unchanged for Conference awards, records and revenue-distribution purposes. (Revised April 2006)

### **6.4.1 Divisional Tiebreakers.**

#### **Tie Between Two Teams:**

In the event of a percentage tie for a divisional championship between two teams, if one team was the winner of the Conference game between the two teams, it shall be the divisional champion.

If no game was played between the two tied teams, or that game ended in a tie, the following comparison procedure shall be used to break the tie:

- a. Winning percentage in games played within the division.
- b. Winning percentage against the next highest-placed team in the division (based upon the team's record in all games played in the Conference), proceeding through the division.
- c. Winning percentage against common Conference opponents.
- d. Highest BCS ranking following the final week of Conference regular-season games.
- e. Overall winning percentage against FBS opponents.
- f. Coin toss.

#### **Tie Between More Than Two Teams:**

In the event of a percentage tie for a divisional championship between more than two teams, the following comparison procedure shall be used to eliminate all but two tied teams, at which point the two-team tie-breaking procedure shall be used:

- a. Winning percentage in games played among the tied teams.
- b. Winning percentage in games played against division opponents.

- c. Winning percentage against the next highest-placed team in the division (based upon the team's record in all games played in the Conference), proceeding through the division.
- d. Winning percentage against common Conference opponents.
- e. Highest BCS ranking following the final week of regular-season games.

**6.4.2 Host Tie-Breaker.** The Conference Football Championship Game shall be played at the site of the division champion with the highest BCS ranking (or composite BCS computer ranking if no MW teams are ranked in the final BCS Top 25) following the final week of regular-season games. Beginning in 2014, the equivalent ranking in the new postseason system shall be utilized to determine the host. In the event of a tie in BCS ranking or composite BCS computer ranking, the following comparison procedure shall be used to determine the site of the game:

- a. If one team was the winner of a Conference game between the two teams, it shall be the Championship Game host.
- b. If the two teams did not play that season, winning percentage against common Conference opponents shall determine the Championship Game host.
- c. If a tie still exists, winning percentage against the next highest-placed common opponent in the Conference (based upon (in order): 1. order of finish in the respective divisions, 2. record in all Conference games, and 3. BCS/composite BCS computer ranking), proceeding through the Conference, will break the tie.
- d. Coin toss.

## 6.5 Scheduling.

- a. The Mountain Division shall consist of the following teams: Air Force, Boise State, Colorado State, New Mexico, Utah State & Wyoming. The West Division will consist of the following teams: Fresno State, Hawai'i, Nevada, San Diego State, San José State & UNLV.
- b. Each member of a division will play each other member of its division on an annual basis (five games), with home and away designations alternating. Each division member will play three crossover opponents from the other division on an annual basis, rotating over a four-year period. Each team will play the same three crossover opponents home and away in years one and two and then the remaining three crossover opponents home and away in years three and four. The cycle will then repeat.
- c. Home and away designation between Conference opponents shall alternate each year to the extent possible, with the understanding "venue repeats" may be unavoidable in the event of membership change and the construction of new scheduling grids;
- d. No institution shall have more than two consecutive Conference road games;
- e. No institution shall have more than three consecutive weeks away from home during Conference play (an open date is considered a road game);
- f. No institution shall have consecutive open weeks in its schedule;
- g. Whenever possible, teams should have similar preparation schedules prior to a contest. Specifically, every effort shall be made to ensure that both teams participating in games on days other than Saturday (e.g., Thursday night contests) have similar preparation time with both having played the preceding Saturday or both having an open date.



**6.6 Arrival at Game Site – Conference Competition.** The visiting team shall arrive at the game site prepared to compete at the scheduled start time. A visiting team that does not arrive at the game site prepared to compete at the scheduled time shall incur the following penalties:

- a. Forfeiture of the contest at the moment the scheduled start time is reached; subject to the approval of the Conference office. [NOTE: Approval by the Conference office shall consider extenuating circumstances beyond the control of a visiting team that has made an appropriate effort to arrive at the game site at the scheduled start time. Involved institutions should try to communicate as early as possible any concerns in this regard. “Extenuating circumstances” could be affected by whether or not contracted television is involved.]
- b. A financial penalty equal to the amount of documented losses incurred by the host institution and the Conference, including, but not limited to, ticket refunds, concession losses, facility rental, personnel expenses, television contract rebates, officiating fees and game operations costs. (Revised October 2002 and October 2003)

**6.7 Artificial Noisemakers.** The use of artificial noisemakers or other similar devices is prohibited during game action, particularly at a time that could disrupt the performance of a player. More detailed information regarding the definition and elimination of artificial noisemakers can be found in the current Mountain West Football Game Management Handbook.

The prohibition against artificial noisemakers does not preclude the use of institutionally-controlled traditions (e.g., cannons, train whistle). Such items, however, must not be activated during game action or at a time that could disrupt the performance of a team or player. For example, it would be permissible to fire a cannon as the ball was kicked off, but not permissible to fire a cannon as the receiver was attempting to receive the kick.

Cheerleader megaphones and amplified sound systems are also permissible, provided they are utilized in a positive and sporting fashion. Cheerleaders are not permitted to direct amplified sound toward the field and are required to discontinue use of the system when the team(s) breaks the huddle for the next play. Amplified sound may not be utilized during game action or at any time that is disruptive to the competitors.

**6.8 Squad-Size Limitations.** Football traveling squads shall be limited to 64 student-athletes for the visiting team in all intra-Conference competition and the Conference Championship. If a team exceeds the squad-size limitation, the team shall decrease its travel party size by one full-time coach for the next away-from-home regular-season competition after the violation has been reported. There shall be no limit on the squad-size for the home team or for non-conference contests.

**6.9 Complimentary Tickets.** The host institution shall provide 300 complimentary, maximum-priced tickets to the visiting institution.

**6.10 Video Exchange Policies and Procedures.** Each game shall be videotaped and exchanged electronically between member institutions according to Mountain West standards and guidelines. This shall include requirements related to the football officiating program. Video exchange arrangements for non-conference games shall be determined on a case-by-case basis between the two participating institutions. The video crews from visiting institutions (especially those in the Mountain West Conference) shall be afforded every possible courtesy and professional assistance with regard to moving equipment, camera positions and the like. For specifics on the video exchange process, please refer to the appropriate appendix in the current Mountain West Football Game Management Handbook. (Revised April 2007)

**6.11 Video/Scouting Report Exchange.** An athletics department representative shall not send a film, video or scouting report or give a written scouting report on a Conference team to any non-conference institution.

**6.11.1 Violations of Exchange Policy.** A member institution that exchanges video or scouting reports in the sport of football with institutions outside the Conference shall be subject to the following:

- a. **First Offense.** Private reprimand of the head coach and the individual who sent the items to the institution outside the Conference.
- b. **Second Offense.** Written notice from the Conference of the second offense. A copy of the notice shall be kept in the personnel file of the individual in the office of the institution's director of athletics.
- c. **Third Offense.** The Commissioner shall suspend the head coach for one game/competition. Such suspension shall occur immediately following receipt of notice by the Commissioner.

**6.12 Visiting Bands and Spirit Squads.** A visiting band and/or spirit squad (e.g., cheerleaders) shall notify its opponent no later than June 1 of its intent to perform at the football game. Upon timely receipt of such notice, the band/spirit squad shall be admitted to the game at no cost and shall be permitted to perform. The tickets for the band/spirit squad shall come from the visiting team's allotment of 300 complimentary tickets. Performance on the playing field shall be determined by the home team's game management. The host institution should endeavor to provide adequate seating for visiting band members, including flag and dance lines.

**6.12.1 Homecoming.** Visiting bands and spirit squads shall be permitted to perform at halftime of an opponent's Homecoming game, only if the visiting team was invited by its opponent to participate in the Homecoming activities.

**6.13 Band Performances.** Bands are prohibited from playing during game action or at any time that is disruptive to the competitors. Pre-game, postgame, timeouts, halftime, intermission, between quarters or other breaks in the action are permissible times for band activities. In the sport of football, bands must discontinue playing when the team with the ball breaks the huddle until the completion of the play. If a team plays a no-huddle offense, the band shall not play at any time during the offensive possession.

**6.14 Public Address Announcers.** Public address announcers must NOT be cheerleaders for the home team, exhorting the crowd or engaging in activities outside their responsibilities in reporting the pertinent facts of the game. Traditional inflections are permissible, but the PA announcers (as outlined in the various MW game management policies) must be cognizant of not "crossing the line". Sound from the public address system must cease when the team with the ball breaks the huddle. If a team plays a no-huddle offense, the public address announcer should ensure his/her announcements are not disruptive to the offensive team.

**6.15 Coaches Television Shows – Video Reciprocity.** Institutions may use highlight footage from Conference games for their respective coaches shows, subject to the provisions agreed upon with the Conference television partners. Institutions shall be responsible for making such arrangements with non-conference opponents.

**6.16 Selection/Placement Procedures for Bowl Games.** The Conference office shall coordinate the process for the selection and placement of bowl-eligible institutions in bowl games – either those with which the Mountain West is contracted or for at-large opportunities. The parameters for a member institution's participation in a bowl shall be negotiated and confirmed by the Conference (in accordance with existing agreements for those games with which the MW is contracted).

The Conference should not promote one institution over another. Institutions are responsible for promoting themselves and exchanges of telephone calls, e-mails, written materials, promotional items, videos, etc., between bowl officials and MW institutional representatives are not limited. However, in-person, on-site (site of bowl officials) meetings by institutional staff members with officials of bowl games with which the Conference has a guaranteed relationship is prohibited. This does not preclude visits by the bowls to the institutions or to games involving the institutions. Member institutions may also interact with bowl representatives away from their campus if they happen to be in the bowl's location for a regular-season game or are involved in a function at some location in which a bowl representative is also in attendance.

Mountain West institutions may not attempt to "outbid" fellow Conference members for potential bowl slots by offering financial bonuses, ticket guarantees or other incentives to bowl officials. (Revised April 2000, November 2005 and April 2006).

**6.17 Awards.** The Conference football coaches and media as selected by the Conference office shall select recipients for all awards.

**6.17.1 All-Conference Team.** The All-Conference team shall consist of 25 first-team and 25 second-team players. There shall be 11 offensive players by position, 11 defensive players by position, one place kicker, one punter and one special teams player on the first team and second team. Each shall be provided an award from the Conference.

**6.17.2 Individual Awards.** Individual awards shall be provided to the Coach of the Year, the Offensive Player of the Year, the Defensive Player of the Year, the Special Teams Player of the Year and the Freshman of the Year.

**6.17.3 Championship Game MVPs.** The outstanding offensive and defensive players from the MW Football Championship Game shall be provided an award from the Conference at the conclusion of the contest.

**6.18 Meetings of Coaches.** There shall be an annual in-person meeting of the head football coaches to be held in conjunction with Mountain West Football Media Day. Attendance by each head coach at the in-person meeting and all media day activities is mandatory. The head football coaches shall also convene annually with the Directors of Athletics at the site of the Mountain West Spring Meetings. Attendance at that meeting is also mandatory.

## Regulation 7

# MEN'S GOLF

**7.1 General Rules.** Conference golf competition shall be conducted in accordance with the rules of the NCAA, United States Golf Association and the Bylaws and Operating Handbook of the Conference.

**7.2 Conference Schedule.** There shall be no required regular season Conference schedule in the sports of men's golf.

**7.3 Championship Tournament.** A Conference tournament shall be conducted to determine the Mountain West champion.

**7.3.1 Tournament Date.** The Conference championship shall be held the Thursday – Sunday ~~two~~ three weeks prior to the NCAA Regionals. (Revised June 2008, January 2011, July 2013)

**7.3.2 Automatic Qualification.** The winner of the Conference Championship Tournament shall be the Conference's automatic representative to the NCAA Men's Golf tournament.

**7.3.3 Cancellation of Championship.** In the event the Conference championship cannot be completed, the team with the highest head-to-head GolfStat ranking at the end of the season shall be the Conference's automatic representative to the NCAA Men's Golf tournament. (Revised April 2004, June 2005).

**7.3.4 Minimum Number of Participants.** Member institutions that sponsor the sport of men's golf shall take a minimum of five participants to the Conference Men's Golf Championship Tournament. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the cost associated with the team traveling to the championship site. The Conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the Conference Men's Golf Championship Tournament results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference Men's Golf Championship Tournament does not result in a loss to the host institution, the fine shall be included in the Conference championships budget. Waivers of the minimum number of participants rule may be granted by the Commissioner.

**7.3.5 Tie-Breaking Procedure.** If at the conclusion of 54 holes of play there is a tie for both the team and individual championship, the team championship playoff shall be conducted first.

**7.3.5.1 Team Championship Tie-Breaking.** Team ties shall be broken by a sudden death playoff counting the low four scores relative to par on each hole. Play shall continue with new scoring on each successive hole until a winner is determined. The games committee will determine the starting holes. If a playoff involves more than two teams, a shotgun format shall be used. The team playoff will be conducted first, with the playoff for individual spots to follow immediately after the conclusion of the team playoff. Once the shotgun sudden-death format is started, that format shall be used until a winner is determined. Sudden-death playoff procedures may be found in the Men's Golf Championship Handbook. (Revised August 2007 and June 2008)

**7.3.5.2 Individual Championship Tie-Breaking.** In the event of a tie for the individual championship, a sudden-death playoff shall be conducted immediately following the conclusion of regular play. A coin toss administered by the Conference office shall determine which team shall tee-off first. (Revised August 2007)

**7.3.6 Pre-Championship Meeting.** A pre-championship meeting shall be held the day prior to the start of the Championship. The head coaches of all participating institutions are required to attend the meeting. A head coach who fails to attend the mandatory pre-championship meeting shall be suspended from the next regular season contest or date of competition. In date of competition sports, the suspension may not exceed one day. Appeals should be forwarded to the appropriate designated governance group (e.g., athletics directors, senior woman administrators). Conference office staff and an institutional representative also shall be in attendance at the meeting. (Adopted October 2006)

**7.4 Pin Placement Sheets.** Pin placement sheets shall be provided prior to each day of competition to each participant and head coach. The depth of pin placements shall be identified in paces.

**7.5 Playing Rules.** All rules related to Conference championship play shall be conducted according to the NCAA Division I Men's Golf and USGA Rules, except as modified by local ground rules or Conference regulations.

**7.6 Squad-Size Limitations.** Squad-size for the Conference championship shall be limited to five student-athletes.

**7.7 Disqualification Penalties.** A player who is disqualified for reasons other than misconduct shall be permitted to resume play in the next and subsequent rounds.

**7.8 Awards.** The Conference golf coaches shall select recipients for all men's golf awards. Coaches shall not vote for themselves or any of their student-athletes. Should a coach fail to complete the entire ballot, the student-athletes from that team shall not be eligible to receive an award. Policies and procedures governing awards selection are outlined in the MW Men's Golf Championship Handbook.

**7.8.1 All-Conference Team.** The All-Conference team shall consist of 10 players selected on regular season and championship play.

**7.8.2 Individual Awards.** Individual awards shall be provided to the Coach of the Year, the Player of the Year and the Freshman of the Year.

**7.9 Meeting of Coaches.** There shall be an annual in-person meeting of the golf coaches held in conjunction with the Conference championship. Attendance by each head coach is mandatory.



## Regulation 8

# WOMEN'S GOLF

**8.1 General Rules.** Conference golf competition shall be conducted in accordance with the rules of the NCAA, United States Golf Association and the Bylaws and Operating Handbook of the Conference.

**8.2 Conference Schedule.** There shall be no required regular season Conference schedule in the sport of women's golf.

**8.3 Championship Tournament.** A Conference tournament shall be conducted to determine the Mountain West champion.

**8.3.1 Tournament Date.** The Conference championship shall be held Friday-Sunday (when course availability permits) two weeks prior to the NCAA Regionals or earlier as course availability permits. (Revised May 2011)

**8.3.2 Automatic Qualification.** The winner of the Conference Championship Tournament shall be the Conference's automatic representative to the NCAA Women's Golf Regional Tournament.

**8.3.3 Cancellation of Championship.** In the event the Conference championship cannot be completed, the team with the highest head-to-head GolfStat ranking at the end of the season shall be the conference's automatic representative to the NCAA Women's Golf tournament. (Revised April 2004 and June 2005).

**8.3.4 Minimum Number of Participants.** Member institutions that sponsor the sport of women's golf shall take a minimum of five participants to the Conference Women's Golf Championship Tournament. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the cost associated with the team traveling to the championship site. The Conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the Conference Women's Golf Championship Tournament results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference Women's Golf Championship Tournament does not result in a loss to the host institution, the fine shall be included in the Conference championships budget. Waivers of the minimum number of participants rule may be granted by the Commissioner.

**8.3.5 Tie-Breaking Procedure.** If at the conclusion of 54 holes of play there is a tie for both the team and individual championship, the team championship playoff shall be conducted first.

**8.3.5.1 Team Championship Tie-Breaking.** Team ties shall be broken by a sudden death playoff counting the low four scores relative to par on each hole. If a playoff involves more than two teams, a shotgun format shall be used. Play shall continue with new scoring on each successive hole until a winner is determined. The games committee will determine the sequence of holes. The team playoff will be conducted first, with the playoff for individual spots to follow immediately after the conclusion of the team playoff. Once the shotgun sudden-death format is started, that format shall be used until a winner is determined. (Revised May 2009)

**8.3.5.2 Individual Championship Tie-Breaking.** In the event of a tie for the individual championship, a sudden-death playoff shall be conducted immediately following the conclusion of regular play.

**8.3.6 Pre-Championship Meeting.** A pre-championship meeting shall be held the day prior to the start of the Championship. The head coaches of all participating institutions are required to attend the meeting. A head coach who fails to attend the mandatory pre-championship meeting shall be suspended from the next regular season contest or date of competition. In date of competition sports, the suspension may not exceed one day. Appeals should be forwarded to the appropriate designated governance group (e.g., athletics directors, senior woman administrators). Conference office staff and an institutional representative also shall be in attendance at the meeting. (Adopted October 2006)

**8.4 Hole Location Sheets.** Hole location sheets shall be provided prior to each day of competition to each participant and head coach. The depth of pin placements shall be identified in paces.

**8.5 Playing Rules.** All rules related to Conference championship play shall be conducted according to the NCAA Division I Women's Golf and USGA Rules, except as modified by local rules or Conference regulations.

**8.6 Squad-Size Limitations.** Squad-size for the Conference championship shall be limited to five student-athletes.

**8.7 Disqualification Penalties.** A player who is disqualified for reasons other than misconduct shall be permitted to resume play in the next and subsequent rounds.

**8.8 Awards.** The Conference golf coaches shall select recipients for all women's golf awards based on a predetermined set of criteria. Coaches shall not vote for themselves or for their own student-athletes. Should a coach fail to complete the entire ballot, the student-athletes from that team shall not be eligible to receive an award. Policies and procedures governing awards selection are outlined in the MW Women's Golf Championship Handbook.

**8.8.1 Individual Awards.** Individual awards shall be provided to the Coach of the Year, the Golfer of the Year and the Freshman of the Year.

**8.8.2 All-Conference Team.** The All-Conference team shall consist of 5 first-team and 5 second-team recipients for a total of ~~8~~ 10 student-athletes. Each shall be provided an award from the Conference. (Revised August 2012)

**8.9 Meeting of Coaches.** There shall be an annual in-person meeting of the golf coaches held in conjunction with the Conference championship. Attendance by each head coach is mandatory.

## Regulation 9

# WOMEN'S SOCCER

**9.1 General Rules.** Conference soccer competition shall be conducted in accordance with the rules of the NCAA and the Bylaws and Operating Handbook of the Conference.

**9.2 Format.** The Conference regular season champion shall be determined through a single round-robin schedule.

**9.3 Regular Season Team Champion.** The regular season team champion shall be the institution with the highest number of points accumulated in Conference competition (3 points for a win, 1 for a tie, 0 for a loss).

**9.4 Championship Tournament.** An eight team single elimination Conference tournament shall be held with the tournament champion representing the Conference as its automatic representative to the NCAA Division I Women's Soccer Championship. (Revised October 2005, Revised December 2012)

**9.4.1 Minimum Number of Participants.** Member institutions that sponsor the sport of women's soccer shall take a minimum of 11 participants to the Conference Women's Soccer Championship Tournament. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the cost associated with the team traveling to the championship site. The Conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the Conference Women's Soccer Championship Tournament results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference Women's Soccer Championship Tournament does not result in a loss to the host institution, the fine shall be included in the Conference championships budget. Waivers of the minimum number of participants rule may be granted by the Commissioner.

**9.4.2 Cancellation of Championship.** In the event the Conference championship cannot be started or, if started, cannot be completed, the Conference regular season champion shall represent the Conference as the automatic representative to the NCAA Division I Women's Soccer Championship. (Revised October 2001).

**9.4.3 Championship Game Ball.** The official game ball for all Championship games shall be determined by the Conference.

**9.4.4 Tournament Date.** The Conference championship shall be held the Tuesday -Sunday (in 2013 the championship shall be held the Monday-Saturday) immediately preceding the day of selection for the NCAA Division I Soccer Championship (Revised December 2010, February 2013).

**9.4.5 Tournament Seeding.** Tournament seeding shall be determined based on the final regular season Conference results. Teams shall be seeded according to the number of MW points accumulated (3 points for a win, 1 for a tie, 0 for a loss).

In the case of a tie between two teams in the final standings, position in the tournament bracket shall be determined as follows:

1. Comparison of head-to-head Conference matches among tied teams;
2. Comparison of goal differentials within Conference games (maximum of three goals);

3. Comparison of goals scored within Conference games (maximum of three goals) and, if the teams remain tied,
4. Comparison of the tied teams' win-loss records against other Conference opponents in Conference matches, in descending order beginning with the top-seeded team, until the tie is broken, or (Revised December 2006)
5. A drawing by the Commissioner.

In the case of a tie between three or more teams in the final standings, position in the tournament bracket shall be determined as follows:

1. Comparison of head-to-head Conference matches among tied teams;
2. Comparison of goal differential in games between teams tied (maximum of three goals);
3. Comparison of goals scored in games between teams tied (maximum of three goals);
4. Comparison of goal differentials within Conference games (maximum of three goals);
5. Comparison of goals scored within Conference games (maximum of three goals) and, if the teams remain tied,
6. Comparison of the tied teams' win-loss records against other Conference opponents in Conference matches, in descending order beginning with the top-seeded team, until the tie is broken, or (Revised December 2006)
7. A drawing by the Commissioner.

In the case of multiple team ties, the tie breaking procedures set forth shall be used (in order) until the tie is reduced to a two-team tie. The process shall then revert to the beginning of the tie-breaking procedures and shall be applied (in order) until the two-team tie is broken.

**9.4.6 Pre-Championship Meeting.** A pre-championship conference call shall be held on the Sunday prior to the start of the Championship. The head coaches of all participating institutions are required to attend the meeting. A head coach who fails to attend the mandatory pre-championship meeting shall be suspended from the next regular season contest or date of competition. In date of competition sports, the suspension may not exceed one day. Appeals should be forwarded to the appropriate designated governance group (e.g., athletics directors, senior woman administrators). Conference office staff and an institutional representative also shall be in attendance at the meeting. (Adopted October 2006, Revised December 2010, February 2013)

**9.5 Automatic Qualifier.** The Conference tournament champion shall be the automatic representative to the NCAA Division I Women's Soccer Championship.

**9.5.1 Teams Subject to Postseason Competition Restrictions.** Teams subject to postseason competition restrictions (NCAA or Mountain West) shall not be eligible to participate in MW championship competition until the restriction expires. In sports where the automatic qualifier is determined by regular season Conference competition, if the regular season champion is subject to postseason competition restrictions, the 2nd place team in the regular season Conference standings will be the league's automatic qualifier. If tie-breaking is necessary to determine the 2<sup>nd</sup> place team, existing procedures will be applied. The MW Board of Directors will determine the distribution of revenue

formula for all member institutions, including those with teams subject to postseason restrictions. (Codified March 2012)

**9.6 Playing Rules.** All Conference soccer games shall be conducted according to the NCAA Women's Soccer Rules, except as modified by Conference regulations.

**9.7 Video Exchange.** The dissemination of game video and/or scouting information on member institutions to non-conference institutions is prohibited. Video, film or motion pictures shall not be taken for scouting purposes unless the team taping is one of the competing teams. Exchanging videotapes among Conference teams shall be governed by the policies outlined in the MW Soccer Game Management Handbook. (Revised October 2001, December 2007 and January 2009).

**9.7.1 Violations of Video/Scouting Report Exchange Policy.** A member institution that exchanges video or scouting reports in the sport of soccer with institutions outside the Conference shall be subject to the following:

- a. **First Offense.** Private reprimand of the head coach and the individual who sent the tape to the institution outside the Conference.
- b. **Second Offense.** Written notice to the Conference of the second offense. A copy of the notice shall be kept in the personnel file of the individual in the office of the institution's director of athletics.
- c. **Third Offense.** The Commissioner shall suspend the head coach for one game/competition. Such suspension shall occur immediately following receipt of notice by the Commissioner.

**9.8 Squad-Size Limitations.** Traveling squad-size limits shall be 22 student-athletes for the regular season conference competition and 22 for the Conference championship. If a team exceeds the squad-size limitation, the team shall decrease its travel party size by one full-time coach for the next away-from-home regular season competition after the violation has been reported. There shall be no squad-size limit on the home team for regular season games. (Revised February 2005, May 2010)

**9.9 Awards.** The women's soccer coaches shall select recipients for Conference awards at the conclusion of the regular season. Nominees shall be discussed via conference call and ballots shall be submitted by each head coach at the conclusion of the conference call. Should a coach fail to complete the entire ballot, the student-athletes from that team shall not be eligible to receive an award. Awards shall be based on regular season Conference-only performances. Coaches shall not vote for themselves or for their own student-athletes. Additional awards policies and procedures can be found in the MW Women's Soccer Game Management and Championship Handbooks.

**9.9.1 All-Conference Team.** The All-Conference team shall consist of 11 first team players and 11 second team players, regardless of position. There shall be a designated goalkeeper on each team. Each shall be provided an award from the Conference. (Revised January 2006, February 2013)

**9.9.2 All-Tournament Awards.** An all-tournament team shall consist of 11 players, regardless of position. In addition, a tournament MVP shall be chosen.

The all-tournament team shall be selected by the coaches as follows: the coaches of the teams losing in the semifinals shall select 2 players each from their respective teams, the coach of the runner-up team shall select three players from its team and the winning coach shall select four players from its team, including a MVP. (Revised March 2006)



**9.9.3 Individual Awards.** Individual awards shall be provided to the Coach of the Year, the Offensive and Defensive Player of the Year and the Freshman of the Year.

**9.10 Meeting of Coaches.** There shall be an annual in-person meeting to be held in conjunction with the Conference tournament. Attendance by each head coach is mandatory.

## Regulation 10

# SOFTBALL

**10.1 General Rules.** Conference softball competition shall be conducted in accordance with the rules of the NCAA and the Bylaws and Operating Handbook of the Conference.

**10.2 Format.** The Conference regular season champion shall be determined through a single round-robin schedule. (Revised December 2010)

**10.3 Regular Season Champion.** The regular season champion shall be determined by regular season winning percentage in Conference competition. In the event of a tie between two or more teams, such teams shall be considered co-champions. (Revised June 2008)

**10.4 Automatic Qualifier.** The Conference regular season champion shall be the automatic representative to the NCAA Division I Softball Championship. (Revised April 2006)

**10.4.1 Teams Subject to Postseason Competition Restrictions.** Teams subject to postseason competition restrictions (NCAA or Mountain West) shall not be eligible to participate in MW championship competition until the restriction expires. In sports where the automatic qualifier is determined by regular season Conference competition, if the regular season champion is subject to postseason competition restrictions, the 2nd place team in the regular season Conference standings will be the league's automatic qualifier. If tie-breaking is necessary to determine the 2<sup>nd</sup> place team, existing procedures will be applied. The MW Board of Directors will determine the distribution of revenue formula for all member institutions, including those with teams subject to postseason restrictions. (Codified March 2012)

**10.4.2 Tie-Breaking Procedures.** In the case of a two-way tie in the final standings, the automatic qualifier shall be determined as follows:

- a. Comparison of head-to-head competition in regular season Conference play;
- b. Evaluation of results from games of those teams tied during regular season play against those teams finishing ahead of the tied position, beginning at first place and working down the standings to the tied position or until the tie is broken;
- c. Evaluation of results from games of those teams tied during regular season play against those teams finishing below the tied position, beginning at the tied position and working through last place, until the tie is broken; or
- d. The tie shall be broken by a comparison of the two teams' NCAA RPI (as of the most recent publication). The team with the better NCAA RPI shall gain the advantage. (Revised June 2008)

In the case of a multiple-team tie in the final standings, the automatic qualifier shall be determined as follows:

- a. Comparison of head-to-head competition in regular season Conference play;
- b. If a tie still exists between three or more teams, evaluation of results from games of those teams tied during regular season play against those teams finishing ahead of the tied position, beginning at first place and working down the standings to the tied position or until the tie is broken;

- c. Evaluation of results from games of those teams tied during regular season play against those teams finishing below the tied position, beginning at the tied position and working through last place, until the tie is broken;
- d. If at any time during this analysis, any team(s) should have an advantage over the other team(s) tied at that position, the team(s) holding the advantage shall assume a position higher than the other team(s); or
- d. The tie shall be broken by a comparison of the two teams' NCAA RPI (as of the most recent publication). The team with the better NCAA RPI shall gain the advantage. (Revised June 2008)

**10.5 Playing Rules.** All Conference softball games shall be conducted according to NCAA Softball Rules, including rules adopted for NCAA tournament competition, except as modified by Conference regulations.

**10.6 Scouting of Opponents.** In softball, scouting of a Conference opponent is prohibited. Coaches and/or administrators may attend games versus a Conference opponent that involves a member of the coach's or administrator's immediate family and provided no scouting activities take place. (Revised July 2002 and June 2008).

**10.7 Video/Scouting Report Exchange.** A coach shall not send film, videotape or give a written or verbal scouting report on a Conference team to any institution outside the Conference.

**10.7.1 Violations of Video/Scouting Report Exchange Policy.** A member institution that exchanges video or scouting reports in the sport of softball with institutions outside the Conference shall be subject to the following:

- a. **First Offense.** Private reprimand of the head coach and the individual who sent the tape to the institution outside the Conference.
- b. **Second Offense.** Written notice to the Conference of the second offense. A copy of the notice shall be kept in the personnel file of the individual in the office of the institution's director of athletics.
- c. **Third Offense.** The Commissioner shall suspend the head coach for one game/competition. Such suspension shall occur immediately following receipt of notice by the Commissioner.

**10.8 Squad-Size Limitations.** Traveling team squad-sizes shall be limited to 20 student-athletes for regular season conference competition. If a team exceeds the squad-size limitation, the team shall decrease its travel party size by one full-time coach for the next away-from-home regular season conference competition after the violation has been reported. There shall be no squad-size limit on the home team.

**10.9 Time Between Games.** The time between games of a doubleheader shall be a minimum of 15 minutes and a maximum of 30 minutes. The host institution shall inform the umpires as to the time between games.

**10.10 Awards.** The softball coaches shall select recipients for each Conference award. Coaches shall not vote for themselves or for their own student-athletes for any of the awards. Should a coach fail to complete the entire ballot, the student-athletes from that team shall not be eligible to receive an award. Policies and procedures governing awards selection are outlined in the MW Softball Game Management Handbook.

**10.10.1 All-Conference Team.** The All-Conference team shall consist of 30 student-athletes 15 first-team and 15 second-team members. Each shall be provided an award from the Conference. (Revised July 2013)

**10.10.2 Individual Awards.** Individual awards shall be provided to the Coach of the Year, the Player of the Year, the Pitcher of the Year, Defensive Player of the Year and the Freshman of the Year. (Revised July 2013)

**10.11 Meeting of Coaches.** There shall be an annual in-person meeting of the softball coaches to be held each year at a site and on a date to be determined in consultation with the administrative liaison. Any changes shall be subject to approval by the Joint Council. Attendance by each head coach is mandatory. (Revised April 2006)

## Regulation 11

# WOMEN'S SWIMMING AND DIVING

**11.1 General Rules.** Conference swimming and diving competition shall be conducted in accordance with the rules of the NCAA and the Bylaws and Operating Handbook of the Conference.

**11.2 Championships.** The team Conference champion and individual event champions in women's swimming and diving shall be determined at a Conference championship meet. (Revised December 2010)

**11.2.1 Minimum Number of Participants.** Member institutions that sponsor the sport of women's swimming and diving shall take a minimum of 11 participants to the Conference Women's Swimming and Diving Championships. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the cost associated with the team traveling to the championship site. The Conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the Conference Women's Swimming and Diving Championships results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference Women's Swimming and Diving Championships does not result in a loss to the host institution, the fine shall be included in the Conference championships budget. Waivers of the minimum number of participants rule may be granted by the Commissioner. (Revised December 2010)

### **11.3 Conference Swimming and Diving Championship Policies.**

**11.3.1 Date and Site.** The Conference championship shall be held a minimum of three weeks prior to the NCAA Women's Championship. It is recommended that the Conference championship be conducted only in indoor facilities that provide adequate length and width, as well as adequate competition areas for all events in both swimming and diving. Additionally, it is recommended that the facility have locker rooms as well as spectator facilities. (Revised October 2001, Revised October 2006).

**11.3.2 Pre-Championship Meeting.** A pre-championship meeting shall be held the day prior to the start of the Championship. The head coaches of all participating institutions are required to attend the meeting. A head coach who fails to attend the mandatory pre-championship meeting shall be suspended from the next regular season contest or date of competition. In date of competition sports, the suspension may not exceed one day. Appeals should be forwarded to the appropriate designated governance group (e.g., athletics directors, senior woman administrators). Conference office staff and an institutional representative also shall be in attendance at the meeting. (Adopted October 2006)

**11.4 Site Responsibilities.** The Conference office, in conjunction with the championship site, shall notify the participating institutions of the time schedule for the meet, giving details on the meet and related information regarding headquarters hotel and the coaches' meeting. This shall be done at least one month in advance of the Championship meet.

**11.5 Playing Rules.** The Conference Women's Swimming and Diving Championship shall be conducted according to the NCAA Men's and Women's Swimming and Diving Rules, except as modified by Conference regulations. (Revised December 2010)

**11.6 Squad-Size Limitations.** There shall be a limit of 24 women from each institution for the Conference championships. An entrant who swims and dives shall be counted as one competitor in the total of 24 student-athletes allowed each institution. An entrant who only dives shall be counted as one-half of a competitor. If a team exceeds the squad-size limitation, the team shall decrease its travel party size by one



full-time coach for the next away-from-home regular season competition after the violation has been reported. (Revised April 2011)

**11.6.1 Additional Student-Athletes at Swimming Championships.** Student-athletes who are not a part of the championship squad shall not travel to the site of the Mountain West championships at their own expense to compete in time trials in an effort to qualify for the NCAA championships. Participation in the Conference championship by student-athletes who are not a part of the institution's championship squad is prohibited.

**11.7 Awards.** The Conference women's swimming and diving coaches shall select recipients for all women's swimming and diving awards. Coaches shall not vote for themselves or for any of their student-athletes. Should a coach fail to complete the entire ballot, the student-athletes from that team shall not be eligible to receive an award. Policies and procedures governing awards selection are outlined in the MW Swimming and Diving Championship Handbook. (Revised December 2010)

**11.7.1 Individual Awards.** Individual awards shall be provided the Women's Swimming Coach of the Year, the Women's Diving Coach of the Year, the Women's Swimmer of the Year, the Women's Diver of the Year, and the Women's Senior Recognition Award. Awards shall be based on performance at the Conference championship meet. (Revised October 2001, December 2010).

**11.7.2 All-Conference Team – Women.** The All-Conference team shall consist of the top eight finishers in each event. (Revised April 2005).

**11.7.3 All-Conference Team – Relays.** The All-Conference team shall consist of the top three finishers in each relay. (Revised April 2005).

**11.8 Meeting of Coaches.** There shall be an annual in-person meeting of the women's swimming and diving coaches held in September at a site and date to be determined in consultation with the administrative liaison. Any changes shall be subject to approval by the Joint Council. Attendance by each head coach is mandatory. (Revised December 2010)

## Regulation 12

# MEN'S TENNIS

**12.1 General Rules.** Conference tennis competition shall be conducted in accordance with the rules of the NCAA, ITA and the Bylaws and Operating Handbook of the Conference.

**12.2 Format.** There will not be a Conference regular season champion. (Revised February 2013).

**12.3 Championship Tournament.** A Conference tournament shall be held to determine the automatic representative to the NCAA Division I Men's Tennis Championship.

### **12.3.1 Championship Tournament Seeding.**

**12.3.1.1 Regular Season Tiebreaker.** Conference tournament seeding shall be based on the most updated ITA rankings. The highest seed will be given to the highest ranked team and so on down the list. If two teams are within 10 places of another, the coaches will look at head-to-head results and common opponents determine if seeds need to be changed. Coaches will review and finalize seeds on the pre-championship conference call, currently scheduled for the Monday before the tournament. (Revised February 2013).

**12.3.2 Championship Tournament Date.** The men's tennis championship shall be conducted the Wednesday – Sunday two weeks prior to the start of NCAA competition (Revised December 2010, August 2013).

**12.3.3 Automatic Qualification.** The winner of the Conference Championship Tournament shall be the Conference's automatic representative to the NCAA Men's Tennis Tournament.

**12.3.3.1 Teams Subject to Postseason Competition Restrictions.** Teams subject to postseason competition restrictions (NCAA or Mountain West) shall not be eligible to participate in MW championship competition until the restriction expires. In sports where the automatic qualifier is determined by regular season Conference competition, if the regular season champion is subject to postseason competition restrictions, the 2<sup>nd</sup> place team in the regular season Conference standings will be the league's automatic qualifier. If tie-breaking is necessary to determine the 2<sup>nd</sup> place team, existing procedures will be applied. The MW Board of Directors will determine the distribution of revenue formula for all member institutions, including those with teams subject to postseason restrictions. (Codified March 2012)

**12.3.4 Cancellation of Championship.** In the event the Conference championship cannot be completed, the number one seeded team at the Conference championship shall be the Conference's automatic representative to the NCAA Men's Tennis tournament. (Revised April 2004, July 2013).

**12.3.5 Minimum Number of Participants.** Member institutions that sponsor the sport of men's tennis and participate in Conference competition shall take a minimum of five participants to the Conference Men's Tennis Championship Tournament. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the cost associated with the team traveling to the championship site. The Conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the Conference Men's Tennis Championship Tournament results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference Men's Tennis Championship Tournament does not result in a loss to the host institution, the fine shall be included in the

Conference championships budget. Waivers of the minimum number of participants rule may be granted by the Commissioner.

**12.3.6 Championship Game Ball.** The official game ball for all Championship games shall be determined by the Conference.

**12.3.7 Pre-Championship Meeting.** A pre-championship conference call shall be held prior to the start of the Championship. The head coaches of all participating institutions are required to attend the meeting. A head coach who fails to attend the mandatory pre-championship meeting shall be suspended from the next regular season contest or date of competition. In date of competition sports, the suspension may not exceed one day. Appeals should be forwarded to the appropriate designated governance group (e.g., athletics directors, senior woman administrators). Conference office staff and an institutional representative also shall be in attendance at the meeting. (Adopted October 2006)

**12.4 Playing Rules.** All Conference matches shall be conducted according to NCAA Tennis and ITA Rules, except as modified by Conference regulations.

**12.5 Squad-Size Limitations.** Squad-sizes shall be limited in the Conference championship to eight student-athletes for each institution.

**12.5.1 Regular Season.** Traveling squads shall be limited to 8 student-athletes for all Conference regular season competition. If a team exceeds the squad-size limitation, the team shall decrease its travel party size by one full-time coach for the next away from home regular season competition after the violation has been reported. There shall be no squad-size limitation for the home team. (Revised May 2010)

**12.5.2 Conference Championship.** Squad sizes shall be limited in the Conference Championship to eight student-athletes for each institution.

**12.6 Awards.** The Conference men's tennis coaches shall select recipients for all men's tennis awards. Coaches shall not vote for themselves or any of their student-athletes for any of the awards. Should a coach fail to complete the entire ballot, the student-athletes from that team shall not be eligible to receive an award. Policies and procedures governing awards selection are outlined in the MW Tennis Championships Handbook.

**12.6.1 All-Conference Team.** The All-Conference team shall consist of 12 singles players and six doubles teams selected on the basis of conference and non-conference results. (Revised May 2005)

**12.6.2 Individual Awards.** Individual awards shall be provided to the Coach of the Year, Player of the Year, and the Freshman of the Year.

**12.7 Meeting of the Coaches.** There shall be an annual conference call of the men's tennis coaches to be held each year on the Tuesday following Memorial Day. Any changes shall be subject to approval by the Joint Council. Participation by each head coach is mandatory. (Revised July 2006, July 2008, July 2012).

## Regulation 13

# WOMEN'S TENNIS

**13.1 General Rules.** Conference tennis competition shall be conducted in accordance with the rules of the NCAA, ITA and the Bylaws and Operating Handbook of the Conference.

**13.2 Format.** There will be no Conference regular season champion. (Revised February 2013)

**13.3 Championship Tournament.** A Conference tournament shall be held to determine the automatic representative to the NCAA Division I Women's Tennis Championship.

**13.3.1 Championship Tournament Seeding.** Conference tournament seeding shall be based on the most updated ITA rankings. The highest seed will be given to the highest ranked team and so on down the list. If two teams are within 10 places of another, the coaches will look at head-to-head results and common opponents determine if seeds need to be changed. Coaches will review and finalize seeds on the pre-championship conference call, currently scheduled for the Monday before the tournament. (Revised February 2013).

**13.3.2 Tournament Date.** The women's tennis championship shall be conducted the Wednesday - Sunday two weeks prior to the start of NCAA competition (Revised December 2010, August 2013).

**13.3.3 Automatic Qualification.** The winner of the Conference Championship Tournament shall be the Conference's automatic representative to the NCAA Women's Tennis Tournament.

**13.3.3.1 Teams Subject to Postseason Competition Restrictions.** Teams subject to postseason competition restrictions (NCAA or Mountain West) shall not be eligible to participate in MW championship competition until the restriction expires. In sports where the automatic qualifier is determined by regular season Conference competition, if the regular season champion is subject to postseason competition restrictions, the 2nd place team in the regular season Conference standings will be the league's automatic qualifier. If tie-breaking is necessary to determine the 2<sup>nd</sup> place team, existing procedures will be applied. The MW Board of Directors will determine the distribution of revenue formula for all member institutions, including those with teams subject to postseason restrictions. (Codified March 2012)

**13.3.4 Cancellation of Championship.** In the event the Conference championship cannot be completed, the number one seeded team at the Conference championship shall be the Conference's automatic representative to the NCAA Men's Tennis tournament. (Revised April 2004, July 2013).

**13.3.5 Minimum Number of Participants.** Member institutions that sponsor the sport of women's tennis and participate in Conference competition shall take a minimum of five participants to the Conference Women's Tennis Championship Tournament. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the cost associated with the team traveling to the championship site. The Conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the Conference Women's Tennis Championship Tournament results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference Women's Tennis Championship Tournament does not result in a loss to the host institution, the fine shall be included in the Conference championships budget. Waivers of the minimum number of participants rule may be granted by the Commissioner.

**13.3.6 Championship Game Ball.** The official game ball for all Championship games shall be determined by the Conference.

**13.3.7 Pre-Championship Meeting.** A pre-championship conference call shall be held the day prior to the start of the Championship. The head coaches of all participating institutions are required to attend the meeting. A head coach who fails to attend the mandatory pre-championship meeting shall be suspended from the next regular season contest or date of competition. In date of competition sports, the suspension may not exceed one day. Appeals should be forwarded to the appropriate designated governance group (e.g., athletics directors, senior woman administrators). Conference office staff and an institutional representative also shall be in attendance at the meeting. (Adopted October 2006)

**13.4 Playing Rules.** All Conference matches shall be conducted according to NCAA Women's Tennis and ITA Rules, except as modified by Conference regulations.

### **13.5 Squad-Size Limitations.**

**13.5.1 Regular Season.** Traveling squads shall be limited to 8 student-athletes for all Conference regular season competition. If a team exceeds the squad-size limitation, the team shall decrease its travel party size by one full-time coach for the next away from home regular season competition after the violation has been reported. There shall be no squad-size limitation for the home team. (Revised May 2010)

**13.5.2 Conference Championship.** Squad sizes shall be limited in the Conference Championship to eight student-athletes for each institution.

**13.6 Awards.** The Conference women's tennis coaches shall select recipients for all women's tennis awards. Coaches shall not vote for themselves or any of their student-athletes for any of the awards. Should a coach fail to complete the entire ballot, the student-athletes from that team shall not be eligible to receive an award. Policies and procedures governing awards selection are outlined in the MWC Tennis Championship Handbook.

**13.6.1 All-Conference Team.** The All-Conference team shall consist of 16 singles players and eight doubles teams selected on the basis of conference and nonconference results. (Revised May 2005 and June 2007, June 2013).

**13.6.2 Individual Awards.** Individual awards shall be provided to the Coach of the Year, Player of the Year and Freshman of the Year. (Revised May 2004).

**13.7 Meeting of the Coaches.** There shall be an annual conference call of the women's tennis coaches to be held each year on the Tuesday following Memorial Day. Any changes shall be subject to approval by the Joint Council. Participation by each head coach is mandatory. (Revised July 2006, July 2008 and July 2012).



## Regulation 14

# **MEN'S AND WOMEN'S TRACK AND FIELD**

**14.1 General Rules.** Men's and women's Conference track and field competition shall be conducted in accordance with the rules of the NCAA and the Bylaws and Operating Handbook of the Conference.

**14.2 Conference Schedule.** There shall be no required regular season Conference schedule in the sports of men's and women's track and field.

**14.3 Championship Determination.** The team and individual Conference champion in men's and women's track and field shall be determined at a Conference championship meet. Indoor track and field and outdoor track and field shall be considered separate sports and a Conference championship shall be held in each sport.

### **14.4 Conference Championship.**

#### **14.4.1 Date and Site.**

**14.4.1.1 Indoor.** The Conference Indoor Track and Field Championship shall be conducted the Thursday – Saturday two weeks prior to the start of NCAA Championship competition. The site shall be at least a 200-meter six-lane track with adequate area for field events.

**14.4.1.2 Outdoor.** The Conference Outdoor Track and Field Championship shall be conducted the Wednesday – Saturday two weeks prior to the start of NCAA Championship competition. The site shall rotate among member institutions with facilities of sufficient quality to host the Conference championship as outlined in Appendix K.

#### **14.4.2 Minimum Number of Participants.**

**14.4.2.1 Indoor.** Member institutions that sponsor the sport of indoor track and field shall take a minimum of 14 participants to the Conference Indoor Track and Field Championship. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the cost associated with the team traveling to the championship site. The Conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the Conference Indoor Track and Field Championships results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference Indoor Track and Field Championships does not result in a loss to the host institution, the fine shall be included in the Conference championships budget. Waivers of the minimum number of participants rule may be granted by the Commissioner.

**14.4.2.2 Outdoor.** Member institutions that sponsor the sport of outdoor track and field shall take a minimum of 14 participants to the Conference Outdoor Track and Field Championship. Institutions that do not meet the minimum number of participants requirement shall be assessed a fine of \$5,000 plus the cost associated with the team traveling to the championship site. The Conference office shall determine the cost of traveling to the championship site. If failure of the member institution to bring the minimum number of participants to the Conference Outdoor Track and Field Championships results in a loss to the host institution, the fine shall be used to cover the expenses incurred by the host institution. If the failure to bring the minimum number of participants to the Conference Outdoor Track and Field Championships does not result in a loss to the host institution, the fine shall be included in the Conference championships budget. Waivers of the minimum number of participants rule may be granted by the Commissioner.

**14.4.3 Pre-Championship Meeting.** A mandatory pre-championship meeting shall be conducted 30 minutes following the completion of the last event on the Thursday during the Championship in indoor track and outdoor track. It is necessary to have at least one representative from each institution participate in the meeting. Should an institution fail to participate in the mandatory pre-championship meeting, the head coach shall be suspended from the next regular season contest or date of competition. In date of competition sports, the suspension may not exceed one day. Appeals should be forwarded to the appropriate designated governance group (e.g., athletics directors, senior woman administrators). Conference office staff and an institutional representative also shall participate on the conference call. (Adopted October 2006; revised February 2008, April 2009, and January 2012)

**14.5 Playing Rules.** The Conference championship shall be conducted according to the NCAA Men's and Women's Track & Field/Cross Country Rules, except as modified by Conference regulations.

**14.6 Squad-Size Limitations.** The squad-size shall be limited for the track and field championships to a maximum of 28 men and 28 women for each institution. If a team exceeds the squad-size limitation, the team shall decrease its travel party size by one full-time coach for the next away-from-home regular season competition after the violation has been reported.

#### **14.7 Awards.**

**14.7.1 Coach of the Year.** The Coach of the Year shall be voted on by the coaches at the conclusion of the championships. A coach shall not vote for himself or herself.

**14.7.2 Outstanding Performer of the Indoor or Outdoor Championship Meet.** The Outstanding Performer of the Indoor or Outdoor Championship Meet shall be awarded to the individual with the highest calculated point total using the formula set forth in the Mountain West Indoor or Outdoor (whichever is applicable) Track and Field Championship Handbook. (Revised February 2013)

**14.7.3 Athlete of the Year.** The Athlete of the Year shall be selected by MW track and field coaches. Should a coach fail to complete the entire ballot, the student-athletes from that team shall not be eligible to receive an award. Additional awards policies and procedures can be found in the championship handbook. (Revised December 2004, Revised January 2007)

**14.7.4 All-Conference Team.** The All-Conference team shall consist of the top three finishers in each event.

**14.7.5 All-Conference Team – Relays.** The All-Conference team shall consist of the top three finishers in each relay.

**14.8 Meeting of Coaches.** There shall be an annual in-person meeting of the men's and women's track and field coaches held in conjunction with the Mountain West Cross Country Championships. Attendance by each head coach is mandatory. (Revised July 2006, July 2012)

## Regulation 15

# VOLLEYBALL

**15.1 General Rules.** Conference volleyball competition shall be conducted in accordance with the rules of the NCAA and the Bylaws and Operating Handbook of the Conference.

**15.2 Format.** The Conference regular season champion shall be determined through a double round-robin schedule, best-of-five game match to determine the Conference regular season champion.

**15.3 Regular Season Champion.** The regular season team champion shall be the institution with the highest regular season winning percentage in Conference competition. In the event of a tie, there will be co-champions.

**15.4 Automatic Qualifier.** The Conference regular season champion shall be the automatic representative to the NCAA Division I Women's Volleyball Championship. Other Conference teams may accept invitations to participate in postseason tournaments only when conducted or approved by the NCAA. (Revised March 2007; Effective for the 2008 Volleyball season)

**15.4.1 Teams Subject to Postseason Competition Restrictions.** Teams subject to postseason competition restrictions (NCAA or Mountain West) shall not be eligible to participate in MW championship competition until the restriction expires. In sports where the automatic qualifier is determined by regular season Conference competition, if the regular season champion is subject to postseason competition restrictions, the 2nd place team in the regular season Conference standings will be the league's automatic qualifier. If tie-breaking is necessary to determine the 2<sup>nd</sup> place team, existing procedures will be applied. The MW Board of Directors will determine the distribution of revenue formula for all member institutions, including those with teams subject to postseason restrictions. (Codified March 2012)

**15.4.2 Tie-Breaking Procedures** In the case of a tie in the final Conference standings, the automatic qualifier shall be determined as follows:

- a. head-to-head conference match record,
- b. head-to-head game record in conference matches,
- c. head-to-head point total in conference matches,
- d. a drawing by the Commissioner.

In the case of multiple team ties, the tie breaking procedures set forth shall be used (in order) until the tie is reduced to a two-team tie. The process shall then revert to the beginning of the tie-breaking procedures and shall be applied (in order) until the two-team tie is broken (Revised March 2007; Effective for the 2008 Volleyball season).

**15.5 Playing Rules.** All Conference volleyball games shall be conducted according to NCAA Volleyball Rules, except as modified by Conference regulations.

**15.6 Scheduling.** In order to continue to improve institutional and conference (RPI), each team shall schedule and play no more than two provisional or reclassifying Division I opponents per year.

**15.6.1 Penalty – Exceeding Maximum Number of Non-Conference Opponents.** If a team schedules and plays more than two provisional or reclassifying Division I opponents per year in a given year, the institution shall be assessed a penalty of \$50,000 per occurrence. (Revised October 2004 and August 2004)

**15.7 Complimentary Admissions.** Twenty-four complimentary tickets shall be provided for the visiting team. The official party size entering without tickets shall be 18 individuals.

**15.7.1 Ticket Distribution.** Complimentary tickets shall be distributed according to the policies of the host institution's ticket office. These policies must be included in the event management information.

**15.8 Squad-Size Limitations.** Traveling team squad-sizes shall be limited to 15 student-athletes for regular season conference competition. If a team exceeds the squad-size limitation, the team shall decrease its travel party size by one full-time coach for the next away-from-home regular season competition after the violation has been reported. There shall be no squad-size limit on the home team. (Revised January 2002, Revised October 2006, Revised March 2007)

**15.9 Video/Scouting Report Exchange.** The dissemination of game video and/or scouting information on member institutions to non-conference institutions is prohibited. Only representatives of the competing teams may record the contest. Exchanging video among Conference teams shall be governed by the policies outlined in the MW Volleyball Game Management Handbook.

**15.9.1 Violations of Video/Scouting Report Exchange Policy.** A member institution that exchanges video or scouting reports in the sport of volleyball with institutions outside the Conference shall be subject to the following:

- a. **First Offense.** Private reprimand of the head coach and the individual who sent the tape/provided scouting report to the institution outside the Conference.
- b. **Second Offense.** Written notice from the Conference of the second offense. A copy of the notice shall be kept in the personnel file of the individual in the office of the institution's director of athletics.
- c. **Third Offense.** The Commissioner shall suspend the head coach for one game/competition. Such suspension shall occur immediately following receipt of notice by the Commissioner.

**15.10 Awards.** The Conference volleyball coaches shall select recipients for all awards via conference call following regular season Conference play. Coaches shall not vote for themselves. Should a coach fail to complete the entire ballot, the student-athletes from that team shall not be eligible to receive an award. Policies and procedures governing award selection are outlined in the MW Volleyball Game Management Handbook.

**15.10.1 All-Conference Team.** The All-Conference Team shall consist of 16 players. Each shall receive an award from the Conference. (Revised February 2007)

**15.10.2 Individual Awards.** Individual awards shall be provided to the Coach of the Year, Player of the Year, Newcomer of the Year and Freshman of the Year. (Revised January 2010)

**15.11 Meetings of Coaches.** There shall be an annual in-person meeting of the volleyball coaches at a site and date to be determined in consultation with the administrative liaison. Any changes shall be subject to approval by the Joint Council. Attendance by each head coach is mandatory.

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## HANDBOOK APPENDIX A

### BYLAWS

#### OF

### MOUNTAIN WEST CONFERENCE

#### A Colorado Nonprofit Corporation

### ARTICLE I MEMBERS

#### 1.01 Members: Qualifications.

- (a) Initial Members. Mountain West Conference (the “Conference”) shall have eight regular members, hereinafter referred to as the “Member Institutions,” which shall be those academic institutions identified in Article V of the Conference’s articles of incorporation (as amended from time to time, the “Articles of Incorporation”).
- (b) Qualifications. Each Member Institution shall be an institution of higher education which includes within its educational programs intercollegiate athletics under the same or substantially similar administrative and academic controls as exist in its other educational programs.
- (c) Compliance with Rules. All Member Institutions and all future members of the Conference agree to abide by and fully comply with the rules and regulations of the National Collegiate Athletic Association (“NCAA”) and the Mountain West Conference Handbook (as described in Section 2.15 and hereinafter called the “Handbook”).

1.02 Admission of New Members. Upon the affirmative vote of three-fourths (3/4) or more of the members of the Conference’s board of directors (the “Board of Directors”), an institution of higher education which meets the qualifications set forth in the Articles of Incorporation and these bylaws may be admitted as a new Member.

- (a) Entrance Fee. Upon the affirmative vote of three-fourths (3/4) or more of the members of the Board of Directors, an entrance fee (as determined by the Board of Directors) may be assessed to a new member. (Adopted June 2011)

1.03 Voting Rights of Members. Each Member Institution shall be entitled to appoint one (1) director to the Board of Directors in accordance with Section 2.03. Member Institutions shall be entitled to vote with respect to the dissolution of the Corporation pursuant to C.R.S. § 7-134-102. The affirmative vote of three-fourths (3/4) of the Member Institutions shall be required to dissolve the Conference. Member Institutions shall have no other voting rights.

#### 1.04 Resignation.

- (a) Any Member Institution may resign from membership in the Conference effective June 30 of each year (the “Effective Date”) by delivering written notice to the Conference and the other Members on or before the preceding June 30<sup>th</sup> (the “Notice Date”). The period from a Notice Date to an Effective Date is referred to herein as the “Interim Period.” The resignation of a Member

Institution does not relieve the Member Institution from any obligations such Member Institution may have to the Conference as a result of obligations incurred or commitments made prior to resignation. (Revised June 2011)

- (b) Effective June 6, 2011 and thereafter any notice of resignation given by any member shall be subject to the notice dates referenced in subparagraph (a) above and the following: (i) the resigning member shall forfeit its final year of revenue payable no later than the Effective Date; (ii) if the resigning member gives notice after the established "Notice Date", the resigning member shall forfeit its final year of revenue and shall pay to the Conference a sum of five million dollars (\$5,000,000) OR double the amount of the final year's revenue, whichever is greater. All monies shall be paid no later than the Notice Date. Additional requirements applicable to Boise State University (BSU) are set forth in the BSU Membership Term Sheet kept on file in the Conference office. The additional requirements expire July 1, 2016. (Revised June 2011, January 2013)
- (c) A resigning Member shall play all athletic competitions included in the Conference schedules until the Effective Date of the resignation.
- (d) On the Notice Date a director appointed by a resigning Member shall be deemed to have resigned as a director effective on the Notice Date.
- (e) During the Interim Period,
  - (i) the Board of Directors shall be deemed to be reduced by the number of resigning Members and shall be fully empowered to act, as then constituted, to manage the business and affairs of the Conference; and
  - (ii) a resigning Member shall be neither obligated nor entitled to appoint a representative to the Board of Directors.

1.05 Expulsion, Suspension, and Probation. By the affirmative vote of at least three-fourths (3/4) of the members of the Board of Directors, taken not less than fifteen (15) days after written notice to the Member Institution of the proposed action and the reasons for it and not less than five (5) days after the Member Institution has had an opportunity to be heard by the Board of Directors, the Conference may expel or suspend a Member Institution, or place such Member Institution on probation, for any violation of any of the provisions of the Code. Expulsion means a permanent and complete severance from the Conference in all sports. Suspension means a temporary severance from the Conference in one (1) or more sports. Probation means that a Member Institution has been informed by the Conference that it has violated one or more provisions of the Handbook and that continuation or recurrence of such violation(s) will be grounds for suspension or expulsion. During any period of suspension or probation, the Member Institution shall continue to be represented on the Board of Directors.

1.06 Reinstatement of Members. By the affirmative vote of at least three-fourths (3/4) of the members of the Board of Directors, any Member Institution who has resigned or has been expelled or suspended may be restored to full membership.

1.07 Assessments and Distribution.

- (a) Assessments. Each Member Institution will pay an equal share of any assessment approved by a majority of the members of the Board of Directors, to fund the operations of the Conference.
- (b) Distributions. Each Member Institution will share equally in all of the Conference's net revenues, except for revenues which the Board of Directors determines (by the affirmative vote of three-fourths (3/4) of the members of the Board of Directors) will be distributed on a performance basis

and/or other criteria established by the Board of Directors. A copy of the Conference revenue distribution parameters shall be kept on file in the Conference office. (Revised January 2013)

1.08 No Annual Meeting. No annual meeting of the Member Institutions shall be required.

1.09 Affiliate Members. The Board of Directors may, by resolution, establish one or more classes of affiliate members for such purposes, with such designations, manner of election or appointment, qualifications, tenure and terms of membership as the Board of Directors may determine. Except as may otherwise be permitted by the Mountain West Conference Handbook, the affiliate members shall have no voting rights.

1.10 Member Institutions. Member Institutions that are governmental agencies of their respective states or the United States do not, and shall not be deemed to, expressly or impliedly, waive the sovereign immunity (including, but not limited to, immunity under the Eleventh Amendment to the Constitution of the United States) of their respective states or the United States, and shall not be deemed to consent to suit or to consent to the jurisdiction of the state of Colorado or any other state in which the Conference is located or to the jurisdiction of their courts. All Member Institutions that are state or federal governmental agencies expressly disclaim any authority or intent to waive the sovereign immunity of their respective governments.

## **ARTICLE II BOARD OF DIRECTORS**

2.01 General Powers. All corporate powers shall be exercised by or under the authority of, and the business and affairs of the Conference shall be managed under the direction of, the Board of Directors.

2.02 Number. Subject to subparagraph 1.04(e)(I), the number of members of the Board of Directors shall equal the number of Member Institutions in the Conference.

2.03 Manner of Appointment: Authority. Subject to subparagraph 1.04(e)(ii), each Member Institution shall be entitled to appoint one (1) representative to the Board of Directors. The representative appointed must be the Chief Executive Officer (President, Superintendent, Chancellor or similar position) of the Member Institution.

2.04 Term. Each Director shall hold office until the earlier of:

- (a) the effective of his or her actual resignation as a director or his or her deemed resignation by virtue of paragraph 1.04(d);
- (b) the effective date of his or her removal by the Member Institution which appointed him or her;
- (c) the date he or she ceases to be the CEO of the Member Institution that appointed him or her;
- (d) the Notice Date of the withdrawal of the Member Institution which appointed him or her from membership in the Conference; or
- (e) the effective date of expulsion of the Member Institution which appointed him or her from membership in the Conference.

2.05 Compensation. Directors shall not receive compensation from the Conference for their services as members of the Board of Directors, but they shall be reimbursed for reasonable expenses incurred by them in attending meetings of the Board of Directors.

2.06 Regular Meetings. A regular annual meeting of the Board of Directors shall be held in May or June of each year at the time and place determined by the Board of Directors, for the purpose of electing officers and for the transaction of such other business as may come before the meeting. The Board of Directors may provide by resolution for the holding of additional regular meetings.

2.07 Special Meetings. Special meetings of the Board of Directors may be called by or at the request of the Chairperson of the Board or fifty percent (50%) or more of the members of the Board of Directors then in office. The person or persons authorized to call special meetings of the Board of Directors may determine the place as the place, within or outside Colorado, for holding any special meeting of the board called by them.

2.08 Notice. Notice of each meeting of the Board of Directors stating the place, date and time of the meeting shall be delivered in accordance with Section 7.03, to all directors at least seven (7) days before the date of the meeting. The method of notice need not be the same for each director. The business to be transacted at and the purpose of any special meeting of the Board of Directors must be specified in the notice or waiver of notice of such meeting.

2.09 Waiver of Notice. A director may waive any notice of a meeting required by these bylaws before, at or after the date or time of the meeting stated in the notice. Except as provided in the next sentence, any such waiver must be in writing, signed by the director entitled thereto and delivered to the Conference for filing with the corporate records, but such delivery and filing shall not be conditions to its effectiveness. A director's attendance at or participation in a meeting waives any required notice to such director of the meeting unless, at the beginning of the meeting or promptly upon the director's later arrival, the director objects to holding the meeting or transacting business at the meeting because of lack of notice or defective notice and does not thereafter vote for or assent to action taken at the meeting.

2.10 Quorum and Voting. Three-fourths (3/4) of members of the Board of Directors shall constitute a quorum for the transaction of business at any meeting of the Board of Directors, and the vote of a majority of the directors present in person at a meeting at which a quorum is present shall be the act of the Board of Directors, except where the vote of a specified percentage of the members of the Board of Directors is required for approval. The Chairperson shall be counted for purposes of determining a quorum and shall be entitled to vote. If less than a quorum is present at a meeting, a majority of the directors present may adjourn the meeting from time to time and shall give absent directors notice of each such adjournment. Except as otherwise requested by a director, the vote of individual directors on any matter shall not be recorded in the minutes of the meeting. The individual votes of directors shall not be divulged in press announcements. For purposes of determining a quorum with respect to a particular proposal, and for purposes of casting a vote for or against a particular proposal, a director may be deemed to be present at a meeting and to vote if the director has granted a signed written proxy to another director who is present at the meeting, authorizing the other director to cast the vote that is directed to be cast by the written proxy with respect to the particular proposal that is described with reasonable specificity in the proxy. Except as provided in this Section 2.10 and as permitted by C.R.S. section 7-128-202, no director may vote or otherwise act by proxy.

2.11 Presumption of Assent. A director who is present at a meeting of the Board of Directors at which action on any corporate matter is taken is deemed to have assented to all action taken at the meeting unless the director (a) objects at the beginning of the meeting, or promptly upon the director's arrival, to holding the meeting or transacting business at the meeting and does not thereafter vote for or assent to any action taken at the meeting; (b) contemporaneously requests that his or her dissent or abstention as to any specific action taken be entered in the minutes of the meeting; or (c) causes written notice of such dissent or abstention as to any specific action to be received by the presiding officer of the meeting before adjournment of the meeting or by the corporation within 24 hours after adjournment of the meeting. The right of dissent or abstention pursuant to this section as to specific action is not available to a director who votes in favor of the action taken.

2.12 Delegation of Authority. To the extent permitted by law, the Board of Directors may delegate any of its duties to such committees or other advisory councils, auxiliaries or other bodies appointed by it for any designated purpose and any such committee shall have and may exercise all of the powers and authority granted to them by the Board of Directors. The members, terms and authority of such bodies shall be established by resolution of the Board of Directors; except that, if any such committee or other body has one or more members thereof who are entitled to vote on committee matters and who are not then also directors, such committee or other body may not exercise any power or authority reserved to the Board of Directors under the Colorado Revised Nonprofit Corporation Act as it now exists or may hereafter be amended (the "Act"), in the Articles of Incorporation or in these bylaws.

2.13 Action Without a Meeting. Any action which is required to be or may be taken at a meeting of the Board of Directors or any committee thereof may be taken without a meeting if Consents in writing, setting forth the action so taken are signed by all of the directors or committee members entitled to vote with respect to the subject matter thereof. Such consents (which may be signed in counterparts) shall have the same force and effects as a unanimous vote of the directors or committee members.

2.14 Other Authorized Action. To the extent permitted by law, members of the Board or of any committee created by the Board of Directors may participate in a meeting by, or conduct the meeting through the use of, any means of communication by which all directors participating may hear each other during the meeting. A director participating in a meeting by this means is deemed to be present in person at the meeting.

2.15 Adoption, Approval of Mountain West Conference Handbook. The Board of Directors shall promulgate, adopt and approve a Handbook of rules, policies and procedures to govern and control the day-to-day operations of the Conference. The Handbook may be amended only by the affirmative vote of three-fourths (3/4) of the members of the Board of Directors. Any provision of the Handbook which is contrary to these bylaws shall be treated as being superseded by these bylaws.

2.16 Actions Requiring Board Approval. The approval of a majority of a quorum of the Board of Directors, or such higher vote as may be specified by other provisions of these bylaws, shall be required to:

- (a) Employ the Commissioner and other Conference officers, prescribe their duties and fix the compensation, terms and conditions of their employment.
- (b) Approve the terms of major contracts and agreements for the purchase, sale or lease of real property, and television or radio broadcast and bowl contracts.
- (c) Initiate, determine, or repeal any rules, regulations or policies of or pertaining to the Conference.
- (d) Review, approve, revise or disapprove any action taken by any committee, advisory council, auxiliary or other bodies established by it.
- (e) Approve the budget of the Conference and established annual dues for each fiscal year.
- (f) Determine to initiate, defend and settle litigation involving the Conference or submit any such matters to arbitration or mediation.
- (g) Approve the admission of a Member.
- (h) Expel, suspend or place on probation a Member Institution as provided in Section 1.05.
- (i) Authorize the Conference to incur indebtedness for borrowing money.



### **ARTICLE III OFFICERS**

3.01 Officers. The elected officers of the Conference shall consist of a Chairperson of the Board (the “Chairperson”) and President, a Vice-Chairperson, a Secretary, and a Treasurer. The Board may also elect or the President may appoint, one or more Vice Presidents, one or more Assistant Secretaries, one of more Assistant Treasurers, and one or more subordinate officers. Any two or more offices may be held by the same person.

3.02 Authority and Duties. All officers of the Conference shall have the authority and shall exercise the powers and perform the duties specified below and as may be additionally specified by the Board of Directors or these bylaws, except that in any event each officer shall exercise such powers and perform such duties as may be required by law or as are established by resolution of the Board of Directors.

- (a) Chairperson of the Board and President. The Chairperson and President shall be a member of the Board of Directors and shall be the chief executive officer of the Conference. Each Chairperson shall serve for a term of one year but may be elected to additional one-year terms provided at least one year elapses between terms. The initial Chairperson and President shall be elected by the affirmative vote of a majority of the Board of Directors. Thereafter, the Vice-Chairperson shall succeed to the Chairpersonship and Presidency. The Chairperson shall preside at meetings of the Board of Directors, and, as President, shall perform such other duties as may be prescribed by the Board of Directors from time to time.
- (b) Vice Chairperson of the Board. The Vice-Chairperson shall be elected annually from the members of the Board of Directors. In the absence of the Chairperson at a meeting, the Vice-Chairperson or other member of the Board of Directors designated by the Chairperson shall preside at such meeting. The vice Chairperson shall be chairperson-elect for the next fiscal year.
- (c) Secretary. The Secretary shall keep the minutes of the Board of Directors; see that all notices are duly given in accordance with the provisions of these bylaws or as required by law; be custodian of the corporate records and of the seal of the Conference; and in general shall perform all duties customarily incident to the office of Secretary and such other duties as from time to time may be assigned to him or her by the Board of Directors.
- (d) Treasurer. The Treasurer shall serve as the chief financial officer of the Conference and shall have supervision of the funds, securities, receipts and disbursements of the Conference; cause all moneys and other valuable effects of the Conference to be deposited in its name and to its credit in such depositories as shall be selected by the Board of Directors or pursuant to authority conferred by the Board of Directors; cause to be kept correct books of count, proper vouchers and other papers pertaining to the Conference’s business at the accounting office of the Conference; render to the Board of Directors annually an audited account of the financial condition of the Conference; and perform any other duties as from time to time may be assigned by the Board of Directors.
- (e) Assistant Secretary and Assistant Treasurer. The Assistant Secretary and Assistant Treasurer (or in the event there be more than one Assistant Secretary or Assistant Treasurer, in the order of their seniority, designation or election) shall, in the absence or disability of the Secretary or Treasurer, respectively, perform the duties and exercise the powers of the Secretary or Treasurer and shall perform such other duties as the Board of Directors or the President shall prescribe.

3.03 Election and Term of Office. The elected officers of the Conference shall be elected by the Board of Directors at a regular annual meeting. If the election of officers is not held at such meeting, such election shall be held as soon as convenient thereafter. Each officer shall hold office until the officer’s successor shall have been duly elected and shall have qualified, or until the officer’s earlier death, resignation or removal.

3.04 Compensation. The salaries or other compensation of the officers shall be fixed from time to time by the Board of Directors. The power to establish salaries of officers, other than the President, may be delegated by the Board of Directors to the President, or a committee of the Board of Directors.

#### **ARTICLE IV COMMISSIONER**

4.01 Commissioner. The administrative head of the Conference shall be its Commissioner, who shall report to the Board of Directors. Subject to the direction and under the supervision of the Board of Directors, and in a manner consistent with these bylaws as they may be amended from time to time, the Commissioner shall:

- (a) Serve as the Commissioner and chief operating officer of the Conference and have general charge of the business, affairs and property of the Conference and control over its agents and employees.
- (b) Be responsible for general supervision of the operations of The Conference and employ such support personnel as are necessary, consistent with the bylaws and the Handbook.
- (c) Serve as the principal enforcement officer of the Handbook, with responsibility for and authority to provide rulings and interpretations of the Handbook and to conduct such investigations of Member Institutions as may be necessary.
- (d) Exercise such power and authority as may be reasonably necessary to discharge the duties and responsibilities of the Commissioner's office in the resolution of a controversy or violation of immediate urgency.
- (e) Serve as the principal enforcement officer of the Conference.
- (f) Have charge of the Conference offices and authority to employ office personnel and procure equipment as may be necessary and proper for efficient operation.
- (g) Be empowered to enter into contracts and legal documents affecting routine and day-to-day operations and duties of the Conference and the Conference office. Significant contracts and legal documents that constitute major matters (i.e., television contracts, bowl contracts) shall require approval of a majority of the Directors then in office and the signatures of the President and the Commissioner.
- (h) At all times devote best efforts to advance the affairs of the Conference in a manner consistent with the aims, purposes and principles of the Conference, and perform such other duties and exercise such other powers as from time to time may be assigned to him or her by these bylaws or by the Board of Directors.

4.02 Employment Contract: Term. Employment of the Commissioner shall be by employment contract. The affirmative vote of not less than three-fourths (3/4) of the members of the Board of Directors shall be required to approve such contract or any modification or renewal thereof. The Board of Directors, in its discretion, by the affirmative vote of a majority of all directors then in office may determine not to approve any annual automatic extension provided for in the Commissioner's employment contract.

4.03 Termination. The Board of Directors may terminate the employment of the Commissioner at any time, with or without cause, by the affirmative vote of not less than three-fourths (3/4) of the members of the

Board of Directors, but the Conference shall honor its financial obligations, if any, set forth in the Commissioner's employment contract.

## **ARTICLE V INDEMNIFICATION**

5.01 Indemnification. Pursuant to the Articles of Incorporation, the Conference has undertaken an obligation to indemnify members, directors and officers of the Conference to the maximum extent permitted by law. Such indemnification obligation shall be subject to the following understandings:

- (a) Advance of Expenses. In the event of any proceeding in which a party is involved or which may give rise to a right of indemnification under the Articles of Incorporation, following written request to the Conference by the party, the Conference shall pay to the party, to the fullest extent permitted by law (including without limitation in circumstances in which, in the absence of this Section (a), advance of expenses would be discretionary under the laws of Colorado or limited or subject to particular standards of conduct under such laws), amounts to cover expenses incurred by the party in, relating to or as a result of such proceeding in advance of its final disposition.
- (b) Settlements. The Conference shall not be liable under this Article for any amounts paid in settlement of any proceeding effected without its written consent.
- (c) Burden of Proof. If under applicable law the entitlement of a party to be indemnified or advanced expenses pursuant to this Article depends upon whether a standard of conduct has been met, the burden of proof of establishing that the party did not meet such standard shall rest with the Conference. A party shall be presumed to have acted in accordance with such standard and to be entitled to indemnification or advance of expenses (as the case may be) unless, based upon a preponderance of the evidence, it shall be determined that the party has not met such standard. Such determination and any evaluation as to the reasonableness of amounts claimed by a party shall be made by the Board of Directors or such other body or persons as may be permitted by law.
- (d) Notification and Defense of Claim. Promptly after receipt by a party of notice of the commencement of any proceeding, the party shall, if a claim for indemnification in respect thereof may or will be made against the Conference under this Article, notify the Conference in writing of the commencement thereof; provided, however, that delay in so notifying the Conference shall not constitute a waiver or release by the party of any rights under this Article. With respect to any such proceeding: (a) the Conference shall be entitled to participate therein at its own expense; (b) any counsel representing the party to be indemnified in connection with the defense or settlement thereof shall be counsel mutually agreeable to the party and to the Conference; and (c) if the Conference admits that such party would be entitled to indemnification under this Article in connection with such proceeding, the Conference shall have the right, at its option, to assume and control the defense or settlement thereof, with counsel satisfactory to the party. If the Conference assumes the defense of the proceeding, the party shall have the right to employ its own counsel, but the fees and expenses of such counsel incurred after notice from the Conference of its assumption of the defense of such proceeding shall be at the expense of the party unless (I) the employment of such counsel has been specifically authorized by the Conference, (ii) the party shall have reasonably concluded that there may be a conflict of interest between the Conference and the party in the conduct of the defense of such proceeding, or (iii) the Conference shall not in fact have employed counsel to assume the defense of such proceeding. Notwithstanding the foregoing, if an insurance carrier has supplied directors and officers liability insurance covering a proceeding and is entitled to retain counsel for the defense of such proceeding, then the insurance carrier shall retain counsel to conduct the defense of such proceeding to the extent the terms of the insurance policy so provide.

- (e) Payment Procedures: Enforcement. The Conference shall promptly act upon a party's written request for indemnification or advance of expenses. The right to indemnification and advance of expenses granted by this Article shall be enforceable in any court of competent jurisdiction if the Conference denies the claim, in whole or in part, or if no disposition of such claim is made within sixty days after the written request for indemnification or advance of expenses is made. If successful in whole or in part in such suit, the party's expenses incurred in bringing and prosecuting such claim shall also be paid by the Conference.
- (f) Other Payments. The Conference shall not be liable under this Article to make any payment in connection with any proceeding against or involving a party to the extent the party has otherwise actually received payment (under any insurance policy, agreement or otherwise) of the amounts otherwise indemnifiable hereunder. A party shall repay to the Conference the amount of any payment the Conference makes to the party under this Article in connection with any proceeding against or involving the party, to the extent the party has otherwise actually received payment (under any insurance policy, agreement or otherwise) of such amount. In the event of any payment under this Article, the Conference shall be subrogated to the extent of such payment to all of the rights of recovery of the indemnified party, who shall execute all papers and do everything that may be necessary to assure such rights of subrogation to the Conference.
- (g) Other Rights and Remedies. The right to indemnification and advance of expenses provided by this Article shall be in addition to, and shall not be in limitation of, any other rights a party may have or hereafter acquire under any law, provision of the Articles of Incorporation, any other or further provision of these bylaws, vote of the directors, agreement or otherwise.
- (h) Applicability: Effect. The rights to indemnification and advance of expenses provided by the Articles of Incorporation shall be applicable to acts or omissions undertaken on the Conference's behalf or with a view to furthering the Conference's interests that occurred prior to the formation of the Conference, shall continue as to any party entitled to indemnification under this Article during the period such party occupies or serves in any one or more the capacities covered by this Article, shall continue thereafter so long as the party may be subject to any possible proceeding by reason of the fact that the party occupied or served in any one or more of the capacities covered by this Article, and shall inure to the benefit of the successors, assigns, estates and personal representatives of such parties. Any repeal or modification of this Article or of any Section or provision hereof shall not adversely affect any rights or obligations then existing. All rights to indemnification under the Articles of Incorporation shall be deemed to be provided by a contract between the Conference and each party covered thereby.
- (i) Severability. If any provision of this Article shall be held to be invalid, illegal or unenforceable for any reason whatsoever (a) the validity, legality and enforceability of the remaining provisions of this Article shall not in any way be affected or impaired thereby, and (b) to the fullest extent possible, the remaining provisions of this Article shall be construed so as to give effect to the intent of this Article that each party covered hereby is entitled to the fullest protection permitted by law. Notwithstanding any other provision of these bylaws, the Conference shall neither indemnify any person nor purchase any insurance in any manner or to any extent that would jeopardize or be inconsistent with the qualification of the Conference as an organization described in section 501(c)(3) of the Internal Revenue Handbook, or that would result in the imposition of any liability under section 4941 of the Internal Revenue Handbook.
- (j) Liability. Liability for indemnification shall be limited to the assets of the Conference and no Member, officer or director of the Conference shall have any liability whatsoever with respect to indemnification.

## **ARTICLE VI AMENDMENTS**

These bylaws may be altered, amended or repealed and new bylaws or amendments to the bylaws may be approved at any annual meeting or special meeting of the Board of Directors, called for that purpose, by the vote of three-fourth (3/4) of the members of the Board of Directors. The Board of Directors may also adopt emergency bylaws as provided by law.

## **ARTICLE VII MISCELLANEOUS PROVISIONS**

7.01 Books and Records. The Conference shall keep correct and complete books and records of its accounts and shall keep minutes of the proceedings of the Board of Directors and of any other duly appointed and authorized body or committee when exercising any of the powers of the Board of Directors. The books and records of the Conference may be in written form or in any other form which can be converted within a reasonable time into written form for visual inspection. Minutes shall be recorded in written form but may be maintained in the form of a reproduction.

7.02 Fiscal Year. Except as from time to time otherwise provided by the Board of Directors, the fiscal year of the Conference shall extend from July 1 to June 30 of each year, both dates inclusive.

7.03 Manner of Giving Notice: Effectiveness. Whenever notice is required by law, the Articles of Incorporation or these bylaws to be given to any Member or director, such notice shall be in writing (unless oral notice is reasonable under the circumstances) and may be given in person or by telephone, telegraph, teletype, electronically transmitted facsimile or other form or wire or wireless communication, first class, certified or registered mail, private courier or in any other manner permitted by law. If written, notice shall be effective as to each such Member or director, as the case may be made, as follows: (a) in the case of notice mailed by the Conference to the Members, upon deposit in the United States mail, addressed to the Member at the address as it appears in the Conference's current record of Members and with first class postage prepaid; and (b) in all other cases, the earliest of (i) the date received, (ii) five days after deposit in the United States mail (properly addressed and with first class postage prepaid), and (iii) the date shown on the return receipt, if mailed by registered or certified mail, return receipt requested, and the receipt is signed by or on behalf of the addressee. Oral notice is effective when communicated.

7.04 Reference to Statute. Except where a bylaw provision permissibly overrides the default language of the statute, in the event of a conflict between these bylaws and the Act, the Members hereby adopt and agree to abide by the provisions of the Colorado Revised Nonprofit Corporation Act, C.R.S. § 7-121-101, et seq., as it may be amended from time to time.

7.05 Corporate Seal. The corporate seal of the Conference shall be circular in form and shall contain the name of the Conference and the words "Seal, Colorado." The seal may be used by causing it or a facsimile thereof to be impressed, affixed, manually reproduced or rubber-stamped with indelible ink.

7.06 Litigation. In any proceeding against the Conference commenced by a Member, the nonprevailing party shall pay the attorneys' fees and costs of the prevailing party.

7.07 Limited Use of Names, Marks, and Logos. By becoming a member of the Conference, each Member Institution agrees that it grants the Conference a world-wide fully paid-up right and license to use and to authorize the use of the name, marks and logos associated with the athletic programs of the Member Institution, which conform to the configuration and color officially approved by the trademark licensing office of the Member Institution, for so long as the Member Institution is a member of the Conference, for the following and only the following purposes:



- (a) As a component of a Conference mark or logo approved in writing by the Member Institution.
- (b) For material advertising and promoting a Conference contest, tournament, play-off or championship and for display during the course of such tournament, play-off or championship.
- (c) As an integral part of any television or other media broadcast of a Conference contest, tournament, play-off or championship.

The Conference shall not use or authorize the use of the name, marks, or logos of a Member Institution on any commercial product or merchandise, or for advertising or promoting any commercial product, merchandise, or service of any person, partnership, association, corporation, or other entity; except that the Conference shall not be prohibited from licensing the use of an approved Conference mark or logo that include the name, marks or logos of all of the Member Institutions.

[END]

CONSENT OF DIRECTORS  
OF  
MOUNTAIN WEST CONFERENCE

Pursuant to Section 7-128-202 of the Colorado Revised Nonprofit Corporation Act, the undersigned, being all of the directors of Mountain West Conference, a Colorado nonprofit corporation (the "Corporation"), hereby consent to, vote in favor of and adopt the following resolutions:

I.  
Adoption of Bylaws

RESOLVED, that the Bylaws for the regulation of the affairs of the Corporation, as circulated to the board of directors on November 16, 1998 and containing such amendments as were adopted by the board of directors on December 3, 1998 in substantially the form attached hereto, are hereby approved and adopted as the Bylaws of the Corporation, and that a copy of such Bylaws be certified by the Secretary of the corporation and placed in the Corporation's minute book.

II.  
Counterpart Execution

FURTHER RESOLVED that this Consent of Directors may be executed in any number of counterparts, all of which together shall constitute one original, to be effective as of the date of first signature.

**RESOLUTIONS OF  
THE BOARD OF DIRECTORS OF  
THE MOUNTAIN WEST CONFERENCE**

WHEREAS, the Board of Directors (the “Board”) of the Mountain West Conference (the “Corporation”) desires that Boise State University (“BSU”) be admitted as a voting member of the Corporation;

WHEREAS, the Board now accepts the admission of BSU.

WHEREAS, Brigham Young University (BYU), Texas Christian University (TCU) and the University of Utah (Utah) resigned from the Corporation in accordance with the Bylaws of the Corporation;

NOW THEREFORE, the Board hereby accepts the resignations of BYU, TCU and Utah.

FURTHER RESOLVED, the voting members of the Corporation shall be as follows:

1. United States Air Force Academy
2. Boise State University
3. California State University Fresno
4. Colorado State University
5. University of Nevada
6. University of Hawaii (football only)
7. University of New Mexico
8. San Diego State University
9. San Jose State University
10. University of Nevada, Las Vegas
11. Utah State University
12. University of Wyoming

**EXHIBIT A**  
**AMENDMENT TO THE**  
**ARTICLES OF INCORPORATION OF**  
**THE MOUNTAIN WEST CONFERENCE**

Article V(c) of the articles of incorporation of the Mountain West Conference, a Colorado nonprofit corporation, is hereby amended in its entirety to read as follows:

(c) The voting members of the Corporation shall be as determined by the Board of Directors of the Corporation from time to time. Any action by the Board of Directors to admit or remove a voting member of the Corporation must be approved by the vote of at least three fourths (3/4) of the members of the Board of Directors of the Corporation.

## APPENDIX B

### Chair of the Board of Directors: 2013-2014 through 2023-2024

The President of a Mountain West (MW) institution shall serve as the chair of the Board of Directors and Board of Directors Executive Committee each academic year and shall rotate as listed below. A president new to the MW shall not begin service on the Board of Directors Executive Committee or serve as chair of the Board of Directors until his or her respective institution has been a member of the MW for at least one academic year. A newly appointed president shall not begin service on the Board of Directors Executive Committee or serve as chair of the Board of Directors until at least one academic year has passed since the beginning of his or her tenure. If the new president's tenure begins during a year in which the former president was scheduled to rotate onto the Board of Directors Executive Committee (and ultimately into the Board of Directors chair position), the Board of Directors shall adjust the rotation accordingly to allow the new president sufficient time to acclimate. In the event the current chair is unable to complete his or her term as chair, the incoming chair shall move into the chair position and the remainder of the rotation shall be moved upward for that academic year. At the conclusion of that academic year, the Board of Directors shall review the Board of Directors Executive Committee composition and the chair position to determine the Board of Directors Executive Committee and chair rotation for the next and subsequent academic years.

2013-2014:	Nevada
2014-2015:	Boise State
2015-2016	San Diego State
2016-2017:	Fresno State
2017-2018:	Utah State
2018-2019:	New Mexico
2019-2020:	San Jose State
2020-2021:	Wyoming
2021-2022:	Air Force
2022-2023:	UNLV
2023-2024:	Colorado State

### 2013-14 Board of Directors Executive Committee

Marc Johnson – Chair (2013-14)

Robert Kustra – Incoming Chair (2014-15)

Tony Frank – Preceding year's chair (2012-13)

Neal Smatresk – MW representative to the NCAA Board of Directors

## APPENDIX C

### Joint Council Executive Committee Rotation

2013-2014	FAR – Fresno State AD – Wyoming SWA – Nevada
2014-2015	FAR – Boise State AD – Air Force SWA – San José State
2015-2016	FAR – Nevada AD – Boise State SWA – Fresno State
2016-2017	FAR – San José State AD – Colorado State SWA – Boise State
2017-2018	FAR – Utah State AD – Fresno State SWA – San Diego State
2018-2019	FAR – UNLV AD – New Mexico SWA – Utah State
2019-2020	FAR – Wyoming AD – Nevada SWA – Air Force
2020-2021	FAR – San Diego State AD – Utah State SWA – Wyoming
2021-2022	FAR – Air Force AD – San Diego State SWA – Colorado State
2022-2023	FAR – New Mexico AD – San José State SWA – UNLV
2023-2024	FAR – Colorado State AD – UNLV SWA – New Mexico



## APPENDIX D

### Committees

<b>Joint Council Executive Committee</b>		<b>(Rule 1.3.7.1)</b>	<b>Institution</b>
FAR	David Schechter (Chair)		FSU
AD	Tom Burman		WYO
SWA	Rhonda Bennett		NEV
FAR	Roger Munger ( <i>ex officio</i> )		BSU

Conference Liaison – Carolayne Henry/Bret Gilliland

<b>Recognition Committee</b>		<b>(Rule 1.3.7.5)</b>	<b>Institution</b>
	Mark Coyle		BSU
	TBD		UNLV
	Rhonda Bennett		NEV
	Molly Moore		WYO
	Breda Bova		UNM
	Jim Francis		CSU

Conference Liaison – Kim Melcher

<b>Student-Athlete Advisory Committee</b>		<b>(Rule 1.3.7.6)</b>	<b>Institution</b>
	Emma Dridge		AFA
	Rachel Kennedy		
	Thomas Bropleh		BSU
	Breanna Perrone		
	Joe De Ciman		CSU
	Jessica Sharbono		
	Marissa Brand		FSU
	Troy Sweeney		
	Dana Holt		NEV
	Ryan Teel		
	Kasey Carrier		UNM
	Kendall Spencer##		
	Hunter Nicholas		SDSU
	Katelyn Weddle		
	Rebecca Garcia		SJSU
	Rebecca Woodberry		
	Madeline Westman		UNLV
	Jennifer Wolfe		
	Chuckie Keeton		USU
	Sara Lorscheider		
	Amanda Fay		WYO
	Darren White		
	Brackley Frayer (FAR – Joint Council Liaison)		UNLV

##MWC representative to NCAA SAAC

Conference Liaisons: Marlon Edge  
Dawn Anderson  
Carolayne Henry

## APPENDIX E

### Mountain West Conference Recognition Committee Composition 2013-2014 through 2023-2024

	<b><u>2013-14</u></b>	
UNLV AD BSU AD	WYO SWA NEV SWA	CSU FAR UNM FAR
	<b><u>2014-15</u></b>	
BSU AD USU AD	NEV SWA AFA SWA	UNM FAR FSU FAR
	<b><u>2015-16</u></b>	
USU AD CSU AD	AFA SWA UNM SWA	FSU FAR SDSU FAR
	<b><u>2016-17</u></b>	
CSU AD WYO AD	UNM SWA BSU SWA	SDSU FAR NEV FAR
	<b><u>2017-18</u></b>	
WYO AD FSU AD	BSU SWA SDSU SWA	NEV FAR SJSU FAR
	<b><u>2018-19</u></b>	
FSU AD AFA AD	SDSU SWA CSU SWA	SJSU FAR UNLV FAR
	<b><u>2019-20</u></b>	
AFA AD NEV AD	CSU SWA SJSU SWA	UNLV FAR USU FAR
	<b><u>2020-21</u></b>	
NEV AD UNM AD	SJSU SWA UNLV SWA	USU FAR WYO FAR
	<b><u>2021-22</u></b>	
UNM AD SDSU AD	UNLV SWA USU SWA	WYO FAR AFA FAR
	<b><u>2022-23</u></b>	
SDSU AD SJSU AD	USU SWA FSU SWA	AFA FAR BSU FAR
	<b><u>2023-2024</u></b>	
SJSU AD UNLV AD	FSU SWA WYO SWA	BSU FAR CSU FAR

## APPENDIX F

### Sport Committees

#### Baseball

Mike Kazlauskys  
Mike Batesole  
Jay Johnson  
Ray Birmingham  
Anthony Gwynn  
Dave Nakama  
Tim Chambers

Conference Liaison: Jaime Hixson

Joint Council Administrator: Jenny Bramer

#### Institution

Air Force  
Fresno State  
Nevada  
New Mexico  
San Diego State  
San José State  
UNLV

#### Men's Basketball

Dave Pilopovich  
Leon Rice  
Larry Eustachy  
Rodney Terry  
David Carter  
Craig Neal  
Steve Fisher  
Dave Wojcik  
Dave Rice  
Stew Morrill  
Larry Shyatt

Conference Liaison: Dan Butterly

Joint Council Administrator: Tom Burman

#### Institution

Air Force  
Boise State  
Colorado State  
Fresno State  
Nevada  
New Mexico  
San Diego State  
San José State  
UNLV  
Utah State  
Wyoming

#### Women's Basketball

Andrea Williams  
Gordy Presnell  
Ryun Williams  
Raegan Pebley  
Jane Albright  
Yvonne Sanchez  
Stacie Terry  
Tim La Kose  
Kathy Olivier  
Jerry Finkbeiner  
Joe Legerski

Conference Liaisons: Carolayne Henry

Joint Council Administrator: Thomas Boeh

#### Institution

Air Force  
Boise State  
Colorado State  
Fresno State  
Nevada  
New Mexico  
San Diego State  
San José State  
UNLV  
Utah State  
Wyoming

#### Football

Troy Calhoun  
Chris Petersen  
Jim McElwain  
Tim DeRuyter  
Brian Polian  
Bob Davie  
Rocky Long

#### Institution

Air Force  
Boise State  
Colorado State  
Fresno State  
Nevada  
New Mexico  
San Diego State

Ron Caragher  
Bobby Hauck  
Matt Wells  
Dave Christensen

Conference Liaison: Bret Gilliland  
Joint Council Administrator: Paul Krebs

San José State  
UNLV  
Utah State  
Wyoming

**Men's Golf**

George Koury  
Kevin Burton  
Christian Newton  
Chad Spencer  
Jacob Wilner  
Glen Millican  
Ryan Donovan  
John Kennaday  
Dwaine Knight  
Dean Johansen  
Joseph Jensen

Conference Liaison: John Sullivan  
Joint Council Administrator: Janice Ruggiero

**Institution**

Air Force  
Boise State  
Colorado State  
Fresno State  
Nevada  
New Mexico  
San Diego State  
San José State  
UNLV  
Utah State  
Wyoming

**Women's Golf**

Nicole Bird  
Annie Young  
Emily Milberger  
TBD  
Jill Trujillo  
Leslie Spalding  
John Dormann  
Amy Bush  
Josey Stender

Conference Liaison: Carrie Coll  
Joint Council Administrator: Lisa Kelleher

**Institution**

Boise State  
Colorado State  
Fresno State  
Nevada  
New Mexico  
San Diego State  
San José State  
UNLV  
Wyoming

**Women's Soccer**

Larry Friend  
Jim Thomas  
Bill Hempen  
Brian Zwaschka  
Melissa Price  
Kit Vela  
Mike Friesen  
Jeff Leightman  
Michael Coll  
Heather Cairns  
Pete Cuadrado

Conference Liaison: Dawn Anderson  
Joint Council Administrator: Rhonda Lundin

**Institution**

Air Force  
Boise State  
Colorado State  
Fresno State  
Nevada  
New Mexico  
San Diego State  
San José State  
UNLV  
Utah State  
Wyoming

**Softball**

Erin Thorpe  
Jen Fisher

**Institution**

Boise State  
Colorado State

Trisha Ford  
Matt Meuchel  
Erica Beach  
Kathy Van Wyk  
Peter Turner  
Lisa Dodd  
Steve Johnson

Conference Liaison: Carrie Coll  
Joint Council Administrator: Christina Van Tol

**Women's Swimming**

Casey Converse  
Kristin Hill  
Chris Woodard  
Jeanne Fleck  
Abby Steketee  
Kunio Kono  
Mike Shrader  
Sage Hopkins  
Jim Reitz  
O. Thomas Johnson

Conference Liaison: Carrie Coll  
Joint Council Administrator: Christine Susemihl

**Men's Tennis**

Dan Oosterhous  
Greg Patton  
Evan Austin  
Erik Burton  
Alan Dils  
Gene Carswell  
Owen Hambrook  
Clancy Shields

Conference Liaison: Dawn Anderson  
Joint Council Administrator: Marti Gasser

**Women's Tennis**

Kim Gidley  
Beck Rogharr  
Jon Messick  
Ryan Stotland  
Guillaume Tonelli  
Erica Perkins Jasper  
Peter Mattera  
Sylvain Malroux  
Kevin Cory  
Sean McInerney  
Dean Clower

Conference Liaison: Dawn Anderson  
Joint Council Administrator: Marti Gasser

**Men's and Women's Track and Field/Cross Country**

Ralph Lindeman

Fresno State  
Nevada  
New Mexico  
San Diego State  
San José State  
UNLV  
Utah State

**Institution**

Air Force  
Boise State  
Colorado State  
Fresno State  
Nevada  
New Mexico  
San Diego State  
San José State  
UNLV  
Wyoming

**Institution**

Air Force  
Boise State  
Fresno State  
Nevada  
New Mexico  
San Diego State  
UNLV  
Utah State

**Institution**

Air Force  
Boise State  
Colorado State  
Fresno State  
Nevada  
New Mexico  
San Diego State  
San José State  
UNLV  
Utah State  
Wyoming

**Institution**

Air Force



Juli Benson  
Corey Ihmels  
Brian Bedard  
Art Siemers  
Scott Winsor  
Sean McManus  
Shantel Twiggs  
Kirk Elias  
Joe Franklin  
Shelia Burrell  
Jeff Petersmeyer  
Yvonne Scott  
Jebreh Harris  
Gregg Gensel  
Bryan Berryhill

Conference Liaisons: John Sullivan (Cross Country)  
Conference Liaisons: Dawn Anderson (Indoor and Outdoor)  
Joint Council Administrator: Molly Moore (Cross Country)  
Joint Council: Molly Moore (Indoor and Outdoor)

**Volleyball**

Matt McShane  
Shawn Garus  
Tom Hilbert  
Lauren Netherby-Sewell  
Ruth Lawanson  
Jeff Nelson  
Deitre Collins-Parker  
Oscar Crespo  
Cindy Frederick  
Grayson DuBose  
Chad Callihan

Conference Liaison: Carrie Coll  
Joint Council Administrator: Jana Doggett

Air Force  
Boise State  
Colorado State  
Colorado State  
Fresno State  
Fresno State  
Nevada  
Nevada  
New Mexico  
San Diego State  
San José State  
UNLV  
UNLV  
Utah State  
Wyoming

**Institution**

Air Force  
Boise State  
Colorado State  
Fresno State  
Nevada  
New Mexico  
San Diego State  
San José State  
UNLV  
Utah State  
Wyoming

## APPENDIX G

### National Letter of Intent Signing Dates for Student-Athletes Enrolling 2014-15

	<u>Initial Signing Date</u>	<u>Final Signing Date</u>
Basketball (Early Period)	November 13, 2013	November 20, 2013
Basketball (Regular Period)	April 16, 2014	May 21, 2014
Football (Mid-Year JC Transfer)	December 18, 2013	January 15, 2014
Football (Regular Period)	February 5, 2014	April 1, 2014
Field Hockey, Soccer, Track, Cross Country, Men's Water Polo	February 5, 2014	August 1, 2014
All Other Sports (Early Period)	November 13, 2013	November 20, 2013
All Other Sports (Regular Period)	April 16, 2014	August 1, 2014



# MASTER SCHEDULE CHANGE FORM

This form must be completed and signed by a designated athletic administrator of **BOTH** institutions involved in a master schedule change before the requested change is accepted by the Conference office. This form should be filed with the Conference office for every game site, date or time change requested in a Conference event. Multiple forms must be filed with the Conference office for schedule changes affecting more than two teams. Also, multiple team forms must be filed when schedule changes are requested in two or more different sports.

**INSTRUCTIONS:**

1. INITIATING INSTITUTION MUST COMPLETE ITS PORTION OF THE FORM AND THEN MAIL OR FAX THE FORM TO OTHER INSTITUTION FOR COMPLETION.
2. ONCE COMPLETED, THE FORM MUST BE MAILED OR FAXED TO THE CONFERENCE OFFICE.
3. EMAILS WILL BE SENT TO ADMINISTRATORS AND COACHES AFTER APPROVAL OF CONFERENCE OFFICE.

SPORT: \_\_\_\_\_

REQUEST MADE BY: \_\_\_\_\_

Institution

Name

ORIGINAL SITE/DATE/TIME

Date	Home Team	Visiting Team	Site	Time
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

NEW SITE/DATE/TIME

Date	Home Team	Visiting Team	Site	Time
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

REASON FOR CHANGE: \_\_\_\_\_  
\_\_\_\_\_

As the designated athletic administrator responsible for this sport at my institution, I approve of this requested change.

Home Team's Designated Administrator's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Visiting Team's Designated Administrator's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Conference Office Approval: Yes      No

Date: \_\_\_\_\_

Please mail, fax or email Master Schedule Change Forms to Carrie Coll, Associate Commissioner  
15455 Gleneagle Drive, Suite 200, Colorado Springs, CO 80921 Fax: 719-487-7240 Email: ccoll@TheMWC.com

## APPENDIX I

### Championship Dates and Sites 2013 - 2014

<b>November 1, 2013</b>	Men's and Women's Cross Country Championships Air Force Academy
<b>November 4-9, 2013</b>	Women's Soccer Championship University of New Mexico
<b>December 7, 2013</b>	Football Championship TBD
<b>February 19-22, 2014</b>	Women's Swimming and Diving Championships Palo Alto College Aquatic Center – San Antonio, Texas
<b>February 27-March 1, 2014</b>	Men's and Women's Indoor Track and Field Championships Air Force Academy
<b>March 10-15, 2014</b>	Men's and Women's Basketball Championships Thomas and Mack Center - Las Vegas, Nevada
<b>April 24-26, 2014</b>	Women's Golf Championship Mission Hills Country Club – Rancho Mirage, California
<b>April 23-27, 2014</b>	Men's and Women's Tennis Championship Fresno State
<b>April 25-27, 2014</b>	Men's Golf Championship Omni Tucson National – Tucson, Arizona
<b>May 14-17, 2014</b>	Men's and Women's Outdoor Track and Field Championships University of Wyoming
<b>May 21-25, 2014</b>	Baseball Championship UNLV

## APPENDIX J

### Conference Office Holiday Schedule

The Conference office will be closed on the following holidays:

New Year's Day

Martin Luther King's Birthday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Thanksgiving Friday

Christmas Eve

Christmas Day

1. If a holiday falls on a Saturday, the office will be closed the preceding Friday.
2. If a holiday falls on a Sunday, the office will be closed the following Monday.
3. The office will be closed on the workday immediately preceding and the workday immediately following Christmas; this action results in the following Christmas holiday schedule:
  - a. 2013 – December 24, 25 and 26
  - b. 2014 – December 24, 25, 26, 27 and 28
  - c. 2015 – December 24, 25, 26, 27 and 28
  - d. 2016 – December 23, 24, 25, 26
  - e. 2017 – December 22, 23, 24, 25, 26

## APPENDIX K

### Criteria for Hosting the Mountain West Outdoor Track and Field Championships

1. According to MW rules, the Championships will be conducted under NCAA track and field rules.
2. The order of events will be conducted per the rule book.
3. The facility must accommodate:
  - a. LJ, TJ & PV runways which take advantage of the wind and have the ability to be reversed in direction of run.
  - b. The 100m, 110m, 100m straightaway must be able to accommodate the ability to run either way to take advantage of the wind.
  - c. The 200m must be able to be run around either turn.
  - d. The above indicates that Timing systems are available for accommodating these events, meaning multiple cameras.
4. Where wind is a factor in throwing events the facility must be able to accommodate the best conditions to maximize efforts. (wind, where it applies.)
5. Where heat, or environmental conditions are extreme and the conducting of the events demands a revised schedule, lighting must be in place in order to conduct the events as listed in the rule book. (Where daylight hour permit the timely completion of the meet in daylight, the events can be run later in the day, in order to avoid these extremes.)
6. Host institution will propose a tentative schedule. Starting times and schedule of events will be in accordance with the NCAA rule book and order of events. The time schedule will be approved by games committee.
7. Have the resources to provide the officials to cover the meet in all of its requirements:
  - a. Event specific, namely field events
  - b. Running
  - c. Photo finish
  - d. That the head official for each event is certified.
8. Must have the ability to show the numbered marking of all field events:
  - a. Distance markers for all field events.
  - b. Result indicators for each event.
  - c. For running: wind indicators and running events results for immediate posting to a Scoreboard.



## APPENDIX L

### Criteria for Hosting the Mountain West Cross Country Championships

1. Host institution must have a plan in place one year prior to the championships event for presentation during the annual general business call.
2. The championships must be conducted at one of the following locations: a golf course, a permanently dedicated cross country course or a course that is run on a regular basis at a high level.
3. The course layout will be determined by the host institution.
4. The course must be marked with double lines of paint and have all turns either flagged or delineated with cones or tape.
5. A minimum of three (3) utility (or comparable) vehicles must be available: Two (2) for lead and one (1) for trail.
6. A minimum of five (5) carts/vehicles must be available: One (1) for sports medicine, one (1) for referee, two (2) video/media personnel and one (1) for photographer.
7. A minimum of two (2) generators must be available for use with adequate gasoline for operation during the entire championship.
8. The championships must have a PA system in place with adequate speakers. A public address announcer with knowledge of cross country is required.
9. The exact number of officials should be determined by the course layout. The number should allow for complete observation during the entire event.
10. The Conference championships must use chip timing with another form of backup.
11. The Conference championships must have a halfway timing update.
12. One (1) 10x15 tent must be available for each institution.

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